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Field-induced water electrolysis switches an oxide semiconductor from an insulator to a metal

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Water is composed of two strong electrochemically active agents, H^+ and OH^- ions, but has not been used as an active electronic material in oxide semiconductors. In this study, we demonstrate that water-infiltrated nanoporous glass electrically switches an oxide semiconductor from insulator to metal. We fabricated a field-effect transistor structure on an oxide semiconductor, $SrTiO_3$, using water-infiltrated nanoporous glass—amorphous $12CaO \cdot 7Al_2O_3$ —as the gate insulator. Positive gate voltage, electron accumulation, water electrolysis and electrochemical reduction occur successively on the $SrTiO_3$ surface at room temperature. This leads to the formation of a thin (~ 3 nm) metal layer with an extremely high electron concentration (10^{15} – 10^{16} cm^{-2}), which exhibits exotic thermoelectric behaviour. The electron activity of water as it infiltrates nanoporous glass may find many useful applications in electronics or in energy storage.

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Water has not been utilized as an active electronic material though water has been widely applied in industry as a coolant (radiators), solvent (batteries) and pressure medium (hydroelectric power generation). We have previously aimed to exploit the electrolysis of water¹. Although the electrical conductivity of pure water is extremely low ($\sim 0.055 \mu\text{S cm}^{-1}$ at 25°C)², ionization of H^+ and OH^- ions occurs when the bias voltage ($>1.23\text{ V}$) is applied between two metallic electrodes immersed in water, as shown in Figure 1a. The ions are then attracted to the cathode and anode. Finally, H_2 and O_2 gases are generated on the cathode and anode, respectively, via the electron transfer on the electrode surface. As H^+ and OH^- ions, which are strong reducing/oxidizing agents for most oxide semiconductors^{3–8}, are simultaneously produced in water electrolysis, one may expect that the electrical conductivity of an oxide semiconductor, which is strongly dependent on oxygen non-stoichiometry, can be modulated by utilizing the redox reaction between H^+/OH^- ions and the oxide surface. However, water electrolysis and the redox reaction do not take place, because no electric field can be applied on the insulating oxide surface in the first place. Thus, the surface of the oxide must be conductive, as schematically shown in Figure 1b.

We have found that water-infiltrated nanoporous glass overcomes this problem and switches an oxide semiconductor from an insulator to a metal. Electron accumulation, water electrolysis and redox take place successively on an oxide surface at room temperature (RT), leading to the formation of a thin metal layer on the oxide. We have fabricated a field-effect transistor (FET) structure with source, drain and gate electrodes on an insulating oxide, using water-infiltrated nanoporous glass as the gate insulator. First, the insulating oxide surface becomes slightly conductive by applying the gate voltage because of electrostatic charge accumulation. Then, water electrolysis occurs between the gate and the oxide surface. Finally, a redox reaction takes place between H^+/OH^- ions and the oxide surface. As a result, a thin metal layer is formed on an insulating oxide.

The key material to make the best use of the electron activity of water is nanoporous glass. We chose amorphous $12\text{CaO} \cdot 7\text{Al}_2\text{O}_3$ (*a*-C12A7) with a nanoporous structure for this purpose. C12A7 is an abundant and environmentally benign material. Crystalline C12A7 becomes semiconducting⁹ or metallic^{10,11} when the clathrated free oxygen ions (O^{2-}), which are incorporated into the cage structure ($\sim 0.4\text{ nm}$ in diameter), are removed by chemical reduction treatment. On the other hand, ‘amorphous’ C12A7 is a good electrical insulator, because amorphous C12A7 does not have a cage structure, we therefore ruled out the possibility of electrical conductivity of an

amorphous C12A7 film. As C12A7 can be hydrated easily¹², it is used commercially as a major constituent of aluminous cement. In 1987, Hosono and Abe¹³ found that a large amount of bubbles was generated in an *a*-C12A7 glass, when C12A7 melt was quenched under high oxygen pressure. In the present study, we develop a method to fabricate *a*-C12A7 film with nanoporous structure (CAN, hereafter) by pulsed laser deposition.

