



ARTICLE

Biallelic inheritance of hypomorphic *PKD1* variants is highly prevalent in very early onset polycystic kidney diseaseMiranda Durkie, FRCPath¹, Jiehan Chong, MRCP², Manoj K. Valluru, PhD², Peter C. Harris, PhD³ and Albert C. M. Ong, DM, FRCP¹ 

PURPOSE: To investigate the prevalence of biallelic *PKD1* and *PKD2* variants underlying very early onset (VEO) polycystic kidney disease (PKD) in a large international pediatric cohort referred for clinical indications over a 10-year period (2010–2020).

METHODS: All samples were tested by Sanger sequencing and multiplex ligation-dependent probe amplification (MLPA) of *PKD1* and *PKD2* genes and/or a next-generation sequencing panel of 15 additional cystic genes including *PKHD1* and *HNF1B*. Two patients underwent exome or genome sequencing.

RESULTS: Likely causative *PKD1* or *PKD2* variants were detected in 30 infants with PKD-VEO, 16 of whom presented in utero. Twenty-one of 30 (70%) had two variants with biallelic in *trans* inheritance confirmed in 16/21, 1 infant had biallelic *PKD2* variants, and 2 infants had digenic *PKD1/PKD2* variants. There was no known family history of ADPKD in 13 families (43%) and a de novo pathogenic variant was confirmed in 6 families (23%).

CONCLUSION: We report a high prevalence of hypomorphic *PKD1* variants and likely biallelic disease in infants presenting with PKD-VEO with major implications for reproductive counseling. The diagnostic interpretation and reporting of these variants however remains challenging using current American College of Medical Genetics and Genomics/Association for Molecular Pathology (ACMG/AMP) and Association of Clinical Genetic Science (ACGS) variant classification guidelines in PKD-VEO and other diseases affected by similar variants with incomplete penetrance.

Genetics in Medicine (2021) 23:689–697; <https://doi.org/10.1038/s41436-020-01026-4>

INTRODUCTION

Autosomal dominant polycystic kidney disease (ADPKD) is the most common genetic cause of kidney failure and usually presents in adult life.¹ Very rarely, ADPKD can be diagnosed in utero or in infancy (up to the age of 18 months) with an often severe, very early onset (VEO) presentation associated with a reported high recurrence rate in subsequent pregnancies.²

The genetic mechanism underlying a VEO presentation has been shown to be related to reduced gene dosage³ with biallelic *PKD1* variants being the most consistent finding in several clinical case reports.^{4–7} In one study, digenic variants of *PKD1* were reported with *PKHD1* or *HNF1B* although these findings have not been confirmed in later studies.⁸ For instance, a French case series of prenatal onset patients in 41 families with known ADPKD reported 15 biallelic *PKD1* variants but did not detect any *trans*-heterozygous variants in *PKD2*, *PKHD1*, and *HNF1B*.⁹

To determine the prevalence of these changes in an unselected cohort, we reviewed the results of all infants with a VEO presentation of polycystic kidney disease referred for diagnostic testing to a nationally accredited service laboratory in the UK over a 10-year period. Our results confirm a high prevalence of biallelic *PKD1* variants in VEO patients with an enrichment of hypomorphic or reduced penetrance variants, often in heterozygosity with a pathogenic variant. In addition, we identified three cases of biallelic *PKD2* variants or *trans*-heterozygous *PKD1* and *PKD2* variants. Importantly, a positive family history of ADPKD was only present in 57% of families with a high rate of de novo variants in 23% of families with available parental samples. Although these results have major implications for reproductive counseling, the diagnostic interpretation and reporting of these variants remains

challenging using current American College of Medical Genetics and Genomics/Association for Molecular Pathology (ACMG/AMP) and Association of Clinical Genetic Science (ACGS) variant classification guidelines.

MATERIALS AND METHODS

Study population

A retrospective review of ADPKD genetic testing referrals from 2010 to 2020 was performed. All patients with a recorded age of onset of cystic kidneys from prenatal up to 18 months were selected from a large cohort of patients referred to the Sheffield Diagnostic Genetics Service (SDGS) for diagnostic testing. Patients were selected by the date of birth at the time of referral and the specified age of onset of symptoms. Fifty-one patients presenting below 18 months of age were identified. Patients with a clinical history not suggestive of ADPKD or with an alternative genetic diagnosis were subsequently excluded.

Methodology

The methodology used and genes analyzed for each infant are summarized in Table S1. Between 2010 and 2016, genetic testing was carried out by bidirectional Sanger sequencing of all coding exons and ± 25 bp intron/exon boundaries of the *PKD1* and *PKD2* genes. For the duplicated region of *PKD1* (exons 1–33), *PKD1*-specific long-range polymerase chain reaction (PCR) was performed followed by nested PCR and bidirectional sequencing to avoid the six highly homologous *PKD1* pseudogenes (primer sequences in Table S2 and on request). Sequencing was analyzed using Mutation Surveyor[®] software with two independent checks and included a check for pseudogene contamination.

From 2016 to 2018, long-range PCR and next-generation sequencing (NGS) of *PKD1* and *PKD2* using the IonTorrent Personal Genome Machine

¹Sheffield Diagnostics Genetic Service, Sheffield Children's NHS Foundation Trust, Sheffield, UK. ²Kidney Genetics Group, Academic Nephrology Unit, Department of Infection, Immunity and Cardiovascular Disease, University of Sheffield Medical School, Sheffield, UK. ³Division of Nephrology and Hypertension, Mayo Clinic and Foundation, Rochester, MN, USA. email: a.ong@sheffield.ac.uk

(PGM[®]) was performed. Long-range amplicons were pooled, purified, and enzymatically fragmented (Ion Shear[™] Plus), followed by end repair and adapter ligation (Ion Plus Fragment Library Kit). Libraries were sequenced on 316 chip with a minimum depth of coverage of 50× for exons ±5 bp and 30× for introns between ±6 and 25 bp. In-house bioinformatics analysis was performed using the BAM and a VCF file generated by the IonTorrent software. The pipeline used sambamba to determine coverage and ANNOVAR to obtain HGVS nomenclature of variants and their consequences. Only effects on build hg19 transcripts NM_000297 and NM_001009944 were reported with all pseudogene bases masked as N. The results were filtered to remove known benign variants from an in-house manually curated polymorphism list.

