

Extreme precipitation in the tropics is closely associated with long-lived convective systems

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Water and energy cycles are linked to global warming through the water vapor feedback and heavy precipitation events are expected to intensify as the climate warms. For the mid-latitudes, extreme precipitation theory has been successful in explaining the observations, however, studies of responses in the tropics have diverged. Here we present an analysis of satellite-derived observations of daily accumulated precipitation and of the characteristics of convective systems throughout the tropics to investigate the relationship between the organization of mesoscale convective systems and extreme precipitation in the tropics. We find that 40% of the days with more than 250 mm precipitation over land are associated with convective systems that last more than 24 hours, although those systems only represent 5% of mesoscale convective systems overall. We conclude that long-lived mesoscale convective systems that are well organized contribute disproportionately to extreme tropical precipitation.

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Water and energy cycles are intimately linked to global warming through the water vapor feedback¹. Specifically, as temperature increases, the concentration of water vapor in the atmosphere increases². This extra amount of water vapor results in an increase in global precipitation at a rate constrained by the global energy budget³ and is also thought to increase the intensity of heavy precipitation events⁴. The rate of increase of extreme rainfall with surface temperature can be derived from an elegant quantitative theory based on vertical dynamics, cloud/rain microphysics, and thermodynamics of the atmospheric column⁵. This theory has had great success in explaining the observational record as well as high-resolution climate model simulations and predictions, in mid-latitudes environment⁶ but is actively discussed in the case of the tropics^{7–9}. In the tropics, conventional observation-based studies and idealized cloud resolving simulations indeed span a large diversity of responses to warming prompting for a deeper understanding of how organization of convection can lead to extreme precipitation¹⁰.

Mesoscale convective systems (MCS) have long been recognized as a major source of precipitation in the tropics^{11–13}. MCS thus appear as natural candidates for being a prominent contributor to extreme precipitation too; however, a robust quantification of this relationship has remained elusive. Indeed, even if the organized nature of deep convection in the tropics is well recognized¹⁴, its objective characterization is complicated. In addition, heavy precipitation depends on many other factors, linked to the environment and or linked to the MCS's internal dynamics and microphysics. These factors are all related to the life cycle of the deep convective systems^{11,15}.

Qualitative features of deep convection within its environment are frequently used as an indicator of organization. Convective self aggregation¹⁶ put the emphasis on spatial organization. Observations show that the strength of tropical precipitation extremes can be modulated by aggregation¹⁷. Cloud resolving simulations indicate that aggregated vs. non-aggregated states seem to only influence extreme rainfall intensity at daily but not at instantaneous scale¹⁸. Statistically determined weather-states analysis based on cloud top properties from the International Satellite Cloud Climatology Project (ISCCP) have also been correlated with tropical precipitation¹⁹. The weather state #1, associated with organized convection at mesoscale, including long-lived systems, is shown to relate well with recent trends in tropical precipitation²⁰ as well as with extreme precipitation distribution²¹.

Quantitative investigations, on the other hand, opt for using the morphological features of storms to describe their degree of organization²². Analysis of snapshots of precipitation from space-borne radars indicates that large precipitation features, while rare contribute significantly to total precipitation²³. Nevertheless, over tropical oceans, the relationship between snapshots of extremely tall convective systems and the systems with extreme surface rainfall is weak but the heaviest rainfall events appear more organized than the other²⁴. Even though precipitation features based analysis is informative in its own right, it often does not provide explicitly insight into the life-cycle of the storm. Regional studies emphasize strong relationship between the size and extreme precipitation^{25,26} as well as duration of storms and environmental wind shear^{27,28}. Idealized simulations of static squall lines, a well-known example of highly organized deep convection, shows CC-like response at instantaneous scale²⁹, while more realistic propagating squall lines simulations appear to support super-CC rate³⁰. In the latter case, simulations at daily scale suggest that there is no clear relationship between extreme precipitation and intensity of the warming.

