

REVIEW ARTICLE **OPEN**


Animal models of hypoplastic left heart syndrome: genetic and anatomical approaches

 Chihiro Miyagi¹, Kosuke Nakamae¹, Michaele E. Davis^{2,3} and Daisuke Onohara^{1,4}✉

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Hypoplastic Left Heart Syndrome (HLHS) is a life-threatening congenital heart disease characterized by underdevelopment of the left heart and aorta. Animal models of HLHS are used to study mechanisms of disease onset and progression and generally fall into two *in vivo* categories: genetic and mechanical. Genetic models primarily employ zebrafish and mice, whereas mechanically induced models are developed in chick embryos, fetal lambs, and rodents. Together, genetic and mechanical models provide insight into developmental and hemodynamic mechanisms of HLHS but differ in their ability to reproduce key anatomical and physiological features. Genetic models have identified genes and pathways involved in structural abnormalities and disrupted cell lineage. Mechanical models commonly restrict left-heart inflow using surgical or catheter-based techniques to induce hypoplasia of the left ventricle, valves, and aorta. Findings across chick embryos, fetal lambs, and mouse models support the “no flow, no grow” theory. This review synthesizes current HLHS animal models, evaluates their advantages and limitations, and considers their translational relevance from genetic and hemodynamic perspectives, while emphasizing species-specific limitations.

Pediatric Research; <https://doi.org/10.1038/s41390-026-04815-w>

IMPACT:

- Systematically evaluate genetically and mechanically induced HLHS models across zebrafish, mice, rats, chick embryos, and fetal lambs.
- Discuss how these models elucidate developmental and hemodynamic mechanisms of HLHS, highlight innovations such as CRISPR-based gene editing and staged *in utero* flow restriction, and assess their translational relevance, particularly for fetal intervention research.
- Provide practical criteria for model selection and interpretation, weighing strengths, limitations, and fidelity to human HLHS for mechanistic and translational aims.

INTRODUCTION

Hypoplastic Left Heart Syndrome (HLHS) is one of the most severe types of congenital heart disease (CHD), characterized by an underdeveloped left side of the heart and aorta at birth.¹ In the United States, HLHS affects more than 2000 infants a year, and its prevalence is 2.3 per 10,000 births.^{2,3}

Because the underdeveloped left ventricle (LV) cannot adequately support systemic perfusion, the circulation becomes functionally univentricular and solely reliant on the right ventricle (RV) to provide systemic output. Therefore, HLHS typically necessitates a series of staged open-heart surgeries, including the Norwood procedure, which is considered one of the most challenging procedures in pediatric cardiac surgery. The Norwood procedure is usually performed within the first few days to 2 weeks of life and consists of reconstruction of the hypoplastic aortic arch and placement of an artificial shunt to the pulmonary artery to balance pulmonary and systemic circulations. Subsequently, patients undergo bidirectional Glenn and Fontan

procedures in early childhood as part of the standard single-ventricle surgical pathway.

Although surgical techniques and patient outcomes have improved, palliation remains the only available treatment option, and many of these patients still progress to heart failure.⁴ According to a recent study,⁵ even among newborns with HLHS who survived to undergo the Norwood or hybrid procedure, transplant-free survival was only 31.0% at 35 years. Survival improved over time until the early 2000s but has plateaued over the past 20 years, despite improvements in perioperative morbidity and mortality for the initial palliative procedure.⁵ In this context, prenatal diagnosis of HLHS and interventions to promote LV growth have received increasing attention.

The characteristic anatomical features of HLHS include (1) hypoplasia of the LV, (2) hypoplasia of the aorta, (3) atretic or stenotic aortic (AA or AS) and mitral valves (MA or MS), (4) a small or hypoplastic left atrium (LA), (5) a dilated right ventricle (RV), (6) dilatation of the main pulmonary artery, and (7) LV endocardial

¹Center for Regenerative Medicine, Research Institute at Nationwide Children’s Hospital, Columbus, OH, USA. ²Department of Biomedical Engineering, Georgia Institute of Technology, Atlanta, GA, USA. ³Division of Cardiology, Department of Medicine, Emory University, Atlanta, GA, USA. ⁴Department of Pediatrics, Ohio State University College of Medicine, Columbus, OH, USA. ✉email: Daisuke.Onohara@nationwidechildrens.org

Received: 1 September 2025 Revised: 16 December 2025 Accepted: 15 January 2026

Published online: 03 March 2026

fibroelastosis in the presence of AA or AS.⁶ A marked discrepancy in chamber size between the hypoplastic LV and RV is a key clue suggesting HLHS during fetal cardiac screening. Absence or severe reduction of anterograde flow across the aortic valve, together with retrograde flow in the aortic arch, can be detected on color Doppler imaging in the fetal three-vessel and aortic arch views and are considered hallmark signs of HLHS.⁶

The etiology of HLHS is thought to involve genetic factors that influence multiple aspects of cardiac development, as suggested by the high incidence of heart defects among first-degree relatives of HLHS patients.⁷ Although the cellular mechanisms underlying HLHS remain poorly characterized, recent genetic studies have identified several genes implicated in HLHS, such as NOTCH1,⁸ SAP130/Pcdha9,⁹ Rbfox2,¹⁰ and LRP2,¹¹ many of which are involved in cardiac cell proliferation and differentiation.

