

# Understanding efficiency losses from radiative and nonradiative recombination in $\text{Cu}_2\text{ZnSn}(\text{S},\text{Se})_4$ solar cells

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The photovoltaic performance of  $\text{Cu}_2\text{ZnSn}(\text{S},\text{Se})_4$  is limited by open-circuit voltage losses ( $\Delta V_{\text{OC}}$ ) in the radiative ( $\Delta V_{\text{OC}}^{\text{Rad}}$ ) and non-radiative ( $\Delta V_{\text{OC}}^{\text{Nrad}}$ ) limits, due to sub-bandgap absorption and deep defects, respectively. Recently, several devices with power conversion efficiencies approaching 15% have been reported, prompting renewed interest in the possibility that the key performance-limiting factors have been addressed. In this work, we analyze the sources of  $\Delta V_{\text{OC}}$  in these devices and offer directions for future research. We find that  $\Delta V_{\text{OC}}^{\text{Rad}}$ , arising from bandgap fluctuations and Urbach tails, has been significantly suppressed, with values comparable to those of commercial  $\text{Cu}(\text{In},\text{Ga})(\text{S},\text{Se})_2$  solar cells. However, the recombination parameter  $J_0$ , which is more directly related to  $\Delta V_{\text{OC}}^{\text{Nrad}}$ , shows only modest improvement and must be reduced by four to six orders of magnitude to compete with  $\text{Cu}(\text{In},\text{Ga})(\text{S},\text{Se})_2$ . To approach the theoretical efficiency limit, future work should focus on more directly addressing deep defects and  $\Delta V_{\text{OC}}^{\text{Nrad}}$ .

Thin-film photovoltaics (PV) offer opportunities to target emerging markets such as building-integrated PV, flexible PV, indoor PV, and tandem PV, complementing their use alongside the dominant silicon-based solar cell technologies<sup>1–4</sup>. Thin-film PV based on CdTe and  $\text{Cu}(\text{In},\text{Ga})(\text{S},\text{Se})_2$  (CIGS) is already commercialized and currently accounts for approximately 5% of the market share<sup>5</sup>. This share is expected to grow as thin-film PV establishes itself in the emerging markets mentioned above. However, the potential of CdTe and CIGS for continued advancement and large-scale deployment is primarily constrained by the limited availability of tellurium and indium<sup>6</sup>. Although emerging technologies such as organic photovoltaics and

hybrid organic–inorganic perovskite photovoltaics have demonstrated high efficiencies at the laboratory scale, their widespread adoption is hindered by inherent stability challenges<sup>7,8</sup>. In this context, inorganic kesterite  $\text{Cu}_2\text{ZnSn}(\text{S},\text{Se})_4$  (CZTSSe), which is structurally and optoelectronically similar to CIGS, offers a promising material system for thin-film solar cells<sup>9</sup>.

The structure of CZTSSe can be derived from that of CIGS through cation cross-substitution—that is, the trivalent In sites in CIGS are substituted with bivalent Zn and tetravalent Sn<sup>10</sup>. The direct and tunable bandgap, high absorption coefficient, inherent *p*-type conductivity, and the presence of abundant and non-toxic constituent

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**Table 1 | Photovoltaic performance metrics extracted from the fitting of IV characteristics**

PCE (%)	$J_{SC}$ (mA cm <sup>-2</sup> )	$V_{OC}$ (V)	FF (%)	Ideality factor	$R_s$ (ohm cm <sup>2</sup> )	$G_{Sh}$ (mS cm <sup>-2</sup> )	$J_0$ (A cm <sup>-2</sup> )	Ref
15.4	37.4	0.558	73.6	1.42	0.38	1.03	$8.3 \times 10^{-9}$	30
14.6	36.6	0.550	72.4	1.43	0.63	0.61	$1.1 \times 10^{-8}$	35
14.9	37.0	0.576	69.8	1.48	0.95	2.04	$9.6 \times 10^{-9}$	33
14.5	36.7	0.554	71.2	1.59	0.57	0.80	$4.4 \times 10^{-8}$	36
14.1	35.7	0.551	71.8	1.47	0.65	1.10	$1.7 \times 10^{-8}$	40
14.1	35.4	0.563	70.5	1.56	0.77	1.17	$2.7 \times 10^{-8}$	34
14.1	36.4	0.556	69.3	1.44	1.01	1.92	$1.1 \times 10^{-8}$	Z. Shao
14.0	39.1	0.542	66.0	1.74	1.03	1.42	$2.1 \times 10^{-7}$	37
14.2	35.3	0.577	69.7	1.77	0.52	1.60	$1.0 \times 10^{-7}$	S. Wu
14.1	35.6	0.572	69.2	1.67	0.82	1.40	$5.7 \times 10^{-8}$	32
13.6	35.9	0.543	69.7	1.57	0.71	1.71	$4.8 \times 10^{-8}$	38
13.0	33.7	0.530	72.8	1.51	0.23	0.99	$4.0 \times 10^{-8}$	14
12.6	35.2	0.513	69.8	1.40	0.76	2.11	$2.2 \times 10^{-8}$	IBM <sup>12</sup>
12.6	35.4	0.541	65.9	1.96	0.83	0.80	$7.5 \times 10^{-7}$	DGIST <sup>13</sup>
23.4	39.6	0.734	80.4	1.15	0.40	0.86	$6.4 \times 10^{-13}$	CIGS <sup>41</sup>