The characteristics of extreme precipitating storms in the tropics remain mostly qualitative, lacking in key aspects of the life cycle of organized convection. The more recent generation of satellite-based gridded precipitation products³¹ exhibits a robust thermodynamic scaling with surface temperature over tropical land, at a rate of change consistent with the theory⁹. This consistency suggests that these precipitation estimates are well-suited to document the complex, yet remarkably organized, nature of extreme precipitation in the tropics³². We therefore investigate here the statistical relationship between morphology of convective systems and extreme precipitation by pooling data from all over the tropics. We quantify this relationship using recent satellite-derived and well-curated databases of both daily accumulated precipitation and convective systems characteristics. We focus on the duration of the system as a physically sound and key metric to quantify convective organization. Despite being infrequent, long-lasting convective systems do have an overwhelming contribution to total tropical precipitation amount¹³. We show here that these infrequent, long-lasting systems are also largely responsible for the tropical extreme precipitation.

Results

Distribution of tropical precipitation from satellite observations. A sub-ensemble of satellite-based products is used to characterize precipitation distribution across the whole tropical region (See Methods). The sub-ensemble focuses on constellation based products which have been shown robust to be well-suited and reliable to document the extreme precipitation⁹. For land and ocean, the probability of exceedance, defined as the number of grid boxes above a given threshold of precipitation intensity divided by the total number of grid boxes, gradually decreases with threshold (Fig. 1). Above 20 mm/d and up to the full range of precipitation rate considered here, the oceanic probability is

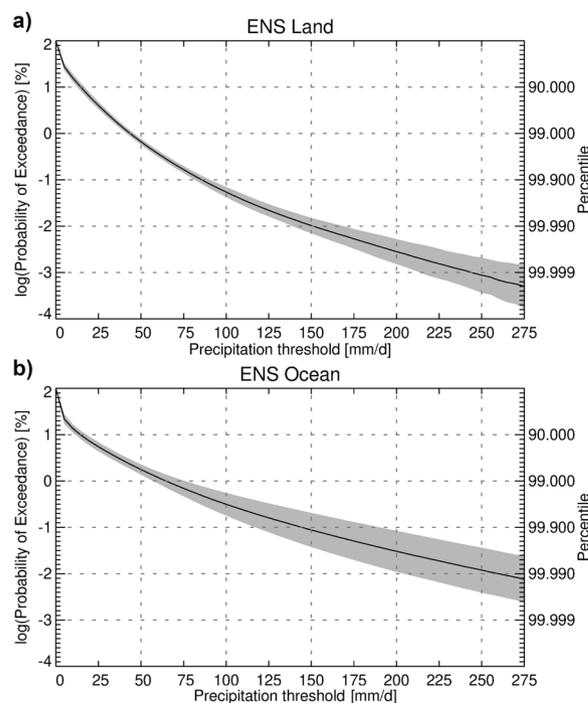


Fig. 1 The probability of exceedance of precipitation threshold shows the rarity of the very intense precipitation conditions. **a** Over land and **b** over ocean. The black curve corresponds to the ensemble mean probability in %. The gray shading area corresponds to the spread within the precipitation products ensemble based on one relative standard deviation (see “Methods”).

