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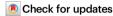
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Marked heterogeneity in malaria infection rate in a Malian longitudinal cohort

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Variation in malaria infection risk, a product of disease exposure and immunity, is poorly understood. We genotypically profiled over 13,000 blood samples from a six-year longitudinal cohort in Mali to characterize malaria infection dynamics with detail. We generated *Plasmodium falciparum* amplicon sequencing data from 464 participants (aged 3 months – 25 years) across the six-month 2011 transmission season and profiled a subset of 120 participants across the subsequent five annual transmission seasons. We measured infection rate as the molecular force of infection (molFOI, number of genetically distinct parasites acquired over time). We found that molFOI varied extensively among individuals (0-55 in 2011) but was independent of age and consistent within individuals over multiple seasons. Reported bednet usage was nearly universal. The HbS allele was associated with lower molFOI, and functional antibody signatures for the CSP C-term and RH5 antigens were correlated with low molFOI participants, identifying candidate immune correlates of protection. The large inter-individual variability in molFOI and consistency of intra-individual infection rate over time exhibits much greater dynamic range than malaria case incidence, and is most likely due to heterogeneous exposure to infectious mosquito bites. This and other factors contributing to variable infection risk should be considered in future clinical trials and implementation of malaria interventions.

Disease risk varies widely among hosts for many communicable diseases¹⁻³. Risk of disease is a function of both the risk of infection by the pathogen and individual susceptibility to the development of symptomatic disease once infected. For pathogens that can cause asymptomatic infections, characterization of both symptomatic disease and asymptomatic infection is necessary to characterize the infectious reservoir, disease risk among the infected, and transmission dynamics⁴. Understanding variability in infection risk is

important for designing and interpreting clinical trial data and evaluations of other potential interventions; if not accounted for, host variability in disease exposure and/or immunity could confound interpretations of intervention efficacy^{1,5,6}. More importantly, a better understanding of what contributes to the heterogeneity in risk of infection could allow interventions to be targeted towards those at highest risk, or towards those with less naturally acquired immunity (NAI)⁷.

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Despite the importance of heterogeneous infection risk, it can be difficult to study for diseases like malaria, where the gradual development of NAI through successive infections reduces risk of symptomatic disease without affecting infection risk, masking heterogeneity in infection incidence⁵. Consequently, an area of high transmission is a favorable setting in which to study infection risk, as low infection incidence may be interpreted as a consequence of low risk, rather than random chance. However, a disadvantage to high-transmission settings is that polyclonal infections resulting from newly incident infections in already-infected people will be more common⁸, preventing estimates of infection incidence through binary infection detection methods such as blood smear microscopy or polymerase chain reaction (PCR)⁹. To better understand complex infection dynamics, a high-resolution methodology is required to detect new infections among already-infected individuals.

In this study, we used Illumina-based amplicon sequencing of four highly polymorphic malaria parasite antigens to provide an unparalleled portrait of polyclonal infection dynamics in a high transmission setting over 6 years for a large cohort of participants. We sequenced *Plasmodium falciparum* DNA from 13,152 dried blood spot samples from a longitudinal cohort in Kalifabougou, Mali (Fig. 1) 9 . Kalifabougou is a small, rural community with strong, seasonal malaria transmission during approximately half the year from July to December 10 . Approximately half (n = 6432) of the samples we genotyped are from the 2011 season, and represent 464 participants, ages 3 months–25 years, each of whom provided a finger prick blood sample every 2 weeks during the 6-month transmission season. The remaining

samples (n = 6720) represent a subset of 120 participants (ages 3 months–8 years at enrollment), studied over the subsequent five annual transmission seasons, during which participants provided a blood sample every month. Participants also provided blood samples at any self-referred clinic visits when malaria was suspected by study clinicians. Prior studies of this cohort, using PCR to detect the first infection of the 2011 transmission season in all participants irrespective of symptoms, found that the majority of participants became infected with P. falciparum during a single transmission season, establishing Kalifabougou as an appropriately high transmission setting for evaluating infection rate heterogeneity.

We performed genotyping of participant specimens to characterize infection rate heterogeneity and to look for associations between infection rate and features that might drive its variation. We estimated the molecular force of infection (molFOI, the number of genetically distinct strains that infect a participant over a set period of time-Supplemental Fig. 1)11,12. molFOI, originally estimated for malaria with capillary electrophoresis genotyping data¹², has recently been extended to a variety of molecular data types^{13,14}. Alternative metrics for estimating variation in infection rate, such as time to measuring the time to first infection via microscopy or PCR detection of parasitemia, provide information on a single infection event per person, and they cannot distinguish new infections in individuals who are infected at the start of a study. In comparison, molFOI represents new infection incidence at the level of strain over the full duration of a longitudinal study, with the potential to include many more infection events in the infection rate estimate^{15,16}. We use molFOI to summarize infection

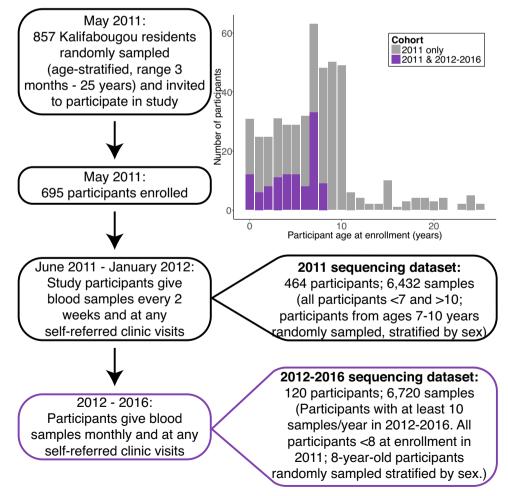


Fig. 1 | Study participants and timing of sampling within the two sequencing datasets. As described in Tran et al., the Kalifabougou cohort began in May 2011. We sequenced samples from 464 participants from the 2011 transmission season, as well as 120 participants who continued in the study from 2012 to 2016.

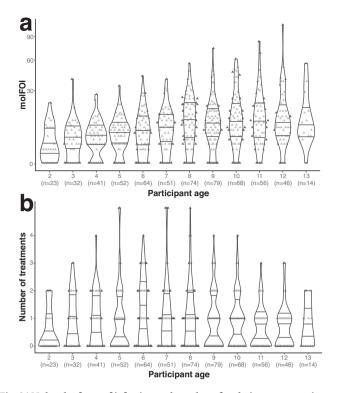


Fig. 2 | Molecular force of infection and number of malaria treatments in participants of all ages in 2011. a Each point represents a molFOl value for each of the 464 participants over the 2011 season. The *x*-axis groups participants by age, aiming for similar sized age groups for comparisons. We found no significant association between age and molFOl (quasi-Poisson regression, two-sided *t* test; t(462) = 1.78, P = 0.077, $\beta = 0.014$ [95% CI: -0.0014 to 0.029]). b Each point represents the number of treatments a participant received for symptomatic disease over the 2011 season for each of the 464 participants. The *x*-axis matches that in part a. We found a significant negative association between age and number of treatments (quasi-Poisson regression, two-sided *t* test; t(462) = -3.40, P = 0.00073, $\beta = -0.02$ [95% CI: -0.036 to -0.0096]). In both plots, violin plots represent the density distributions, with horizontal lines at the 25th, 50th, and 75th percentiles. CI confidence interval, molFOI molecular force of infection.

history in 464 participants across one or more transmission seasons. We explored diverse factors that may contribute to the consistent patterns of heterogeneous infection rate that we observe among participants, including hemoglobin types, demography, geospatial data¹⁷, and immunological features¹⁸.