In addition to genetic factors, alterations in embryonic cardiac blood flow are widely recognized as playing a critical role in the pathogenesis of HLHS. The etiology of HLHS has been conceptualized by the “flow theory”, which proposes that cardiac malformations, including HLHS, arise when the coupling between normal blood flow patterns through the developing heart and the growth of cardiac valves and myocardium is disturbed *in utero*.¹² Thus, both genetic and hemodynamic aspects are thought to be important contributors to the development of HLHS. Nevertheless, our understanding of HLHS development remains limited. To address this gap, robust animal models are essential for understanding the mechanisms underlying the development of HLHS, including its onset and progression. These models are also crucial for identifying novel and effective therapeutic targets that may lead to curative strategies.

In this review, we evaluate the current options for animal models of HLHS, including zebrafish, rodents, chick embryos, and fetal lambs, and discuss their potential utility, advantages, and limitations in improving our understanding of HLHS pathology from both genetic and anatomical perspectives, as well as their implications for advancing therapeutic strategies and outcomes for patients with HLHS.

GENETICALLY INDUCED HLHS MODELS

While the genetic basis of HLHS is still mostly undetermined and no transgenic animal models have succeeded in recapitulating a human HLHS phenotype, studies that showed a link between human HLHS and certain chromosomes^{13,14} support the involvement of genetic factors in HLHS development. Advanced imaging techniques in zebrafish embryos and transgenic or knockout mice provide advantages for exploring the etiology and pathology of HLHS. Representative genes and their functions in animal models and humans associated with HLHS phenotypes are summarized in Table 1.

Zebrafish model

Zebrafish have a two-chambered heart consisting of a single atrium and ventricle, accompanied by a bulbus arteriosus, and therefore have a single circulatory loop; in contrast, humans possess a four-chambered heart with dual pulmonary and systemic circulations.¹⁵ Additionally, the zebrafish myocardium is dominated by a trabecular layer with only a thin compact layer. Their valve architecture comprises a simple atrioventricular valve and a ventriculo-bulbar valve rather than the four distinct valves seen in humans.¹⁶ Given these fundamental architectural differences, zebrafish are frequently used as a model to investigate cardiac developmental mechanisms relevant to HLHS. Several intrinsic features make them particularly advantageous for cardiovascular research: their embryos are optically transparent and highly susceptible to chemical exposure,¹⁷ and they can survive through oxygen diffusion in the absence of a functional

cardiovascular system,¹⁸ which greatly facilitates *in vivo* experimentation and observation. Additionally, their developing hearts are prone to looping defects that can be caused by alterations in myocardial cell polarity, cell number, or blood flow.¹⁹ With these features, zebrafish serve as a valuable platform for understanding cardiac development, identifying disease-associated genes, and establishing links between genetic mutations and CHD.²⁰

To date, only one genetically induced HLHS model in zebrafish has been reported to reproduce the full spectrum of HLHS phenotypes, including defects in the ventricle, valves, and aorta, as described by Huang et al. in 2022.²¹ They demonstrated that zebrafish lacking two orthologs of the RNA-binding protein Rbfox2 develop HLHS-like malformations (Fig. 1). Rbfox2 is a highly conserved protein known to be involved in splicing and has been implicated in HLHS-related abnormalities in humans.^{22,23}

According to Gallagher et al., deletion of this gene is known to cause severe morphological and functional cardiac defects in zebrafish as well.²⁴ To create zebrafish lacking Rbfox1-like and Rbfox2, Huang et al. employed the CRISPR-Cas9 genome editing technique, which is a widely used method in mouse studies,²⁵ and observed that inducing a single-gene mutation in zebrafish at post-embryonic day 3 led to the full spectrum of HLHS-like malformations. Additionally, Rbfox1-/-/Rbfox2-/- double knockout embryos exhibited paralysis, pericardial edema, poor circulation, and 100% mortality by post-embryonic days 4–5, whereas single mutants failed to undergo embryonic cardiogenesis. The study also showed that these structural cardiac defects were caused by impaired pump function, and the phenotypes were rescued when Rbfox1 was specifically expressed in the myocardium. Rbfox1 proteins are essential for sarcomere assembly and mitochondrial metabolism, and defects in these processes contribute to the observed functional deficiencies.²¹

Although not specifically aiming to create HLHS phenotypes, several reports have addressed genetically induced left ventricular outflow tract obstruction (LVOTO) or left ventricular hypoplasia. Liu et al.⁹ reported in 2017 that a CRISPR-Cas9-targeted Sap130a loss-of-function allele resulted in a small ventricle phenotype at 72 h post-fertilization, with 36% penetrance in maternal-zygotic (MZ) zebrafish. This phenotype was associated with impaired cardiomyocyte proliferation and differentiation, increased cell death, and a reduction in ventricular cardiomyocytes. Similarly, DeMoya et al.²⁶ recently found that MZ Sap130a mutants displayed small ventricles in 48% of the population by 48 h post-fertilization, impacting zebrafish cardiac sarcomere regulation. Moreover, they observed that the combination of Sap130a and hdac1, both of which are required for ventricular formation in zebrafish,^{27,28} exacerbated the small ventricle phenotype.

Another zebrafish study by Edwards et al.²⁰ in 2020 demonstrated that WAVE2 complex genes (brk1, nckap1, and wasf2), along with regulators of small GTPase signaling (cul3a and racgap1), are critical for cardiac development in zebrafish. Knockdown of brk1, cul3a, nckap1, and wasf2 resulted in reversed cardiac looping, while racgap1 knockdown led to a hypoplastic ventricle with poorly contractile and dilated atria in 40% of the population. Around the same time, Theis et al.¹¹ conducted a study using whole-genome and iPSC RNA sequencing from an HLHS family trio. They injected morpholino and sgRNA/CRISPR directed against LRP2 (an LDL receptor-related protein) into zebrafish embryos, which led to a hypoplastic phenotype with decreased cardiomyocyte numbers at 72 h post-fertilization. These results suggest that LRP2 plays a crucial role in heart development, particularly in regulating cardiomyocyte generation in the ventricular chamber. As such, zebrafish also serve as a complementary platform to validate HLHS-related genes.