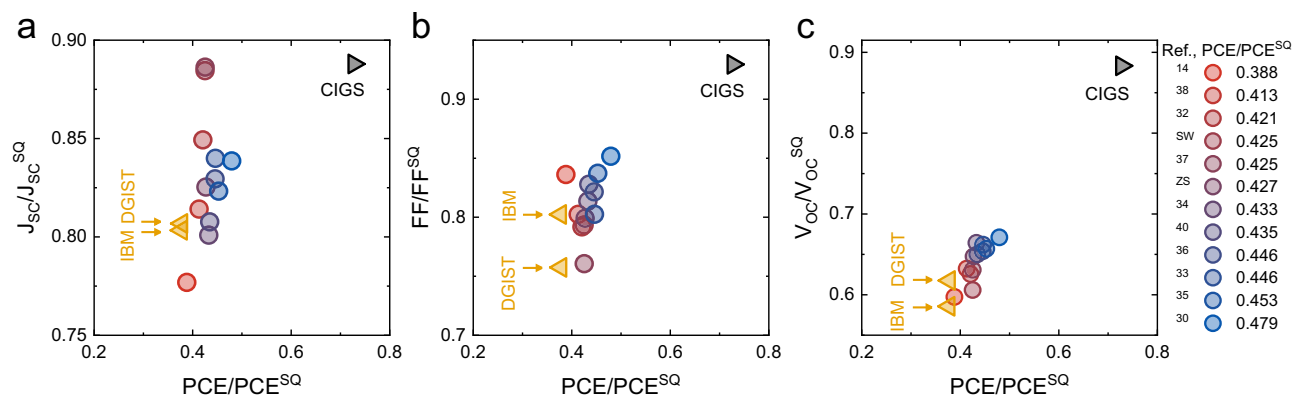
The data for the recently reported high PCE devices is sorted in the decreasing order of PCE/PCE<sup>SQ</sup>

elements allowed CZTSSe to adopt the thin-film PV device structure already optimized for CIGS<sup>11</sup>. Rapid advances in processing and absorber quality optimization culminated in a reported power conversion efficiency (PCE) of 12.6% by the International Business Machines Corporation (IBM) in 2014<sup>12</sup>. However, despite the intense research spurred by this promising result, the record PCE of 12.6% was not matched until 2019, when the Daegu Gyeongbuk Institute of Science and Technology (DGIST) reported a PCE of 12.62%<sup>13</sup>. Surpassing the 12.6% benchmark took a similarly long time, with a PCE of 13% having been reported only recently, in late 2022<sup>14</sup>. The stagnation in performance improvement of CZTSSe solar cells prompted extensive research into understanding the performance-limiting factors, with losses due to sub-bandgap absorption (exemplified by the large bandgap fluctuations and Urbach energies) and non-radiative recombination due to deep defect states (exemplified by the short minority carrier lifetime) emerging as the two most probable candidates<sup>15–29</sup>.

In contrast to the protracted development until 2022, recent advancements in the growth and processing of CZTSSe have led to a surge of efficiency records in 2023–24, approaching a PCE over 15%, indicating a new paradigm in the development of CZTSSe solar cells (Table 1)<sup>14,30–40</sup>. Hence, an analysis of recent progress is warranted to help guide future developments toward achieving a commercially relevant PCE of 20%. In particular, conducting a comparative analysis is crucial for distinguishing between the performance-limiting factors that have been mitigated and those that continue to hinder further improvement. In this article, we analyze these recent developments, focusing on reports (including unpublished data) with more than 13% total area PCE and/or more than 14% active area PCE. We find substantial progress in mitigating the performance loss due to sub-bandgap absorption, with values of bandgap fluctuations and Urbach energies for CZTSSe now approaching those observed in CIGS. Nonetheless, non-radiative recombination caused by deep defect states continues to be a key performance bottleneck, as indicated by a recombination parameter  $J_0$  that is four to six orders of magnitude higher in CZTSSe compared to CIGS. Our analysis suggests that the optimizations in growth and composition of CZTSSe thin films that have led to the improved PCE must now be supplemented by understanding and suppressing non-radiative recombination at deep defects.