Results

molFOI is not associated with age

We define the molFOI as the number of genetically distinct strains that infected a given participant over a period of time¹², where a newly incident infection by a genetically distinct strain was defined as observation of a novel amplicon haplotype (not seen in the previous two samples from the participant) at one or more of the four genotyped loci (Supplemental Fig. 1)12. We calculated the molFOI for each participant over the entirety of the 2011 season (Fig. 2a). The molFOI range was 0-55 (mean: 11, median: 10, standard deviation: 9). We found no overall correlation between participant age and molFOI (quasi-Poisson regression, age coded as a continuous variable, P = 0.077), though infection rate may be lower in the youngest and oldest participants We also examined the number of clinical disease episodes per participant in the same 2011 cohort, using the number of malaria treatments as a proxy for clinical disease diagnoses (Fig. 2b). The range in number of treatments per participant is smaller than molFOI, ranging from 0 to 6 (mean: 2, median: 2, standard deviation: 1). We found a negative correlation between participant age and number of treatments (quasi-Poisson regression, P=0.00073, β =-0.02). We directly compared molFOI and number of treatments per participant (Supplemental Fig. 3a). The number of treatments was significantly associated with molFOI (quasi-Poisson model, t(462) = 3.07, P=0.0023), with inclusion of treatments accounting for 2.02% of deviance in 2011 molFOI values when compared to the base model, implying that disease rate explains only a small fraction of the observed variation in infection rate (deviance explained calculated as the difference between the deviance observed by this model and the intercept-only model, divided by the intercept-only model deviance). These observations suggest that the NAI that accumulates with age in this population reduces the risk of clinical disease but does not provide measurable protection against infection.

Intra-individual molFOI is consistent across seasons

We next compared individual molFOI across transmission seasons to determine whether molFOI variation in 2011 was driven by stochastic factors, or whether differences in infection rate among participants are consistent over time. We hypothesized that while heterogeneity in infection rate might obscure age-associated differences when comparing age bins cross-sectionally, these differences might be evident when profiling individuals longitudinally. We estimated the cumulative molFOI over the subsequent five seasons (2012-2016) and compared that value to the value from 2011 for individual participants (Fig. 3a). We rejected the null hypothesis that variation in 2011 molFOI is stochastic; participants with high molFOI in 2011 generally had high molFOI in 2012-2016 as well (Spearman's rank correlation, $\rho(111) = 0.53$ (95% CI = [0.38, 0.66]), $P = 1.51e^{-9}$; linear regression, $P = 3.4e^{-13}$, $R^2 = 0.38$). We performed the same analysis using symptomatic disease incidence (as estimated by number of malaria treatments) in place of molFOI in Fig. 3b. In contrast to molFOI, while the linear regression (adjusted $R^2 = 0.03$, P = 0.041) between number of treatments in 2011 and 2012-2016 was significant, Spearman's rank correlation $(\rho(111) = 0.17 (95\% CI = [-0.02, 0.35]), P = 0.073)$ did not indicate the presence a significant correlation in symptomatic disease incidence across time periods. This contrast between infection and treatment rates suggests that molFOI may be a more durable estimator of individual infection risk, as it does not appear to be modified by agedependent NAI.

We also stratified molFOI and treatment data for each participant by season, resulting in five molFOI values per participant (Supplemental Fig. 2). The individual molFOI-per-season values ranged from 0 to 106 (mean: 10, median: 6, standard deviation: 12). We found no significant differences in the overall distribution of molFOI values stratified by season, suggesting no major temporal or weather factors impacted any one season (Kruskal–Wallis test, $\chi^2(4) = 4.36$, P = 0.36). We visualized the patterns of average molFOI per age in each season (Fig. 3c). We found that a specific sub-cohort of participants that were age nine in 2012 consistently exhibited high average molFOI values over time, despite their increasing age and cumulative parasite exposure. We similarly visualized average treatment counts per age (Fig. 3d), but did not observe the same sub-cohort trend as with molFOI.

We further explored consistency in individual infection rate over time by fitting a linear mixed-effects model (Table 1) to these data, with participant age as a fixed effect and participant identity as a random effect, aiming to capture intra-individual changes in molFOl. In this model, fixed effects (participant age) had a pseudo- R^2 value of 0.00, while the pseudo- R^2 value for all effects was 0.50, further demonstrating the lack of relationship between age and infection rate. The mixed-effects model fit the data better than an age-only linear model (linear regression, adjusted $R^2 = 0.076$, $P = 4.4e^{-12}$; models compared via like-lihood rank test, $\chi^2(1) = 170.69$, $P = 5.24e^{-39}$), suggesting that participant identity is a more significant driver of variation in infection rate than age.

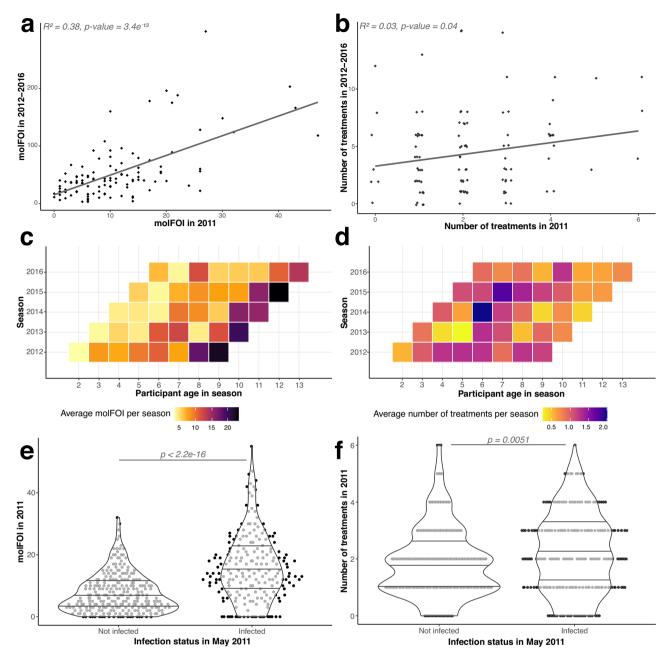


Fig. 3 | **molFOI** varies by participant, not by age. a Each point represents a single participant, showing molFOI from the 2011 season (x-axis) and cumulative molFOI across the 2012–2016 seasons (y-axis). The axis scales vary due to the difference in time periods and sampling strategy between the two datasets (see Fig. 1). The line represents a linear regression (adjusted R^2 = 0.38, P = 3.4e⁻¹³). We also computed the two-sided Spearman's rank correlation between molFOI in 2011 and 2012–2016; we found a highly significant, positive correlation (ρ (111) = 0.53 (95% CI = [0.38, 0.66]), P = 1.51e⁻⁹). **b** Replicates the figure in (**a**), but with number of treatments for symptomatic disease in 2012–2016 on the x-axis and number of treatments for symptomatic disease in 2012–2016 on the y-axis. The line represents a linear regression (adjusted R^2 = 0.03, P = 0.04), but a two-sided Spearman's rank correlation did not identify a significant association between number of treatments in 2011 and 2012–2016 (ρ (111) = 0.17 (95% CI = [-0.02, 0.35]), P = 0.073). **c** Average molFOI of all