Mouse model

The mouse heart has a four-chambered structure, dual circulation, and basic valve anatomy, similar to those of the human heart.²⁹

Table 1. Summary of gene defect causing HLHS-like phenotype in zebrafish, mice, and humans.

Gene	Function of gene	Animal	Author [ref#]	Year	Findings
Sap130	Corepressor complex subunit involved in gene silencing	Zebrafish	Liu et al. ⁹	2017	Small ventricle
		Zebrafish	DeMoya et al. ²⁶	2023	Small ventricle
		Man			Defect in mitochondrial metabolism in heart tissue and iPSC-derived cardiomyocytes
SAP130 ^{m/m} + Pcdha9 ^{m/m}	-	Mice	Liu et al. ⁹	2017	HLHS
		Man			Gene co-variant
Pcdha9	Neural adhesion gene from protocadherin alpha cluster	Mice	Teekakirikul et al. ³⁴	2021	BAV and left-sided congenital heart disease
		Man			LVOTO + BAV
LRP2	Encodes megalin, an epithelial endocytic receptor	Zebrafish	Theis et al. ¹¹	2020	Bradycardia and compromised ventricular contractility
		Man			iPSC-derived cardiomyocyte exhibited reduced proliferation
Rbfox2	RNA-binding protein regulating splicing and estrogen receptor activity	Zebrafish	Huang et al. ²¹	2022	HLHS
		Mice	Verma et al. ¹⁰		Defects in cardiac chamber and yolk sac vasculature formation
		Man			HLHS
WAVE complex/ cul3a/racgap1	Actin cytoskeleton remodeling/ regulating various cellular process/ cytokinesis	Zebrafish	Edwards et al. ²⁰	2020	hypoplastic ventricle with poorly contractile
		Man			LVOTO

However, the left ventricle is dominated by a prominent trabecular myocardium with a relatively thin compact layer,³⁰ a configuration that influences how ventricular growth and wall stress respond to developmental perturbations. Additionally, the coronary artery system is markedly simpler, with limited secondary branching and a less complex collateral network.³¹ These anatomical and developmental features suggest that mice may not spontaneously manifest the full spectrum of human HLHS morphology and that targeted genetic manipulation is required to reproduce the phenotype. In this context, several genetically induced HLHS mouse models have been developed, beginning with the first report in 2017.⁹

The first genetically induced HLHS mouse model was published in 2017,⁹ and has since been commonly employed in this field as the *Ohia* mutant line³² (Fig. 2). Through a mutagenesis screen of 3000 mice, mutations in *Sap130* and *Pcdha9* (which encodes the cell-adhesion protein protocadherin A9) were identified as genetic contributors to the HLHS phenotype between embryonic day 13.5 and 18.5, with 26% penetrance. Both genes are expressed in the embryonic heart, and these findings were further validated using CRISPR-Cas9 genome editing.²⁵ HLHS was also observed through the interaction between *Sap130* and *Pcdha9* mutations. Homozygous mutants exhibited embryonic lethality before or at mid-gestation or, in rare cases, survived with severe cardiac defects. Furthermore, neither single mutants nor double heterozygous mutants displayed CHD.^{9,33} The hypoplastic left ventricle (LV) was observed only in *Sap130* mutants, accompanied by disrupted cardiomyocyte proliferation and differentiation. In contrast, *Pcdha9* mutants showed a normal-sized LV but developed isolated aortic hypoplasia, aortic stenosis, and a bicuspid aortic valve.⁹

In a similar context, Teekakirikul et al.³⁴ used *Pcdha9* mutant mice generated by CRISPR gene editing and demonstrated that homozygous mutations in *Pcdha9* can lead to bicuspid aortic valve and LVOTO phenotypes from embryonic day 14.5, with a penetrance of over 25%, which decreased to 20% at postnatal day 1 and further dropped to 10% in adulthood. Although this does not fully meet the definition of HLHS,¹ it should be considered a

subtype of HLHS because LVOTO includes obstructive anomalies of the LV and aorta.³⁵

Previously, no cardiovascular defects were observed in *Rbfox2* knockout mice, despite its identification as a high-risk gene for CHD.^{23,36,37} Verna et al.¹⁰ however, found several molecular and phenotypic features of HLHS in *Rbfox2* knockout mice. *Rbfox2* is essential for embryonic survival and for the proper formation of the cardiac chambers, LVOT, and yolk sac vasculature between embryonic days 9.5 and 10.5. By day 10.5, 100% of *Rbfox2* knockout mice showed pericardial edema, similar to that seen in congestive heart failure, and their growth was severely impaired, leading to embryonic lethality. Early deletion of *Rbfox2* leads to embryonic lethality by post-embryonic day 11.5 due to defects in heart development that resemble HLHS. Additionally, RNA sequencing performed on *Rbfox2* mutants revealed that *Rbfox2*-regulated alternative splicing (AS) networks impact Rho GTPase cycling and signaling, which is crucial for cell organization, extracellular matrix composition, and cytoskeletal dynamics in the embryonic heart.^{10,38} The identification of *Rbfox2*-regulated AS networks may not only accelerate HLHS research further but also highlight the lethality of the genetically induced HLHS-like model.

