

# Eliciting preferences on secondary findings: the Preferences Instrument for Genomic Secondary Results

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**Purpose:** Eliciting and understanding patient and research participant preferences regarding return of secondary test results are key aspects of genomic medicine. A valid instrument should be easily understood without extensive pretest counseling while still faithfully eliciting patients' preferences.

**Methods:** We conducted focus groups with 110 adults to understand patient perspectives on secondary genomic findings and the role that preferences should play. We then developed and refined a draft instrument and used it to elicit preferences from parents participating in a genomic sequencing study in children with intellectual disabilities.

**Results:** Patients preferred filtering of secondary genomic results to avoid information overload and to avoid learning what the future holds, among other reasons. Patients preferred to make autonomous choices about which categories of results to receive and to have their

choices applied automatically before results are returned to them and their clinicians. The Preferences Instrument for Genomic Secondary Results (PIGSR) is designed to be completed by patients or research participants without assistance and to guide bioinformatic analysis of genomic raw data. Most participants wanted to receive all secondary results, but a significant minority indicated other preferences.

**Conclusions:** Our novel instrument—PIGSR—should be useful in a wide variety of clinical and research settings.

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**Key Words:** clinical tools; genomic secondary results; incidental findings; preferences; whole genome sequencing

## INTRODUCTION

When genomic sequencing is performed for a specific clinical or research purpose, it becomes necessary to develop a plan for managing and returning secondary results, i.e., results not related to the primary reason for testing. Some secondary results are generated incidentally through quality assurance procedures and other routine analyses.<sup>1</sup> Others are “hidden” in raw sequencing data and can be identified manually and through automated analysis algorithms.<sup>2</sup>

Although secondary findings are not novel to genomic sequencing, they do pose important ethical and practical challenges for clinical and research programs that perform genomic sequencing on human samples. In 2013, the American College of Medical Genetics and Genomics recommended that laboratories performing sequencing on clinical samples should routinely include additional analyses to identify highly actionable secondary results. Examples include variants in genes related to cancer or heart disease that could lead to beneficial clinical interventions.<sup>3</sup> In this recommendation document, the authors

argued that these analyses should be performed routinely without considering patient preferences. Their rationale for this recommendation was based on a proposed fiduciary duty for laboratories to seek and disclose secondary results that could prevent patient harm, as well as on the concern that eliciting patient preferences about secondary findings would be impractical because it would require genetic counseling on numerous conditions.<sup>3</sup>

In 2014, the American College of Medical Genetics and Genomics revised this set of recommendations to stress that patients should have a right to decline receipt of secondary genomic findings. Despite this revision, the concern about the practicality of eliciting preferences remains important. It remains necessary to identify workable and effective methods to collect patient preferences about which genomic findings they would like to receive. This is complicated by the extremely large number of potential secondary findings that could be generated and the potential for new findings to be uncovered over time. It is necessary to organize potential genomic results

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into a set of choices that patients can easily understand without extensive pretest counseling while still faithfully eliciting their granular preferences.

A number of possible approaches have been proposed for categorizing potential results to facilitate eliciting preferences.<sup>4</sup> Many of these approaches utilize the distinction between results that are considered actionable and those that are not.<sup>5</sup> This distinction is problematic for several reasons. First, the concept of actionability is ambiguous (JR Garrett, unpublished data). Many define actionability on the basis of clinical interventions alone, whereas others highlight the possibility that patients will want to take other types of actions, such as changing their health behaviors or purchasing additional insurance.<sup>7,8</sup> Another common approach organizes results using a range of categories, including organ systems (<http://www.my46.org>).

In this report, we provide evidence that patients' personal experiences with specific medical conditions are an important driver of preferences for receiving secondary genomic findings. Building on this observation, we describe a new patient preferences instrument—the Preferences Instrument for Genomic Secondary Results (PIGSR)—that is designed to be responsive to patient experiences with specific conditions, to be completed rapidly and independently by a patient or research participant, and to support the development of analysis algorithms capable of extrapolating a brief set of patient responses to a wider range of potential secondary genomics results.