### Comparison of normalized photovoltaic metrics

We begin the analysis by comparing the normalized photovoltaic metrics of the recently reported high PCE devices with those of the IBM, DGIST, and CIGS records<sup>12,13,41</sup>. Note that while a slightly higher efficiency of 23.6% has recently been achieved using silver-alloyed Ag-CIGS, we use the 23.4% efficiency CIGS device for this analysis, as it better represents the intrinsic properties of CIGS<sup>42</sup>. We normalize the short circuit current ( $J_{SC}$ ), open-circuit voltage ( $V_{OC}$ ), fill factor (FF), and power conversion efficiency (PCE) to their Shockley–Queisser (SQ) theoretical limits ( $J_{SC}^{SQ}$ ,  $V_{OC}^{SQ}$ ,  $FF^{SQ}$ , and  $PCE^{SQ}$ , respectively) based on the bandgap extracted from the external quantum efficiency (EQE) data. We examine trends in these normalized metrics using the IBM and DGIST records as baselines, with the CIGS record serving as a benchmark goal. The  $J_{SC}/J_{SC}^{SQ}$  of kesterite solar cells was already high for the IBM and DGIST cells, with values over 80% of the theoretical maximum (Fig. 1a). This mirrors the CIGS case, where a  $J_{SC}$  of 35.5 mA cm<sup>-2</sup>, already approaching the record of 39.6 mA cm<sup>-2</sup>, was achieved at a PCE of 14.6%<sup>43</sup>. Some of the recently reported high PCE devices have improved upon the  $J_{SC}/J_{SC}^{SQ}$  of IBM and DGIST cells, with values close to 90%, similar to that of the CIGS record, showing that  $J_{SC}$  is not a major limiting factor for CZTSSe solar cells (Fig. 1a). Similarly, the  $FF/FF^{SQ}$  has also been improved from less than 80% in the IBM and DGIST cells to more than 85% in some of the recently reported high PCE cells (Fig. 1b). Although further improvements in the FF are desirable, it can be seen in Table 1 that the limitation in FF is not a result of the parasitic resistances (series resistance and shunt conductance). Rather, the reduced FF is a consequence of the low  $V_{OC}$ . As has been noted throughout the development cycle of CZTSSe solar cells, a high  $V_{OC}$  deficit is the major performance-limiting factor<sup>44</sup>. This is illustrated in Fig. 1c, that shows  $V_{OC}/V_{OC}^{SQ}$  less than 70% even for the recently reported high PCE devices. Although substantial progress has been made to improve the  $V_{OC}$  compared to the IBM and DGIST records, the  $V_{OC}/V_{OC}^{SQ}$  falls short of both the CIGS record and the theoretical limit. However, it is promising to note that there exists a much stronger correlation between  $V_{OC}/V_{OC}^{SQ}$  and  $PCE/PCE^{SQ}$  (that is, devices with high  $V_{OC}/V_{OC}^{SQ}$  also have higher  $PCE/PCE^{SQ}$ , in contrast to the trend in the  $J_{SC}/J_{SC}^{SQ}$ ) in the recently reported high PCE devices, suggesting that most of the new records are primarily due to a suppression of  $V_{OC}$  loss. We will now take a closer look at the  $V_{OC}$  loss in the recently reported high PCE CZTSSe devices.



**Fig. 1 | Normalized photovoltaic metrics.** **a**  $J_{sc}/J_{sc}^{SQ}$ , **b**  $FF/FF^{SQ}$ , and **c**  $V_{oc}/V_{oc}^{SQ}$  for the recently reported high PCE CZTSSe devices showing that the  $V_{oc}$  loss is the major performance-limiting factor. Source data are provided as a Source Data file.

## Analysis of $V_{oc}$ loss

We have recently quantified the  $V_{oc}$  loss in the radiative and non-radiative limits ( $\Delta V_{oc}^{Rad}$  and  $\Delta V_{oc}^{Nrad}$ , respectively) in emerging chalcogenide thin-film solar cells using external quantum efficiency (EQE) and current-voltage (IV) data. Here, we perform a similar analysis on the recently reported high PCE CZTSSe devices. Since the detailed methodology of this analysis is discussed in our earlier work<sup>44</sup>, here we only outline the key conceptual background behind the analysis. We define the  $V_{oc}$  loss as

$$\Delta V_{oc} = V_{oc}^{SQ} - V_{oc} = \Delta V_{oc}^{Rad} + \Delta V_{oc}^{Nrad} \quad (1)$$

The SQ limit assumes a step-like absorption function and no non-radiative recombination losses. Here,  $\Delta V_{oc}^{Rad}$  is the  $V_{oc}$  loss due to the lack of a step like absorption function, and  $\Delta V_{oc}^{Nrad}$  is the  $V_{oc}$  loss due to non-radiative recombination. We calculate the maximum  $\Delta V_{oc}^{Rad}$  by extracting the bandgap fluctuations ( $\sigma_{Eg}$ ) and Urbach energy ( $E_U$ ) from the EQE spectrum. The  $\sigma_{Eg}$  is calculated as the standard deviation of a Gaussian fit to the derivative of the EQE around a mean band gap  $E_g$ , and  $E_U$  is calculated from the exponential tail of the EQE below the band gap. It is important to note that reliable extraction of  $\sigma_{Eg}$  and  $E_U$  is non-trivial for materials with large values of these metrics, since both  $\sigma_{Eg}$  and  $E_U$  set a lower limit on the extraction of the other parameter—that is,  $\sigma_{Eg}$  sets a lower limit on the value of  $E_U$  that can be reliably extracted, and vice versa, as discussed in our earlier work<sup>44</sup>. We extract  $E_U$  from the exponential tail of the EQE in the sub-bandgap region using

$$E_U = E / [\ln(\alpha) - \ln(\alpha_0)] \quad (2)$$

where  $\alpha$  is the absorption coefficient and  $\alpha_0$  is a constant. Next, we calculate  $\Delta V_{oc}^{Rad}$  due to bandgap fluctuations ( $\Delta V_{oc}^{Rad,fluctuations}$ ) using

$$\Delta V_{oc}^{Rad,fluctuations} = \sigma_{Eg}^2 / 2kT \quad (3)$$

To estimate the  $\Delta V_{oc}^{Rad}$  due to the Urbach tails ( $\Delta V_{oc}^{Rad,Urbach}$ ), we first create an absorption coefficient spectrum using the  $E_g$  and  $E_U$  extracted from the EQE data. We then estimate the EQE using

$$EQE_{model} = 1 - e^{(-\alpha \times W)}$$

where,  $W$  is the width of the region from which photogenerated carriers are extracted, which extends over the space charge region and the diffusion length, and  $\alpha$  is estimated using the methodology in ref. 45.