MECHANICALLY INDUCED HLHS MODELS

Mechanically induced hypoplasia of the left-sided heart structures by restricting blood flow has been a well-established approach for modeling HLHS, with early studies in chick embryos and later expanding to fetal lambs and, more recently, rodents. These interventions, which alter intracardiac hemodynamics through surgical manipulation, are grounded in the concept that both normal and abnormal cardiac morphogenesis are influenced by flow patterns within the embryonic circulatory system.³⁹ As early as the 1960s and 1970s, local surgical modifications of blood flow were shown to induce specific pathological changes in heart development.^{40–42} In the following sections, we summarize and discuss the advantages and limitations of key mechanical interventions in chick, fetal lamb, and rodent models of HLHS.

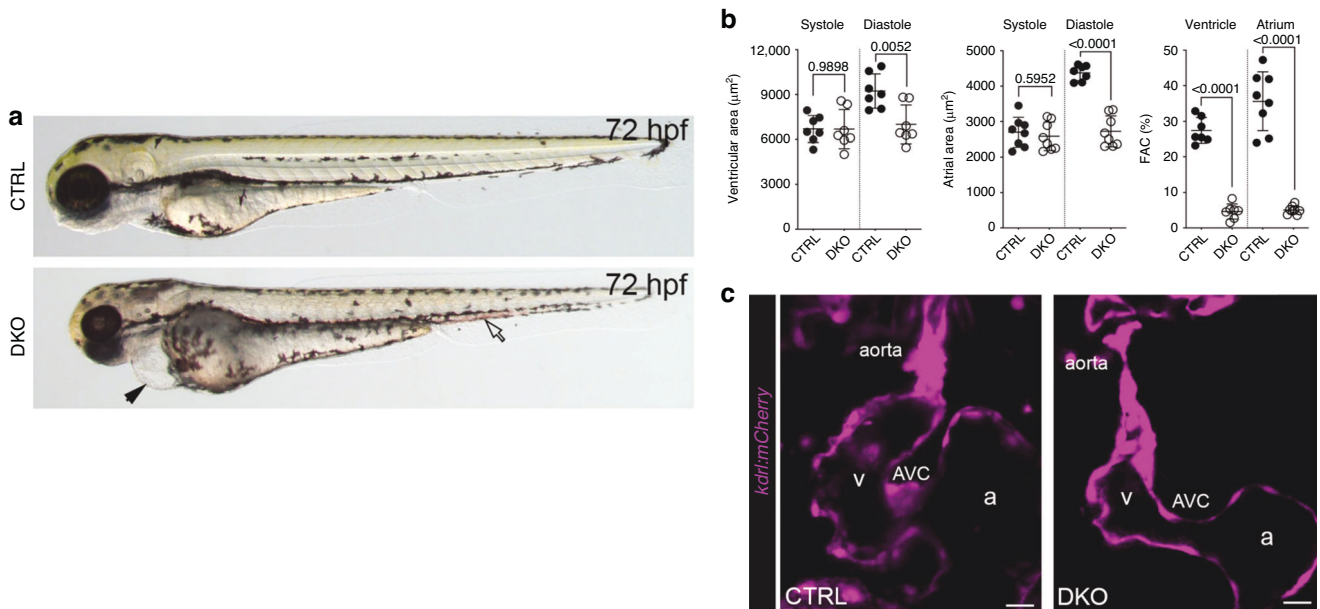


Fig. 1 **Rbfox11 and Rbfox2-deficient zebrafish model exhibits hypoplastic left heart syndrome.** **a** Brightfield images of 72hpf CTRL and DKO embryos. Black arrow indicates pericardial edema and open arrow indicated pooled blood. **b** Although ventricular and atrial areas are similar between cohorts during systole, a 32% and 38% reduction was observed in DKO during diastole, respectively. Additionally, %FAC approached zero in both DKO chambers. Each dot represents one embryo in all graphs. Data are presented as mean values \pm one SD. Statistical significance was determined by an unpaired, two-tailed Student's *t*-test assuming equal variances. *P* values are shown. **c** Single optical sections of hearts from live 72 hpf CTRL and DKO embryos carrying the Tg (*kdrl:mCherry*) transgene. The endothelial lining of the aorta appears partially or fully obstructed. CTRL control, DKO double knock out, hpf hours postfertilization, FAC fractional area change, AVC atrioventricular cushion, a atrium, v ventricle. These images were adapted/reproduced from ref. ²¹

Chick embryo model

Among the various model organisms used to study heart development, the chick embryo (fertile White Leghorn eggs) is preferred because of its ease of culture and a mature four-chambered heart structure.^{43,44} Chick embryonic hearts have atrial and ventricular septation and distinct atrioventricular and outflow valves, closely resembling the basic anatomical layout of the human heart. Although avian hearts exhibit thinner ventricular walls, simplified coronary arterial architecture, and differences in outflow tract alignment, the planar orientation of the chick embryo on top of the yolk allows easy access to surgical procedures and imaging modalities, which in turn enables detailed spatiotemporal analysis and supports precise microsurgical interventions.⁴⁵ Additional advantages of the chick embryo model include its relatively longer avian cardiogenic period compared to fish, frog, or mouse, and fewer ethical concerns.

As described above, investigations into the relationship between the pattern of blood flow through the chick embryonic heart and cardiogenesis began as early as the 1960s.^{40,41} The first attempt to create HLHS-like aortic valvular abnormalities was conducted by Harah et al. in 1973.⁴⁶ Since then, multiple experimental approaches have been developed, including mitral valve obstruction, left atrial clipping, CTB (banding of the heart tube's outflow tract), right vitelline artery ligation (VAL) (inflow tract banding of the heart tube), and left atrial ligation (LAL).