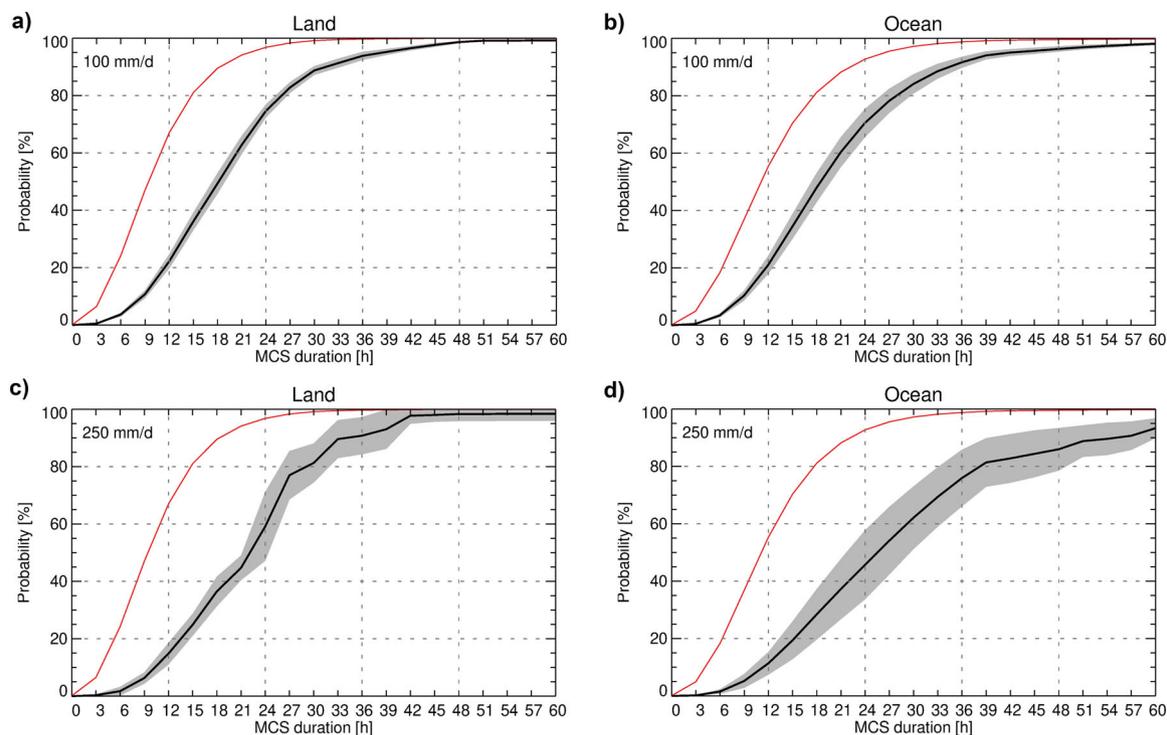


Fig. 2 The joint precipitation-MCS distribution reveals the role of the long-lived systems to the extreme precipitation. The ensemble mean probability of precipitation occurrence exceeding a threshold as a function of MCS duration. The gray shading corresponds to \pm one standard deviation of the precipitation products ensemble. The red curve is the cumulated distribution function of the MCS occurrence for all precipitation above 0 mm/d. **a** For a threshold of 100 mm/d over land and **(b)** of 100 mm/d over ocean **(c)** of 250 mm/d over land and **(d)** of 250 mm/d over ocean.

systematically higher than over land. The spread in the sub-ensemble is larger over ocean than land probably owing to the common usage of rain gauges by the products over land. Note that the 99.9th percentile of the distribution corresponds roughly to 85 mm/d and 150 mm/d over land and ocean, respectively. Here we extend our analysis to a much higher threshold of 250 mm/d. This regime will be referred to in the following as “extreme” extreme precipitation.

Distribution of mesoscale convective systems in the tropics.

Tropical convective systems span a wide range of spatial scales from 10 to 1000 km and duration from a few hours to a few days. The morphology of cold cloud clusters, identified from geostationary satellite observations in the thermal infrared band (TOOCAN algorithm³³), is used here as a marker of the organization of mesoscale deep convection. In particular, the systems’ duration is an integral of the complex interplay of an ensemble of factors, ranging from internal mesoscale dynamics, cloud microphysics, and the interaction between deep convection and stratiform precipitation with the large scale environment¹¹. Moreover, duration is strongly correlated with other morphological attributes (maximum cluster extension, propagation, etc...) over the whole tropics²². The processes responsible for the short or long duration of the systems are also very contrasted³⁴. Overall, duration appears as a physically sound metric to quantify mesoscale convective organization.

The distribution of occurrence of these MCS is highly skewed towards shorter systems that are expectedly more frequent than long-lasting ones (Fig. 2, red curves). Over the continents, 60% of the population of MCS lasts <12 h, and 95% lasts <24 h. In contrast, systems on an average last longer over the oceans than over land; correspondingly, systems with duration up to 24 h only contribute to 90% of the MCS population. We also find that very

long-lasting systems appear relatively rare over both tropical land and ocean, which is in agreement with previous studies^{11,22,35,36}.