participants of a given age (on the x-axis) during a given season (on the y-axis). **d** Average number of treatments of all participants of a given age (on the x-axis) during a given season (on the y-axis). **e** molFOI from the 2011 season, stratified by infection status at enrollment in May 2011. molFOI for participants who were infected at enrollment is significantly higher than for those not infected at enrollment (Kruskal–Wallis test, $\chi^2(1) = 78.42$, $P = 8.33e^{-19}$). **f** Replicates the analysis in (**c**), but with number of treatments for symptomatic disease in 2011 on the y-axis. Participants who were infected at enrollment had significantly more treatments than those who were not infected at enrollment (Kruskal–Wallis test, $\chi^2(1) = 7.85$, P = 0.0051). Violin plots in (**e**, **f**) represent the density of the distributions, with horizontal lines at the 25th, 50th, and 75th percentiles. CI confidence interval, molFOI molecular force of infection.

We also fit linear mixed-effects models to subsets of this cohort by age (Table 1). In all of these models, age is not significantly associated with molFOI, and the pseudo- R^2 value for all effects is notably larger than the pseudo- R^2 value for age alone (models for participants of all ages, 0–4 years, 4–7 years, and 8+ years, respectively; pseudo- R^2 for age as a fixed

effect: 0.00, 0.00, 0.01, 0.00 in each model, respectively, and pseudo- R^2 for all effects, including participant identity as a random effect: 0.50, 0.71, 0.37, 0.52, respectively).

We also examined infection status in May 2011 (at study enrollment) as a predictor of infection rate; 44% of participants (n = 202)

Table 1 | Mixed-effects models for molFOI show the consistency of intra-individual molFOI

Participant age range	Fixed effect	p value	Pseudo-R ²	
			Fixed effects	All effects
All ages	Age	0.20	0.00	0.50
0-4 years	Age	0.62	0.00	0.71
4-7 years	Age	0.14	0.01	0.37
8+ years	Age	0.17	0.00	0.52
All ages	Age	0.26	0.03	0.52
	Roof type	0.47	_	
	HBB: HbAC	0.60	_	
	HBB: HbAS	0.09	_	
	Sex	0.41		

We fit linear mixed-effect models to the molFOI data from 2012 to 2016, using participant identity as a random effect, under the assumption that each seasonal value of molFOI for a given individual are not independent observations. The first four rows refer to four separate models, with participants of different age ranges included and age as the only fixed effect. The remainder of the table describes a model that included participant age, roof type, HBB genotype, and participant sex as fixed effects.

were asymptomatically infected at enrollment. We found that molFOI from the 2011 season was significantly greater for participants who were infected at enrollment (Fig. 3e, mean molFOI for infected = 15.9; uninfected = 7.6; Kruskal–Wallis test, $\chi^2(1)$ = 78.42, P = 8.33e⁻¹⁹). Again, we replicated this analysis with symptomatic disease incidence (as estimated by treatment counts) in place of molFOI (Fig. 3f). We found a significant difference for disease incidence as well (mean number of treatments for infected = 2.2, for uninfected = 1.9; Kruskal–Wallis test, $\chi^2(1)$ = 7.85, P = 0.0051), though the range of this metric (0–6) is narrower than that of molFOI (0–55).

We compared infection rate to rates of symptomatic disease by evaluating molFOI with respect to the number of treatments per participant within a transmission season or the parasitemia of individual samples (Supplemental Fig. 3). We also hypothesized that lower density infections would correlate with lower molFOI, if participants had parasitemia near the level of detection of the genotyping assay. However, participants grouped by low, middle, or high molFOI in 2011 exhibited no significant differences in parasite densities (Kruskal-Wallis test, $\chi^2(2) = 4.68$, P = 0.096). In fact, participants with high molFOI in 2012-2016 had slightly lower densities (mean densities: 2.5e⁴ (low molFOI), 2.1e⁴ (mid molFOI), 2.4e⁴ (high molFOI); Kruskal–Wallis test, $\chi^2(2) = 10.52$, P = 0.0052; Dunn test with Holm correction, P = 0.0037 (low vs. high)), rejecting our null hypothesis. We speculate that individuals with more NAI may have lower parasite densities, and may be more likely to exhibit high molFOI due to reduced incidence of symptomatic disease and antimalarial treatment.

Host factors explain some heterogeneity in molFOI

We next hypothesized that host-specific factors could affect infection rate. We found that participant sex was not associated with molFOI in 2011 (Supplemental Fig. 4a, mean molFOI for females = 11.6, males = 11.1; Kruskal–Wallis test, $\chi^2(1) = 2.47$, P = 0.12) or 2012–2016 (Supplemental Fig. 4c, mean cumulative molFOI for females = 47.7, males = 54.0; Kruskal–Wallis test, $\chi^2(1) = 0.77$, P = 0.38). Similarly, we stratified symptomatic disease incidence by participant sex, finding that participant sex was also not associated with symptomatic disease counts in either 2011 (Supplemental Fig. 4b, mean cumulative number of treatments for females = 2.1, males = 1.9; Kruskal–Wallis test, $\chi^2(1) = 1.17$, P = 0.28) or 2012–2016 (Supplemental Fig. 4d, mean cumulative number of treatments for females = 4.4, males = 4.7; Kruskal–Wallis test, $\chi^2(1) = 0.15$, P = 0.70).