Early experimental approaches associated with HLHS-like anatomical abnormalities are summarized in Table 2. Most of these studies did not specifically aim to induce HLHS pathology in chick embryos, but they have played an important role in understanding the mechanisms of cardiac morphogenesis, at least partially related to HLHS-associated structures. Harah et al. created mitral valve occlusion by placing a nylon device at the left atrioventricular canal at incubation days of 4.5–5 (Hamburger-Hamilton (HH) stages 23–25) and successfully demonstrated a small LA, hypoplastic LV, and hypoplastic ascending aorta in 67% of the surviving experimental models.⁴⁶ They were inspired by the

conotruncal banding (CTB) method performed by Gessner et al.^{40,41} and the clipping method by Rycheter et al.,⁴⁷ who applied fine silver clips to the 3rd, 4th, and 6th aortic arches in various combinations, resulting in ventricular septal defects with a malformed aortic arch.

CTB, although originally used to investigate aortic dextroposition,^{40,41} was later employed by Celik et al.⁴⁸ to induce hypoplastic aortic arches and investigate their development in chick embryos. They observed distinct matrix remodeling mechanisms during vascular development in the CTB group compared to the control group, triggered by altered wall shear stress, which contributed to a chronic increase in vessel lumen area. However, with this method, the heart is forced to work against increased resistance (afterload), and thus, left heart hypoplasia cannot be induced, resulting only in hypoplastic development of the aorta.

In contrast to CTB, which targets the outflow tract of the heart tube, another approach involved occlusion of the inflow tract of the heart tube through right vitelline vein ligation to induce HLHS-like cardiac morphogenesis in chick embryos. Hogers et al.⁴⁹ developed a chick model in which intracardiac flow was altered by ligating a vitelline vein with a microclip, effectively rerouting venous inflow. This intervention redirected blood flow toward the heart via collateral pathways and caused long-term structural defects such as VSD, semilunar valve anomalies, atrioventricular anomalies, and arch artery malformations. Using the same technique, Ursem et al.⁵⁰ reported impaired diastolic ventricular filling, which further led to changes in cardiac function and morphology.

Currently, the most widely accepted approach for specifically inducing HLHS pathology is LAL (Table 3), which redirects blood flow from the left to the right heart, resulting in underdevelopment of the left heart structures.^{51–54} This model may also lead to compensatory overdevelopment of the right ventricle,⁵¹ which is also a fundamental feature of HLHS. In LAL, a 10-0 nylon suture loop is placed around the LA and tied to restrict the left atrioventricular orifice and reduce the volume of the LA by

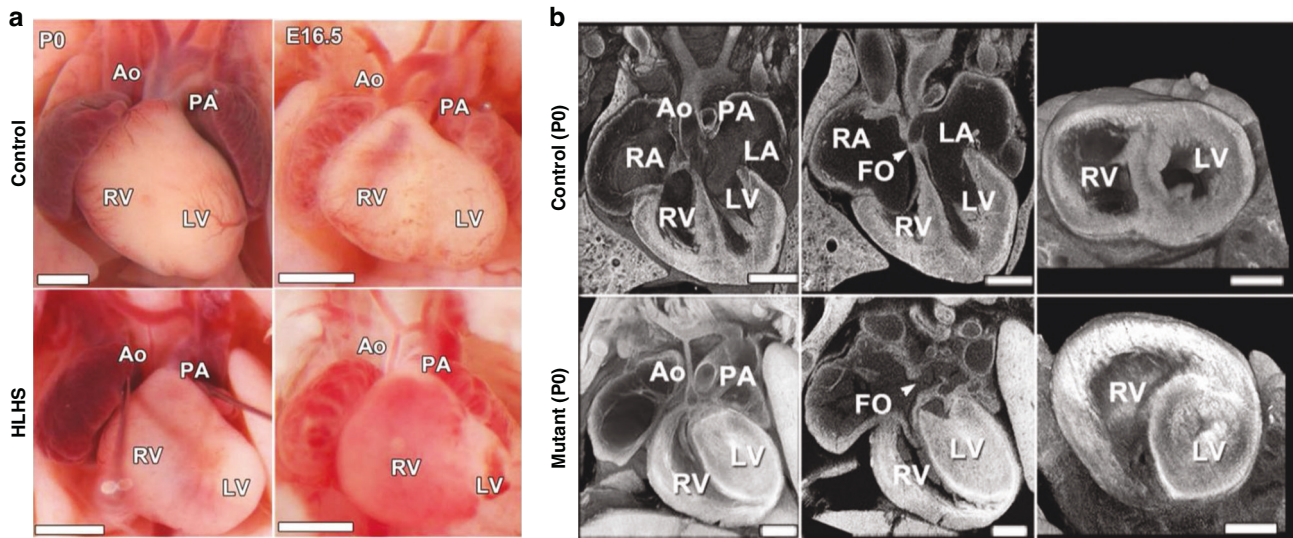


Fig. 2 Histopathological analysis of cardiac anatomy in Ohia mutant mice displaying left heart hypoplasia at birth. **a** Representative images of newborn (P0) or E16.5 hearts from wild-type and HLHS mutants. Hypoplastic aorta and LV are visible in the HLHS mutant. Scale bars: 1.0 mm. **b** Compared with controls, the HLHS mutant exhibited hypoplastic aorta and aortic valve atresia, hypertrophied LV with no lumen, and MV stenosis and patent FO (arrowhead). Scale bars: 0.5 mm. AO aorta, PA pulmonary artery, RV right ventricle, LV left ventricle, HLHS hypoplastic left heart syndrome, FO fossa ovalis, LA left atrium, RA right atrium. These images were adapted/reproduced from ref. ³²

50–75% (Fig. 3). Typically, this procedure has been performed at HH stages 21–23 (incubation days of 3–4),⁵⁵ during the looping stage before ventricular septation. The resulting chronic alterations in flow patterns disrupt normal looping, septation, and valve formation. Chronic preload reduction following LAL has been shown to modify myocardial architecture prior to the onset of cardiac defects, including changes in ventricular wall stiffness, compact myocardium thickness, and ventricular dimensions.^{51,56} In addition, computational approaches such as in silico modeling⁴⁴ or computational fluid dynamics analysis⁴⁵ have been used to visualize blood flow alterations in the atrioventricular canal.

