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Probing the limits of plasmonic enhancement using a two-dimensional atomic crystal probe

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Abstract

Achieving larger electromagnetic enhancement using a nanogap between neighboring metallic nanostructures has been long pursued for boosting light–matter interactions. However, the quantitative probing of this enhancement is hindered by the lack of a reliable experimental method for measuring the local fields within a subnanometer gap. Here, we use layered MoS₂ as a two-dimensional atomic crystal probe in nanoparticle-on-mirror nanoantennas to measure the plasmonic enhancement in the gap by quantitative surface-enhanced Raman scattering. Our designs ensure that the probe filled in the gap has a well-defined lattice orientation and thickness, enabling independent extraction of the anisotropic field enhancements. We find that the field enhancement can be safely described by pure classical electromagnetic theory when the gap distance is no < 1.24 nm. For a 0.62 nm gap, the probable emergence of quantum mechanical effects renders an average electric field enhancement of 114-fold, 38.4% lower than classical predictions.

Introduction

Plasmonic field enhancement serves as one of the most attractive phenomena in nanophotonic systems for boosting light–matter interactions^{1,2}. This remarkable feature enables various advanced optical applications, including single-molecule surface-enhanced spectroscopy^{3–10}, enhanced nonlinearity^{11,12}, optical sensing^{13–15}, light–matter strong coupling¹⁶, and nanolasing¹⁷. Adjacent metallic nanostructures serve as a plasmonic antenna for efficient light harvesting and concentration, typically with orders of magnitude field enhancement in the gap region^{1,2,5,11}. To obtain higher plasmonic enhancement, the gap distance in a plasmonic antenna should be as narrow as possible, as predicted by Maxwell's equations.

However, as the gap distance reaches Ångström scale, further narrowing the gap distance will result in saturation or weakening of the plasmonic enhancement due to the appearance of nonlocal screening or electron tunneling^{18–25}. Thus, a nanogap antenna should have the optimum gap distance to reach its maximum plasmonic enhancement. However, the quantitative probing of this quantum-limited plasmonic enhancement remains a challenge task; previous demonstrations of the quantum mechanical effects within a tiny gap have typically relied on indirect measurements of far-field scattering spectra^{20,21,23}, which usually provides a good hint but is in principle different from the probing of the near-field enhancement.

Measuring the surface-enhanced Raman scattering (SERS) of probe molecules situated inside the gap area of a nanoantenna provides a convenient way of reporting the plasmonic enhancement, qualitatively^{26,27}. The reason is that, generally, the electromagnetic enhancement dominates the contributions of the measured SERS

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enhancement factor (EF), which approximately follows the fourth power of the local electric field enhancement²⁸. To date, quantitatively probing the plasmonic enhancement in a nanogap antenna by SERS still faces several difficulties. First, the gap distances between the nanostucture surfaces should be precisely controlled to the subnanometer length scale in three dimensions, a challenge for nanofabrication and characterization techniques. Second, the size of probe molecules (such as benzene derivatives) are usually similar with or even larger than the gap distance between closely separated nanostuctures, which increases the difficulty of inserting a probe into the “hotspot”. As a result, the number of molecules within the gap region, a key parameter in calculating the SERS EF, cannot be precisely determined. Third, the orientation of the probe molecules inside the gap region is difficult to control, preventing the alignment of molecular vibration with respect to the strongest plasmonic field component. If the probe molecules must lie down to squeeze into the gap, the measured SERS EF should be reduced due to the orthogonal orientation of molecular vibration and the local field, regardless of whether quantum mechanical effects are present.

Here, we develop a MoS₂ spaced nanoparticle-on-mirror (labeled as MoS₂-NPOM) plasmonic antenna system to overcome these drawbacks and to probe the limits of the plasmonic field enhancement by quantitative SERS. Single- and few-layer MoS₂ are used as a spacer, as well as a two-dimensional atomic crystal probe situated between a gold nanoparticle (AuNP) and an ultrasmooth gold film. The gap distance of the MoS₂-NPOM is precisely tuned by the number of layers of the MoS₂ interlayer in intervals of 0.62 nm (Fig. 1a). As a SERS probe, the MoS₂ interlayer is filled into the gap area with a fixed lattice orientation over the entire gap area. Incident light is effectively confined into this nanocavity, exciting a highly localized plasmonic mode with strong electric field intensity, which greatly enhances the lattice vibrations of the analyte MoS₂ (Fig. 1b). Based on these unique designs, we realize the quantitative probing of the vertical and horizontal field enhancements in a subnanometer gap antenna system by measuring the SERS enhancement of the out-of-plane and the in-plane lattice vibrations of the MoS₂, respectively. By redshifting the plasmon resonance peak to the excitation laser wavelength, the maximum surface-averaged SERS EF for each MoS₂-NPOM can be obtained quantitatively. This maximum SERS EF increases with the decrease in the layer number of MoS₂ and reaches a maximum value of 1.7×10^8 for the out-of-plane phonon modes, corresponding to a 114-fold enhancement in the vertical local field. Theoretical calculations based on pure classical Maxwell's descriptions can predict the measured plasmonic enhancement quite well when the gap separation is no < 1.24 nm, but they overestimate