We also considered participant ethnicity, bednet usage, and socioeconomic factors¹⁹ as potential explanations for molFOI heterogeneity and temporal consistency²⁰. However, neither ethnicity nor bednet usage varied significantly among participants. The majority of participants in both the 2011 and 2012–2016 cohorts are of the Bambara ethnic group (418/464 participants or 90% in 2011 and 105/120 participants in 2012-2016, or 87.5%). Participants nearly universally reported daily bednet usage when surveyed in 2013 (561/ 563 households owned bednets and 559/563 households reported daily usage). We used roof type as a proxy for socioeconomic status, as in prior studies of this cohort¹⁷, where metal roofs are a proxy for relative wealth. In the 2011 cohort, we found a significant difference in molFOI between participants living in homes with flat roofs (n = 143, mean molFOI = 14.1) vs. those from homes with metal roofs (n = 269, mean molFOI = 10.5; Kruskal-Wallis test, $\chi^2(1) = 8.12$, P = 0.004). We observed a similar trend in the 2012-2016 data between participants from homes with flat roofs (n = 37, mean mol-FOI = 58.6) vs. participants from homes with metal roofs (n = 76,mean molFOI = 49.8), but the difference was not statistically significant (Kruskal-Wallis test, $\chi^2(1) = 1.64$, P = 0.20).

Given that many individuals in this population carry malariaprotective variant alleles at the hemoglobin subunit beta (HBB) locus¹⁷, we examined molFOI stratified by HBB genotype (Fig. 4, stratifications of cohorts by HBB genotype and age or sex in Supplemental Table 1). Of the 464 participants in our 2011 cohort, 372 were homozygous for the ancestral allele, A (HbAA genotype). A total of 43 participants were heterozygous for the S allele (HbAS genotype), which confers the sickle cell trait and has been associated with lower risk of both uncomplicated and severe malaria disease²¹⁻²³. A total of 47 participants were heterozygous for the C allele (HbAC), a genotype that has not been as clearly associated with protection from uncomplicated malaria as the HbAS genotype²¹ Due to the low sample size, we excluded two participants with HbCC and HbSC genotypes from the analysis in Fig. 4a only. We found significant differences in the molFOI in 2011 for participants with HbAS compared to both HbAA and HbAC genotypes (Fig. 4a, mean molFOI for HbAA (11.8), for HbAC (13.1), for HbAS (5.3). Kruskal–Wallis test, $\chi^2(2) = 23.53$, $P = 7.8e^{-6}$, $\varepsilon^2 = 0.05$. Dunn test with Holm adjustment, $P = 7.6e^{-6}$ (HbAA vs. HbAS), $9.1e^{-5}$ (HbAC vs. HbAS), 0.51 (HbAA vs. HbAC)). In the 2012-2016 cohort, which had fewer participants (n = 120) and thus lower statistical power compared to the 2011 cohort, fewer participants had variant genotypes (6 HbAC and 17 HbAS); molFOI values were lower in HbAC (mean molFOI: 45.5) and HbAS (mean molFOI: 34.3) participants than in HbAA participants (mean molFOI: 54.3), but they did not reach the threshold of significant difference (Fig. 4c; Kruskal–Wallis test, $\chi^2(2) = 0.96$, P = 0.62). We also examined symptomatic disease stratified by HBB genotype (Fig. 4). We found significant differences in the number of treatments for individuals with HbAS genotype compared to HbAA in both the 2011 cohort (Fig. 4b, Kruskal-Wallis test, $\chi^2(2) = 6.55$, P = 0.038, $\varepsilon^2 = 0.014$. Dunn test with Holm adjustment, P=0.032 (HbAA vs. HbAS), 0.12 (HbAC vs. HbAS), 0.93 (HbAA vs. HbAC) and the 2012-2016 cohort (Fig. 4d, (Kruskal–Wallis test, $\chi^2(2) = 12.58$, P = 0.0019, $\varepsilon^2 = 0.11$. Dunn test with Holm adjustment, P = 0.0016 (HbAA vs. HbAS), 0.36 (HbAC vs. HbAS), 0.53 (HbAA vs. HbAC)).

Additionally, we considered other potential effects that could be associated with HBB genotype (Supplemental Fig. 5). Heterozygous HBB genotypes did not cluster into a particular region of Kalifabougou (Supplemental Fig. 5a), nor did they have a different range in participant ages than HbAA (Supplemental Fig. 5b, Kruskal–Wallis test, $\chi^2(2)=1.84$, P=0.40). We also did not find a difference in parasite density for HbAS individuals, which could have potentially biased our ability to detect molFOI (Supplemental Fig. 5c, Kruskal–Wallis test, $\chi^2(2)=2.45$, P=0.29), though the sample size of available parasitemia data after stratification by HBB genotype is small.

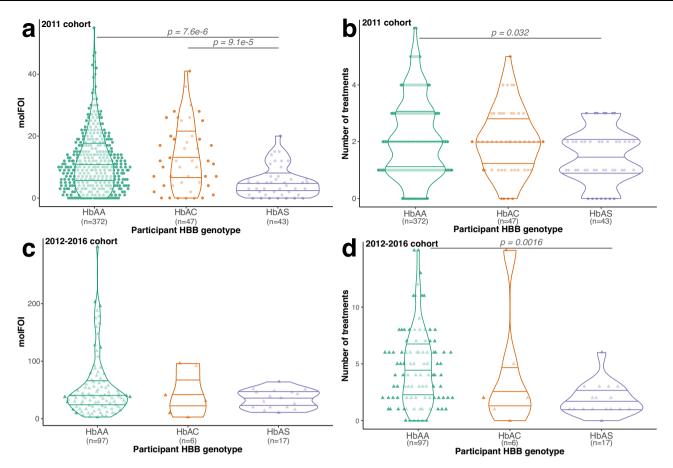


Fig. 4 | **Host genotype explains some variability in molFOI and malaria treatment incidence. a** molFOI values from 2011, stratified by participant HBB genotype. molFOI is significantly different between some groups (Kruskal–Wallis test, $\chi^2(2) = 23.53$, p value = $7.8e^{-6}$, $\varepsilon^2 = 0.05$. Dunn test with Holm adjustment, p values = $7.6e^{-6}$ (HbAA vs. HbAS), $9.1e^{-5}$ (HbAC vs. HbAS), 0.51 (HbAA vs. HbAC)). **b** Number of treatments for symptomatic disease in 2011, stratified by HBB genotype. Number of treatments is significantly different between some groups (Kruskal–Wallis test, $\chi^2(2) = 6.55$, p value = 0.038, $\varepsilon^2 = 0.014$. Dunn test with Holm adjustment, p values = 0.032 (HbAA vs. HbAS), 0.12 (HbAC vs. HbAS), 0.93 (HbAA vs. HbAC)). **c** molFOI values from 2012 to 2016, stratified by participant HBB

genotype. No significant differences between groups (Kruskal–Wallis test, $\chi^2(2) = 0.96$, p value = 0.62). **d** Number of treatments for symptomatic disease in 2012–2016 stratified by participant HBB genotype. Number of treatments is significantly different between some groups (Kruskal–Wallis test, $\chi^2(2) = 12.58$, p value = 0.0019, $\varepsilon^2 = 0.11$. Dunn test with Holm adjustment, p values = 0.0016 (HbAA vs. HbAS), 0.36 (HbAC vs. HbAS), 0.53 (HbAA vs. HbAC)). In all panels, horizontal lines represent significant differences between groups, and colors represent the same categories as the x-axis. Violin plots represent the density of the distribution, with horizontal lines representing the 25th, 50th, and 75th percentiles. HBB hemoglobin subunit beta locus, molFOI molecular force of infection.