Finally, we calculate the  $\Delta V_{oc}^{Rad,Urbach}$  using

$$J_0^{Rad,Urbach} = q \int_0^\infty EQE \times \Phi_{BB} dE \quad (4)$$

and

$$\Delta V_{oc}^{Rad,Urbach} = \frac{kT}{q} \ln \left( \frac{J_0^{Rad,Urbach}}{J_0^{SQ}} \right) \quad (5)$$

where  $\Phi_{BB}$  is the blackbody radiation flux. For  $J_0^{Rad,Urbach}$ , we perform numerical integration over a wide energy range of 0.5 to 5 eV. The results of this analysis are shown in Table 2. Given the relatively high value of  $E_U$  for the IBM device, the method above leads to an over-estimation of  $\Delta V_{oc}^{Rad,Urbach}$ , as the product  $EQE \times \Phi_{BB}$  diverges at low energy. Hence, in the discussion below, the DGIST device is used for comparative analysis.

$V_{oc}$  loss due to bandgap fluctuations has been substantially reduced in the recently reported high PCE devices as compared to the DGIST device (Fig. 2a). Further, for some of these devices, we see that the  $\Delta V_{oc}^{Rad,fluctuations}$  is smaller than that for record CIGS device. A similar trend emerges in  $\Delta V_{oc}^{Rad,Urbach}$ , where the large losses in the DGIST device have been substantially reduced to approach those of CIGS devices (Fig. 2b). It is promising to note that these metrics corresponding to  $\Delta V_{oc}^{Rad}$  for kesterites are now on par with those for the CIGS device. However, it is also important to note that the total  $\Delta V_{oc}$ , which is the sum of  $\Delta V_{oc}^{Rad}$  and  $\Delta V_{oc}^{Nrad}$ , is still far below that of CIGS, highlighted by the large difference in  $V_{oc}/V_{oc}^{SQ}$ . Further, there also does not seem to be a strong correlation between the  $PCE/PCE^{SQ}$  and the  $\Delta V_{oc}^{Rad,fluctuations}$  or  $\Delta V_{oc}^{Rad,Urbach}$  of the recently reported high PCE CZTSSe devices. The two observations of (i) similar  $\Delta V_{oc}^{Rad,fluctuations}$  and  $\Delta V_{oc}^{Rad,Urbach}$  in CZTSSe and CIGS, and (ii) smaller  $V_{oc}/V_{oc}^{SQ}$  in CZTSSe compared to CIGS suggest that the presence of potential fluctuations and band tails is not currently the major performance-limiting factor in CZTSSe solar cells, as initially suspected<sup>46</sup>.

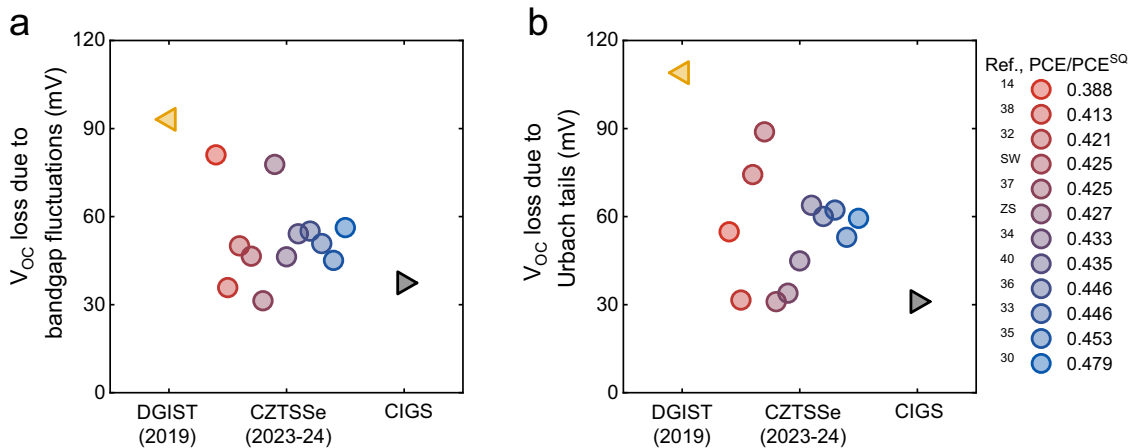
## Non-radiative recombination and the recombination parameter $J_0$