Joint analysis of the precipitation and mesoscale convective systems distributions.

Nearly 93% of total tropical rainfall is associated with MCS that occur relatively more frequently over land (~70%) than over ocean (~40%) (Table S2). Note that not all precipitation grid boxes are associated with cold cloudiness and MCS. The analysis of the probability of exceedance for the non-MCS precipitation grid boxes (green curve in Fig. S2) further indicates that while value up to 100 mm/d can be found in these cases, their probability of exceedance is 2 to 3 orders of magnitude smaller than for the all precipitation grid boxes cases and does not impact the results shown next.

For high thresholds (100 mm/d or more) over land, we find that systems lasting more than 12 h represent nearly 80% of the probability of extreme rain accumulation; however, they correspond to ~30% of the MCS population (Fig. 2). Systems lasting more than 24 h still represent 25% of the extreme precipitation cases while accounting for <5% of the population. These contributions increase as more intense precipitation values are used (Fig. S4 for 150 and 200 mm/d). For daily precipitation exceeding 250 mm/d over land, systems lasting more than 24 h accounts for 40% of the extreme precipitation cases while corresponding <5% of the MCS distribution. Over the ocean, the situation is even more remarkable, with more than 50% of the heavy rain days being related to systems lasting more than 24 h. Even though the spread of the precipitation ensemble increases with precipitation intensity, it nevertheless remains small in comparison to the threshold. This is indicative of the robustness of the present findings to the selection of the precipitation observational product. This result suggests that a small category of rare storms contributes as much to the occurrence of extreme precipitation as the rest of the tropical storms. Thus, the systems

lasting more than 12 h, that are known to account for around 75% of tropical rainfall¹³, also appear to control the distribution of extreme “extreme” precipitation over the entire tropics.

Summary and discussions

The relationship between extreme daily precipitation and the morphology of MCS is investigated over tropical land and ocean thanks to a joint analysis of an ensemble of satellite-based precipitation products and of satellite observations of cold cloudiness. The pooling of data over the whole tropics reveals a clear picture where the probability of experiencing a heavy rain event is shown to be a steep function of the cumulated distribution of the duration of the MCS. In short, “extreme” extreme precipitation events are primarily contributed by a specific category of convective systems, namely the very long-lived systems. These findings are robust and invariant to the selection of precipitation product as indicated by the small ensemble spread.

The identification of very well organized, long-lived systems as a key element of tropical water cycle offers an avenue to better understand the role of organized convection at mesoscale in extreme precipitation¹⁰. Designing long-lived systems dedicated cloud-resolving experiments, so far missing, would help in providing clarity on the thermodynamic, dynamical, and microphysical processes at play in extreme precipitation cases. The ready availability of tracking algorithms on model simulations³⁷ permits the use of the proposed duration metrics in model-based investigations.

From a broader perspective, the understanding of evolution of extreme precipitation under climate change is directly related to the evolution of long-lived MCS in a warmer world, assuming that the relationships extracted in this study hold for the future. Our results strengthen the notion that due caution ought to be exercised in the interpretation of climate model projections of extreme precipitation owing to their inadequate representation of mesoscale convective systems^{21,38}. Over Sahel, where observations indicate the leading role of large mesoscale convective systems in explaining the trends in extreme precipitation³⁹, recent convection-permitting climate model simulations highlight tropospheric wind shear changes as the primary reason for intensifying squall lines under warming conditions⁴⁰. Over the US, in the deep convection-driven precipitation regimes, similar tools also indicate an overwhelming role of MCS in future extreme precipitation⁴¹. These regional studies clearly point to the need for using new modeling approaches for global investigations as the present observational findings confirm the importance of long-lived systems to extreme precipitation over the entire tropics.