In this study, we show that water-infiltrated CAN electrically switches an oxide semiconductor from insulator to metal, using a CAN-gated FET structure on a SrTiO_3 single crystal (Fig. 2a) as a proof of concept. Although SrTiO_3 is a wide bandgap ($\sim 3.2\text{ eV}$) insulator, it becomes *n*-type conducting $\text{SrTiO}_{3-\delta}$ by appropriate reducing treatments⁵. Conducting SrTiO_3 , especially when it is a two-dimensional (2D) conductor^{14,15}, is of great importance as an active material for future electronic devices¹⁶, because it has several potential advantages over conventional semiconductor-based electronic materials, such as transparency¹⁷, giant magnetoresistance¹⁸ and giant thermopower¹⁹. On applying positive gate voltage to the CAN-gated SrTiO_3 FET, electron accumulation, water electrolysis and electrochemical reduction occur successively on the SrTiO_3 surface at RT. This leads to the formation of a thin ($\sim 3\text{ nm}$) metal layer with an extremely high electron concentration ($10^{15}\text{--}10^{16}\text{ cm}^{-2}$), which exhibits exotic thermoelectric behaviour.

Results

Water-infiltrated nanoporous glass (CAN). The trilayer structure composed of Ti (20 nm)/CAN (200 nm)/ SrTiO_3 is clearly observed in the cross-sectional transmission electron microscopic (TEM) image of the CAN-gated SrTiO_3 FET (Fig. 2b). Many brighter contrasts (diameter $\sim 10\text{ nm}$) are seen throughout the CAN region. Furthermore, several dark contrasts with a diameter $< 10\text{ nm}$ are observed in the Z-contrast, high-angle, annular dark-field scanning TEM (STEM) image of the CAN film (Fig. 2c). Judging from these TEM/STEM images, high-density nanopores with a diameter of $< 10\text{ nm}$ are incorporated into the CAN film.

We subsequently measured thermal desorption spectrum (TDS) of the CAN films to detect weakly bonded chemical species in the nanopores. Most of the desorbed species were H_2O ($m/z = 18$, where m/z indicates the molecular mass to charge ratio; Fig. 2d). The amount of H_2O up to 400°C was estimated to be $1.4 \times 10^{22}\text{ cm}^{-3}$, which corresponds to 41%. The bulk density of the CAN film was $\sim 2.1\text{ g cm}^{-3}$, evaluated by grazing incidence X-ray reflectivity (Supplementary Fig. S2), which corresponds to 72% of fully dense *a*-C12A7 (2.92 g cm^{-3})²⁰. From these results, we judged that moisture

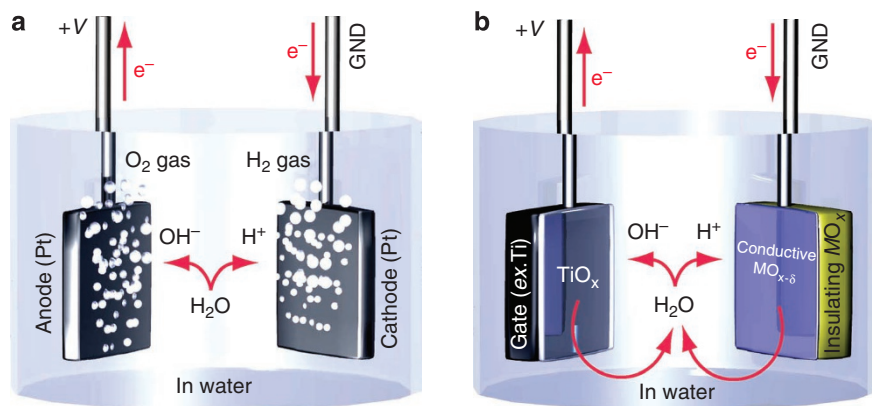


Figure 1 | Field-induced water electrolysis switches an oxide semiconductor from an insulator to a metal. (a) Simple water electrolysis with two Pt electrodes as the cathode and anode immersed in water. H^+ and OH^- ions, which are generated by the electrolysis, become H_2 and O_2 gases on the anode and cathode, respectively. (b) Water electrolysis with an insulating oxide MO_x , with a slightly conductive surface $\text{MO}_{x-\delta}$. Similar to a, H^+/OH^- ions are attracted to the $\text{MO}_{x-\delta}$, leading to the redox reaction between H^+/OH^- ions and the $\text{MO}_{x-\delta}$ surface. GND, ground.