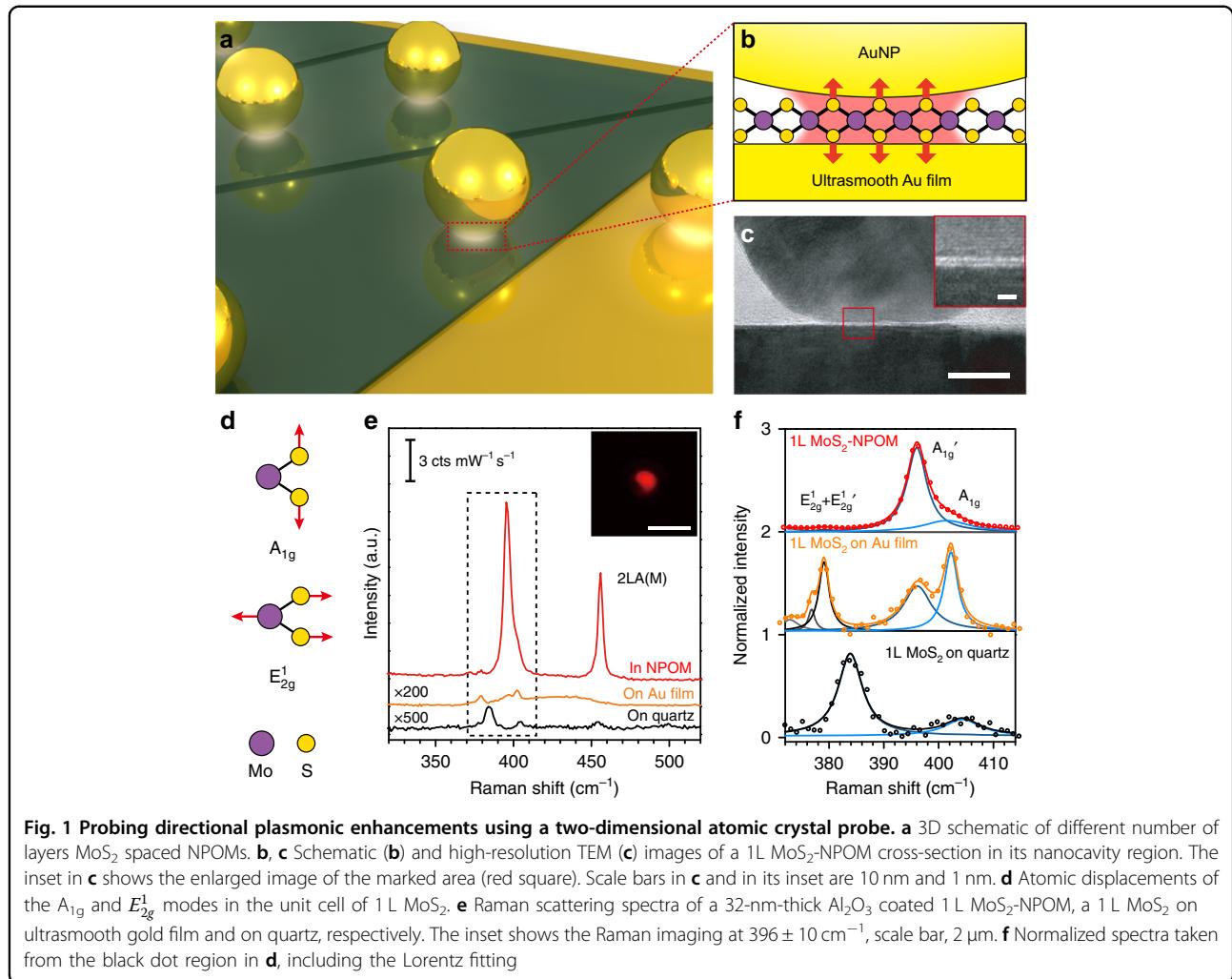
the field enhancement at shorter gap distances. These features of the narrow gap match well with the prediction of quantum-corrected model^{18,19,22}, suggesting the probable emergence of electron tunneling across the monolayer (1L) MoS₂. Our studies could enable further quantitative study of directional field enhancements in plasmonic systems using SERS, and could guide various applications, including surface-enhanced spectroscopy, plasmon-enhanced photon–phonon interaction^{29–31}, and Raman-based optomechanics^{32,33}.

Results

Single atomic layers as two-dimensional crystal probes

To quantitatively probe plasmonic enhancement using SERS, structural parameters that strongly affect SERS EF calculations should be defined as clearly as possible^{26,27}. First, the geometry of plasmonic antennas should be precisely characterized, particularly the exact shape of the nanogaps. The gap region of a typical 1L MoS₂-NPOM is displayed in transmission electron microscopy (TEM) cross-sectional images (Fig. 1c). The structure consists of an ultrasmooth gold film with 0.32 nm root-mean-square roughness (Supplementary Fig. S1), a 1L MoS₂ with a precisely defined thickness of 0.62 nm and a 50 nm AuNP (see Materials and Methods for sample fabrication). The atomic migration effect induced by the interaction between the Au and the MoS₂ flattens the bottom region of the AuNP³⁴, leading to an average facet size of 19.4 nm (Supplementary Fig. S2). The citrate molecules loosely covering the AuNP surface are nearly invisible to TEM, as the measured average gap distance of the nanocavity equals the thickness of the 1L MoS₂ (see detailed discussions in Supplementary Fig. S2). Therefore, the MoS₂-NPOM system provides a robust nanocavity that allows for the quantitative determination of the area of the “hotspot” and the gap distance.

Second, the SERS probes should lie exactly in the “hotspot”, with known orientations with respect to the nanogap axis. The NPOM geometry^{20,30,34–36} combined with a two-dimensional atomic crystal probe of MoS₂ can perfectly address these issues. On one hand, the MoS₂ probe uniformly fills the entire gap of the nanocavity, where the local field is maximized. The exact area of the MoS₂ probe (corresponding to the number of conventional probe molecules) inside the “hotspot” is naturally determined by the size of the nanocavity. On the other hand, the single atomic layer of MoS₂ has a definite lattice orientation such that its out-of-plane (A_{1g}) and in-plane (E_{2g}¹) lattice vibrations are aligned with the vertical and horizontal local fields of the MoS₂-NPOM, respectively (Fig. 1b-d). The MoS₂-NPOM enhanced Raman scattering intensity I_{SERS} can be expressed as $I_{\text{SERS}} \propto |\vec{\alpha}(\omega_R, \omega) \mathbf{E}(\omega)|^2$, where $\mathbf{E}(\omega)$ is the local electric field induced by the incident field, and $\vec{\alpha}(\omega_R, \omega)$ is the



Raman polarizability tensor. For 1L MoS₂, the expression reads as follows:

$$\begin{aligned} \tilde{\alpha}^E(\omega_R, \omega) &= \begin{pmatrix} \alpha_{xx}^E & \alpha_{xy}^E & 0 \\ \alpha_{yx}^E & \alpha_{yy}^E & 0 \\ 0 & 0 & 0 \end{pmatrix} \text{ and } \tilde{\alpha}^A(\omega_R, \omega) \\ &= \begin{pmatrix} \alpha_{xx}^A & 0 & 0 \\ 0 & \alpha_{yy}^A & 0 \\ 0 & 0 & \alpha_{zz}^A \end{pmatrix} \end{aligned}$$

with $\alpha_{xx}^E = \alpha_{xy}^E = \alpha_{yx}^E = -\alpha_{yy}^E$ for the E_{2g}¹ mode and $\alpha_{xx}^A = \alpha_{yy}^A$ for the A_{1g} mode³⁷. The equations immediately imply that the Raman intensity of the in-plane phonon E_{2g}¹ is solely determined by the horizontal local fields (E_x and E_y), whereas the out-of-plane phonon A_{1g} is contributed mainly by E_z because the vertical local field is dominant in the MoS₂-NPOM. Based on our further analysis (see Supplementary Note S1), the horizontal (vertical) plasmonic enhancement of the MoS₂-NPOM can be