We fit an additional linear mixed-effects model to the 2012–2016 molFOl data incorporating these additional host factors in addition to age, with participant identity as a fixed effect (Table 1). In this model, none of the fixed effects have a significant effect on molFOl on their own (P = 0.26, 0.47, 0.60, 0.09, 0.41 for age, roof type, HbAC genotype, HbAS genotype, and sex, respectively). As a whole, the fixed effects contributed a small amount to the model's total explanatory power; pseudo- R^2 for fixed effects alone was 0.03, and pseudo- R^2 for all effects was 0.52.

Geographic features may explain some heterogeneity in molFOI

We next considered whether geographic factors could affect infection rate. First, we analyzed the spatial autocorrelation of the 2011 molFOl values (Fig. 5a), to look for general associations between household location and molFOl. We found a very small negative dispersion of molFOl (Moran's I = -0.023, pseudo-P = 0.002, two-sided hypothesis, compared to a Monte-Carlo simulation of permutations of the data), suggesting that micro-geographic features do not drive locally similar molFOl values. We found a positive correlation between the distance from participants' homes to the Kalifabougou study clinic and their molFOl in 2011 (Fig. 5b, linear regression, adjusted $R^2 = 0.089$, $P = 4.3e^{-8}$; quasi-Poisson regression, t(312) = 5.71, $P = 2.68e^{-8}$). We also

found a positive correlation between participants' distance to the closest part of the river system and their 2011 molFOI (Fig. 5c, linear regression, adjusted $R^2 = 0.025$, P = 0.0031; quasi-Poisson regression, t(312) = 3.00, P = 0.003). Taken together, these spatial analyses suggest that geographic differences may have a slight effect, but they do not explain the majority of the observed heterogeneity in infection rate in this cohort (2.45% and 8.30% of deviance explained by distance to clinic and rivers, respectively).

Several serological features distinguish between low and high infection rate participants

Systems serological profiling was previously performed on serum from 201 participants included in our sequencing study¹⁸. These data were generated using samples from May 2011, at enrollment into the study and before the transmission season began that year, with the objective of defining potential correlates of protection. Antigen-specific IgG1, IgG2, IgG3, IgG4, IgA1, and IgM to AMA1, full-length CSP, MSP1, RH5 and the N- and C-terminal domains of CSP were quantified. The functional potential of these antigen-specific antibodies was also captured, including the ability of the antigen-specific antibodies to bind to Fc receptors (FcRn, FcyRIIAH, FcyRIIAR, FcyRIIB, FcyRIIIAF, FcyRIIIAV, and FcyRIIIB) and recruit antibody-dependent complement

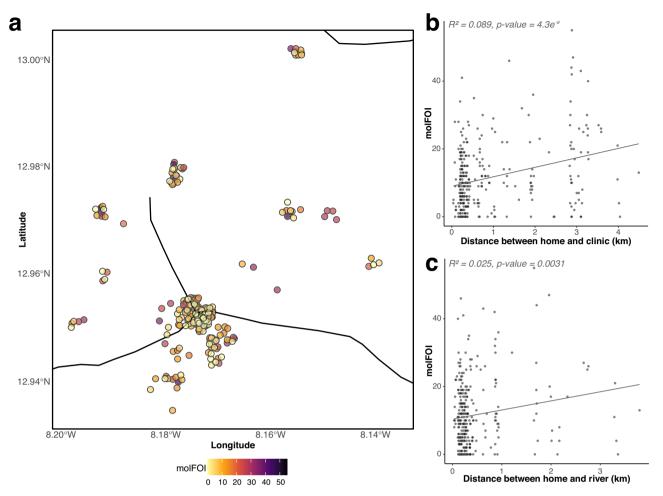


Fig. 5 | **molFOI does not concentrate in geographic hotspots. a** Visualization of homes and molFOI in Kalifabougou, Mali. Each dot represents the geographic coordinates of one participant's home, colored by their molFOI in 2011. Points are slightly jittered, for visibility. Black lines represent local rivers, geospatial data from Global Map of Mali © ISCGM/IGM. **b** Scatter plot of each participant's molFOI in 2011 (x-axis) vs. the distance from their home to the Kalifabougou clinic (y-axis, labeled in kilometers) (quasi-Poisson regression, two-sided t test; t(312) = 5.71,

 $P=2.68e^{-8}$, $\beta=0.00021$ [95% CI: 0.00014–0.00028]). The line shows the linear regression (adjusted $R^2=0.089$, $P=4.3e^{-8}$). **c** A similar scatter plot to (**b**), except that the *y*-axis shows the distance from each participant's home to the nearest river (quasi-Poisson regression, two-sided t test; t(312)=3.00, P=0.003, $\beta=0.19$ [95% CI: 0.066–0.32]). Linear regression shown as described above (adjusted $R^2=0.025$, P=0.0031). CI confidence interval, molFOI molecular force of infection.

deposition, antibody-dependent cellular phagocytosis, and antibody-dependent neutrophil phagocytosis. While the evolution of antigen-specific neutrophil activation was previously found to be correlated with rates of disease severity in this cohort¹⁸, here we aimed to define whether malaria-specific serological markers were positively or negatively associated with infection rate, as estimated by molFOI.

We set thresholds for molFOI in 2011 to create "low molFOI" (<4) and "high molFOI" (>13) groups, which correspond to the lowest and highest 12% of the molFOI distribution (Fig. 6a). To explore the sensitivity of the analysis to threshold used, we defined two additional different sets of thresholds, to consider the upper and lower 33% and 25% of the molFOI distribution (Supplemental Table 2). We primarily discuss results from the most stringent set of thresholds here; the others are highly concordant and are described in Supplemental Figs. 6 and 7. For each set of molFOI cutoffs, we compared each serological feature between low and high molFOI groups using Mann-Whitney tests with Benjamini-Hochberg corrections. In all three approaches to molFOI stratification, Fcy receptor binding to the highly polymorphic c-terminal region of CSP (specifically, Fcy receptor IIIAV, or FcyRIIIAV), as well as Fcy receptor binding to RH5 (FcyRIIIAV), were enriched in participants with low molFOI (Mann-Whitney test with Benjamini-Hochberg correction, P = 0.015 and 0.030, respectively;

Fig. 6c, d), suggesting that these may be protective against infection. While only these two features were significant in all three molFOI stratifications, 13 additional features were enriched in individuals with high molFOI in two of the three stratifications, including IgG1 specific to AMA1, similar to previous studies suggesting that it may be a marker of infection history^{24–26}.