From 2018, testing evolved to the use of NGS on HiSeq 2000 with a minimum depth of coverage of 30× for exons ±5 bp and 18× for introns between ±6–25 bp. The designated cystic diagnostic panel comprised 17 genes associated with PKD, polycystic liver disease, and autosomal dominant tubulointerstitial kidney disease: *PKD1*, *PKD2*, *DNAJB11*, *GANAB*, *PKHD1*, *DZIP1L*, *PRKCSH*, *SEC63*, *SEC61B*, *SEC61A1*, *LRP5*, *ALG8*, *UMOD*, *HNF1β*, *REN*, *TSC1*, and *TSC2*. Library prep was performed using SureSelectXT library system (Agilent Technologies) and custom in-house designed probes. To aid read alignment to *PKD1*, the entire sequence of exons 1–33 including all intronic sequences was included in the SureSelect bait capture. Sequencing on the Illumina HiSeq using the HiSeq Rapid SBS Kit v2 performing 2 × 108 bp paired end reads. Bioinformatics analysis based on the open source Best Practices workflow by the Broad Institute, which includes BWA alignment of reads to human genome build hg19, identification of variants using HaploTypeCaller and annotation from dbSNP. Variants were subsequently filtered against in-house benign polymorphism list.

Two infants (cases 4 and 28) were tested using all three methods of long-range PCR and Sanger sequencing, long-range PCR with NGS on PGM[®], and SureSelect capture with NGS on Illumina HiSeq 2000. Two infants (cases 22 and 25) were tested by both Sanger sequencing and SureSelect NGS. The results were fully concordant.

One family (case 7) underwent trio exome sequencing using an inheritance-based, gene-agnostic approach. Another family (case 14) underwent trio genome sequencing via the UK 100,000 Genomes Project. Confirmation of the genotypes for cases 7 and 14 and their parents was performed in our laboratory by long-range PCR and Sanger sequencing.

Variant interpretation

All sequence variants identified were assessed and scored according to ACMG/AMP¹⁰ and the ACGS best practice guidelines for the evaluation of pathogenicity and the reporting of sequence variants in clinical molecular genetics. Evaluation of pathogenicity included the use of Alamut[®] Visual 2.11 software, interrogation of available data from PKD variant database (<https://pkdb.mayo.edu>), Human Gene Mutation Database (HGMD) Professional (<https://portal.biobase-international.com/hgmd/pro>), and the Genome Aggregation Database (gnomAD) for large exome and genome sequencing studies (<https://gnomad.broadinstitute.org>). Predicted evolutionary conservation in silico pathogenicity scores of missense variants were evaluated using REVEL¹¹ (a meta-tool incorporating 13 evolutionary conservation in silico tools) using a cutoff of >0.5 for likely pathogenicity (Table S1). Variants with an ACMG variant classification score of classes 3, 4, or 5 were confirmed by independent Sanger sequencing including the use of long-range PCR for *PKD1* exons 1–33. Dosage analysis was performed using MRC-Holland multiplex ligation-dependent probe amplification (MLPA) kits P351 and P352. Variants with a classification of classes 3–5 were reported to the clinician. For familial testing of known variants, two alternative primer sets were used for each amplicon to reduce the possibility of nonamplification of one allele due to single-nucleotide variants (SNVs) under the primer sites.

Molecular modeling of *PKD1* variants

PKD1 (6A70) 3D structures were modeled by SWISS-MODEL¹² and PHYRE2¹³ automated protein homology modeling server.¹⁴ Because no experimental mutant *PKD1* structures have been determined, we generated mutant structures by introducing individual missense variants in silico: missense variants were computationally modeled in UCSF Chimera 1.14¹⁵ by first swapping amino acids using optimal configurations in the Dunbrack rotamer library¹⁶ and by taking into account the most probable rotameric conformation of the mutant residue. All kinds of direct interactions—polar and nonpolar, favorable and unfavorable, including

clashes—were analyzed using contacts command in UCSF Chimera 1.14.¹⁵ In the output, the atom–atom contacts are listed in order of decreasing van der Waals (VDW) overlap: positive where the atomic VDW spheres are intersecting, zero if just touching, negative if separated by space. The evolutionary conservation score of each amino acid of *PKD1* (6A70) was determined using the ConSurf algorithm, based on the phylogenetic relationships between sequence homologs.^{17,18} The structural impact of missense variants was also analyzed using Missense3D and VarSite.^{19,20} Lollipop plots were generated using the lollipop variant diagram generator.²¹

RESULTS

Between 2010 and 2020, a total of 1371 referrals were received for diagnostic PKD genetic testing. The majority were from the UK, with 256 patients (18.7%) referred from 17 other countries. From this cohort, we identified 51 infants with clinical onset before 18 months of age (Fig. 1). Fifteen infants had an alternate genetic diagnosis confirmed, most commonly biallelic *PKHD1* pathogenic variants causing ARPKD (9 infants) or an *HNF1B* deletion (6 infants). In 6 patients, no pathogenic variants were detected in *PKD1* or *PKD2* but no further testing of additional cystogenes was requested and no confirmation of the original clinical diagnosis could be obtained.

A total of 53 variants were found in the 30/36 infants, of which 47 were unique (43 *PKD1* and 4 *PKD2*) and 14 had not been previously published (Table S1). Sixteen of 30 of these infants presented in utero with cysts and/or enlarged echogenic kidneys visible on antenatal ultrasound scans (Table 1). There was no known family history of ADPKD in 13 (43%) families. In 6 of 26 (23%) families with available parental samples, we were able to confirm a de novo pathogenic variant. Twenty-one infants had two putative variants. In 16 infants (73%) where parental samples were available, we confirmed biallelic in *trans* inheritance including 2 with de novo variants where phase was established by linkage with nearby variants (case 21) or NGS reads (case 12) (Fig. S1). In three families, a de novo variant of unknown phase was detected. No parental samples were available for testing in the remaining two families.

Biallelic variants (pathogenic and hypomorphic combination)

Sixteen infants had a pathogenic variant on one allele and a missense likely hypomorphic variant on the other allele. The pathogenic variant was inherited from an affected parent in seven cases, from a parent with a positive family history in two cases, from a parent with no cysts and no family history in one case, and was confirmed de novo in four cases. Parental samples were not available to confirm phase for two infants (cases 15 and 16). Inheritance of the likely hypomorphic variant was from an unaffected parent in all cases with parental samples available (27 parents with 0 cysts; 1 parent with 2 renal cysts; 2 families with unknown phase).