## MATERIALS AND METHODS

### Overview of study components

PIGSR was developed using data from focus groups and semistructured interviews undertaken as a part of the Pharmacogenomic Resource for Enhanced Decisions in Care & Treatment (PREDICT) effort at Vanderbilt University Medical Center.<sup>9</sup> Once finalized, PIGSR was administered to parents participating in a clinical sequencing study at HudsonAlpha Institute to diagnose children with developmental delay. The HudsonAlpha project is a part of the Clinical Sequencing Exploratory Research (CSER) Consortium. This is a translational study designed to examine the effectiveness and clinical utility of whole-genome sequencing to identify genetic causes for intellectual disability, developmental delay, and related phenotypes. Both the development of (qualitative data) and the initial experience with (quantitative data) PIGSR are described here. The focus group and semistructured interview elements of this study were approved by the institutional review board (IRB) at Vanderbilt University. The HudsonAlpha CSER project was approved by the Western IRB and the University of Alabama at Birmingham IRB. Informed consent was obtained from all participants.

### Focus groups

We conducted 10 focus groups designed to inform the development of PREDICT, a clinical pharmacogenomic testing program at Vanderbilt University.<sup>9</sup> Round 1 comprised five focus groups conducted in 2010; it focused on communication,

consent, data security, and reporting of primary and secondary results. Round 2 comprised five focus groups conducted in 2011; it was undertaken to explore perspectives on the management of secondary results in greater detail.

The vignette-based discussion guides for these focus group sessions were developed iteratively by two authors (K.B.B. and E.W.C.) in collaboration with operational and administrative staff leading the development of the PREDICT program (J.M.P.) (**Supplementary Figures S1 and S2** online). Potential participants were invited by e-mail through ResearchMatch.org, an online research recruitment database.<sup>10</sup> Those who expressed interest were screened by phone to meet purposive sampling aims and, in particular, to generate focus group sessions that were diverse in terms of age, gender, race, ethnicity, employment status, income, and marital status. Each round comprised four focus group sessions conducted in English and one session conducted in Spanish.

Focus groups were conducted at a commercial facility, where sessions were both video-recorded and observed by investigators. A moderator led each session utilizing standard moderating techniques to facilitate discussion. A professional transcription service transcribed the recordings.

Transcriptions were coded using framework-analysis methodology, a qualitative coding technique that starts with previously identified (deductive) themes that are then expanded by adding themes and subthemes inductively.<sup>11</sup> A single coding framework was utilized across both rounds of focus groups. All transcriptions were coded independently by at least two investigators using Atlas.ti qualitative coding software (version 7, Scientific Software Development, <http://atlasti.com/>), with disagreements resolved through review by a third investigator. Themes covering a wide variety of topics were identified; this report focuses only on themes related to analysis and management of secondary genomic results across both rounds of focus groups.

### Instrument development

Qualitative findings from these focus group sessions informed our insight that a brief instrument designed to elicit patient preferences on secondary genomic findings needed to be developed, and thus informed our work to develop PIGSR. Four investigators (K.B.B., E.W.C., M.J.W., and M.F.W.) designed an initial draft of the instrument and received feedback from two independent experts on ethical issues in clinical genomics. We then recontacted focus group participants to complete the draft PIGSR and provide feedback. Participants were selected for recontact using purposive sampling; we used focus group transcripts to identify participants with diverse perspectives on the types and numbers of secondary genomics results they would prefer to receive and to represent a diversity of perspectives based on gender, ethnic and racial background, educational attainment, and age.