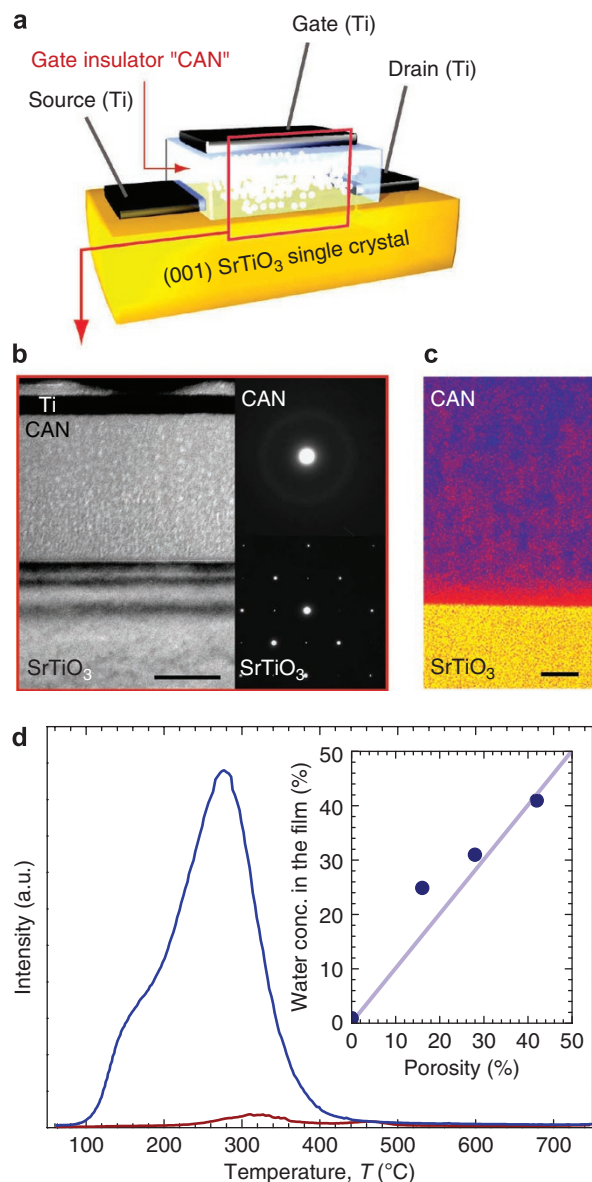


Figure 2 | The CAN-gated SrTiO₃ FET. (a) A schematic illustration of the CAN-gated SrTiO₃ FET. (b) Cross-sectional TEM image of the CAN-gated SrTiO₃ FET (left panel). Scale bar is 100 nm. Trilayer structure composed of Ti/CAN/SrTiO₃ is observed. Large amount of light spots are seen in the whole CAN region. Broad halo is observed in the selected area electron diffraction pattern of CAN, and diffraction pattern from SrTiO₃ single crystal is also shown below (right panel). (c) Z-contrast, high-angle, annular dark-field STEM image of the CAN/SrTiO₃ interface. Scale bar is 20 nm. Nanopores with diameter <10 nm appear dark. (d) TDS spectrum of water ($m/z=18$ H₂O) in the CAN film (blue). The amount of H₂O up to 400 °C was estimated to be $1.4 \times 10^{22} \text{ cm}^{-3}$ ($= 0.41 \text{ g cm}^{-3}$, ~41%). TDS spectrum in the dense α -C12A7 film is shown for comparison (red, 0.009 g cm^{-3}). The water concentration increases monotonically with an increase in the porosity of the CAN films (the inset).

in the air (humidity 40–50%) would infiltrate the CAN film most likely due to the capillary effect, hence, nanopores in the CAN film were filled with water.