Next, we analyze the major performance-limiting factors by comparing the recombination parameter  $J_0$ <sup>47</sup>. The total value of  $J_0$  extracted from the IV characteristics represents the total recombination current in the device. The different contributions to the total  $J_0$  can directly be related to the different recombination channels such as defect-assisted Shockley-Read-Hall recombination, band-to-band recombination, interface or surface recombination, etc<sup>47</sup>. We extract  $J_0$  by fitting the current-voltage characteristics under illumination using the Lambert-

**Table 2 | Normalized photovoltaic metrics and parameters extracted from EQE data**

PCE/PCE <sup>sq</sup>	J <sub>sc</sub> /J <sub>sc</sub> <sup>sq</sup>	V <sub>oc</sub> /V <sub>oc</sub> <sup>sq</sup>	FF/FF <sup>sq</sup>	E <sub>g</sub> (eV)	σ <sub>Eg</sub> (meV)	ΔV <sub>oc</sub> <sup>Rad,fluctuations</sup> (mV)	E <sub>u</sub> (meV)	ΔV <sub>oc</sub> <sup>Rad,Urbach</sup> (mV)	Ref
0.479	0.839	0.671	0.852	1.07	54.1	56.2	22.5	59.4	30
0.453	0.823	0.657	0.837	1.08	48.4	45.0	21.3	52.9	35
0.446	0.840	0.662	0.803	1.11	51.4	50.8	22.9	62.2	33
0.446	0.829	0.654	0.821	1.09	53.5	55.0	22.6	60.0	36
0.435	0.808	0.650	0.828	1.09	53.1	54.1	23.0	63.8	40
0.433	0.801	0.664	0.814	1.09	49.1	46.3	19.8	44.9	34
0.427	0.825	0.647	0.799	1.10	63.6	77.8	17.1	33.9	Z. Shao
0.425	0.886	0.631	0.761	1.10	40.4	31.3	16.0	31.0	37
0.425	0.884	0.606	0.793	1.20	49.2	46.5	25.9	88.9	S. Wu
0.421	0.849	0.626	0.792	1.16	51.0	50.0	24.3	74.3	32
0.413	0.814	0.632	0.802	1.10	43.2	35.8	16.2	31.6	38
0.388	0.777	0.597	0.836	1.13	64.9	81.0	21.5	54.9	14
0.377	0.803	0.586	0.802	1.12	76.6	112.8	51.7	–	IBM <sup>12</sup>
0.378	0.807	0.618	0.757	1.12	69.6	93.1	28.4	109	DGIST <sup>13</sup>
0.727	0.888	0.883	0.929	1.07	44.1	37.4	15.8	31.0	CIGS <sup>41</sup>

The data for the recently reported high PCE devices is sorted in the decreasing order of PCE/PCE<sup>sq</sup>



**Fig. 2 | V<sub>oc</sub> loss in the radiative limit. a** V<sub>oc</sub> loss due to bandgap fluctuations and **b** Urbach tails. The V<sub>oc</sub> loss in the radiative limit due to bandgap fluctuations and Urbach tails for the recently reported high PCE devices is much smaller than those

observed in the IBM and DGIST records, with values approaching those for the record CIGS devices. Source data are provided as a Source Data file.

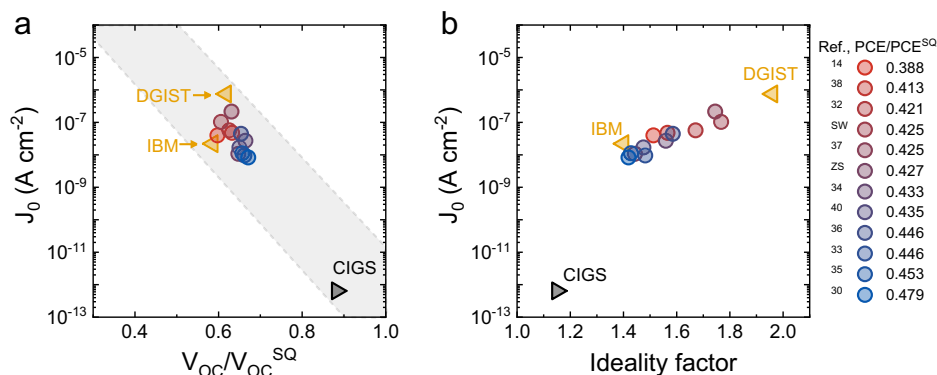
W function<sup>48</sup>. We find that the  $J_0$  for the high PCE CZTSSe devices is still four to six orders of magnitude higher than that for CIGS. Further, only modest progress has been made over the IBM and DGIST records. This suggests that the fundamental factors limiting the performance of kesterite solar cells remain largely unaddressed. In addition, the ideality factor, expected to be close to 1 in ideal devices, typically ranges from 1.4 to 2 in CZTSSe solar cells. An ideality factor of 2 typically indicates that recombination is dominated by defect states within the space charge region (SCR)<sup>49</sup>. Typically, carriers in the SCR are efficiently extracted to external contacts due to the high electric field. However, as has been shown using voltage-dependent time-resolved photoluminescence (TRPL) measurements, the decay kinetics in kesterites are insensitive to the applied bias and also to the field in the space-charge region created by the presence of a  $p$ - $n$  junction<sup>26</sup>. This lack of photoluminescence quenching in  $p$ - $n$  junctions suggests that recombination in SCR is still a major issue. Further, although we see a trend in ideality factor vs  $J_0$ , the trendline does not converge towards the  $J_0$  for CIGS (Fig. 3b). This is likely because the value of ideality factor is being artificially lowered by other recombination processes. These processes are typically associated with scenarios where recombination is limited by a single type of charge carrier, either electrons or holes, due to trapping in defect states or confinement in potential wells<sup>49</sup>.