Ten focus group participants returned to provide feedback on PIGSR. After completing the instrument, each participated in a 30-minute, face-to-face, semistructured interview focused on the questionnaire. Domains addressed in this interview included

the success of PIGSR in allowing the respondent to thoroughly record his or her preferences and the ease with which the instrument could be completed without guidance from a health-care professional. Interviews were audiorecorded and transcribed; transcriptions underwent qualitative coding as described above. Interview results were used to revise the instrument, thereby generating the final version of the PIGSR described here.

### Initial experience in a genomic research study

Families participating in the HudsonAlpha CSER project were enrolled from a pediatric neurology practice in Huntsville, Alabama. Parents completed PIGSR to record their preferences for receiving secondary genomic results generated in the analysis of their own genome. Secondary findings were only queried in children when it was necessary to determine whether the child had inherited a secondary finding identified in the parent. For this reason, preferences related to the child's secondary findings were not elicited.

Fifty percent of families were randomized to record their preferences at the time of enrollment (Prospective Preferences Group), whereas those remaining were asked to record their preferences immediately prior to their visit to receive genomic results (Just-in-Time Preferences Group). This approach was designed to determine whether the timing for eliciting preferences influences outcomes such as anxiety and numbers of results requested. These outcomes will be reported in a future paper. In this report, we focus on our interim experience with the first 100 families (49 in the Prospective group and 51 in the Just-in-Time group) for whom both biological parents were available to record their preferences and undergo testing.

Parents recorded their preferences using a paper version of PIGSR and were asked to complete the instrument without consulting their spouse or study personnel. Upon completion of the instrument, the genetic counselor (K.M.E. or W.V.K.) reviewed parents' responses, provided an opportunity for them to ask questions and provide feedback, and asked each parent whether he or she had additional preferences that were not captured using the instrument. Observations from these discussions were recorded in discursive research notes.

Responses to PIGSR were entered into a research database and used to guide the return of secondary findings uncovered through the genomic analysis conducted as a part of the research study. For this report, we performed descriptive analyses and tests for differences in preferences between mothers and fathers. Paired-sample *t*-tests were used to test for differences in continuous demographic variables. Chi-squared tests were used for categorical demographic variables, for differences in responses on individual preference items, and for differences in preference patterns between genders. Paired sample *t*-tests were used to test for differences in the number of genetic tests preferred between mothers and fathers. The categorical data met all the assumptions of the categorical analyses performed, and all continuous data were verified to be normally distributed using traditional statistics for verification.

## RESULTS

### Focus group findings

The demographics of focus group participants are listed in **Supplementary Table S1** online. In both rounds of focus groups, the concept of secondary findings was introduced to participants using a case vignette of a patient undergoing prospective pharmacogenomics testing prior to the placement of a coronary artery stent. Attention was given to the potential for using this test to generate other genetic findings. Participants were asked to discuss whether they would like these potential results "filtered." Follow-up questions asked participants to discuss what criteria should be used for this filtering and, using a schematic of the testing pathway (**Supplementary Figure S3** online), to identify where in this process filtering should be applied.

Some participants were initially opposed to the idea of "filtering" results. One respondent stated, "I would not want it to be filtered because it would potentially leave out information that the doctor or myself would need" (35-year-old man). Another respondent evoked genetic exceptionalism as a reason why filtering should not be performed, "Just because this is genetics we seem to be treating it as a special test...If I go to my doctor for one test and [he] sees that you've got another disease [than what] we were testing for, I think he's got a responsibility to tell me" (44-year-old woman).

Typically, however, respondents quickly generated reasons for not returning every possible result (**Table 1**). Many endorsed these reasons for themselves or affirmed their importance to others. One participant said, "I would want to know everything. But I know others would not, and I think that's their right" (31-year-old man). For these reasons, many participants concluded that individual preferences should be the primary criteria used to filter results. One observed that, "They don't want to know everything about themselves—just like hypothetically we've already spoken about Alzheimer's...Other people want to know anything and everything. So I think it's going to depend on the person" (21-year-old man). In a smaller number of cases, participants expressed a desire for the patients' physicians to make such decisions. According to one participant, "I'm paying the doctor for his expertise...So if the doctor knows something about me that can affect me I think he has an obligation to tell me...Some people may not like that, but people get told they have cancer everyday...and they have to deal with it" (52-year-old man).