Discussion

In this study, we identified an unexpectedly large degree of malaria infection rate heterogeneity among individuals in a small community. This heterogeneity in infection rate was consistent over time, and was only partially explained by measured geographic, demographic, behavioral, and host genetic factors. Heterogeneity in infection risk has likely been previously under characterized in most studies using microscopy or PCR positivity to detect infection^{7,27,28}, and was measured with precision in this study through multiplexed amplicon genotyping of a very densely sampled longitudinal sample collection from 464 participants.

Our data indicate that host genotype may be one modulator of infection rate. Participants from this cohort were previously genotyped at the HBB locus, given the known protective effects of the sickle (HbS) and HbC alleles against severe disease²¹. Similar to a previous

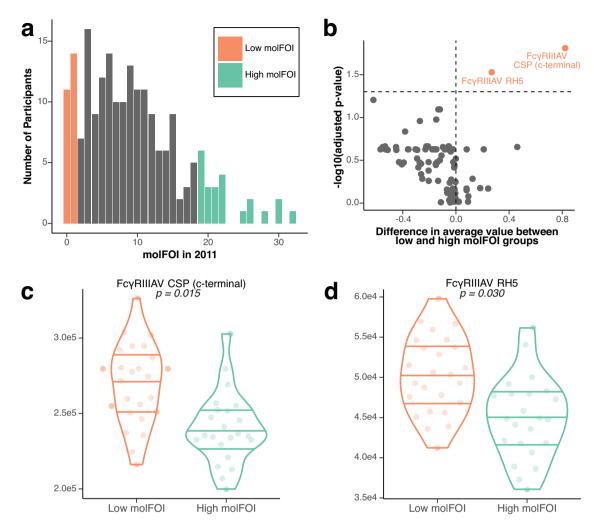


Fig. 6 | **Immunological features distinguish between high and low molFOI participants.** a Distribution of molFOI in the 2011 season for the 201 participants for whom we had both genetic and serology data. We compared low and high molFOI participants in the following analyses, who are colored in orange and teal, respectively (see Supplemental Table 2 for details on molFOI ranges used here and in other versions of this analysis). b We identified serological features with significant differences between high and low molFOI groups, using two-sided Mann–Whitney tests with Benjamini–Hochberg corrections for multiple comparisons. Each point here represents a serological feature in the analysis. The x-axis shows the difference between the average value of that feature in the high molFOI vs. low molFOI groups; points greater than zero were expressed more in the low molFOI group. The y-axis shows the –log10 of the adjusted p values; points above the

dashed line were significant. Significant features are labeled. **c** Raw data from FcyRIIIAV binding to the c-terminal of CSP, one of the features that was significant in this analysis (two-sided Mann–Whitney test with Benjamini–Hochberg correction for multiple comparisons; W=112, uncorrected P=0.00018, corrected P=0.015; confidence interval not applicable). **d** Raw data from FcyRIIIAV binding to RH5, the other significant feature in this analysis (two-sided Mann–Whitney test with Benjamini–Hochberg correction for multiple comparisons; W=127, uncorrected P=0.00068, corrected P=0.030; confidence interval not applicable). In (**c**, **d**), each point represents a single participant. Violin plots represent the density of the distribution, with horizontal lines representing the 25th, 50th, and 75th percentiles. CSP circumsporozoite protein, molFOI molecular force of infection, RH5 reticulocyte-binding protein homolog 5.

study that estimated force of infection via capillary electrophoresis genotyping 16 , our finding of lower molFOI in HbAS participants than HbAA or HbAC participants (Fig. 4) may indicate that HbAS participants are protected against blood-stage infection, and/or that blood stage infection duration was shorter and less likely to be detected. Recent studies have identified four genomic loci within P. falciparum associated with individuals with HbS alleles 29,30 , and three of these four loci are found at >10% frequency in genomes from Mali in the MalariaGEN Pf7 data resource 30,31 . This association suggests that the pool of parasites commonly infecting HbAS individuals may be a subset of the larger parasite population, which could lead to lower molFOI. However, HbAS participants only represent 10% of the cohort participants, and the effect size of this association was small (ε^2 = 0.05). Despite the role of HBB as the most important locus conferring protection against clinical malaria 21 , it only explains a small portion of variation in

infection rate in this cohort. Other unmeasured host genetic factors may also influence infection rate, but are likely to exhibit smaller effect sizes than HbS and HbC.

Previous studies have linked infection risk heterogeneity with geographic factors^{32,33}. We found significant but small associations between molFOI heterogeneity and distance to the central clinic in Kalifabougou, as well as distance to the nearest river (Fig. 5), suggesting that geographic factors may contribute a small amount to the heterogeneity of infection rate we observed. Studies with a wider range in geographic and ecological factors³⁴ than this one may benefit from considering geographic factors. Behavioral factors, including bednet usage, have also been indicated in previous studies of disease rate heterogeneity¹²; however, participants in this cohort almost universally reported daily bednet usage. Despite the reported consistency of bednet usage among participants, the most likely explanation for

heterogeneous infection rate in this study remains variable exposure to infectious mosquito bites, a parameter for which validated markers are lacking for measurement at an individual level³⁵.

We conducted a systems serology analysis to identify candidate immune correlates of infection rate vs. infection protection, using molFOI as a composite measure of disease risk/protection. Analysis of systems serology data from a subset of participants profiled in this study identifies several potential correlates of infection rate or protective immunity¹⁸. We found that participants with low molFOI in 2011 (low rate, and/or high protection; the majority of participants in this group were also uninfected at study enrollment) had enriched Fcy receptor IIIA binding antibody responses to both the C-terminus of CSP (Fig. 6c) and RH5 (Fig. 6d). While previous studies have found low antibody titers for RH5 in individuals in malaria-endemic regions^{36,37}, RH5 antibodies have been associated with protection against malaria^{36,38,39}. Because RH5 is expressed in the blood stages of the parasite life cycle⁴⁰, protective antibodies cannot prevent blood-stage infection, but may contribute to reduced molFOI estimates by shortening the duration of infections and/or lowering parasitemia below the limit of detection of the genotyping assay used in this study. However, given that CSP is expressed in sporozoites, an immune response to CSP could potentially prevent progression to blood-stage infection and reduce molFOI. This finding accords with recent studies of serological responses to the CSP-based RTS,S/AS01 vaccine that suggested a protective role for Fc-effector functions, particularly those specific to CSP^{41,42}, as well as previous work with this cohort that found an association between functional CSP-specific IgG and protection from clinical disease and decreased parasite density18. Further work examining responses to different vaccine dose regimens found an increased response to CSP c-terminal-specific responses in participants who received the dose regimen that had higher vaccine efficacy⁴³. Finally, a recent study examining responses to the radiation-attenuated whole sporozoite PfSPZ vaccine found increased expression of genes related to Fcy receptor-mediated phagocytosis correlated with protective outcomes, regardless of vaccination status⁴⁴. Taken together, these results suggest that increased response to CSP with antibodies able to bind FcyRIIIA and activate peripheral or liver-resident NK cells, phagocytic cells, and memory CD8+ T cells may be an important mechanism of immune protection for both natural and vaccineinduced immunity to infection, even if the implicated regions of CSP differ (C-terminus and NANP central repeat⁴², respectively).