Such conditions typically emerge at interfaces and grain boundaries. The trend in ideality factor and  $J_0$  suggests that in addition to bulk recombination, interfaces or grain boundaries could also be significant performance-limiting factors. Finally, we note that while suppression of non-radiative recombination caused by band tails could potentially have led to the performance gains in the high PCE devices, the strong correlation observed between  $J_0$  and PCE/PCE<sup>sq</sup> (Fig. 3b) was not observed between  $\Delta V_{oc}^{Rad,fluctuations}$  or  $\Delta V_{oc}^{Rad,Urbach}$  and PCE/PCE<sup>sq</sup> (Fig. 2), suggesting that the performance gains on top of those obtained from suppressing bandgap fluctuations and Urbach tails have indeed come from suppressing the bulk non-radiative recombination channels. This observation highlights both the promise and the shortcomings of the recent advances that have led to high PCE devices –that is, while the attempts at reducing non-radiative recombination have certainly led to some performance gains, the recombination parameter  $J_0$  still remains orders of magnitude higher than what would be required for commercially-relevant PCE.

### Defect characteristics

So far, we have discussed the performance of the high PCE devices based on the relevant photovoltaic metrics, without invoking a discussion on the microscopic causes and defect states that lead to





**Fig. 3 | The recombination parameter  $J_0$ .** Trends between (a)  $J_0$  and  $V_{OC}/V_{OC}^{SQ}$  and (b)  $J_0$  and ideality factor showing that the recombination parameter  $J_0$  is four to six orders of magnitude higher for the recently reported high PCE CZTSSe devices. Source data are provided as a Source Data file.

performance loss. By deliberately adopting a materials-agnostic approach, we have been able to pinpoint metrics that have improved and those that remain in need of further progress. To guide the next stage of performance improvements, we will now briefly highlight some key material characteristics of CZTSSe that govern its photovoltaic performance.

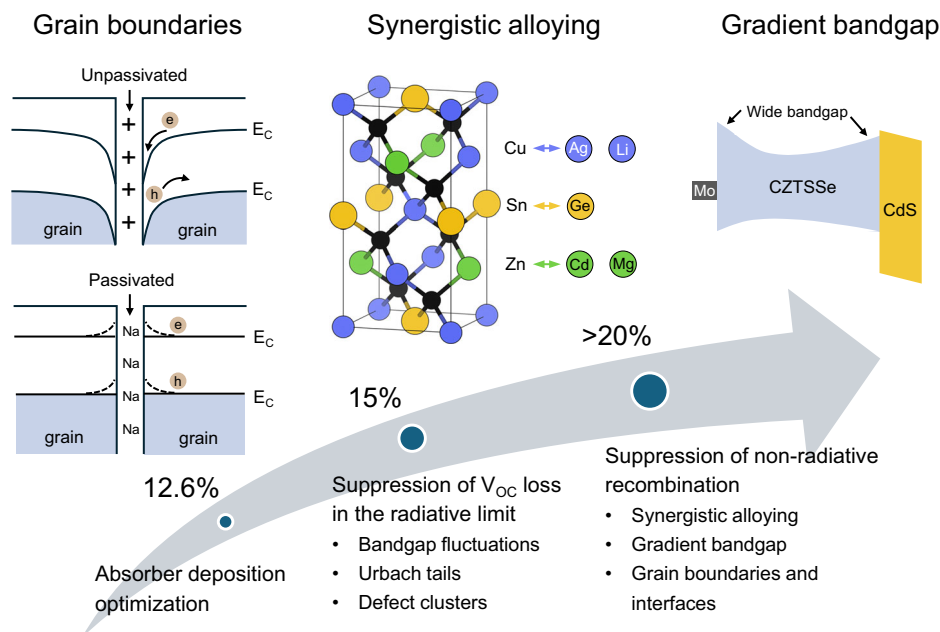
It is known that the various defects and defect clusters in CZTSSe can cause bandgap fluctuations and non-radiative recombination. In particular, a range of defect clusters and point defects with low formation energies have been identified using first-principles calculations<sup>10,24</sup>. While the early reports on kesterites focused on  $Cu_{Zn}^{-1}$  antisite defects and  $Cu_{Zn} + Zn_{Cu}$  defect clusters, the shallow nature of these defects limits their contribution to the severely suppressed performance that is observed in CZTSSe<sup>50</sup>. On the other hand, Sn-related defects, which form both deeper clusters such as  $2Cu_{Zn} + Sn_{Zn}$  and point defects such as  $Sn_{Zn}^{2+}$  with high carrier capture cross sections, are the most likely cause behind the observed performance loss<sup>18,25,29,51</sup>. Indeed, one of the key recent advancements contributing to improved performance is the shift from  $Sn^{2+}$  to  $Sn^{4+}$  as the tin precursor, which likely suppresses Sn-related surface defects, potentially due to differences in the grain growth mechanisms associated with the two oxidation states<sup>52,53</sup>. While we have previously provided a comprehensive review of the defect characteristics in CZTSSe<sup>44</sup>, the present analysis can also be supported by findings from studies reporting high-PCE CZTSSe devices, specifically, the observation of suppressed defect clusters, which in turn mitigate potential fluctuations and band tails. For example, the improved vacancy-enhanced cation ordering that led to 15.4% PCE is expected to suppress the formation of Cu-Zn defect clusters<sup>30</sup>. The Li-doping and Ag-alloying strategy that led to 14.1% PCE was shown to suppress  $V_{Cu} + Zn_{Cu}$  clusters<sup>32</sup>. The suppression of elemental inhomogeneity that led to 14.6% PCE was shown to suppress the  $2Cu_{Zn} + Sn_{Zn}$  and  $2Zn_{Cu} + Zn_{Sn}$  clusters, leading to a narrower photoluminescence peak<sup>33</sup>. Similarly, another report with 14% PCE that achieved the high performance by regulating the nucleation and growth of CZTSSe attributed the improved performance to suppressed  $Zn_{Cu} + V_{Cu}$ ,  $Cu_{Zn} + Sn_{Zn}$ , and  $Zn_{Sn} + 2Zn_{Cu}$  defect clusters that cause potential fluctuations<sup>37</sup>. Another study with 14.6% PCE showed that multinary alloying led to a smaller difference between the photoluminescence peak and the bandgap, which was attributed to suppressed potential fluctuations<sup>35</sup>. Another report with 14.5% PCE used Pd(II)/Pd(IV) redox shuttle to passivate grain boundaries and attributed the improved performance to the reduced carrier trapping at potential fluctuations induced by grain boundaries<sup>36</sup>. While the high PCE papers also report other reasons for the improved performance, such as reduced defects at the CZTSSe/CdS interface<sup>14</sup> and in the ZnO buffer layer<sup>34</sup>, which is indeed supported by the analysis of ideality factor as discussed earlier, our analysis above on trends in the recombination parameter  $J_0$  suggests