Sedmera et al.⁵¹ combined LAL with other methods to evaluate CTB as an increased afterload model and LAL as a mixed model of elevated right ventricular preload and reduced LV preload. They concluded that increasing pressure load is the main stimulus for myocardial growth in embryogenesis, while increased volume load is compensated primarily by dilatation of the heart. In a separate study, Lucitti et al.⁵⁷ compared the manipulated arterial flow induced by LAL with the altered load from right VAL, revealing that maintaining arterial pressure and circulatory energy efficiency is more critical than flow volume during early embryogenesis. However, the long-term consequences of this mechanism have not been fully elucidated.

In-utero fetal lamb model

The sheep heart closely resembles the human heart with respect to its overall four-chamber configuration, atrioventricular and semilunar valve structure, and coronary artery dominance, making it highly suitable for translational cardiac research. Compared with the human heart, the sheep heart is more vertically oriented within the thorax and has subtle differences in papillary muscle geometry and coronary branching patterns.

The fetal sheep is the most frequently used model for human congenital heart disease, since it tolerates chronic instrumentation and surgical interventions and has a size at birth similar to that of human neonates.⁵⁸ Notably, cardiomyocyte numbers in humans and sheep plateau at similar levels, and cardiomyocyte cell cycle activity declines similarly during the perinatal periods.^{59,60}

The first HLHS model using a large animal was reported using a fetal lamb model by Fishman et al.⁶¹ in 1978. They selected fetal lambs because of the similarity between fetal lambs and humans

in body weight, blood pressure, oxygen tension, ventricular stroke volume, and internal distribution of blood flows at corresponding gestational stages.^{61–63} Partial LV inflow obstruction was induced by inflating a balloon catheter in the LA of the fetuses, at 90–120 gestational days (0.6–0.8 gestation). Within seven days, LV output decreased to 30% of the control ($p < 0.01$), and LV/RV chamber volume was reduced to less than half of the control ($p < 0.0001$). However, the survival time was only 2–7 days (mean 4 days), and the fetuses in this model were unable to adapt to the abrupt hemodynamic alterations.

After more than four decades, novel attempts to establish an HLHS model in fetal lambs have recently emerged. One such approach involves in-utero occlusion of the fetal foramen ovale (FO) during mid-gestation, performed via fetal cardiac catheterization using transhepatic access.⁶⁴ In this procedure, a stent is positioned across the FO, and an occluder is subsequently anchored within the stent (Fig. 4a), leading to the collapse of the fetal LV. The intervention was performed between 104 and 117 gestational days, and flow restriction and euthanasia were planned 21 days after occluder implantation. Among a total of 26 fetuses that underwent this procedure, 16 fetuses experienced successful implantation of the FO occluder. By the time of the planned euthanasia 3 weeks later, two fetuses died 1–2 days after the procedure from intra-abdominal hemorrhage, and one fetus died 1 day before euthanasia due to a dislodged occluder. At euthanasia, seven animals were found to have incomplete FO occlusion, and thus the remaining six animals with successful FO occlusion were evaluated. For these six fetuses, a smaller mitral valve annular diameter and reduced LV width compared to the control group ($n = 9$) were observed on echocardiography, and significantly smaller aortic valve diameter, lower mitral/tricuspid valve ratio, and lower LV/RV ratio were confirmed at termination.

Another attempt involved implanting coils in the LA via transcatheter delivery, as reported by Reuter et al.⁶⁵ in 2023. The concept of occluding LV inflow remained the same, but the method differed in that platinum coils (0.018" IDC, Boston Scientific) were deployed above the mitral valve (Fig. 4b). An underfilled LV and either decreased or retrograde ascending aortic flow was confirmed under continuous ultrasound guidance. This intervention was performed at 76 days' gestation (0.52 gestation), followed by echocardiographic evaluation, which was conducted

Table 2. CHD model (related to HLHS) in chick embryo: Other methods.