In discussing where in the analytic process that filtering should be applied, many respondents expressed reservations about physician gatekeeping and selective disclosure: "I'd much rather prefer the information stay hidden, but everything that comes out I want to know" (24-year-old woman). Filtering by physicians was also seen as discordant with patients' ability to access their own results online: "If they see [in the online patient portal] that their doctor is withholding something from them, they're going to feel betrayed" (38-year-old woman).

**Table 1** Reasons given for filtering genomic results or for not wanting to receive certain results

Proposed reason	Participant information	Quote
<b>Prevent information overload</b>	21-year-old man	"I believe in the doctors but I think that sometimes we have too much information. I think that medical and technology has gone too far...So I think that it should just be kept really simple."
<b>Desire not to know the future</b>	68-year-old man	"You go through life with a lot of expectations that you may not want to really know what lies in your future. Sometimes people wanna keep it as a surprise."
<b>Concerns about quality of information</b>	42-year-old woman	"I like how [participant] talked about the reliability. That is a fear. Whether it is."
<b>Religious beliefs</b>	47-year-old man	"I know a lot of people who consider themselves very...religious...They believe it's God's way and that's the way it is and man cannot change it and these tests are just interference if you will, in their beliefs."
<b>Risk for workplace discrimination</b>	23-year-old woman	"This person has a certain genetic predisposition for her to suffer from cancer in 2 years, and therefore she may suffer from a certain type of discrimination in the future, in the workplace or X situation."
<b>Risk for psychological harm</b>	61-year-old woman	"There are so many variables in people's psychological makeups that to hear this kind of news no matter whether you ask for it or not. Sometimes you don't even know whether you'll be prepared."
<b>Risk for adverse effect on insurance coverage</b>	58-year-old man	"Obama's health-care plan finding out about it and then jacking your rates up or dropping you."
<b>Risk for revealing sensitive information to unauthorized persons</b>	58-year-old man	"The reason I disagree is...one of the pharmacy techs is a friend of my daughter's...I don't want that girl looking at my records and then saying 'Gee, [daughter]'s dad is getting ready to drop dead.'"

Most participants seemed to prefer a filtering approach that would involve the use of personal preferences to direct computer-based analysis, such that only the results desired by the patient would be analyzed and reported to the patient and provider. When asked to suggest ways that preferences could be elicited, participants suggested a wide variety of approaches. One participant, for example, proposed actionability, heritability, and disease severity criteria: "We can ask her: 'Do you want to know only diseases that can be prevented?...Do you want to know diseases that can be passed on to your kids? Do you want to know things that have consequences of death?'" (59-year-old man). Quality of life, an aspect of disease severity, was raised as potentially important, "Each individual person is going to be looking for different types of things. Whether this disease can kill me...Another person might [be interested in whether a disease] will decrease my quality of life" (21-year-old man).

Other participants suggested that options should be organized around specific medical conditions: "You don't want to list all the diseases...so maybe there's a section for heart conditions...and then different type of blood diseases...Just some kind of way to keep it specific as opposed to listing every single thing" (32-year-old woman). This focus on specific diseases was also emphasized because learning of the risk for certain conditions could affect quality of life. Alzheimer disease was included in the discussion guide as an example of a risk result that could be generated and was referenced frequently. "You may have a person who would worry tremendously about Alzheimer's and you really affect their quality of life, knowing" (21-year-old man). Experience with a family member with Alzheimer also seemed important. "If that genetic testing [Alzheimer's risk] was offered today and my mother has it, I don't want to know" (35-year-old woman).