Field-induced water electrolysis. We then measured the electron transport properties of the CAN-gated SrTiO₃ FET at RT. Figure 3 summarizes (a) drain current (I_d) versus gate voltage (V_g) curves,

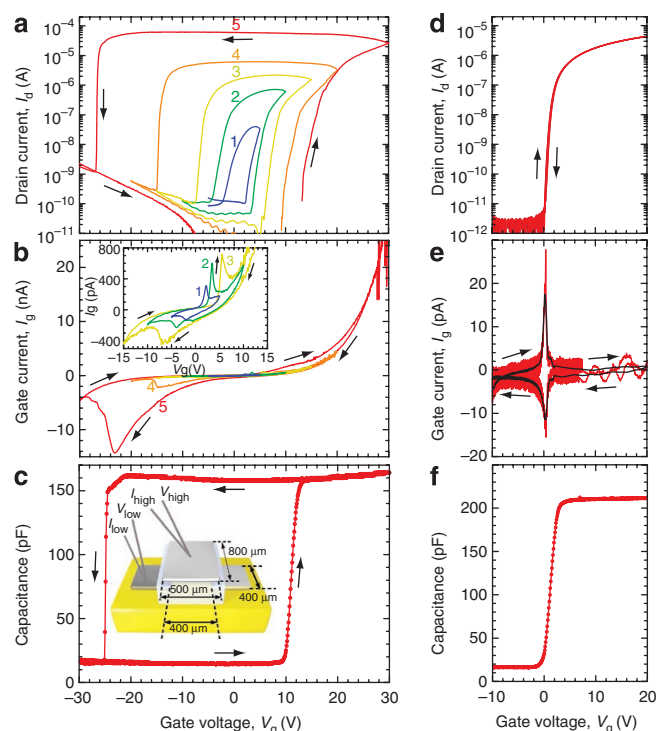


Figure 3 | Electron transport properties of the CAN-gated SrTiO₃ FET at RT. (a) I_d versus V_g , (b) I_g versus V_g and (c) C versus V_g , I_d versus V_g (d), I_g versus V_g (e), and C versus V_g curves (f) of the dense α -C12A7-gated SrTiO₃ FET are also shown for comparison. Both the channel length, L , and the channel width, W , are 400 μm . The gate voltage sweeps were performed in numerical order (for example: 1: $-5 \text{ V} \rightarrow 0 \text{ V} \rightarrow +5 \text{ V} \rightarrow 0 \text{ V} \rightarrow -5 \text{ V}$). (a) I_d versus V_g curves ($V_d = +2 \text{ V}$) show large anticlockwise hysteresis, although very small clockwise hysteresis is seen in the dense one (d, $V_d = +1 \text{ V}$). (b) I_g increases exponentially up to 20 nA with V_g , which is $\sim 10^4$ greater than that of the dense α -C12A7-gated SrTiO₃ FET (e, red: observed, grey: smoothed). (c) The C versus V_g curve shows a large anticlockwise hysteresis loop. The maximum C (frequency: 20 Hz) of the nanoporous α -C12A7-gated SrTiO₃ FET is $\sim 160 \text{ pF}$, $\sim 76\%$ of that of the dense α -C12A7-gated SrTiO₃ FET ((f) $\sim 210 \text{ pF}$).