Biallelic variants (two hypomorphic alleles)

Five infants had two likely hypomorphic biallelic variants detected in *trans* (Figs. 1, S1). Two cases (cases 18 and 19) were consanguineous and were homozygous for the likely variant. The variant p.(Ser3037Leu) is novel but p.(Asn3188Ser) has been previously reported in a different consanguineous family⁴ and two other p.Asn3188 variants, p.(Asn3188Asp) and p.(Asn3188Ile), were reported in the French VEO-PKD cohort.⁹ Three other cases were compound heterozygous for two likely hypomorphic variants. One parent (case 18) had three unilateral renal cysts (aged 26) while the remaining nine parents had normal renal ultrasounds. Of interest, we detected three *PKD1* variants in one infant (case 13) and biallelic *PKD2* variants in another (case 5).

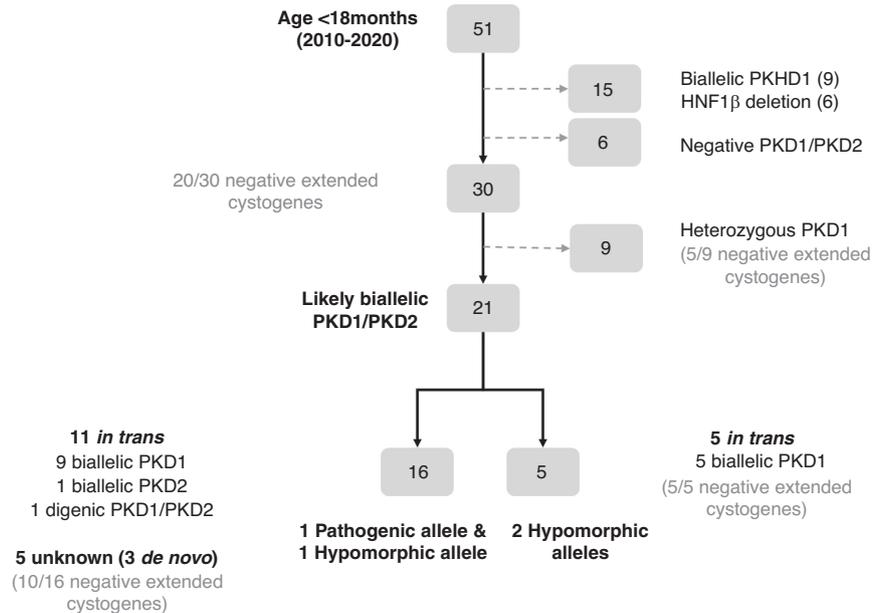


Fig. 1 Summary of referrals for genetic testing in 51 infants presenting under 18 months of age between 2010 and 2020 and the main results. Of the 21 infants with biallelic variants, 20 were tested for other cystic genes either through a 17-gene panel or exome or genome sequencing.

Digenic variants

Digenic *PKD1* and *PKD2* variants were found in two infants (cases 8 and 16). Case 8 has biallelic variants in *PKD2* as well as a pathogenic variant in *PKD1*. Interestingly, the c.11713-2A>T *PKD1* pathogenic variant and the p.(Leu736_Asn737del) *PKD2* likely pathogenic variant were both inherited from the affected mother, who was diagnosed incidentally in her 20s. However, the fetus also inherited the p.(Val909Ile) *PKD2* missense variant from the unaffected father. No additional cases with digenic inheritance of *PKD1* or *PKD2* variants and *PKHD1* or *HNF1B* were detected in the 20 infants tested for additional cystogenes.

Monoallelic variants (genetically unresolved)

Nine infants had a single *PKD1* variant detected, five with cysts detected prenatally (Fig. 1). Five of nine infants had one pathogenic variant detected: three infants inherited a pathogenic variant from an affected parent, one infant had a de novo pathogenic variant, and one pathogenic variant was detected in an infant with a family history of ADPKD but no parental samples available. The remaining four infants had one likely hypomorphic variant inherited from the unaffected parent, concordant with the family history. In two of these families, there was a family history of ADPKD but the causative pathogenic variant in the affected parent had not been detected, consistent with pickup rate of approximately 90% in adult-onset cohorts.^{9,22} Of the nine infants with a single variant, five underwent further testing on an extended cystic panel. Consent for further analysis was not available for the remaining four patients who had testing limited to *PKD1* and *PKD2* (three cases) but also included *PKHD1* in one case.

In silico analysis. Although the majority of hypomorphic variants detected were unique, five variants were recurrent, either in our study (Table 2) or previously reported. We performed in silico modeling of these recurrent variants using a recent cryoEM structure of truncated (aa3049-4169) human PC1 complexed to PC2¹⁴ (Fig. 2), complementing this with nuclear magnetic resonance (NMR) modeling of PLAT binding to several putative ligands including Ca²⁺, phosphatidylserine (PS), phosphatidylinositol-4-phosphate (PI4P), and β-arrestin²³ (Fig. 3):

p.(Arg3277Cys) cases 1, 2, 28, and refs. ^{4,5,9}; p.(Arg3892His) cases 16 and 17; p.(Ile3167Phe) cases 10, 11, and ref. ²⁴; p.(Asn3188Ser) case 19 and ref. ⁴; and p.(Glu4025Gly) case 15 and ref. ⁹

Of note, the first two variants lie within the signature PLAT domain (Ile3167, Asn3188). A third residue (Arg3277), lies adjacent to a unique altered residue (Arg3269), both located in the first intracellular loop linking PLAT and the second transmembrane domain (TM2). A fourth residue (Arg3892) lies in the linker between the TOP domain and the TM S2 helix, in close proximity to a nonrecurrent change (Ala3959) in the TM S3 helix. The fifth residue (Glu4025) lies in the TM S5 helix (Fig. 2).

Three of five variants were predicted to significantly alter the structure of the affected domain, i.e., p.(Ile3167Phe), p.(Arg3277Cys), p.(Glu4025Gly) while the other two variants i.e. p.(Asn3188Ser) and p.(Arg3892His) were predicted to cause more subtle changes (Table S3). It was interesting to note that p.(Arg3892His) was inherited *in trans* with a second nonrecurrent variant p.(Arg3959Val) in one patient (case 17) as they are in close proximity based on structural modeling despite being in different domains: the combination could have had a more profound effect in altering protein function (Fig. 2).