Family and personal experiences with individual diseases were considered so important that many respondents assumed

that personal and family histories would be used to generate the choices offered to patients. "I think also gathering information from personal previous history, health history and also if you look...[at] your mother and father, grandmother, grandfather, and on like that" (51-year-old woman).

### Instrument development

The criteria we abstracted from our focus group findings to inform the design of PIGSR are summarized in [Table 2](#). Using these criteria, we designed a draft instrument that was used to elicit feedback.

### Feedback interview findings

Demographics of interview participants are listed in [Supplementary Table S2](#) online. All 10 interview participants endorsed that the language used in the instrument was clear and that all of the diseases and/or disease categories included in the instrument were familiar. The only exception to this was that one respondent reported that he was not familiar with "cystic fibrosis." Participants were also asked if they would have responded differently for questions that were grouped into one item (such as "heart attack, heart rhythm problem, or stroke"); in all cases, respondents reported that groupings did not affect their ability to accurately record their preferences.

Participants were asked to identify conditions that were not included on the draft instrument but are important to their preferences. Cited conditions included autism, chronic fatigue syndrome, diabetes (two respondents), Down syndrome, and fibromyalgia. In revisions to the instrument, risk for developing diabetes and risk for having a child with autism were added. Based on feedback, questions were also reorganized to facilitate understanding. The final version of PIGSR is distributed freely online at <http://www.PIGSR.org>.



**Table 2** Evidence-based criteria for a genomic secondary results preferences instrument

Criterion	Rationale
Preferences should be elicited through structured choices rather than open-ended questions	Patients prefer for preferences to be prospectively applied during the computer-based analysis of genomic raw data. They do not want providers to receive results that will not be reported to the patient.
Preference items should be organized around specific diseases or disease categories	Patient preferences often seem to be driven by personal experience with a disease in a family member or other loved one.
Preference items should be immediately understandable by most patients	Genomic testing will increasingly be delivered without the benefit of genetic counseling, including in the PREDICT program at Vanderbilt University.
Preferences items should be limited in number	In many clinical and research settings, including the projects described here, a brief instrument is desirable to minimize respondent burden and support efficient clinical flow.
Preference items should be carefully selected to support inference about patients' other preferences	Genomic sequencing creates the potential to generate a wide range of secondary results.

**Table 3** Demographics of mothers and fathers in the first 100 families enrolled in the HudsonAlpha CSER project

Continuous variables	Total, mean (SD)	Fathers, mean (SD)	Mothers, mean (SD)
Age*	32.25 (11.1)	34.31 (11.5)	30.22 (10.5)
No. typical children	1.71 (0.8)	1.75 (0.9)	1.67 (0.7)
No. affected children	1.13 (0.4)	1.15 (0.4)	1.10 (0.3)
Categorical variables	Total, N = 200 (%)	Fathers, N = 100 (%)	Mothers, N = 100 (%)
Race			
White	163 (81.5%)	79 (79.0%)	84 (84.0%)
Black	12 (6.0%)	6 (6.0%)	6 (6.0%)
Other	25 (12.5%)	15 (15.0%)	10 (10.0%)
Education			
Less than high school	19 (9.5%)	11 (11.0%)	8 (8.0%)
High school	34 (17.0%)	20 (20.0%)	14 (14.0%)
Some college	67 (33.5%)	31 (31.0%)	36 (36.0%)
College	49 (24.5%)	25 (25.0%)	24 (24.0%)
Advanced	31 (15.5%)	13 (13.0%)	18 (18.0%)
Employed*	127 (63.5%)	82 (82.0%)	45 (45.0%)

Levene's test for equality of variance did not reach significance for any of the comparisons here. For this reason, traditional *t*-statistic and associated *P* value were reported. No adjustment for unequal variances (e.g., Satterthwaite) was used.

\*Significant difference between mothers and fathers,  $P < 0.001$ .