that these performance gains have played a crucial but smaller role as compared to the gains obtained from suppressing the bandgap fluctuation and Urbach tails. This is also highlighted by the observation that the same type of defect character, proposed to be the deep donor  $Sn_{Zn}$ , was observed in devices with widely varying PCE and grown using different methods<sup>35</sup>. These reports provide robust preliminary results relating defect characteristics to device performance. However, the impact of specific defects on device performance can more reliably be established if the defects in the same absorber material are probed via different approaches such as Raman spectroscopy, capacitance spectroscopy, and photoluminescence. For instance, the assignment of observed performance gains to suppression of specific defects and defect clusters is more reliable if the shifts in the Raman peaks and the activation energies obtained from capacitance spectroscopy and variable-temperature photoluminescence corroborate the theoretically predicted trends.

## Future outlook

The performance of photovoltaic materials is closely tied to their intrinsic defect properties. For example, although  $FeS_2$  has a favorable band gap, high absorption coefficient, and earth-abundant elements, it has severe  $V_{OC}$  loss due to sulfur vacancy defects, limiting the PCE to about 3%<sup>54</sup>. In contrast, defect-tolerant materials such as halide perovskites and CIGS have led to PCEs of >20% without the need for defect-free single crystals<sup>55,56</sup>. The identification of point defects and defect clusters that contribute to performance loss is hence a crucial step, as it informs the strategies to minimize their deleterious effects. The complicated defect landscape of CZTSSe has made it challenging to establish cause-effect relationships between defect types and device performance. However, substantial progress has been made through combined theoretical and experimental studies<sup>57</sup>. Although a consensus is yet to be reached, the analysis presented above provides a framework to assess the effect of different strategies on  $\Delta V_{OC}^{Rad}$  and  $\Delta V_{OC}^{Nrad}$ . Below, we highlight some strategies that could specifically target  $\Delta V_{OC}^{Nrad}$  (Fig. 4).

A majority of the recently reported devices employ some form of isovalent doping and alloying<sup>14,31,32,34–40,58,59</sup>. While most of the possible elemental candidates have already been studied, new strategies to incorporate doping/alloying could lead to improved performance. For example, careful design of a gradient bandgap using alloying could provide the benefits of both defect passivation and efficient charge carrier extraction. In addition, while most of the experimental and theoretical studies involving multinary alloying have explored their additive effects, the synergistic effect of alloying two external elements in the same unit cell and its effect on the resultant point defect characteristics could expand the compositional phase space to tune absorber composition for improved performance. This optimization would have to be done in conjunction with identifying the optimum



**Fig. 4 | The past, present, and future of CZTSSe solar cells.** Initial device optimizations led to a PCE of 12.6% in 2014. Recent advances, especially in the suppression of  $V_{OC}$  loss due to bandgap fluctuations and Urbach tails, combined with

suppression of some defects and defect clusters has enabled a PCE of 15%. Future improvements will rely on suppressing non-radiative recombination through synergistic alloying and addressing the recombination at grain boundaries and interfaces.