Missense3D analysis predicted that the p.(Ile3167Phe) substitution results in an altered surface cavity in the PLAT domain and could potentially affect a PS-binding pocket assigned in a previous NMR study that is important for membrane association (Fig. 3a).²³ We noted that another altered residue Glu3121 (case 1) lies adjacent to Ile3167, forming part of the same membrane interaction domain. Glu3121 is also part of the functional YEIL³¹²³ AP2-binding motif shown to mediate PC1 internalization.²³ Similarly, Gly3150 is localized in a protein interaction domain previously assigned by NMR²³ (Fig. 3b). Asn3188 was not predicted to affect known ligand-binding domains but could have a damaging effect on structure (Table S3).

DISCUSSION

In this retrospective analysis of 30 infants with PKD-VEO referred over the past decade, we detected a high prevalence (70%) of biallelic variants, in particular *PKD1* hypomorphic variants. These

Table 1. Table showing clinical features of the 30 infants including age of onset/referral.

Case	Age at clinical presentation	Clinical information
1	Prenatal (TOP)	TOP due to bilateral enlarged echogenic kidneys; severe oligohydramnios. Hepatic ductal plate malformation on PM.
2	Prenatal	Prenatal presentation 17/40. Severe neonatal PKD, multiple bilateral cysts, hypertension, mild pulmonary hypoplasia.
3	Prenatal	Prenatal diagnosis of PKD; confirmed on postnatal scan. Bilateral.
4	Prenatal (neonatal death)	Enlarged kidneys, small bladder, and oligohydramnios detected prenatally. Postnatal massively enlarged kidneys, respiratory insufficiency, severe hypertension, and neonatal death. Sister has bilateral renal cysts.
5	Prenatal (TOP)	TOP. Fetal anomalies: enlarged bilateral echogenic kidneys, increased nuchal fold, and prenasal edema.
6	Prenatal	Multiple renal cysts seen on prenatal scans; high BP.
7	Prenatal (neonatal death)	Deceased fetus, large cystic kidneys, anhydramnios, increased nuchal translucency, scalp edema and large cisterna magna on PM.
8	Neonatal	Polycystic kidneys. Incubated due to pneumothorax at delivery. Renal USS more consistent with ARPKD. Hypertensive on medication.
9	Referred age 2 months	Large cystic right kidney. Left kidney looks like PKD.
10	Prenatal	Abnormal antenatal ultrasound—large bright kidneys, reduced amniotic fluid. Postnatal renal impairment and hypertension. Relatively stable renal function—creatinine 76 (30–48).
11	Aged 18 months	Polycystic kidneys diagnosed aged 1–2 years. Rapidly progressive renal failure; renal transplant aged 20.
12	Prenatal	Prenatal echogenic kidneys. Postnatally—multiple bilateral renal cysts identified. Normal growth and development.
13	Age 18 months	Multiple bilateral renal cortical cysts.
14	Referred aged 12 months	Bilateral renal cysts; enlarged kidneys.
15	Neonatal	Bilateral cystic kidneys at birth. Acute kidney failure, requiring ventilatory support due to hypoplastic lungs. Massive kidneys compromising circulation.
16	Neonatal	Bilateral renal cysts detected postnatally.
17	Prenatal	Prenatal presentation; hypertension at birth, enlarged kidneys right 7.3 cm, left 7.6 cm, diffusely echogenic with loss of corticomedullary differentiation and a number of discrete cysts bilaterally.
18	Prenatal	Prenatal bilateral multicystic kidneys; bilateral hydronephrosis. Postnatal age 5 months: both kidneys grossly enlarged, hyperechoic with multiple cysts (up to 8 mm).
19	Neonatal	Hypertension at birth, scans showed echogenic kidneys.
20	Age 18 months	Bilateral multicystic kidneys; atypical—no renal failure; high BP.
21	Prenatal	Polycystic kidneys detected prenatally; postnatal poor renal function; Renal transplant aged 7.
22	Age 12 months	Diminished echogenicity and renal cysts with 1 macrocyst per kidney.
23	Prenatal	Prenatal bright kidneys; postnatal large kidneys with cysts; normal renal function age 2 months.
24	Referred age 16 months	Clinical diagnosis of PKD; brother has bilateral kidney cysts age 6 months.
25	Prenatal	Renal cysts detected prenatally.
26	Prenatal	Prenatal bilateral multicystic kidneys; postnatal bilateral multicystic and enlarged kidneys, hypertension.
27	Prenatal	Prenatal cysts, postnatally confirmed but diminished in size over time.
28	Prenatal (neonatal death)	Prenatal onset. Baby died shortly after birth. Variant identified also present in father (normal renal USS).
29	Age 2 months	Multiple renal cysts age 2 months; subsequent USS showed increasing number of cysts and increase in kidney size.
30	Age 2 months	Presented at 2 months with bilateral large kidneys and multiple cysts, scan typical of ADPKD.

ADPKD autosomal dominant polycystic kidney disease, ARPKD autosomal recessive polycystic kidney disease, BP blood pressure, PM postmortem, TOP termination of pregnancy, USS ultrasound.

findings have important implications for reproductive genetic counseling since there is a 25% recurrence risk in subsequent pregnancies if both parents are heterozygous for each variant. Around 40% of the infants in our cohort had no previous known family history of ADPKD so the occurrence of a severely affected infant will be highly traumatic for clinically unaffected parents. In this context, we found a high incidence of de novo pathogenic variants (23%) as well as five infants with two hypomorphic variants in *trans*

(17%) causing PKD-VEO. Our results confirm those from a French prenatal cohort, almost all with a positive family history, subjected to more limited genetic analysis (*PKD1*, *PKD2*, *HNF1B*, *PKHD1*).⁹ Our detection rate, however, revealed twice the prevalence of biallelic *PKD1* variants (70% vs. 37%) and a fivefold higher incidence of de novo *PKD1* variants (23% vs. 5%), reflecting the lower percentage of those with a positive family history in our cohort (60% vs. 95%). In contrast to a previous case series describing eight pedigrees,⁸ we

Table 2. Identified variants for each case including gene, cDNA and protein nomenclature, variant effect, ACMG classification, and parent of origin along with relevant family history.