quantitatively obtained by the SERS EF from the E_{2g}¹ (A_{1g}) phonon. Figure 1e shows the Raman spectra obtained from a 1L MoS₂ on quartz, a 1L MoS₂ on ultrasMOOTH gold film and a 1L MoS₂-NPOM with a 32-nm-thick Al₂O₃ surface coating. An enlarged view of the spectral region around the distinct phonons is shown in Fig. 1f to better compare the peak positions and shapes. When few-layer MoS₂ contacts well with the gold film, a doping effect and a local mechanical strain effect will occur^{38,39}. As a result, both the E_{2g}¹ and A_{1g} are softened, with two new peaks appearing near the red side of the E_{2g}¹ and the A_{1g}, labeled the E_{2g}¹' and A_{1g}' modes (Fig. 1f and Supplementary Fig. S3a). Based on handedness-resolved Raman measurements (Supplementary Fig. S3), the E_{2g}¹' (A_{1g}') is considered split from the E_{2g}¹ (A_{1g}). Therefore, we sum the E_{2g}¹' (A_{1g}') and E_{2g}¹ (A_{1g}) and label them E_{2g}¹+E_{2g}¹' (A_{1g}+A_{1g}') modes in the following analysis. Comparing the Raman spectra of the 1L MoS₂-NPOM with that of the 1L MoS₂ on an ultrasMOOTH gold film, we find that both the A_{1g}+A_{1g}' and E_{2g}¹+E_{2g}¹' are largely enhanced in

the MoS₂-NPOM, whereas the spectral intensity of the former is approximately two orders of magnitude higher than that of the latter (Fig. 1e). These results guarantee the validity of using the E_{2g}¹+E_{2g'}¹ and A_{1g}+A_{1g'} phonons in probing the horizontal and vertical field enhancements in the MoS₂-NPOM nanocavity (Supplementary Note S1). Raman imaging of the A_{1g}+A_{1g'} (396 ± 10 cm⁻¹) shows a bright spot at the position of the AuNP, confirming that the enhancement originates from the MoS₂-NPOM nanocavity (the inset of Fig. 1e).

Far-field and near-field analysis of MoS₂-NPOM antenna

To optimize the SERS efficiency, the polarization of incident light should be aligned with the nanogap axis. In the NPOM geometry^{20,30,34–36}, the nanoparticle interacts with its electromagnetic image induced under the metallic mirror, in analogy with a nanoparticle dimer antenna with a fixed nanogap axis along the surface normal. In our SERS measurements, the MoS₂-NPOM is excited by a slightly focused 785 nm laser beam illuminating from the side with an angle of 80° from the normal to the sample (see Materials and methods and Supplementary Fig. S4a). Here, the incident light with polarization parallel to the incident plane, labeled p-polarized light, is expected to excite the nanogap plasmons efficiently. To clarify the contributions from the plasmonic gap modes excited in the SERS measurements, we performed dark-field scattering measurements on the MoS₂-NPOMs using the same configuration, except with the laser replaced with white light (Materials and methods, the inset of Fig. 2a and Supplementary Fig. S4b).

Figure 2a shows the dark-field scattering spectra of the same 1L MoS₂-NPOM shown in Fig. 1e with un-polarized, p-polarized, and s-polarized incident light. The spectra manifest two peaks at ~785 nm, which are labeled M mode (743 nm) and D mode (798 nm). The M mode can be activated by both p- and s-polarized light, whereas the D mode can only be excited under p-polarized excitation. The abovementioned far-field behaviors of the D and M modes are also confirmed by the corresponding simulated polarization-dependent absorption spectra with a similar excitation configuration (Materials and Methods and Fig. 2b). The insets of Fig. 2b show the surface charge distributions of the D (M) mode excited by p- (s-) polarized light. For the D mode, one charge is localized on the entire bottom facet, and the opposite charge is distributed on the remaining part of the AuNP surface, as well as on the gold film surface beneath the AuNP. This charge pattern, which can only be activated by p-polarized light, suggests that the D mode is a strongly radiating antenna mode^{2,40}. In the case of the M mode, whereas the top surface of the AuNP is left nearly blank, both positive and negative charges are mainly

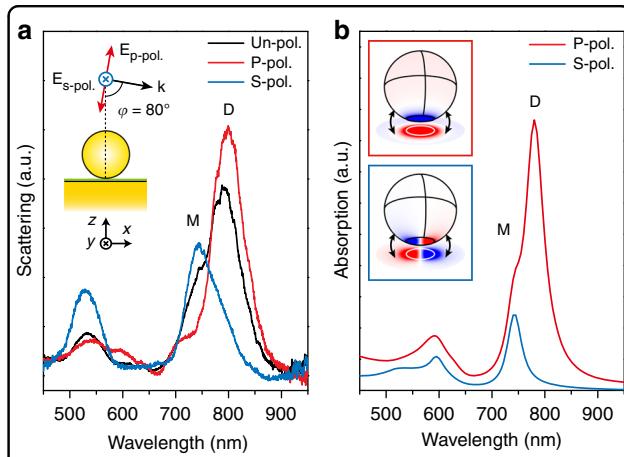


Fig. 2 Plasmonic modes analysis. **a, b** Measured dark-field scattering (a) and simulated absorption (b) spectra of a 1L MoS₂-NPOM with 32-nm-thick Al₂O₃ surface coating excited by oblique incident white light with different polarizations. The inset in a shows the excitation configuration both applied in experiments and simulations. The inset in b with red (blue) square shows the surface charge distribution at the D (M) peak excited by p- (s-) polarized light, where the nanocavity is plotted with opened geometry in order to see the charges clearly (black arrows)

concentrated on the two halves of the AuNP's bottom facet with a blank node at the centre. A similar pattern with opposite charges is induced on the surface of the subjacent gold mirror. The M mode can be understood as the lowest frequency cavity mode^{15,40,41}, which appears in parallel flat terminals formed in the MoS₂-NPOM geometry. This tightly confined charge distribution in the gap region makes the M mode insensitive to the refractive index change on top of the AuNP but ultrasensitive to that inside the nanocavity¹⁵.