(b) gate current (I_g) versus V_g curves, and (c) capacitance (C) versus V_g curve of the CAN-gated SrTiO₃ FET. Corresponding properties of the dense α -C12A7-gated SrTiO₃ FET²¹ (d, e, f) were also measured for comparison. Both the channel length, L , and the channel width, W , were 400 μm . Dielectric permittivity (ϵ_r) of α -C12A7 was 12²¹. The gate voltage sweeps were performed in numerical order (for example: 1: $-5 \text{ V} \rightarrow 0 \text{ V} \rightarrow +5 \text{ V} \rightarrow 0 \text{ V} \rightarrow -5 \text{ V}$). The I_d versus V_g curves (a) show large anticlockwise hysteresis, indicating movement of mobile ions²², though very small clockwise hysteresis ($\sim 0.5 \text{ V}$) is seen in the dense α -C12A7-gated SrTiO₃ FET (d). Although very small, I_g ($\sim 2 \text{ pA}$) is observed in the dense α -C12A7-gated SrTiO₃ FET (e), whereas the I_g of the CAN-gated SrTiO₃ FET (b) increases exponentially up to 20 nA with V_g , suggesting that mobile ions transport electronic charge. The C versus V_g curve (c) of the CAN-gated SrTiO₃ FET shows large anticlockwise hysteresis loop. The maximum C is $\sim 160 \text{ pF}$, $\sim 76\%$ of the value for the dense α -C12A7-gated SrTiO₃ FET ((f) $\sim 210 \text{ pF}$), consistent with the fact that the volume fraction of dense α -C12A7 part in the CAN film is $\sim 72\%$.

We also observed a clear pinch-off and saturation in I_d at low V_g region (see Supplementary Fig. S3), indicating that the operation of this FET conformed to standard FET theory at low gate voltage. Thus, we concluded that first the insulating SrTiO₃ surface became slightly conductive with gate voltage because of the

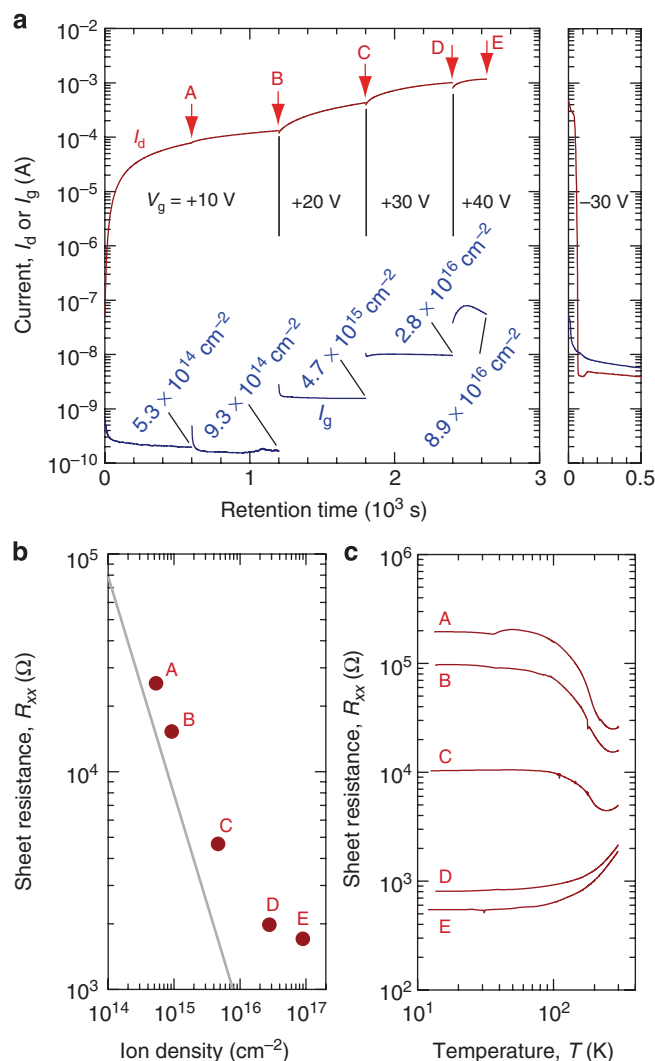


Figure 4 | A redox reaction switches an insulating SrTiO₃ to metal.

(a) Retention time dependences of I_d and I_g for the CAN-gated SrTiO₃ FET at several V_g at RT ($V_d = +2$ V). The I_d increases gradually with retention time at constant V_g . Ion density, which is the retention time integral of I_g , reaches $\sim 9 \times 10^{16} \text{ cm}^{-2}$ when $V_g = +40$ V is applied. (b) R_{xx} versus ion density for the state A-E marked in a at RT. The grey line (slope = -1) indicates $R_{xx} = (e \cdot n_{xx} \cdot \mu)^{-1}$, where n_{xx} and μ are ion density, and μ_{FE} ($0.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$), which was obtained as in Supplementary Figure S4b. (c) Temperature dependence of R_{xx} for the state A-E marked in Figure 4a.

electron charge that was accumulated at the SrTiO₃ surface by pure electrostatic effect.