Case	Gene	cDNA change	Predicted protein change	Variant effect	ACMG class	Parent of origin	Family history
1	PKD1	c.9361G>A	p.(Glu3121Lys)	Missense	4	Paternal	No (both parents normal scans)
	PKD1	c.9829C>T	p.(Arg3277Cys)	Missense	4H	Maternal	
2	PKD1	c.7483T>C	p.(Cys2495Arg)	Missense	4	Maternal	Mother affected
	PKD1	c.9829C>T	p.(Arg3277Cys)	Missense	4H	Paternal	
3	PKD1	c.8338G>T	p.(Glu2780*)	Nonsense	5	Paternal	Father affected
	PKD1	c.9448G>A	p.(Gly3150Ser)	Missense	4H	Maternal	
4	PKD1	c.8362_8363ins GCCAGCGAGGAGAT CGTGGCCAGGGCA AGCGCT	p.(Ser2788Cysfs*45)	Frameshift	5	Maternal	Maternal FHx
	PKD1	c.5848G>A	p.(Val1950Met)	Missense	3H	Paternal	
5	PKD2	c.817_818del	p.(Leu273Valfs*29)	Frameshift	5	Paternal	Father affected
	PKD2	c.2593G>A	p.(Val865Met)	Missense	3	Maternal	
6	PKD1	5877_5882del	p. (Ala1961_Gln1962del)	In-frame deletion	4	Maternal	Maternal FHx
	PKD1	c.5785G>A	p.(Glu1929Lys)	Missense	3H	Paternal	
7	PKD1	c.6487C>T	p.(Arg2163*)	Nonsense	5	Maternal	Mother affected
	PKD1	c.9806G>A	p.(Arg3269Gln)	Missense	3H	Paternal	
8	PKD1	c.11713-2A>T	p.?	Splice site	5	Maternal	Mother affected
	PKD2	c.2208_2213del	p. (Leu736_Asn737del)	In-frame deletion	4	Maternal	
	PKD2	c.2725G>A	p.(Val909Ile)	Missense	3	Paternal	
9	PKD1	c.5482C>T	p.(Gln1828*)	Nonsense	5	Maternal	Mother affected
	PKD1	c.9714C>T	p.(=)	?Splicing	3H	Paternal	
10	PKD1	c.755del	p.(Pro252Argfs*38)	Frameshift	5	Paternal	Father affected
	PKD1	c.9499A>T	p.(Ile3167Phe)	Missense	3H	Maternal	
11	PKD1	c.10326_10356del	p.(Gly3443Serfs*20)	Frameshift	5	De novo	No
	PKD1	c.9499A>T	p.(Ile3167Phe)	Missense	3H	Maternal	
12	PKD1	6793_6794dup	p.(Arg2266Thrfs*49)	Frameshift	5	De novo (in trans)	No
	PKD1	c.6484C>T	p.(Arg2162Trp)	Missense	3H	Maternal	
13	PKD1	c.11944C>T	p.(Gln3982*)	Nonsense	5	De novo	No (2 small unilateral cysts in father aged 42)
	PKD1	c.6572G>A	p.(Arg2191His)	Missense	3H	Paternal	
	PKD1	c.8618C>T	p.(Thr2873Ile)	Missense	3H	Paternal	
14	PKD1	c.7547G>A	p.(Arg2516His)	Missense	4	De novo	No (both parents normal scans)
	PKD1	c.11003A>G	p.(His3668Arg)	Missense	3H	Maternal	
15	PKD1	c.6727_6728del	p.(Gln2243Glu fs*18)	Frameshift	5	Unknown	Mother affected
	PKD1	c.12074A>G	p.(Glu4025Gly)	Missense	3H	Unknown	
16	PKD2	c.1081C>T	p.(Arg361*)	Nonsense	5	Unknown	Father affected
	PKD1	c.11675G>A	p.(Arg3892His)	Missense	3H	Unknown	
17	PKD1	c.11675G>A	p.(Arg3892His)	Missense	3H	Paternal	No
	PKD1	c.11876C>T	p.(Ala3959Val)	Missense	3H	Maternal	
18	PKD1	c.9110C>T	p.(Ser3037Leu)	Missense	3	Paternal	No (3 unilateral cysts in father age 26) consanguineous
	PKD1	c.9110C>T	p.(Ser3037Leu)	Missense	3	Maternal	
19	PKD1	c.9563A>G	p.(Asn3188Ser)	Missense	4H	Maternal	No (parents normal scans; consanguineous)
	PKD1	c.9563A>G	p.(Asn3188Ser)	Missense	4H	Paternal	

Table 2 continued

Case	Gene	cDNA change	Predicted protein change	Variant effect	ACMG class	Parent of origin	Family history
20	<i>PKD1</i>	c.2878G>A	p.(Gly960Ser)	Missense	3H	Paternal (assumed)	No
	<i>PKD1</i>	c.9222C>G	p.(Asn3074Lys)	Missense	3H	Maternal	
21	<i>PKD1</i>	c.3739A>G	p.(Met1247Val)	Missense	3H	De novo (in trans)	No (parents normal scans)
	<i>PKD1</i>	c.8998C>T	p.(Arg3000Cys)	Missense	3H	Maternal	
22	<i>PKD1</i>	c.5014_5015del	p.(Arg1672Glyfs*98)	Frameshift	5	De novo	No
	–	–	–	–	–	–	
23	<i>PKD1</i>	c.12691C>T	p.(Gln4231*)	Nonsense	5	Maternal	Mother affected
	–	–	–	–	–	–	
24	<i>PKD1</i>	c.856_862del	p.(Gly287*)	Nonsense	5	Maternal	Mother affected
	–	–	–	–	–	–	
25	<i>PKD1</i>	c.7837_7839del	p.(Leu2613del)	In-frame deletion	4	Maternal	Mother affected
	–	–	–	–	–	–	
26	<i>PKD1</i>	c.6842C>A	p.(Ser2281Tyr)	Missense	4	Unknown	Father affected
	–	–	–	–	–	–	
27	<i>PKD1</i>	c.12473T>C	p.(Met4158Thr)	Missense	3H	Paternal	Mother affected
	–	–	–	–	–	–	
28	<i>PKD1</i>	c.9829C>T	p.(Arg3277Cys)	Missense	4H	Paternal	Father normal scan
	–	–	–	–	–	–	Mother affected
29	<i>PKD1</i>	c.7928G>T	p.(Arg2643Leu)	Missense	3H	Paternal	No
	–	–	–	–	–	–	
30	<i>PKD1</i>	c.1967T>A	p.(Leu656Gln)	Missense	3H	Maternal	No (parents normal scans)
	–	–	–	–	–	–	