Methods

Probability of exceedance. We use two metrics to characterize precipitation distribution and the joint distribution of precipitation and MCS. First, the probability of exceedance is defined as the number of grid boxes with daily precipitation accumulation above a given threshold divided by the total number of grid boxes for the region and product under consideration. Second, the precipitation-duration joint probability of occurrence is defined as the number of grid boxes with daily precipitation accumulation above a given precipitation threshold divided by the sum of the grid boxes experiencing MCS with a duration up to the given duration weighted by its occupation of the daily grid box. The occupation is defined as the fraction of the $1^\circ \times 1^\circ$ occupied by an MCS during a day. The joint probability can be understood as area-weighted duration cumulated distribution of contribution to the probability of exceedance of precipitation.

Ensemble of precipitation products. A sub-ensemble of precipitation products is built from a new database of $1^\circ \times 1^\circ \times 1$ day gridded precipitation datasets that permits to investigate the robustness of results to the selection of the products³¹. The data used are for the 30°S – 30°N region. Owing to the availability of the database of convective systems (see next section), a 5-year period spanning 2012–2016 is used for our analysis. Statistics are computed for each member of the ensemble and the resulting ensemble mean and standard deviation reported.

Twelve « flagship » products from various international groups, reflecting a large variety of estimation techniques and data sources are used initially (Table S1). Over land, the analysis of the probability of exceedance (Fig. S1 top) shows that the satellite products are relatively well clustered with very few outliers. Products mainly relying on IR are on the lower end of the probability scale for the highest daily precipitation accumulation. The most-recent version of the GPCP dataset which makes use of rain-gauge data only, exhibits the highest probability among the 12 products, over all range of precipitation. This version indeed proposes quite a different representation of the tropical precipitation from earlier versions of this product. This is likely an artifact of the update of the Kriging algorithm used⁴². Note that the land sub-ensemble product agrees well with GPCP v1 distribution. Over the ocean, the situation is similar although the spread among the cluster of products is larger than for land. The TAPR product exhibits a significant underestimation of the probability of the largest rain accumulation compared to other microwave-based products. This is likely due to the relative lack of light rain situations (Table S2).

Based on our analysis and previous studies on extreme precipitation using an ensemble of products⁴³, a land ensemble is built using: TAPR⁴⁴, TMPA⁴⁵, GSMARt⁴⁶, CMORg⁴⁷, MSWE⁴⁸, and IMFC⁴⁹. In essence, it includes all datasets using satellite microwave observations from multiple platforms (as well as IR satellite data and rain gauges except in the case of TAPR). Over ocean, the ensemble includes TMPA, GSMARt, CMORg, MSWE, HOAP, and IMFC; thus, it is restricted to datasets using microwave observations from multiple satellites. The TAPR product is not considered over ocean, as it exhibits limited skills in depicting the tail of the precipitation distribution (Fig. S1).

The sensitivity of results to the selection of a period of 5 years is assessed using four products (TMPA, GSMARt, CMOR, and MSWE) and comparing the probability of exceedance from 2012 to 2016 to that of a larger period, namely 2001–2016. The longer-term probability of exceedance is well within the ensemble spread (Fig. S2). This suggests that, to the precision of the ensemble spread, the probability of exceedance based on the current data selection is a good representative of that of a longer time span.

Satellite observations of mesoscale convective systems morphological characteristics.

The morphology of MCS cloud shield is obtained from thermal infrared brightness temperatures measured by geostationary satellites and a detection and tracking algorithm as done classically^{50,51}. Here we use the Tracking Of Organized Convection Algorithm through a 3D segmentation (TOOCAN) algorithm³³. Previous techniques, based on overlap statistics, are prone to artificially extend the duration of MCS owing to some split and merge artifacts. An issue that the TOOCAN approach has overcome³³. This algorithm first performs a multi-threshold multi-step screening on the space and time infrared image volume to detect convective seeds. Then, it grows them towards the edges of their stratiform extension (defined by a 235 K threshold), as done in previous studies. The outputs of the algorithm are various morphological parameters of the cold cloud shield (geolocation, size, brightness temperature distribution) as a function of the MCS life cycle, with a temporal resolution of 30 minutes. From these raw outputs, many integrated MCS parameters are built: duration, distance of propagation, average speed of propagation, etc. In this study we focus on the duration parameter.