To clarify the role of water in the electrical transport properties, we measured the I_d versus V_g characteristics of the CAN-gated SrTiO₃ FET at 0°C using a Peltier cooler, because H^+ and OH^- ions cannot move through ice (Supplementary Fig. S4). The device does not show such large anticlockwise hysteresis at 0°C . Although the maximum C increased from ~ 160 pF (25°C) to ~ 240 pF (0°C), I_d at $V_g = +10$ V decreased from $\sim 5 \mu\text{A}$ (25°C) to $\sim 1 \mu\text{A}$ (0°C), most likely because of the fact that water in the CAN acts as a simple gate dielectric at 0°C (ϵ_r $25^\circ\text{C} \sim 78$, ϵ_r $0^\circ\text{C} \sim 88$)²³. The field-effect mobility (μ_{FE}) value of the FET at 0°C is $\sim 0.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, which is comparable to that of the dense *a*-C12A7-gated SrTiO₃ FET ($\sim 2 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$), obtained from $\mu_{FE} = g_m((C \times V_d)/(W \times L))^{-1}$, where g_m was the transconductance $\partial I_d / \partial V_g$. We also measured Hall mobility (μ_{Hall}) of a CAN-gated SrTiO₃ FET after several V_g applications (metallic state) and obtained μ_{Hall} values of 2.3 – $2.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, which is approximately

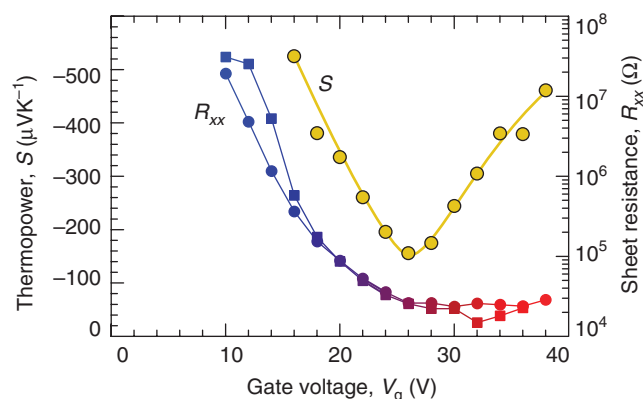


Figure 5 | Thermopower and sheet resistance as a function of applied V_g .

Novel thermopower behaviour: V-shaped turnaround of S is seen, though R_{xx} (circle: before S measurement, square: after S measurement) decreases gradually as V_g increases, probably due to transition of electronic nature from 3D to 2D in the interface region.

three times larger than the μ_{FE} value ($\sim 0.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$). These results clearly indicate that H^+ and OH^- ions in the CAN are the main contributors to electron transport at RT.

Insulator-to-metal switching. We then observed the electrochemical redox reaction of SrTiO₃. Figure 4a shows the changes of I_d and I_g during V_g sweep from $+10$ to $+40$ V, as a function of retention time. The I_d gradually increases with retention time. The rate of I_d increase grows with V_g . The I_g also increases with V_g . The I_d reaches ~ 1 mA at $V_g = +40$ V. The I_d decreases drastically when negative V_g of -30 V is applied.

The sheet resistance (R_{xx}) at several points (A–E) was plotted as a function of ion density, which was obtained by $I_g \times t$ (Fig. 4b). The observed values were close to the grey line, which is $R_{xx} = (e \cdot n_{xx} \cdot \mu)^{-1}$, where n_{xx} and μ are ion density, and μ_{FE} ($0.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$) was obtained as in Supplementary Figure S4b, suggesting that carrier electrons were generated as a result of the electrochemical reduction of SrTiO₃. Although samples A–C exhibit insulating $R_{xx} - T$ behaviour, D and E exhibit metallic $R_{xx} - T$ behaviour (Fig. 4c).