ACMG American College of Medical Genetics and Genomics, cDNA complementary DNA.

did not detect any infants *trans*-heterozygous for *PKD1* and pathogenic variants in *HNF1B* or *PKHD1* despite more extensive genetic testing in 20 infants (Fig. 1). Other novel findings in our study were three cases of PKD-VEO due to biallelic *PKD2* variants and/or *trans*-heterozygosity for *PKD1* and *PKD2*.

Dosage effect and variability of phenotype severity

There is an emerging consensus that cyst formation in ADPKD arises primarily through a dosage-dependent mechanism centered around *PKD1* expression.²⁵ In typical adult-onset disease, patients with *PKD1* truncating pathogenic variants develop end-stage renal disease (ESRD) 15 years earlier than those with nontruncating pathogenic variants.^{26,27} Secondly, informative case reports of PKD-VEO infants with biallelic inheritance of missense hypomorphic *PKD1* variants in homozygosity (consanguineous) or heterozygosity with a pathogenic *PKD1* truncating variant (in *trans*) demonstrate the importance of gene dosage in determining phenotypic severity.^{4,5,9} As observed in mouse studies,³ biallelic complete loss-of-function variants would be incompatible with live births and typically result in a high miscarriage rate or prenatal demise. Therefore, any likely hypomorphic variants detected must retain partial or reduced protein function. The high frequency of missense or in-frame deletions detected in this study and in previous papers are in keeping with this conclusion. Thirdly, patients with pathogenic variants in two new ADPKD genes, *GANAB* and *DNAJB11*, have late-onset disease associated with lower but not absent *PKD1* expression.^{28,29} Our findings of biallelic

variants in *PKD1* and *PKD2* are consistent with a dosage effect and their likely function in a polycystin-1/polycystin-2 protein complex and common cystogenic pathway.³⁰ Among our cohort of VEO cases, there was a range of phenotypic severity from severe, including five infants with neonatal demise or TOP, to prenatal onset of cysts with no reported enlargement of kidneys or hypertension. A limitation of our study is that clinical follow-up for all cases was not possible; therefore we cannot exclude a prenatal or neonatal diagnosis of ADPKD due to ascertainment bias in cases with a family history of ADPKD (cases 3, 16, 25, and 27).

Recurrent *PKD1* hypomorphic variants

We detected the *PKD1* p.(Arg3277Cys) variant in three cases of PKD-VEO, being found in *trans* in two infants with a second hypomorphic *PKD1* allele. In total, this variant has now been reported in six cases of PKD-VEO making it the most common recurrent *PKD1* hypomorphic variant associated with this phenotype.^{4,5,9} We also detected the variants, *PKD1* p.(Arg3892His) and p.(Ile3167Phe), each in two cases of PKD-VEO. The p.(Ile3167Phe) variant has been reported in another family with two VEO cases.²⁴ Although previously reported as a likely pathogenic variant,⁴ it is frequent in population studies, has been found with a truncating pathogenic variant in several pedigrees (phase not established) and listed on the PKD variant database as indeterminate, consistent with a hypomorphic role. p.(Arg3892His) has not been reported previously in association with PKD-VEO, although it has been detected in typical adult-onset patients.^{9,31} Although rarely detected in population

increased endoplasmic reticulum (ER) retention and reduced surface expression as has been shown for a few other *PKD1* missense variants.²³ Our use of structural modeling enabled some variants (although not all) to be further refined by their predicted effects on structure and function based on more recently available 3D structures of polycystin-1 and of PLAT. Not all domains currently have experimental structural information but as more structures of polycystin-1 become available, this approach could be applied more systematically.¹⁹

Terminology, ACMG classification, and reporting

Hypomorphic variants also cause difficulty with current terminology, ACMG classification and reporting as they do not function as classic loss-of-function variants causing autosomal dominant disease. The terminology is confusing due to the variable language used, which ranges from “hypomorphic,”⁴ “reduced penetrance,” or “ultralow penetrant.”³³ The ClinGen Consortium Low Penetrance/Risk Allele Working Group has recently published guidelines on recommended terminology. For reduced penetrance variants, the use of the ACMG classes plus a quantitative descriptor, i.e., “likely pathogenic, low penetrance” or “likely pathogenic, reduced penetrance,” is recommended depending on sufficient quantitative penetrance estimates. These terms may be used for autosomal dominant disorders where the majority of heterozygous individuals do not develop features of the disease. However, the scenario for *PKD1* hypomorphic variants that cause cysts only when inherited in *trans* with another pathogenic or hypomorphic variant does not fall under this definition. We have therefore continued to use the term “hypomorphic” throughout this publication classifying them by ACMG/ACGS guidelines but labeling the variants with ACMG class, adding H for likely hypomorphic allele.

Since hypomorphic alleles generally have no clinical phenotype in heterozygosity, they may be present in the general population at higher than expected frequencies. For example, the most common hypomorphic p.(Arg3277Cys) variant has been detected on 44 alleles in gnomAD (highest minor allele frequency [MAF] 0.0005 or 0.05%). The other likely hypomorphic variants detected in our cohort had a lower incidence than 44 alleles in gnomAD with two exceptions. p.(Thr2873Ile) is present on 57 alleles in gnomAD but was inherited in *cis* with the p.(Arg2191His) variant, detected in 37 alleles in gnomAD (case 13): the number of alleles with both variants present is not presently available in gnomAD but it is possible that the combination of both in *cis* is more deleterious. The c.9499A>T p.(Ile3167Phe) variant has been reported both as an indeterminate variant and observed in *trans* in another family with two cases of PKD-VEO.²⁴ However it has been detected on 340 alleles in gnomAD including two homozygotes (highest MAF 0.002). Nevertheless, structural modeling suggests that it is likely to be hypomorphic.