This work has some limitations. Amplicon sequencing data recovery was variable across samples, with dropout of one or more amplicons in 35% (n = 1540) of 4383 parasite-positive samples. We mitigated this by requiring conservative approaches to define new infections. The age of some of the dried blood spots from which we extracted DNA may have impacted our sensitivity to detect new infections in a systematic manner, but we observed consistent distributions of molFOI from samples from 2012 to 2016, despite a 5-year difference in original sample age. Additionally, heterogeneity in the number of treatments a participant received over a period of time and the density of parasites present within samples have the potential to bias these analyses, by creating variable periods of time during which participants were refractory to new infections. We did find expected correlations between both parasite density and number of treatments with participant age (Supplemental Fig. 3); older participants tended to have fewer treatments and lower density infections. However, if these factors were to bias our analyses, we expect that molFOI would decrease in participants with lower density infections and/or fewer treatments. Instead, we found slightly positive correlations-participants who were treated more often tended to have higher molFOI than those treated less. We found no difference in parasite density data for high vs low molFOI participants in 2011, though we did find a slightly lower density for participants with high molFOI in 2012-2016 than those with low molFOI. Overall, these differences trend in the opposite direction than what we hypothesized could bias our analysis, though the differences are small.

We were also unable to measure exposure to infectious mosquito bites among the participants in this study. While we examined distance to the main river, we were unable to capture other ecological variables that could play an important role in the observed heterogeneous infection rate. Although smaller mosquito breeding sites (ponds, puddles) were not captured by these analyses, the overall negative geographic dispersion of molFOI suggests that microgeographic factors are not significant in this setting. We were able to include household roof type as a proxy for socioeconomic status, but further details on housing variability could serve as better surrogates for mosquito exposure.

In summary, the significant inter-individual variation in infection rate we observed in this cohort study is only partially explained by the diverse measured variables we studied, motivating future studies to identify these factors. In particular, factors associated with risk of symptomatic disease in previous studies could play a role, particularly variability in mosquito biting rates among people^{20,45-48}, or intrinsic immune factors like HLA genotype that may not be dependent on age and previous exposure⁴⁹. Serological markers of exposure to mosquito bites have been developed for the population level but are thus far not accurate at the individual level⁵⁰. Heterogeneity in infection rate could be informative in clinical trials for selective enrollment of high-risk individuals in small clinical trials, or where intervention efficacy may be affected by individual infection status or risk, as has been hypothesized for several malaria vaccine trials^{44,51,52}. Individuals with a higher infection risk may exhibit a distinct pre-existing natural immunity profile, and/or a distinct response to new immune stimuli, which could help or hinder an intervention. For example, RTS,S/AS01 exhibits significant enhanced protection in individuals who are infected at first vaccination and/or experience high infection rate⁵³. While it would be impractical to measure molFOI directly for trial participants before treatment group assignment, it can be assessed retrospectively, and this study as well as a recent phase 2b trial of RTS.S/AS01 observed a strong association between molFOI and infection status as enrollment⁵³, which we suggest may serve as a useful proxy for conducting analyses of efficacy stratified by infection risk. Finally, an enhanced understanding of the drivers of infection risk heterogeneity could inform measures to alleviate risk and assist in targeting interventions to better protect those most vulnerable to infection, especially when deployment of interventions is constrained due to manufacturing limitations⁵⁴ or shortfalls in local public health resources.

Methods

Samples from cohort

We processed samples from the Kalifabougou longitudinal cohort study previously described9 (Fig. 1). The Kalifabougou cohort study was approved by the Ethics Committee of the Faculty of Medicine, Pharmacy and Dentistry at the University of Sciences, Technique and Technology of Bamako, and the Institutional Review Board of the National Institute of Allergy and Infectious Diseases, National Institutes of Health (NIH IRB protocol number: 11IN126; https://clinicaltrials.gov/; trial number NCT01322581). The original approvals authorized ancillary genetic and epidemiological investigations, which covered the current study. The original study authors obtained written informed consent from parents or guardians of participating children before inclusion in the study, and they collected these samples between 2011 and 2016. The original study randomly sampled 20% of the population of Kalifabougou by age and invited them to enroll in the study (n = 857). Six hundred and ninety-five participants were enrolled in May 2011. We selected a subset of the participants from 2011-we excluded any individuals who did not have a PCR-positive result for P. falciparum at any point in the 2011 season in the original study⁹, and we required at least 10 samples per person over the 2011 season. For

participants ages 7–10 years, we performed a random selection stratified by sex and age, and for other ages, we included all individuals. These stratifications resulted in 464 participants from 2011. For participants who continued in the study through the end of the 2016 season, we required at least 10 samples per person per season and restricted participant age to 10 years or younger at enrollment in May 2011. We included all participants ages 6 years and under meeting those criteria, and we again randomly selected participants ages 7–10 at enrollment meeting those criteria by age and sex.

DNA extraction and sequencing

We physically randomized all samples prior to DNA extraction, to minimize the impact of any batch effects. We extracted DNA from the clinical samples and sequenced them using the 4CAST amplicon panel, as previously described 55. This multiplexed amplicon sequencing panel targets highly polymorphic regions within the *csp* (c-terminus), *ama1*, *sera2*, and *trap* genes of *P. falciparum*. The *csp* and *trap* genes are expressed during the mosquito and liver stages of the malaria parasite life cycle, whereas *sera2* and *ama1* are expressed during the blood stage. The high haplotypic diversity exhibited by these antigens enables detection of superinfections on top of polyclonal infections, a requirement for accurate molFOI estimation in this transmission setting. The primer sequences used to amplify these four loci are provided in Supplemental Table 3; the full protocol is unchanged from the original publication 55.

We sequenced samples from 2011 on Illumina MiSeq instruments, with 96–384 samples within each run. We sequenced samples from 2012 to 2016 on Illumina NovaSeq instruments, with 768 samples per lane. Data from these samples were submitted to the NCBI Sequence Read Archive (http://www.ncbi.nlm.nih.gov/sra) under accession PRJNA1129562.

Data processing

We processed the paired-end sequencing data through a custom pipeline⁵⁵, based on the Divisive Amplicon Denoising Algorithm (DADA2)⁵⁶, which produces pseudo-CIGAR strings summarizing the observed polymorphisms in each haplotype. In the 2011 dataset, where the number of samples varied per sequencing batch, we divided the read-pairs at this stage by 2 or 4, for batches of 192 or 96 samples, respectively. Next, we required a haplotype to have a minimum of 10 reads per sample, as well as a minimum of 1% of the within-sample reads per locus. In these data after filtering, we observed a median of 631 total reads per sample, and a mean of 7947 reads per sample. In the 2012–2016 dataset (sequenced on NovaSeq instruments), we required a haplotype to have a minimum of 200 reads per sample, with the same within-sample frequency minimum as before. In these data, we observed a median of 27,600 reads per sample and a mean of 169,886 reads per sample.