### Initial experience—qualitative findings

Demographics for the first 100 families with a biological mother and father enrolled in the HudsonAlpha CSER project are reported in [Table 3](#). Among these, all parents reported to the genetic counselors (K.M.E. or W.V.K.) that PIGSR accurately captured their preferences and that they understood the options being presented. Misunderstandings that were noted tended to be related to genetic concepts rather than the instrument itself. For example, on several occasions, a white parent requested all secondary findings but declined findings related to risk for having a child with sickle cell disease. When asked about this, the parent responded that they did not need that information because they did not see themselves as being at risk for having a child with that condition because of their racial identity.

### Initial experience—quantitative findings

Perhaps not surprisingly, since all of the participating families had at least one child with an intellectual disability, mothers were significantly less likely to be employed than fathers (82 vs.

45%,  $P < 0.001$ ). Mothers were also significantly younger than fathers (30 years old versus 34 years old,  $P < 0.001$ ).

Most parents requested most secondary findings ([Figure 1](#)). The least frequently requested result was risk for developing obesity ( $n = 179$ , 89.5%); the most frequently requested result was risk for having a heart attack, heart rhythm problem, or stroke ( $n = 194$ , 97.0%). Combinations of preference responses can be categorized into “patterns,” which may inform extrapolation from items on PIGSR to secondary results not included in the instrument. As shown in [Figure 2](#), 87% of fathers and 80% of mothers wanted to receive all possible genomic secondary results (i.e., “Everything”). With these preliminary data, we were underpowered to detect significant differences in preferences between genders for both individual preferences and patterns of preferences. However, it is interesting to note that no mothers responded that they wanted “Nothing,” whereas three fathers recorded this preference.

In this study, secondary findings for parents were limited to pathogenic variants in the 56 genes identified in the American College of Medical Genetics and Genomics recommendations

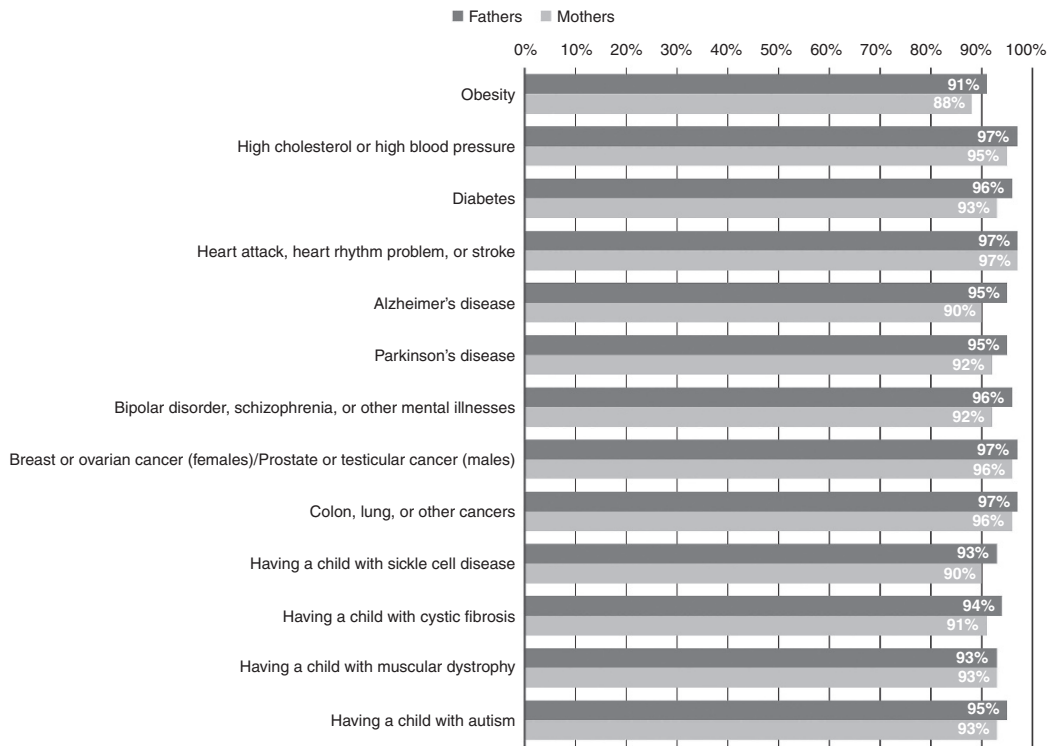


Figure 1 Preferences of mothers and fathers for receiving categories of genomic secondary results from their own sequence data.