Detection of a putative hypomorphic variant confirmed in *trans* with a pathogenic variant is usually considered highly suspicious and use of the ACMG variant guidelines PM3 (in *trans*) classifier can often add sufficient weighting to shift the classification into class 4 likely pathogenic. However, the latest Clinical Genomic Resource (ClinGen) sequence variant interpretation (SVI) recommendation for PM3 requires that PM2 is applied, i.e., variants are sufficiently rare in large population studies such as gnomAD. The PM2 threshold for an autosomal dominant fully penetrant disease is 0, a situation very rarely applicable to *PKD1* hypomorphic variants. However, if the PM2 threshold for *PKD1* hypomorphic variants was relaxed to a maximum of 45 alleles to align with p.(Arg3277Cys) frequency, the PM3 classifier could be used more frequently. Thus the majority of putative hypomorphic variants can only be classified presently as variants of uncertain clinical significance using ACMG. The difficulties in reporting these variants is clearly exemplified by the conflicting classification of

the p.(Arg3277Cys) variant on both ClinVar (reported as class 2 likely benign (1), class 4 likely pathogenic (1), and class 5 pathogenic (1) [<https://www.ncbi.nlm.nih.gov/clinvar/variation/192320/>] and the Human Gene Mutation Database (HGMD) [<https://portal.biobase-international.com/hgmd/pro/all.php>] where it is listed with conflicting support for pathogenicity.

Hypomorphic or reduced penetrant variants are not unique to *PKD1*. Many examples are being reported in other diseases such as maturity-onset diabetes of the young (MODY) (e.g., *HNFA1*,³⁴ *RFX6*,³⁵ *HNFA4*),³⁶ Parkinson disease (*VPS35*,³⁷ *LRRK2*³⁸), retinal dystrophy (*ABCA4*³⁹), and Joubert syndrome (*SUFU*⁴⁰). Further clarification is therefore urgently needed to aid variant interpretation and reporting. As the price of NGS has fallen dramatically and many laboratories are now using this technology, it is imperative that classic disease information sources are updated to reflect the prevalence of hypomorphic variants and particularly the alternate inheritance pattern for *PKD1* and more rarely *PKD2*.

DATA AVAILABILITY

All methods and data including primer sequences, PCR conditions, software settings, etc. are available on request.

Received: 5 August 2020; Revised: 13 October 2020; Accepted: 21 October 2020;

Published online: 10 November 2020

REFERENCES

- Ong AC, Devuyst O, Knebelmann B, Walz G, ERA-EDTA Working Group for Inherited Kidney Diseases. Autosomal dominant polycystic kidney disease: the changing face of clinical management. *Lancet*. 2015;385:1993–2002.
- Zerres K, Rudnik-Schoneborn S, Deget F. Childhood onset autosomal dominant polycystic kidney disease in sibs: clinical picture and recurrence risk. German Working Group on Paediatric Nephrology (Arbeitsgemeinschaft für Pädiatrische Nephrologie). *J Med Genet*. 1993;30:583–588.
- Hopp K, Ward CJ, Hommerding CJ, et al. Functional polycystin-1 dosage governs autosomal dominant polycystic kidney disease severity. *J Clin Invest*. 2012;122:4257–4273.
- Rossetti S, Kubly VJ, Consugar MB, et al. Incompletely penetrant PKD1 alleles suggest a role for gene dosage in cyst initiation in polycystic kidney disease. *Kidney Int*. 2009;75:848–855.
- Vujic M, Heyer CM, Ars E, et al. Incompletely penetrant PKD1 alleles mimic the renal manifestations of ARPKD. *J Am Soc Nephrol*. 2010;21:1097–1102.
- Losekoot M, Ruivenkamp CA, Tholens AP, et al. Neonatal onset autosomal dominant polycystic kidney disease (ADPKD) in a patient homozygous for a PKD2 missense mutation due to uniparental disomy. *J Med Genet*. 2012;49:37–40.
- Ali H, Hussain N, Naim M, et al. A novel PKD1 variant demonstrates a disease-modifying role in *trans* with a truncating PKD1 mutation in patients with autosomal dominant polycystic kidney disease. *BMC Nephrol*. 2015;16:26.
- Bergmann C, von Bothmer J, Ortiz Bruchle N, et al. Mutations in multiple PKD genes may explain early and severe polycystic kidney disease. *J Am Soc Nephrol*. 2011;22:2047–2056.
- Audrezet MP, Corbiere C, Lebbah S, et al. Comprehensive PKD1 and PKD2 mutation analysis in prenatal autosomal dominant polycystic kidney disease. *J Am Soc Nephrol*. 2016;27:722–729.
- Richards S, Aziz N, Bale S, et al. Standards and guidelines for the interpretation of sequence variants: a joint consensus recommendation of the American College of Medical Genetics and Genomics and the Association for Molecular Pathology. *Genet Med*. 2015;17:405–424.
- Ioannidis NM, Rothstein JH, Pejaver V, et al. REVEL: an ensemble method for predicting the pathogenicity of rare missense variants. *Am J Hum Genet*. 2016;99:877–885.
- Waterhouse A, Bertoni M, Bienert S, et al. SWISS-MODEL: homology modelling of protein structures and complexes. *Nucleic Acids Res*. 2018;46:W296–W303.
- Kelley LA, Mezulis S, Yates CM, et al. The Phyre2 web portal for protein modeling, prediction and analysis. *Nat Protoc*. 2015;10:845–858.
- Su Q, Hu F, Ge X, et al. Structure of the human PKD1-PKD2 complex. *Science*. 2018;361:eaat9819.
- Petersen EF, Goddard TD, Huang CC, et al. UCSF Chimera—a visualization system for exploratory research and analysis. *J Comput Chem*. 2004;25:1605–1612.