Exotic thermopower. We have also shown that the metal layer on the SrTiO₃ in the FET exhibits novel thermoelectric behaviour: V-shaped turnaround of S is seen, although R_{xx} decreases gradually as V_g increases (Fig. 5). As we have reported previously, $|S|$ value enhancement of electron-doped SrTiO₃ can be observed when the conducting layer thickness is < 3 nm¹⁹, probably because of 2D effect²⁴, where the thermal de Broglie's wavelength of conduction electrons in SrTiO₃ is ~ 6 nm²⁵. We therefore expected that 2D $|S|$ can be observed at high V_g , because the layer thickness may become thinner.

When the V_g was $< +26$ V, $|S|$ value gradually decreased with V_g . Similar $|S|$ behaviour, which can be analysed using simple three-dimensional (3D) electron diffusion theory, was also observed in the dense *a*-C12A7-gated SrTiO₃ FET, as shown in Supplementary Figure S5. Thus, we used the 3D electron diffusion theory²⁶ to analyse the layer thickness. The 3D electron concentrations (n_{3D}) at $V_g = +16$, $+20$ and $+26$ V were estimated to be $\sim 1.5 \times 10^{19}$, 1.5×10^{20} and $\sim 1.1 \times 10^{21} \text{ cm}^{-3}$, respectively. The n_{xx} values at $V_g = +16$, $+20$ and $+26$ V, which can be calculated using R_{xx} and μ_{FE} ($0.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$), are 1.4×10^{13} , 9.0×10^{13} and $3.3 \times 10^{14} \text{ cm}^{-2}$, respectively, suggesting that the SrTiO_{3- δ} thicknesses ($\sim n_{xx}/n_{3D}$) are ~ 9 , 6 and 3 nm, respectively, confirming that the observed S obeys simple 3D electron diffusion theory. On the contrary, when the $V_g > 26$ V was applied, the $|S|$ value increased. The conducting layer thickness

may be < 3 nm, the observed upturn at $V_g > 26$ V is most likely due to 2D effect^{19,24}.

Discussion

The present CAN-gated SrTiO₃ FET has several merits compared with established methods such as thermal reduction⁵, ion irradiation^{17,27}, thin film growth^{14,15,17,18} and simple FET^{21,28}, which are often utilized to switch an insulating SrTiO₃ to a metal. This is because the current method allows a metal layer to be easily fabricated with extremely low energy. First, required electricity is extremely less ($\sim 50 \mu\text{W cm}^{-2}$ for 'D' in Fig. 4) compared with that of thermal reduction ($\sim \text{kW}$) and ion implantation ($\sim \text{W cm}^{-2}$). Second, an extremely thin (~ 3 nm) metal layer, which exhibits exotic thermopower (Fig. 5), can be fabricated. Normally, such thin layers must be fabricated through complicated vapour phase epitaxy methods, such as pulsed laser deposition and molecular beam epitaxy. Third, our CAN-gated SrTiO₃ FET exhibits a nonvolatile metal or highly conductive/insulator transition (Figs 3a and 4a and Supplementary Fig. S6), because a reversible redox reaction is utilized in addition to the field effect.

Although several efficient gating methods using liquid electrolytes have been proposed very recently^{28–31}, we would like to argue that the present water-infiltrated nanoporous glass 'CAN' is truly superior to the liquid-based gate dielectrics. Liquid electrolytes including 'gel' would be very useful to electrostatically accumulate carriers at the transistor channel by applying rather low V_g (a few volts) using their huge capacitance. However, they would not be suitable for practical applications without sealing because of liquid leakage problem. Our 'CAN' is a chemically stable rigid glassy solid with a higher decomposition voltage, showing excellent adhesion with chemically robust oxide such as SrTiO₃ surface and no liquid (water) leakage occurs. Carrier injection/discharge can be controlled by V_g as redox reaction, which occurs at the interface of H^+ or OH^- /semiconductor, is utilized for carrier injection/discharge, though rather high V_g (several ten volts) should be required for Redox reaction. Further, we observed novel thermopower behaviour: V-shaped turnaround, probably due to transition of electronic nature from 3D to 2D in the interface region, as the FET could be operated at high V_g . These clearly indicate the effectiveness of our 'CAN'.