Author [ref#]	Year	Methods	Tools	Animal number	HH Stage [incubation days]	Device placement duration	Survival rate [%]	Findings
Harh et al. ⁴⁶	1973	Mitral valve obstruction	A nylon device placed at the left AV canal, traversing it	Exp. <i>n</i> = 192 Control opened <i>n</i> = 15 Control unopened <i>n</i> = 192	23–25 [4.5–5 days]	60–72 h	20% (39/192) Survived >day 12	67% (26/39) showed abnormal findings [small LA, hypoplastic LV, threadlike Ao isthmus, hypoplastic asc. Ao]
Rychter et al. ⁴⁷	1979	Left Atrial Clipping	silver clips to the 3rd, 4th, and 6th arches					VSD and malformed aortic arch
Gessener et al. ⁴¹	1970	Conotruncal Banding (CTB)	A wire device placed at truncoconal portion of the heart tube	Exp. <i>n</i> = 500 (approx.) Control <i>n</i> = 50	19–20 [3 days]	48 h	25% Survived 19–20 days	Isolated VSD 20/125 VSD and overriding Ao 39/125 DORV and large VSD 43/125 DORV, DILV and VSD 18/125
Celik et al. ⁴⁸	2020		A 10-0 nylon suture tied around the mid portion conotruncus	CTB <i>n</i> = 56 CTB-Release <i>n</i> = 56 Control <i>n</i> = 86	Control: 18, 21, 24 Exp: 18	CTB: HH18–24, CTB-R: HH18–21 (the suture knot disentangled at HH21)	At HH24	
Hogers et al. ⁴⁹	1997/ 1999	Rt. Vetelline vein ligation (VVL)	An aluminum microclip placed at rt. lateral Vetelline vein	Exp. <i>n</i> = 91 Sham <i>n</i> = 15	17 [70 h]	Until HH34 (<i>n</i> = 43), HH37 (<i>n</i> = 36), HH45 (<i>n</i> = 12)	79% (95/120)	Cardiac abnormal rate: 88% at HH34 (38/43), 36% at HH37 (13/36), 58% at HH45 (7/12)
Ursem et al. ⁵⁰	2004			Exp. <i>n</i> = 15 Normal <i>n</i> = 15	17 [52–64 h]	Until HH 24		Mean passive ventricular filling volume decreased by 53%, while control showed 33% of increase

45–50 days after the intervention (0.84 gestation), and the animals were subsequently terminated at 147 days' gestation. Of the 34 fetuses that underwent LA coil implantation, 15 fetuses (44%) survived until the echocardiographic evaluation, while the remaining 19 fetuses (56%) died due to acute circulatory changes. One of the 15 surviving fetuses was not included in further analyses due to severe coil-induced mitral regurgitation. Among the 14 fetuses, nine showed retrograde flow in the ascending aorta and subsequently developed severe (*n* = 4) or intermediate (*n* = 5) left heart hypoplasia. For the other five fetuses without retrograde flow, normal left heart growth was observed. Anatomically, the size of the left heart in the nine fetuses with retrograde ascending aortic flow was compared to that of the control group (*n* = 12), but due to large variations in fetal body weight (ranging from 2 to 5 kg), the reported left heart measurements were significantly smaller when normalized to right heart structures or total heart weight. They also performed transcriptomic analyses, which revealed an increase in fibroblasts, a decrease in cardiomyocytes, and an upregulation of extracellular matrix components.

The most recent development in the HLHS fetal lamb model is a modified LA balloon method,⁶⁶ which utilizes a balloon catheter device inflated in the fetal LA (Fig. 4c). To address the previously observed high mortality caused by abrupt hemodynamic changes from LA balloon use, this method employed gradual balloon inflation after implantation to achieve staged LV unloading. The

implantation procedure was performed at 120 days' gestation (0.8 gestation), and balloon inflation was started 2 days later to achieve restriction of mitral inflow to the LV between 125- and 127-days' gestation. The fetuses were terminated at around 135 days' gestation after 8 days of flow restriction. Although the overall fetal mortality rate was 42%, it decreased to 17% in the latter half of the cases. Evaluations using transesophageal echocardiography were performed before termination, showing a significantly lower LV/RV cross-sectional area ratio and decreased LV end-diastolic and end-systolic volumes compared to the control group. On the right heart, RV end-diastolic and end-systolic volumes did not differ between experimental and control fetuses. Morphologically, the LV/RV mass ratio was significantly smaller, and RV mass was greater in the experimental group than in the control group. These findings suggest asymmetric cardiac development, with suppressed left ventricular growth and compensatory remodeling of the right ventricle in response to volume overload.

In-utero rodent model

Mice and humans have homologous heart structures with highly conserved stages of heart development, and the short gestational period, as well as non-seasonal breeding of mice, offer significant advantages over large animal models. However, due to their small size, mice have been mainly used for investigating genetic aspects of HLHS, and available surgical manipulation remains very limited.

Table 3. HLHS model in chick embryo: Left Atrial Ligation.