selenization conditions for different compositions while suppressing the formation of secondary phases. This could be achieved through the control of selenization kinetics, as highlighted in some of the recently reported high PCE devices<sup>33,37,38,40</sup>. While the strategies discussed above would likely address bulk defects, the issue of grain boundary and surface defects could be addressed by identifying more targeted post-deposition treatments, similar to the chloride activation step in CdTe solar cells. The deleterious effect of surface states can also be minimized by optimizing the buffer layer and band alignment. Different optimization strategies may be required for different S/Se ratios, as the band alignment with the buffer layer would depend on the band gap of CZTSSe<sup>60–63</sup>. In addition, measuring the band bending due to defects at grain boundaries using techniques such as Kelvin probe force microscopy and quantifying its effect on device performance using drift-diffusion simulations could help identify the most promising approaches for grain boundary passivation<sup>64</sup>. More advanced techniques, such as cathodoluminescence and electron-beam induced current, can be used to spatially resolve the extent of carrier recombination around grain boundaries<sup>65,66</sup>.

Eventually, the successful identification of promising methods to improve the photovoltaic performance of CZTSSe will rely on the identification of performance-limiting factors. Commonly used transient techniques, such as time-resolved photoluminescence (TRPL), are not ideal for quantifying non-radiative recombination, as the measured decay times are more strongly influenced by carrier trapping than by non-radiative recombination processes<sup>26</sup>. This limits the use of transient-based characterization techniques to specific cases where the control sample and the target samples have largely similar but slightly different defect landscapes, such as those observed in individual studies with carefully selected control samples. Indeed, the TRPL carrier lifetimes and PCE of CZTSSe solar cells do not show any correlation<sup>26</sup>. In contrast, we would like to motivate the research community to adopt characterization techniques that are relevant for comparisons between different research groups while also being directly linked to non-radiative recombination. In this context, the radiative efficiency, measured either via steady-state photoluminescence, electroluminescence, or external quantum efficiency, can be used as a measure of absorber quality<sup>44,67–69</sup>. Radiative efficiency

has been shown to directly scale with the PCE for a wide range of photovoltaic technologies<sup>70</sup>. More importantly, the radiative efficiency scales with  $\Delta V_{OC}^{Nrad}$ , giving a direct means to assess the major performance-limiting factor in CZTSSe solar cells<sup>44,71</sup>. Since these measurements establish the upper limit of the achievable  $V_{OC}$  of the absorber material, the effects of device-level non-idealities, such as interfaces, can then be better understood through the difference between the implied  $V_{OC}$  (extracted using optical techniques) and device  $V_{OC}$ <sup>72</sup>. While the measurement of radiative efficiency is currently not common in the CZTSSe literature, the observation of uniform and bright electroluminescence in one of the recently reported high PCE devices can motivate the research community to adopt these techniques<sup>30</sup>.

Finally, the prospects of commercialization of emerging photovoltaic technologies rely on identifying and exploiting their relative advantages over silicon solar cells<sup>44</sup>. In this regard, CZTSSe offers (i) opportunities for solution-based production that minimizes capital expenditures, (ii) lower non-module costs due to the possibility of using lightweight and flexible substrates<sup>73</sup>, and (iii) relative defect-tolerance compared to Si. Policy experts recommend a “means to an end” approach, where emerging technologies such as CZTSSe enter the market via niche applications such as indoor PV, building-integrated PV, etc., and then use this base to enter the broader PV market<sup>2,74</sup>.

## Conclusions

We have performed a comparative analysis of the photovoltaic metrics of the recently reported high PCE CZTSSe devices, focusing on the performance-limiting factors. Of the two major loss factors, (i) band-gap fluctuations and Urbach tails in the radiative limit, and (ii) non-radiative recombination due to deep defects, the former has mostly been addressed with values similar to those for CIGS. However, the recombination parameter  $J_0$  and the ideality factor are still substantially higher than those for CIGS. Our analysis presented above, together with insights from the high PCE reports, suggests that the next crucial step for further development of CZTSSe solar cells must focus on deep Sn-related defects and reducing interfacial/grain boundary recombination. Reliable characterization of performance

gains using measurement of radiative efficiency will help benchmark the progress made in suppressing non-radiative recombination. Finally, given the seemingly disparate source of losses in the radiative and non-radiative limit, highlighted by the substantial improvement in suppressing the bandgap fluctuations and Urbach tails but only modest suppression of the recombination parameter  $J_0$ , we note that the strategies that have led to suppressing  $\Delta V_{OC}^{Rad}$  may not be sufficient to suppress  $\Delta V_{OC}^{Nrad}$ , and disruptive strategies must be sought to minimize the overall  $V_{OC}$  loss.

## Data availability

The data used in this study are available in the Source Data file. Source data are provided in this paper.

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## Author contributions

S.S.H. and L.H.W. conceived and conceptualized the perspective, building upon discussions with Z. Su, Q.M., H.X., S.W., G.L., and Z. Shao. S.S.H. performed the analysis and wrote the first draft of the manuscript. Q.M., H.X., S.W., and Z. Shao provided the data. All authors discussed the analysis and concepts and contributed inputs and perspectives. All authors reviewed and approved the final manuscript.

## Competing interests

The authors declare no competing interests.

## Additional information

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