The electric field distributions of the 1L MoS₂-NPOM at 785 nm excited by p-polarized and s-polarized light are shown in Fig. 3a, b. For p-polarization excitation, both the D and M modes are excited, but the latter shows greater detuning with respect to the laser wavelength. The modes provide intense electric field enhancement, with a maximum enhancement of 240-fold, where the contribution of the M mode is negligible in the SERS process. For s-polarization excitation, the D mode cannot be excited, while the M mode can still be effectively excited, providing a 20-fold maximum electric field enhancement. The results agree well with the measured polarization-dependent SERS from the MoS₂-NPOMs shown in Supplementary Fig. S4d. We determined the average vertical (horizontal) plasmonic enhancement $\bar{g}_z(\bar{g}_{xy})$ based on the approximation that the SERS EF is proportional to the fourth order of the local electric field enhancement in the MoS₂-NPOM²⁸. Specifically, The enhancement is associated with the surface-averaged vertical or horizontal SERS EF

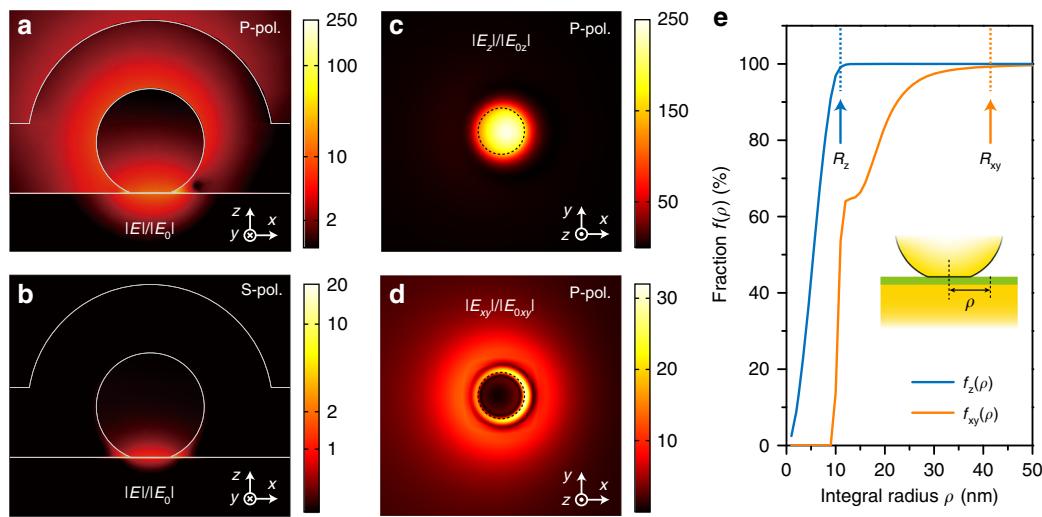


Fig. 3 Local field distributions of MoS₂-NPOM and effective areas of MoS₂ probe. **a, b** Electric field distributions at 785 nm of a 32-nm-thick Al₂O₃ coated 1 L MoS₂-NPOM excited by p-polarized (**a**) and s-polarized (**b**) light. The MoS₂-NPOM cross-sections are taken from a plane 5 nm away from the xz plane. **c, d** Vertical (**c**) and horizontal (**d**) components of the electric field distribution in the 1 L MoS₂-NPOM with p-polarization excitation. **e** Fraction $f_z(f_{xy})$ as a function of integral radius $\rho_z(\rho_{xy})$. The inset in **e** shows the definition of integral radius ρ . The effective vertical (horizontal) local field area $\pi R_z^2(\pi R_{xy}^2)$ is determined by setting $\rho_z = R_z$ ($\rho_{xy} = R_{xy}$) for $f_z(R_z) = f_{xy}(R_{xy}) = 1 - e^{-5}$ (~99.3%)

as follows (see details in Supplementary Note S1):

$$\overline{EF}_z = \frac{I_{SERS}^A / S_{SERS}^z}{I_{Ref}^A / S_{Ref}} \approx |\bar{g}_z|^4 \quad (1)$$

$$\overline{EF}_{xy} = \frac{I_{SERS}^E / S_{SERS}^{xy}}{I_{Ref}^E / S_{Ref}} \approx |\bar{g}_{xy}|^4 \quad (2)$$

where I_{SERS}^A (I_{SERS}^E) and S_{SERS}^z (S_{SERS}^{xy}) are the Raman scattering intensity and the effective “hotspot” area of the A_{1g}+A_{1g}' ($E_{2g}^1+E_{2g}^1'$) in the MoS₂-NPOM, and I_{Ref}^A (I_{Ref}^E) and S_{Ref} are the Raman scattering intensity and the excitation area of the A_{1g} (E_{2g}^1) for the same layer MoS₂ on quartz. S_{Ref} is established as the collection area (~3.2 μm^2) because the excitation beam is larger than the collection area in our experiments. Because the “hotspot” dominates the SERS signal, the effective local field areas S_{SERS}^z and S_{SERS}^{xy} should be much smaller than the collection area and can be determined based on the local field distribution of the MoS₂-NPOM. Figure 3c, d shows the vertical and horizontal electric field distributions of a MoS₂-NPOM with structural parameters corresponding to those in Fig. 1. The results suggest that the vertical local field is mostly localized in the area below the AuNP’s circular bottom facet and decays rapidly outside the nanocavity region (Fig. 3c). In contrast, the horizontal local field is distributed almost entirely outside the facet region, with only 9.7% SERS EF contributing from the area directly below the bottom facet of the AuNP and the rest from the outer region with a longer decay length (Fig. 3d). Here, the S_{SERS}^z (S_{SERS}^{xy}) is treated as a circular area with radius R_z (R_{xy}), which can be calculated by defining a fraction $f_z(f_{xy})$

as the ratio of the vertical (horizontal) SERS EF contributed from a circular area $\pi\rho_z^2$ ($\pi\rho_{xy}^2$) centered at the nanogap region (the inset of Fig. 3e) to that from the collection area (considered as infinity):

$$f_z(\rho_z) = \int_0^{\pi\rho_z^2} |E_z|^4 / |E_{0z}|^4 ds / \int_0^\infty |E_z|^4 / |E_{0z}|^4 ds \quad (3)$$

$$f_{xy}(\rho_{xy}) = \int_0^{\pi\rho_{xy}^2} |E_{xy}|^4 / |E_{0xy}|^4 ds / \int_0^\infty |E_{xy}|^4 / |E_{0xy}|^4 ds \quad (4)$$

where E_z (E_{xy}) and E_{0z} (E_{0xy}) are the vertical (horizontal) electric components of the local and incident field, respectively. $f_z(f_{xy})$ is depicted as a function of $\rho_z(\rho_{xy})$ in Fig. 3e, demonstrating that $f_z(f_{xy})$ saturates quickly with increasing $\rho_z(\rho_{xy})$. S_{SERS}^z can be obtained by setting $\rho_z = R_z$ for $f_z(R_z) = 1 - e^{-5}$ (~99.3%, see Fig. 3e), meaning that this probe area contributes the total SERS signal. In this case, the effective radius of the vertical local field area R_z is 11 nm, only slightly larger than the bottom facet radius of the AuNP. A similar procedure can be applied to the horizontal direction, which yields an effective radius R_{xy} of 42 nm. After inserting these values into equations (1) and (2), \overline{EF}_z (\bar{g}_z) and \overline{EF}_{xy} (\bar{g}_{xy}) can be determined in a straightforward manner.