Earlier versions of this MCS database have been derived from various geostationary archives, and used in a number of studies to investigate the contribution of MCS to the distribution of tropical rainfall¹³, the radiative properties of the MCS⁵², their life cycle behavior²² and intraseasonal variability⁵³. Here, the algorithm is applied to a recently developed homogenized multi geostationary infrared imagery archive covering all of tropics for the period 2012–2016⁵⁴, thus providing the best estimate to date of the MCS distribution in the tropics.

The quality control of the MCS dataset is stringent. The tracking algorithm indeed nominally requires 30-min image sampling. During the MTSAT-1 and 2 operations (from 2012 to May 2015) over the Western Pacific, the acquisition scheme only provides northern hemisphere imagery at a 30-minute rate; the full hemisphere images were available only once every hour. As a result, no tracking is performed for the southern hemisphere between 100 W and 100E. After June 2015 and the shift to HIMAWARI-8, the required temporal sampling is available for both hemispheres and MCS statistics are computed accordingly. The two GOES satellites have a complex acquisition scheme whereby the high-frequency full images scans are often disrupted due to operational survey of hurricanes. Consequently, after compositing all available imagery, a narrow band between 116°W and 105°W and 0°S and 30°S is left unobserved with the required 30-minute sampling rate, for which no MCS statistics are available. In complement to the nominal functioning and the above-mentioned limitations, it happens that some periods of time are operated under degraded quality and services. The tracking algorithm, through interpolation, can handle exceptionally, short interruptions of up to 2 h 30 min. Beyond that 2 h 30 min threshold, MCS are all terminated and new systems are considered to have been born, causing an unphysical bias in the duration statistics. In order to avoid such artifacts, only those days with all images available have been retained in our analysis. This results in less availability than the precipitation datasets. For each product, this sub-sampling corresponds to ~75% of the original valid precipitation data. The effect of sub-sampling on the distribution of extreme precipitation is either within (up to ~100 mm/d) or much

smaller (for precipitation above ~100 mm/d) than the ensemble spread (Fig. S2). The filtering of precipitation products on the sampling of the MCS database does not impact our results.

The morphological parameters of convective systems have been gridded on the same $1^\circ \times 1^\circ$ daily grid as the precipitation estimates, so as to enable simultaneous analysis of storm characteristics and precipitation amount. In this Eulerian framework, the properties of systems are viewed from a grid box perspective. The method was introduced and used in the past^{13,53}. The full resolution cold pixels from geostationary observations are projected on a $1^\circ \times 1^\circ$ daily grid for each cluster composing each system. The cold cloudiness occupation of a grid box and the morphological properties of each system are then assigned to that grid box. If a system cluster is large enough and/or is located as to cover more than one grid box at a time, the cold cloudiness of this system is hence distributed onto each of the associated grid boxes. Similarly, when a system is lasting more than a day, the associated cold cloudiness is distributed over all relevant days. Owing to the propagation, size, and arrangements, multiple systems can be observed over a given $1^\circ \times 1^\circ$ grid box during any day, and can all contribute to the total cold cloudiness of that particular grid box. While the joint distribution of MCS occurrence with precipitation at the $1^\circ \times 1^\circ$ scale indicates that each grid box can see cloud cover contributions from up to 25 individual systems in a day, only a couple of MCS are indeed significantly impacting each grid box while most of the other systems have very small contributions to the cold cloudiness as illustrated in Fig. S3. In the joint statistics presented here, the morphological properties of systems are weighted using the actual cold cloudiness of each system in each grid box.

Data availability

The precipitation datasets analyzed during the current study are identified under the <https://doi.org/10.14768/06337394-73A9-407C-9997-0E380DAC5598> and are available at <http://frogs.ipsl.fr>. The MSWEP data were acquired directly from H. Beck and are available at <http://www.gloh2o.org/>. The MCS database is identified under the <https://doi.org/10.14768/20191112002.1> and is available at <http://toocan.ipsl.fr>.

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Author contributions

R.R. initiated the study and performed the analysis. T.F. developed and adapted the TOOCAN algorithm to the recent observations and commissioned the cloud tracking dataset. Both authors discussed the results and edited the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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