Additionally, for each dataset, we assessed the number of samples in which each haplotype appeared (Supplemental Fig. 8). Despite the difference in read depth between the two datasets, we found similar numbers of haplotypes present in more than one sample within each dataset; we found 552 haplotypes present in at least two samples in the 2011 dataset, and 597 in the 2012-2016 dataset. We did find more "singleton" or unique haplotypes (found in only one sample) in the 2012-2016 dataset (381) than in the 2011 dataset (299). Unique haplotypes were distributed among samples from many different participants and we found 7 or fewer unique haplotypes per participant across all samples in 2011 (13 or fewer unique haplotypes per participant in 2012–2016). The increased sequencing depth in the 2012–2016 dataset may partially explain the increase in singleton haplotypes detected—unique haplotypes made up a small portion of the reads in their respective samples than shared haplotypes did. (interquartile range (IQR) for the percentage of reads per unique haplotypes within a sample in 2011: 0.4–2.0%; IQR for the percentage of reads per shared haplotypes within a sample in 2011: 2.0–18.6%; IQR for percentage of reads per unique haplotype in 2012–2016: 0.3–1.3%, IQR for percentage of reads per shared haplotype in 2012–2016: 1.4–16.4%). We removed all unique (singleton) haplotypes from further analysis.

Defining molFOI

We defined individual clones (individual haplotypes at any locus) and infection events (all haplotypes within a sample). To allow for imperfect data, stochastic dropout of loci, and sequestration of parasites, we tested different conditions to allow for clones to disappear for short periods of time and still be considered part of an ongoing infection. We also required at least ten samples per participant per season to include in our sequencing, to minimize uneven numbers of samples between participants. In the 2011 dataset, we tested both the number of "skipped visits," the number of samples without a given clone before it reappears (0-4), the number of days between appearances of a given clone (15, 30, 60-to correct for longer periods of time between visits, if a participant had fewer samples from a given period of time), and combinations of the two metrics. Although the number of new clones changed with this sensitivity testing, the overall conclusions of our analyses did not; thus, we defined clones as new if they are not detected for more than two visits in a row or more than 30 days. We also tested the sensitivity of our definitions of new infection events, requiring 1, 2, or 3 new clones present within a sample, or requiring new clones at 2 different loci present within a sample. We defined new infection events by the presence of 2 new clones within a sample. We also repeated a subset of the above combinations with a higher minimum read-threshold (50 read-pairs, instead of 10). Finally, we repeated all sensitivity tests with a higher threshold to retain haplotypes in the analyses: 50 read-pairs per haplotype per sample. We retained the 10 read-pair minimum threshold, and all analyses include data from all four loci, unless otherwise stated.

We repeated these sensitivity analyses on the 2012–2016 dataset, with only a few changes. Due to the change in sampling frequency in these years (biweekly to monthly), we increased the number of days between clone appearances in our tests (30, 60, or 90 days), and we defined clones as new if they are not detected for more than two visits in a row or more than 60 days. We also tested a higher read threshold here (500 read-pairs per haplotype per sample) and retained the 200 read-pair threshold.

We defined the molFOI as the total number of new clones present within a participant over a given period of time (generally one transmission season). To do this, we looked at all new infection events for a participant and filtered to only new clones present then, as opposed to clones carried over from the previous visit. We then counted the maximum number of new clones present at any one of our four sequenced loci. Finally, we summed these maximum numbers of new clones present from each new infection event over the time period of interest.

Spatial analysis

We used latitude and longitude coordinates of participant households, as well as the metrics of distance to clinic or water from these households, from earlier studies of this cohort 17 . We used the latitude and longitude coordinates for visualization purposes (Fig. 5a), along with coordinates of local water (Global Map of Mali © ISCGM/IGM). We performed the analyses in Fig. 5b, c using the distance data from the previous cohort study. We used the R packages "sf" and "tidyverse" for the visualization in Fig. 5a $^{57-59}$. We used the "mat2listw" and "moran.mc" functions from the "spdep" R package for the Moran's I analysis 58 .

Systems serology analysis

These analyses included the 201 participants that overlapped between the serological data and the genetic data. The distribution of participant ages in this subset of participants does not significantly differ from that of the full cohort (Kruskal–Wallis test, $\chi^2(1) = 0.60$, P = 0.44). We centered and scaled all data (*Z*-scored), using the "scale" function in R. For each feature, we removed any outlier points, defined as having a raw value outside of 1.5 times the interquartile range. We then created three different thresholds for selecting high and low molFOI participants (see Supplemental Table 2). We performed the same analyses on each subset of data. We identified features that were significantly different between the two groups, using Mann–Whitney tests with a Benjamini–Hochberg correction for multiple hypothesis testing.¹⁸

Statistical analysis

We used R 4.1.2⁶⁰ for all analyses, unless otherwise stated, with the packages "tidyverse," "here," and "RColorBrewer"^{59,61,62}. All statistical tests were two-sided, when applicable. We tested for significance using "glm" or "kruskal.test," as described in figure legends. We used the "dunn.test" R package to perform Dunn tests with Holm post hoc corrections for all analyses with multiple hypothesis testing, unless otherwise stated⁶³. We used "cor_test" and "cor_to_ci" from the "correlation" package for the Spearman's ranked correlation analysis in Fig. 4a, b⁶⁴. We used the "lmer" function from the "lme4" R package⁶⁵ to fit linear mixed-effects models; we then used the "anova" function and the pseudo-R² procedure as previously described⁶⁶ to compare models. We used "geom_quasirandom" from "ggbeeswarm" for all jittered beeswarm plots⁶⁷. We used Adobe Illustrator 2024 to create Fig. 1 and Supplemental Fig. 1, to arrange panels in all figures, and add lines to denote significant comparisons between groups.

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Data availability

Supplementary Data 1 file contains a data dictionary and seven tables (three each for the 2011 cohort and 2012–2016 cohort). One table per cohort contains relevant metadata on the participants, including age (in years) and HBB genotype, among others. A second table lists all the samples that we sequenced per cohort, and each sample is identified by a unique ID, as well as the participant ID, visit date, and whether it generated sequencing data passing filters. A third table contains all the haplotypes called in our pipeline at each of the four loci per sample, with sample IDs that can be joined with the other tables to link to relevant metadata. The seventh table contains the raw systems serology dataset. Consent was obtained to publish these data, including multiple indirect identifiers. All raw sequencing data were submitted to the NCBI Sequence Read Archive under accession PRJNA1129562. Source data are provided with this paper.

Code availability

The code used to process sequencing data is available at https://github.com/broadinstitute/malaria-amplicon-pipeline.

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Competing interests

The authors declare no competing interests.

Additional information

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