Probing the limits of plasmonic enhancement by plasmon-scanned SERS

To obtain the maximum SERS signal, the plasmonic resonance wavelength of the nanoantenna should overlap

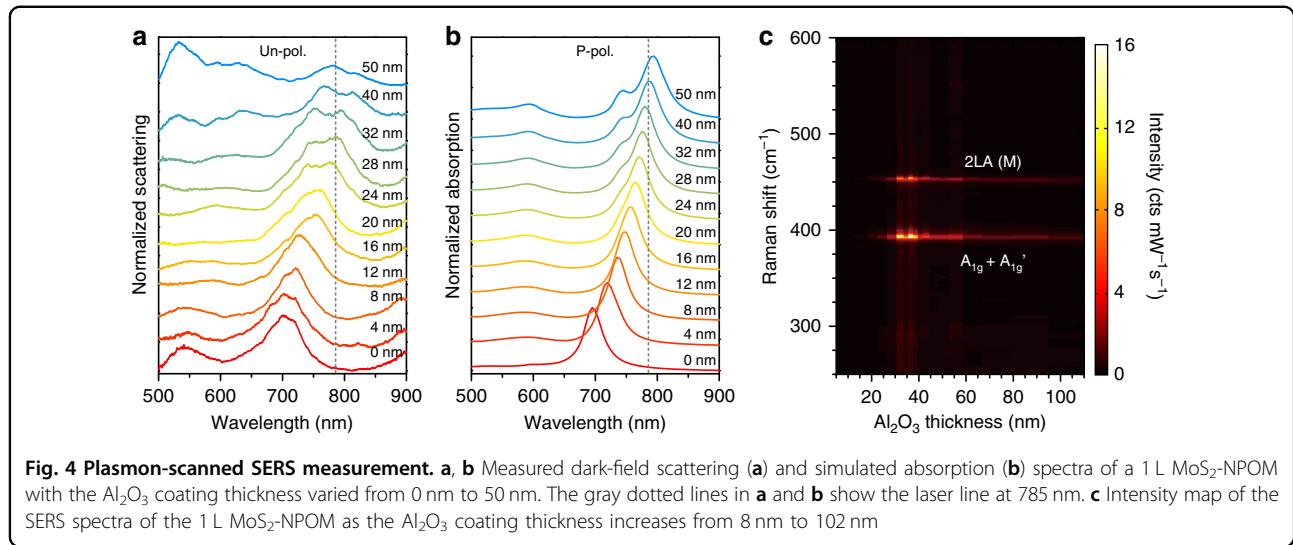


Fig. 4 Plasmon-scanned SERS measurement. **a, b** Measured dark-field scattering (**a**) and simulated absorption (**b**) spectra of a 1 L MoS₂-NPOM with the Al₂O₃ coating thickness varied from 0 nm to 50 nm. The gray dotted lines in **a** and **b** show the laser line at 785 nm. **c** Intensity map of the SERS spectra of the 1 L MoS₂-NPOM as the Al₂O₃ coating thickness increases from 8 nm to 102 nm

with the incident wavelength as well as the outgoing Raman wavelength. In experiments, satisfying this condition is nontrivial, e.g., by wavelength-scanned SERS spectroscopy^{7,25,42}. However, this technique requires expensive narrow-line lasers with multiple/tunable wavelengths and careful calibration schemes, preventing its widespread application. Here, we performed a plasmon-scanned SERS measurement to achieve a similar goal: plasmon resonance is gradually redshifted by successively depositing Al₂O₃ layers onto the sample surface to match the excitation laser (and the outgoing Raman light) at a fixed wavelength⁴³. The effect of the Al₂O₃ coating on the near-field distributions of the MoS₂-NPOM system are shown in Supplementary Fig. S5.

Dark-field scattering spectra of a 1 L MoS₂-NPOM with different Al₂O₃ coating thicknesses are shown in Fig. 4a. The D and M modes are overlapping at ~700 nm without the Al₂O₃ coating. The modes show continuous redshift and broadening as the thickness of the Al₂O₃ layer increases, resulting from the dielectric screening effect induced by the high refractive index layer. As the Al₂O₃ thickness exceeds 20 nm, the D and M modes start splitting into two peaks. The separation originates from the larger spectral shift of the D mode relative to that of the M mode in response to the equal-thickness dielectric coating. This feature can be understood as the result of the distribution of a higher proportion of surface charges on the outer AuNP surface for the D mode than for the M mode (the inset of Fig. 2b). This coating dependence of the resonance wavelength, together with the polarization-dependent dark-field spectra shown in Fig. 2, guarantees an unambiguous identification of the plasmonic resonances in experiments. For comparison, we calculated the corresponding far-field absorption spectra of the MoS₂-NPOM with p-polarization excitation (Fig. 4b, see the

corresponding scattering spectra in Supplementary Fig. S6). The results show similar redshift and broadening behaviors of the D peak, followed by the appearance of the M peak on the blue side of the D peak. The measured and simulated scattering spectra with an Al₂O₃ coating exceeds 50 nm; the results of further analysis are shown in Supplementary Fig. S6.

SERS measurements were performed after each Al₂O₃ coating under p-polarization excitation below 4 $\mu\text{W}/\mu\text{m}^2$ to avoid damage to the sample or possible nonlinear effects. SERS spectra from the same 1L MoS₂-NPOM are mapped in Fig. 4c, showing a pronounced enhancement of the spectral intensity when the wavelength of the plasmon resonance overlaps that of the excitation laser. For each Al₂O₃ coating, we can determine the corresponding peak position of the D mode, \overline{EF}_z and \overline{EF}_{xy} via the dark-field scattering spectrum, equations (1) and (2), respectively. Then, \overline{EF}_z and \overline{EF}_{xy} can be plotted as a function of the resonance wavelength of the D mode, as shown in Fig. 5a. \overline{EF}_z increases rapidly as the D peak wavelength approaches the excitation wavelength and then reaches the maximum value of 1.5×10^8 when the D peak wavelength is between the incoming laser wavelength (785 nm) and the outgoing Raman wavelength (~810 nm). \overline{EF}_z drops quickly when the D peak is red-detuned to the Raman wavelength. This D-peak-scanned \overline{EF}_z profile almost follows the far-field shape of the D mode (Fig. 5a). The corresponding results in the horizontal direction show similar behavior, but the maximum \overline{EF}_{xy} is 6.3×10^4 , which is ~2400-fold weaker than that of \overline{EF}_z . Similar performances are also observed in the statistical values of \overline{EF}_z and \overline{EF}_{xy} in response to the D peaks (Fig. 5b) obtained from 17 different 1L MoS₂-NPOMs (Supplementary Fig. S7). As the gap distance in our MoS₂-NPOM system is precisely determined by the