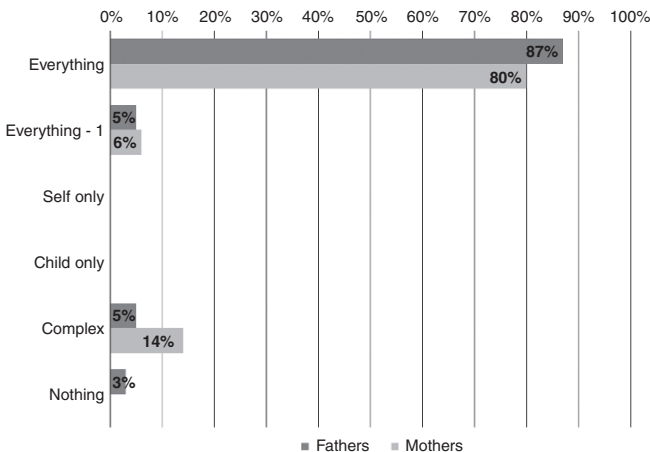


Figure 2 Patterns of genomic secondary result preferences for mothers and fathers.

regarding secondary findings;<sup>3</sup> carrier status in genes related to cystic fibrosis, sickle cell anemia, and Tay-Sachs disease; and carrier status for any condition listed in the Online Mendelian Inheritance in Man database for which both parents happen to be carriers. Given the focus of the study, variants conferring risk for intellectual disability, autism spectrum disorders, epilepsy, and related conditions were considered primary results and were returned to all families.

Among these first 100 families, secondary findings were identified for 10 mothers and 12 fathers. Because this is the first study to use PIGSR to collect preferences, we are not using automated analyses to apply participant preferences to secondary results;

all potential secondary results are reviewed by a committee and participant preferences recorded on PIGSR are applied manually. In only one case was a secondary result identified but not reported due to participant preferences: a variant pathogenic for arrhythmogenic cardiomyopathy was identified in *TMEM43* in one father. Because the participant had recorded a preference not to receive results related to “chance of having a heart attack, heart rhythm problem, or stroke,” the result was not returned. Interestingly, his wife was found to have a pathogenic variant for a related condition. This gave the genetic counselor an opportunity to confirm the father’s preference. Even knowing that his wife had a variant for a similar condition, he reiterated his preference not to receive this type of result.

DISCUSSION

Comparison with other approaches for eliciting preferences

Two of the most well-known approaches for eliciting preferences share a great deal in common. Prior to a warning issued by the Food and Drug Administration (FDA) in 2014,<sup>12</sup> the company 23andMe offered direct-to-consumer testing for genetic risk. 23andMe offered a number of disease-based choices that allowed clients to select which results they wished to view through their online portal. The online interface for my46 provides a similar set of choices that allows participants undergoing genomic testing to select the results they wish to view.<sup>13</sup> Like PIGSR, both of these tools were originally designed to allow users to record their preferences without the assistance of a health-care provider. In contrast to PIGSR, however, they

provide very detailed choices about the results that are viewed and allow users to opt-in to receive additional results over time. By design, PIGSR includes only 13 items. It is intended to give participants prospective control over the results they will receive in clinic and research contexts whereby results are to be returned at a future clinic visit rather than through a web interface.