In summary, we have demonstrated that water, when it infiltrates nanoporous glass, can switch an insulating oxide to metal. As an example, we have built a FET on an insulating oxide, SrTiO₃, using water-infiltrated nanoporous glass, amorphous $12\text{CaO} \cdot 7\text{Al}_2\text{O}_3$ with nanoporous structure 'CAN' as the gate insulator. First, the insulating SrTiO₃ surface became slightly conductive with gate voltage because of electrostatic charge accumulation. Then, H^+/OH^- ions were generated due to water electrolysis occurring between the gate and the SrTiO₃ surface. Subsequently, a redox reaction took place between H^+/OH^- ions and the SrTiO₃ surface. As a result, a thin metal (~ 3 nm) layer with extremely high electron concentration of 10^{15} – 10^{16} cm^{-2} was formed on the insulating SrTiO₃. The electron activity of water, as it infiltrates nanoporous glass 'CAN', may find many useful applications in electronics or energy storage.

Methods

Fabrication of the CAN-gated SrTiO₃ FET. The FET structures (Fig. 2a) were fabricated on the (001)-face of SrTiO₃ single crystal plate ($10 \times 10 \times 0.5$ mm, SHINKOSHA), treated in NH_4F -buffered HF (BHF) solution³². First, 20-nm-thick metallic Ti films, used as the source and drain electrodes, were deposited through a stencil mask by electron beam evaporation (base pressure $\sim 10^{-4}$ Pa, no substrate heating/cooling) onto the SrTiO₃ plate. Ohmic contact between the Ti and SrTiO₃ surface was confirmed by conventional I - V characteristics (output characteristics), as shown in Supplementary Figure S3b. Then, a 200-nm-thick CAN film was deposited through a stencil mask by pulsed laser deposition (KrF excimer laser, fluence $\sim 3 \text{ J cm}^{-2}$ per pulse) at RT using dense polycrystalline C12A7 ceramic as target. During the CAN deposition, the oxygen pressure in the deposition chamber was kept at 5 Pa. Finally, a 20-nm-thick metallic Ti film, used as the gate electrode, was deposited through a stencil mask by electron beam evaporation.

Analysis of the CAN films. The bulk density and thickness of the CAN films were evaluated by grazing incidence X-ray reflectivity (ATX-G, Rigaku). Microstructures of the CAN films were observed by using TEM (JEOL JEM-2010, acceleration voltage = 200 kV) and aberration-corrected STEM (JEOL JEM-2100F, acceleration voltage = 200 kV). Water concentration in the CAN films was analysed by TDS measurements (TDS1200, ESCO). The TDS measurements were carried out in a vacuum chamber with the background pressure of $\sim 10^{-7}$ Pa at varied temperatures from 60 to 700 °C at a heating rate of 60 °C per min.

Electron transport properties. Electrical properties of the FETs were measured by using a semiconductor device analyser (B1500A, Agilent). The capacitance of the CAN layer on the FETs was measured using an LCR meter (4284A, Agilent). The thermopower (S) values were measured using two Peltier devices under the FET to give a temperature difference between the source and drain electrodes (Supplementary Fig. S7). Two thermocouples (K-type) located at both ends of the channel were used for monitoring the temperature difference (ΔT , 0–5 K). S values were measured after each V_g sweep (for example: V_g application $0 \text{ V} \rightarrow +16 \text{ V} \rightarrow 0 \text{ V} \rightarrow -S$ measurements).

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Author contributions

H.O. performed the sample fabrication, measurements and data analysis. Y.S., T.K., and Y.I. performed TEM/STEM analyses. S.W.K. supplied dense C12A7 ceramics. S.W.K., K.N. and H.H. contributed to water analyses. All authors discussed the results and commented on the manuscript. H.O. planned and supervised the project.

Additional information

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