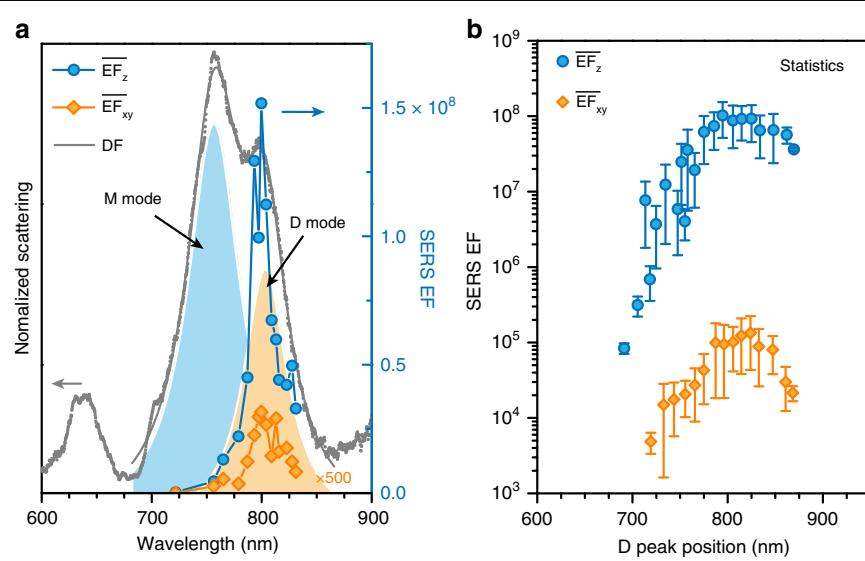


Fig. 5 Vertical and horizontal SERS EFs in response to the D peak position. **a** \overline{EF}_z and \overline{EF}_{xy} of a 1 L MoS₂-NPOM in response to the resonance wavelength of its D mode, along with its dark-field (DF) scattering spectrum at 36-nm-thick Al₂O₃ coating (where the \overline{EF}_z reaches maximum). The light orange (blue) shadow area represents the far-field shape of the D mode (M mode) by fitting the DF scattering spectrum with Lorentz curves. **b** Statistical average of the \overline{EF}_z and \overline{EF}_{xy} as a function of the D peak position, obtained from 17 individual 1 L MoS₂-NPOMs. The error bars represent the standard deviations of the \overline{EF}_z and \overline{EF}_{xy} calculated by averaging the data points of the D peak dependent \overline{EF}_z and \overline{EF}_{xy} with 5 nm wavelength a step

thickness of the MoS₂, the error bars of \overline{EF}_z mainly originate from the derivation of the diameter and the bottom facet size from different measured AuNPs (Supplementary Fig. S2 and Supplementary Fig. S8). It should be noted that the maximum SERS EFs of several 1L MoS₂-NPOMs are not included in Fig. 5b because their D peaks become invisible in the dark-field spectra as the Al₂O₃ coating thickness exceeds \sim 70 nm (see details in Supplementary Fig. S6).

Classical electromagnetic simulations predict a smooth curve for the Al₂O₃ thickness-dependent \overline{EF}_z (Supplementary Fig. S9), whereas curves in Fig. 5a contain one or several dips near the peak region. A similar feature is observed in other MoS₂-NPOMs (Supplementary Fig. S7) and the previous wavelength-scanned SERS measurement results^{7,25,42}. In conventional single-molecule SERS experiments, large-intensity fluctuation is a typical observation, due to the possible random movements or photodamage of the probe molecules around the 'hotspot'. In contrast, benefiting from the higher photodamage threshold of the two-dimensional atomic crystal and its strict lattice arrangement in the 'hotspot', the SERS spectra of our MoS₂-NPOM system exhibit high stability and repeatability over time, demonstrating 4.3% intensity fluctuation over 150 minutes (Supplementary Fig. S10a). Therefore, we could exclude the possibility of large-intensity fluctuation during the SERS measurements. Power-dependent SERS

measurements show a linear relationship between the incident power and the SERS intensity (Supplementary Fig. S10b), indicating that the process is unlikely to be a stimulated Raman scattering one. Another possible explanation is associated with the phonon nature of the Raman scattering, which may also involve an optomechanical mechanism^{32,33,44}. Further studies are required to clarify this feature, which is beyond the scope of the current work.

Plasmon-scanned SERS measurement can obtain the maximum SERS enhancement of an individual MoS₂-NPOM, thus enabling quantitative probing of the limits of plasmonic enhancement in nanogaps. We repeated these measurements on six individual bilayer (2 L) MoS₂-NPOMs and four individual trilayer (3 L) MoS₂-NPOMs to obtain their maximum SERS EFs. The largest \overline{EF}_z values for 1 L, 2 L, and 3 L MoS₂ probes reach up to 5.1×10^8 , 1.7×10^8 , and 8.5×10^6 , respectively (Supplementary Fig. S7). The statistical average of the maximum \overline{EF}_z as a function of the gap distance is plotted in Fig. 6, showing that \overline{EF}_z rapidly increases as the gap distance narrows. For comparison, we first calculated the total SERS EFs using the E4 model (see Materials and method, and Supplementary Fig. S9), which can approximate the \overline{EF}_z due to the dominated field enhancement in the vertical direction. The measured and simulated \overline{EF}_z are in good agreement at gap distances of 1.24 nm and 1.86 nm. Thus, our measured \overline{EF}_z can be well described by the