16. Shapovalov MV, Dunbrack RL Jr. A smoothed backbone-dependent rotamer library for proteins derived from adaptive kernel density estimates and regressions. *Structure*. 2011;19:844–858.
17. Ashkenazy H, Abadi S, Martz E, et al. ConSurf 2016: an improved methodology to estimate and visualize evolutionary conservation in macromolecules. *Nucleic Acids Res*. 2016;44:W344–W350.
18. Landau M, Mayrose I, Rosenberg Y, et al. ConSurf 2005: the projection of evolutionary conservation scores of residues on protein structures. *Nucleic Acids Res*. 2005;33:W299–W302.
19. Ittisoponpisan S, Islam SA, Khanna T, et al. Can predicted protein 3D structures provide reliable insights into whether missense variants are disease associated? *J Mol Biol*. 2019;431:2197–2212.
20. Laskowski RA, Stephenson JD, Sillitoe I, et al. VarSite: disease variants and protein structure. *Protein Sci*. 2020;29:111–119.
21. Jay JJ, Brouwer C. Lollipops in the clinic: information dense mutation plots for precision medicine. *PLoS ONE*. 2016;11:e0160519.
22. Rossetti S, Consugar MB, Chapman AB, et al. Comprehensive molecular diagnostics in autosomal dominant polycystic kidney disease. *J Am Soc Nephrol*. 2007;18:2143–2160.
23. Xu Y, Streets AJ, Hounslow AM, et al. The polycystin-1, lipoxigenase, and alpha-toxin domain regulates polycystin-1 trafficking. *J Am Soc Nephrol*. 2016;27:1159–1173.
24. Mantovani V, Bin S, Graziano C, et al. Gene panel analysis in a large cohort of patients with autosomal dominant polycystic kidney disease allows the identification of 80 potentially causative novel variants and the characterization of a complex genetic architecture in a subset of families. *Front Genet*. 2020;11:464.
25. Ong AC, Harris PC. A polycystin-centric view of cyst formation and disease: the polycystins revisited. *Kidney Int*. 2015;88:699–710.
26. Cornec-Le Gall E, Audrezet MP, Chen JM, et al. Type of PKD1 mutation influences renal outcome in ADPKD. *J Am Soc Nephrol*. 2013;24:1006–1013.
27. Heyer CM, Sundsbak JL, Abebe KZ, et al. Predicted mutation strength of non-truncating PKD1 mutations aids genotype-phenotype correlations in autosomal dominant polycystic kidney disease. *J Am Soc Nephrol*. 2016;27:2872–2884.
28. Porath B, Gainullin VG, Cornec-Le Gall E, et al. Mutations in GANAB, encoding the glucosidase II alpha subunit, cause autosomal-dominant polycystic kidney and liver disease. *Am J Hum Genet*. 2016;98:1193–1207.
29. Cornec-Le Gall E, Olson RJ, Besse W, et al. Monoallelic mutations to DNAJB11 cause atypical autosomal-dominant polycystic kidney disease. *Am J Hum Genet*. 2018;102:832–844.
30. Ong ACM. Making sense of polycystic kidney disease. *Lancet*. 2017;389:1780–1782.
31. Neumann HP, Jilg C, Bacher J, et al. Epidemiology of autosomal-dominant polycystic kidney disease: an in-depth clinical study for south-western Germany. *Nephrol Dial Transplant*. 2013;28:1472–1487.
32. Cai Y, Fedeles SV, Dong K, et al. Altered trafficking and stability of polycystins underlie polycystic kidney disease. *J Clin Invest*. 2014;124:5129–5144.
33. Cornec-Le Gall E, Torres VE, Harris PC. Genetic complexity of autosomal dominant polycystic kidney and liver diseases. *J Am Soc Nephrol*. 2018;29:13–23.
34. Misra S, Hassanali N, Bennett AJ, et al. Homozygous hypomorphic HNF1A alleles are a novel cause of young-onset diabetes and result in sulfonylurea-sensitive diabetes. *Diabetes Care*. 2020;43:909–912.
35. Patel KA, Kettunen J, Laakso M, et al. Heterozygous RFX6 protein truncating variants are associated with MODY with reduced penetrance. *Nat Commun*. 2017;8:888.
36. Laver TW, Colclough K, Shepherd M, et al. The common p.R114W HNF4A mutation causes a distinct clinical subtype of monogenic diabetes. *Diabetes*. 2016;65:3212–3217.
37. Sharma M, Ioannidis JP, Aasly JO, et al. A multi-centre clinico-genetic analysis of the VPS35 gene in Parkinson disease indicates reduced penetrance for disease-associated variants. *J Med Genet*. 2012;49:721–726.
38. Sierra M, Gonzalez-Aramburu I, Sanchez-Juan P, et al. High frequency and reduced penetrance of LRRK2 G2019S mutation among Parkinson's disease patients in Cantabria (Spain). *Mov Disord*. 2011;26:2343–2346.
39. Bauwens M, Garanto A, Sangermano R, et al. ABCA4-associated disease as a model for missing heritability in autosomal recessive disorders: novel noncoding splice, cis-regulatory, structural, and recurrent hypomorphic variants. *Genet Med*. 2019;21:1761–1771.
40. De Mori R, Romani M, D'Arrigo S, et al. Hypomorphic recessive variants in SUFU impair the sonic Hedgehog pathway and cause Joubert syndrome with craniofacial and skeletal defects. *Am J Hum Genet*. 2017;101:552–563.

ACKNOWLEDGEMENTS

We are grateful to all referring clinicians and families for providing relevant clinical information, especially Rodney Gilbert and Jackie Cook. We thank all the laboratory team at Sheffield Diagnostic Genetics and Mike Williamson for helpful discussion on NMR modeling. J.C. was supported by an Academic Clinical Fellowship from the UK National Institute for Health Research (NIHR).

AUTHOR CONTRIBUTIONS

Conceptualization: M.D., J.C., A.O.; Data curation: M.D., J.C.; Formal Analysis: M.D., M.V., P.H.; Investigation: M.D., J.C., M.V.; Resources: M.D., M.V., A.O.; Visualization: M.D., M.V., A.O.; Writing – original draft: M.D., J.C., M.V., A.O.; Writing – review & editing: M.D., P.H., A.O.

COMPETING INTERESTS

The authors declare no conflicts of interest.

ETHICS DECLARATION

All families included in this service review consented for diagnostic genetic testing for ADPKD and/or an extended cystic kidney/liver disease panel in the UK National Health Service (NHS). All data has been de-identified.

ADDITIONAL INFORMATION

The online version of this article (<https://doi.org/10.1038/s41436-020-01026-4>) contains supplementary material, which is available to authorized users.

Correspondence and requests for materials should be addressed to A.C.M.O.

Reprints and permission information is available at <http://www.nature.com/reprints>

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.