In this respect, PIGSR bears some similarity with the four approaches to preference setting proposed recently by Bacon *et al.*<sup>4</sup> These methods—which include a branching diagram model, an example-based model, a grid model with checklist, and a step-wise grid model—are also designed for prospective preference setting but differ from PIGSR in that they organize preferences around disease preventability and severity. Laboratories that perform clinical exome or genome sequencing collect prospective preferences organized around similar criteria as well as age of disease onset.<sup>14</sup> Important research, like that currently taking place for the CSER Consortium, will help uncover the strengths and weaknesses of these various approaches to eliciting preferences and may inform refinements that will lead to the development of second-generation tools.

### Applying patterns of preferences to genomic secondary results

The current version of PIGSR elicits preferences for 13 diseases/disease categories, but these preferences are intended to be extrapolated to all potential secondary genomic results. The hypothesis behind this approach is that a small number of preference items can be used to reveal patterns of preferences, and that these patterns can be used to infer preferences about other results not included in PIGSR. The most common pattern in our CSER family members, as demonstrated in [Figure 2](#), is the “Everything” pattern. This applies when participants indicate that they wish to receive every result listed in PIGSR. We hypothesize that when respondents’ preferences reveal this pattern, they prefer to receive every possible secondary result generated. Our proposed patterns, along with their definitions and hypothesized implications, are provided in [Supplementary Table S3](#) online. We are currently examining the effectiveness of this strategy by gathering quantitative and qualitative data of parents completing PIGSR and receiving secondary results, and we anticipate that future iterations of PIGSR will incorporate refinements to this strategy.

### Adapting PIGSR to new clinical or research genomics projects

PIGSR’s first item gives the respondent the opportunity to opt-out of receiving secondary results. If this option is selected, then additional preferences regarding secondary findings are not elicited. However, those results that should be considered “primary,” and “secondary” will necessarily differ depending on the clinical or research context. For example, for the PREDICT project, the primary reason for genomic testing is pharmacogenomics. For the HudsonAlpha CSER project, results relevant to intellectual disability and related conditions are considered

“primary.” For these reasons, it will be necessary to modify the structure of PIGSR to accommodate changing genomic testing contexts. Suggestions for adapting PIGSR are provided at <http://www.PIGSR.org>. Future research in this area will help reveal whether these adaptations affect the ability of this instrument to accurately elicit respondents’ preferences.

### Study limitations

Although we undertook purposive sampling techniques to maximize the diversity of perspectives in our focus groups and interviews, our findings would have benefited from an even broader set of perspectives. In particular, our focus group participants (enrolled to help guide the development of PIGSR) were patients at Vanderbilt University, thus excluding a number of perspectives that may exist both inside and outside the Nashville community. Our findings from the HudsonAlpha CSER project are preliminary; enrollment for genomic testing and quantitative and qualitative data collection is ongoing. This ongoing work, along with future studies involving genomic sequencing among other populations will shed additional light on the issues addressed in this report.

Like other methods for eliciting preferences regarding genomic secondary results, the development of PIGSR involved a number of trade-offs. To attain a brief and straightforward instrument, we knowingly excluded several items that are important to some persons undergoing genomic testing, including some participants in our focus groups and semistructured interviews. Our ongoing research will help reveal the implications of these trade-offs.

### Conclusion

PIGSR is a brief tool used to allow adults undergoing genomic testing to record their preferences about getting incidental or secondary results. It is designed to be completed prospectively by patients or research participants without the assistance of a health-care provider and to guide computer-based analysis of genomic raw data. Ongoing research will help reveal the strengths and weaknesses of this approach and identify contexts in which this method can be used effectively to elicit preferences and guide the return of secondary genomic results. PIGSR is freely available online at <http://www.PIGSR.org> under a Creative Commons license.

### SUPPLEMENTARY MATERIAL

Supplementary material is linked to the online version of the paper at <http://www.nature.com/gim>

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## DISCLOSURE

The authors declare no conflict of interest.

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