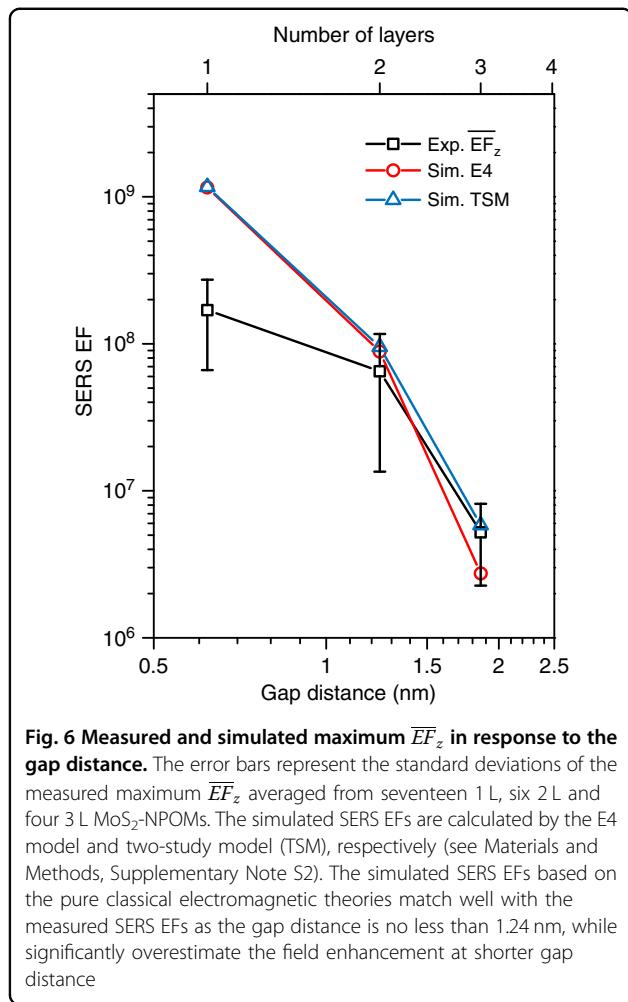


Fig. 6 Measured and simulated maximum \overline{EF}_z in response to the gap distance. The error bars represent the standard deviations of the measured maximum \overline{EF}_z averaged from seventeen 1 L, six 2 L and four 3 L MoS₂-NPOMs. The simulated SERS EFs are calculated by the E4 model and two-study model (TSM), respectively (see Materials and Methods, Supplementary Note S2). The simulated SERS EFs based on the pure classical electromagnetic theories match well with the measured SERS EFs as the gap distance is no less than 1.24 nm, while significantly overestimate the field enhancement at shorter gap distance

electromagnetic enhancement without introducing the concept of chemical enhancement applied in most SERS experiments²⁷ and thereby demonstrating the reliability of our designs in probing the plasmonic enhancement. However, this precise prediction starts to overestimate the measured plasmonic enhancement at narrower gap distances. As the gap distance decreases to 0.62 nm, the measured $\bar{g}_z \approx 114$ ($\overline{EF}_z = 1.7 \times 10^8$) becomes $\sim 38.4\%$ (~ 6.9 -fold) lower than the $\bar{g}_z \approx 185$ ($\overline{EF}_z = 1.17 \times 10^9$) predicted by the E4 model. Because the gap distance and the orientation of the probe in our antenna system are well-defined and robust, the reduction of the measured plasmonic enhancement in the subnanometer gap is most likely caused by the emergence of previously predicted quantum mechanical effects^{18,19,22}. Note that these values are the average values over the total “hotspot” area. The maximum out-of-plane plasmonic enhancement g_z^{max} (at the “hottest” position) can be obtained if the field distribution is precisely known. Taking the geometry variations in the experiments into account, the MoS₂-NPOM provides a satisfactory linear relationship between the

maximum and the surface-averaged SERS EFs: $EF_z^{max} = 3.24 \times 10^8 + 2.5\overline{EF}_z$ (Supplementary Fig. S8). Therefore, the measured EF_z^{max} (g_z^{max}) is evaluated to be as large as 4.93×10^8 (148).

To further clarify the issue regarding the quenching of the field enhancement, we also introduced a two-study model (TSM) to calculate the SERS EFs (Fig. 6) of the A_{1g} phonon in the MoS₂-NPOMs and the same layer MoS₂ on quartz (see Materials and Methods, Supplementary Note S2). Using a polarization current as the source at the Raman frequency, the emission enhancement of the MoS₂-NPOM can be fully captured⁴⁵. A key difference between molecule vibrations and lattice phonons is the coherence of the Raman signal from different locations, which is characterized by the correlation length. This effect can be qualitatively considered in the TSM by setting the diameter of the MoS₂ probe equal to the correlation length, as the polarization current is coherent within a MoS₂ sheet of finite size. Upon assuming the correlation length of the A_{1g} modes in 1 L, 2 L, and 3 L MoS₂ to be 24 nm, 28 nm, and 34 nm, the SERS EFs obtained by the TSM match those predicted by the E4 model very well (Fig. 6). These assumed correlation lengths are comparable with the measured value of ~ 30 nm for optical phonons in graphene⁴⁶. Additionally, the decrease in the correlation length with the decrease in the number of layers is reasonable because the 1 L MoS₂ may have local wrinkles that disturb the coherence of phonons. Therefore, we can conclude that as the gap distance exceeds 1.24 nm, the two models based purely on classical Maxwell's descriptions can predict the behaviors of the measured plasmonic enhancement quite well, but they start to overestimate the field enhancement at narrower gap distances. These performances regarding the narrow gap match well with the prediction of the quantum-corrected model, suggesting the probable emergence of electron tunneling across the 1 L MoS₂ layer.

Discussion

The horizontal SERS EFs measured by the E_{2g}¹ phonon in the MoS₂-NPOM are larger than the calculated results, which may originate from the gentle ripples in the MoS₂ layer, as a slight inclination would allow excitation of the in-plane phonons by the intense out-of-plane local electric field in the MoS₂-NPOM. One may also expect to observe the quenching of the plasmonic enhancement to be reproduced better by using the quantum-corrected model^{22,25}. This model is based on the introduction of a gap-distance-dependent tunneling conductivity, which is determined by a phenomenological fit to the data from full quantum mechanical calculations. However, in the MoS₂-NPOM system, the MoS₂ is doped by the gold film such that it is nontrivial to obtain the tunneling

conductivity. On the other hand, the comparisons between the experiments and the calculations based on the electromagnetic models are sufficiently clear to observe the quantum mechanical effects. Finally, although the MoS₂ probe can ensure the accuracy of the gap distance and the plasmonic enhancement, the limitation of the MoS₂-NPOM system is that the gap distance of the antenna can only be controlled stepwise in intervals of the crystal thickness. Graphene or boron nitride is in principle a promising alternative because it comprises a thinner single layer thickness.

In conclusion, we realized the quantitative probing of the vertical and horizontal SERS EFs of MoS₂-NPOM plasmonic antennas with a gap distance reaching down to a well-defined subnanometer scale. Layered two-dimensional MoS₂ crystals with strict lattice arrangement were designed as probes inserted into the "hotspot" region to create robust and uniform gaps with intervals of 0.62 nm. Furthermore, directional plasmonic enhancements were extracted by the SERS enhancement of the out-of-plane and in-plane phonons in the MoS₂ probe. By implementing the plasmon-scanned SERS measurements, we obtained the maximum vertical SERS EF in response to the gap distance that falls within the quantum-limit region. By closely comparing these experiment results with the calculations obtained by two electromagnetic models, we find that the SERS EF of $\sim 10^8$ for gap distances greater than 1.24 nm can be safely described by pure classical electromagnetic theory. For a 0.62 nm gap, the probable emergence of quantum mechanical effects yields a maximum electric field enhancement of 114-fold, 38.4% lower than the classical predictions. Our results provide important insight into advanced applications that rely on optimizing plasmonic enhancement, i.e., single-molecule detections and light-matter strong coupling. We also anticipate that our unique designs could provide an important guide for further understanding quantum mechanical effects as well as plasmon-enhanced photon-phonon interactions and promoting relevant new applications, such as quantum plasmonics and nanogap optomechanics.

Materials and methods

Sample fabrication

To develop MoS₂-NPOM antennas, 1 L, 2 L, and 3 L MoS₂ layers were exfoliated from bulk MoS₂ crystals (SPI Supplies) onto an ultrasmooth gold film. The ultrasmooth gold film was fabricated using the template stripping method⁴⁷. Briefly, a 200-nm-thick gold film was evaporated on silicon wafers, then glued to glass slides using optical epoxy (Norland Optical Adhesive 61) via 15 min of UV irradiation. After peeling off the silicon wafer, we obtained a fresh surface of the ultrasmooth gold film. The number of MoS₂ layers was determined

by the contrast of optical images and Raman and photoluminescence spectroscopy. Next, 50 nm citrate-capped AuNPs in aqueous solution (BBI Inc.) were drop-coated onto the area containing the 1 L, 2 L, and 3 L MoS₂; the sample was then rinsed with deionized water, allowed to sit for 1 min and dried with nitrogen gas. Then, the sample was annealed in a vacuum chamber at 120 °C for 8 h. Finally, Al₂O₃ layers were grown on the sample surface with thicknesses varying from 4 nm to 102 nm using atomic layer deposition at 120 °C. The individual MoS₂-NPOMs were identified by dark-field scattering microscopy and finally verified by scanning electron microscopy (SEM) after all optical measurements (Supplementary Fig. S6).

SERS spectroscopy

The setup for SERS measurements is shown in Supplementary Fig. S4a. A 785 nm continuous-wave laser was directed through an analyser, a half-wave plate and a cycle aperture, then loosely focused onto the sample by a doublet lens ($f = 25$ mm) with an angle 80° from the normal to the sample, forming a $\sim 2500 \mu\text{m}^2$ elliptical beam. The Raman scattering light was collected by a 100 × objective (Olympus, NA = 0.8) and then directed into a Raman spectrometer (Renishaw, inVia) by a flip mirror. For the SERS measurements of the MoS₂-NPOMs, the collection area was set to $\sim 1.8 \times 1.8 \mu\text{m}^2$, the laser power was ~ 9 mW and the integration time was 300 s. Reference Raman spectra were collected from 1 L, 2 L and 3 L MoS₂ on quartz, and the integration times were 1500 s, 900 s, and 600 s, respectively. The collection area was established as a rectangular area of $\sim 65 \mu\text{m}^2$, and the laser power was 90 mW. All Raman scattering signals were collected without polarization selection. The peak intensities of the phonon modes were determined by Lorentz fitting.

Dark-field scattering spectroscopy

The setup for dark-field scattering measurements is shown in Supplementary Fig. S4b. Unpolarized light from a halogen lamp was passed through a spatial filter and then slightly focused on the sample using the same configuration employed in the SERS measurements, forming a broad elliptical beam. The scattered light was collected by the same $\times 100$ objective and directed into the CCD camera (Tucson, TCH-1.4CICE) for imaging or to the Raman spectrometer (after removing the long-pass filter module) to acquire the dark-field scattering spectra. For polarization-dependent measurements, an analyser was added before the spatial filter to obtain polarization-tunable white light. The collection area was set to $\sim 1 \mu\text{m}^2$. The integration times of the spectra excited by unpolarized and polarized light were 30 s and 60 s, respectively. The dark-field scattering spectrum was obtained by $(S-B)/L$, where S is the spectrum of the MoS₂-NPOM, B

is the background spectrum of the nearby same-layer MoS₂, and L is the spectrum of the incident halogen light with the corresponding polarization.

Simulations

Full-wave electromagnetic simulations were performed using COMSOL Multiphysics 5.2a, a commercial finite element method package. The MoS₂-NPOM system was treated as a 50 nm sphere with a bottom facet measuring 19.4 nm in diameter situated on a semi-infinite gold plane separated by 0.62 nm, 1.24 nm, or 1.86 nm thick MoS₂ layers. First, linearly polarized plane wave excitation with an incident angle of 80° was implemented using a periodic boundary condition in the absence of the nanoparticle. Then, the calculated field was used as the background field for the NPOM configuration, which perfectly matched the layer surrounding the entire simulation domain. The far-field Rayleigh scattering light was collected over a solid angle corresponding to NA = 0.8 to simulate the experimental setup. The permittivity of Au was determined from the experimental data by Johnson and Christy⁴⁸. The refractive indices of quartz and Al₂O₃ were both set to 1.5. The in-plane permittivities of 1 L, 2 L and 3 L MoS₂ were extracted from micro-reflection measurements, whereas the out-of-plane permittivities were set to 1, 2, and 2.4, respectively (see details in Supplementary Fig. S11 and Supplementary Note S3). The SERS EF was first calculated by the E4 model, which was obtained by $\iint S_{\text{SERS}}^z |\mathbf{E}/\mathbf{E}_0|^4 ds / \iint S_{\text{SERS}}^z ds$. The SERS EF was also simulated by a TSM implemented in COMSOL (see details in Supplementary Note S2). The excitation field was obtained by the same method mentioned above. Then, the emission field at Raman frequency was computed by modeling the MoS₂ layer as an externally generated polarization \mathbf{P} , evaluated by the inner product of the Raman polarizability tensor and the local excitation field $\mathbf{E}(\mathbf{r})$. The Raman scattering light was integrated on a spherical surface containing the entire NPOM. Raman scattering from a reference system was evaluated following the same procedures, with a few exceptions: the AuNP and Al₂O₃ coating were set to air, the gold film was replaced with a quartz substrate and MoS₂ disks of finite size were substituted for the MoS₂ probe.

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Authors' contributions

S.P.Z. conceived the idea. W.C. prepared the samples and performed the experiments. S.P.Z. and M.K. performed the theoretical simulations. W.K.L.

and Z.Q.G. prepared TEM slices. Z.W.O. measured the reflection spectra of MoS₂. Y.L. and Y.X.Z. helped prepare the samples. W.C., S.P.Z. and H.X.X. analyzed the data. W.C., S.P.Z., M.K. and H.X.X. wrote the paper.

Conflict of interest

The authors declare that they have no conflict of interest.

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