Author [ref#]	Year	Methods	Tools	Animal number	HH Stage [Incubated days]	Device placement duration	Survival rate [%]	Findings
Sedmera et al. ⁵¹	1999	Left Atrial Ligation (LAL) compared to CTB and Left Atrial Clipping	10-0 nylon suture tied at a) mid-portion of the conotruncus b) left atrium c) microclip to LA	Exp. a: $n > 15$. Exp. b: $n = 61$, Exp. c: $n = 24$, Sham $n = 9$, Normal $n = 9$	21 [3.5 days]	a) HH stage 24, 27, 29 ($n > 5$ each) b) HH stage 29 ($n = 18$), 34 ($n = 34$) c) HH stage 6, 8, 10, 12, 14 ($n = 6$ each)		CTB: mild ventricular dilatation LAL and LAC: hypoplasia of the left heart with right heart overdevelopment
Tobita et al. ⁵⁶	2000	Left Atrial Ligation (LAL)	10-0 nylon suture tied around the left atrium	LAL $n = 344$ Sham $n = 61$ Control $n = 180$	21 [3.5 days]	Until HH stages 24, 27, and 31	85% (HH24) 46% (HH27) 21% (HH31)	Increased LV stiffness, decreased LVEDP, abolished preferential LV longitudinal strain
deAlmpida et al.	2007	Left Atrial Ligation (LAL) + RA Clip		LAL $n = 32$ LAL ($n = 36$) + RA clip ($n = 18$ among 36)	24 [4 days]	Until HH stage 34–35 (8–9 days)	LAL 44% (68/153)	About 50% of LAL developed phenotype of LV hypoplasia. RA clip increased LVEDV
Hu et al. ⁵²	2009	Left Atrial Ligation (LAL)		LAL $n = 26$ Control $n = 42$	21 [3.5 days]	Until HH stages 24, 27, and 34		Hypoplastic aortic arch, 45% decrease in LVEDV
Kowalski et al. ⁴⁴	2014				21	Acute study and in silico approach		Altered intracardiac flow from RCCV and RVV with ventral shift
Pesevski et al. ⁵³	2018			LAL $n = 15$ Control $n = 18$	[4 days]	Until 6, 8, 12 days	75% (HH29/ED6) 50% (HH34/ED8) 20% (HH38/ED12)	Smaller LV cross-sectional area and tissue volume
Ho et al. ⁵⁴	2021			LAL $n = 5$, control $n = 6$ (HH25) LAL $n = 5$, control $n = 5$ (HH28)	[3.5 days]	Until HH25 and 28		From HH25 to 28, LVEDV increased 14% (control 138%), RVEDV increased 181% (control 91%).
Salman et al. ⁴⁵	2021				21 [3.5 days]	Until HH25 (24 h post LAL), HH30 (72 h post LAL)		Decreased LV volume, left AV canal orifice area, left AV canal cardiac output
Lucitti et al. ⁵⁷	2005	Rt. Veteilne Artery Ligation vs Left Atrial Ligation	10-0 nylon suture tied around the left atrium (LAL) or rt. Veteilne artery (VAL)	LAL $n = 27$ VAL $n = 33$ Sham $n = 36$	21 [90 h]	Until HH21 (1 h), 24 (14 h), and 27 (32 h)	LAL 65% VAL 68% Sham 90%	LAL: decreased SV and CO until HH24, normalized HH27 VAL: SV and CO decreased until HH27

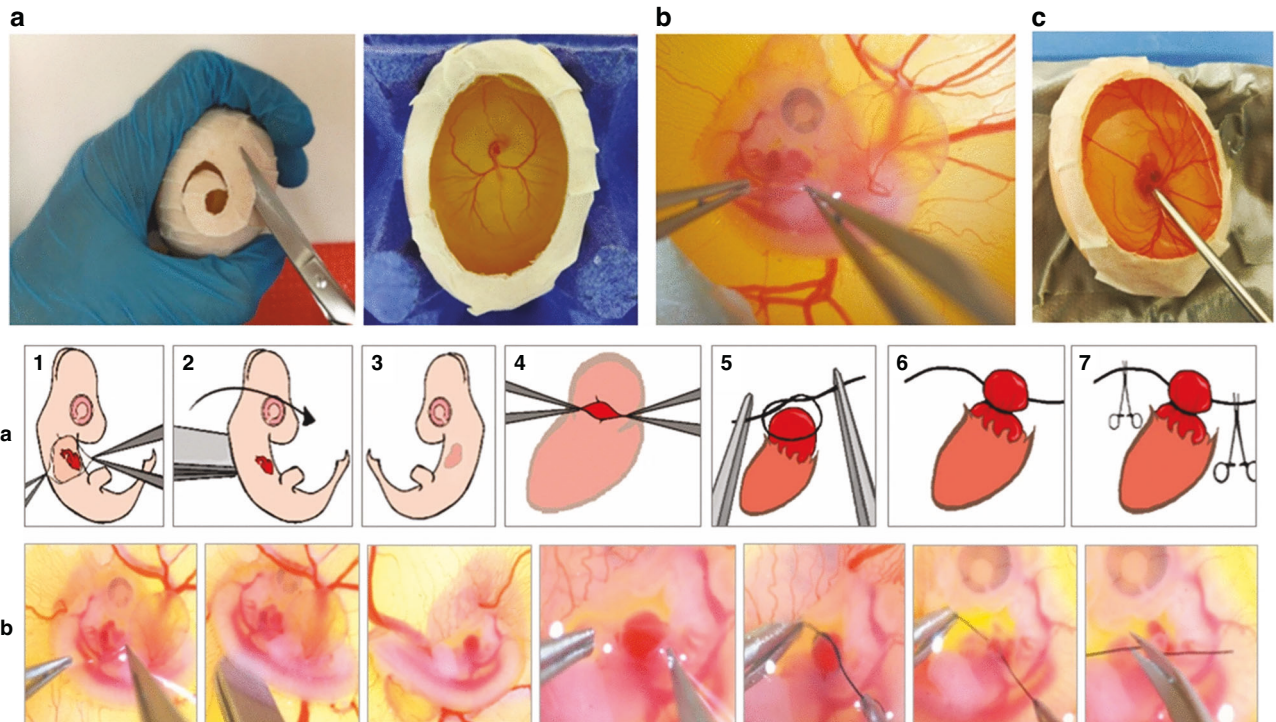


Fig. 3 Photos showing the in-ovo chick embryo culture and surgical procedures, along with schematic illustrations. Top row: Embryos are cultured within their shells and opened at embryonic day (ED) 3 (a). The egg opening creates an easy access for surgery (b) and echocardiographic imaging (c), respectively. Bottom row: **a** Schematic representation of LAL steps. **b** Representative pictures of LAL steps. Step 1, Opening chorionic and allantoic membranes and exposing the animal. Step 2, Flipping the animal vertically to expose its left side shown in Step 3. Step 4, Opening the pericardium and exposing the left atrium. Step 5, Placing the pre-prepared surgical knot on the top of the atrium. Step 6, Tightening the knot around the atrium. Step 7, Cutting the extra ends of the suture and finally flipping back the animal to its original orientation. These images were adapted/reproduced from ref. ⁴⁵

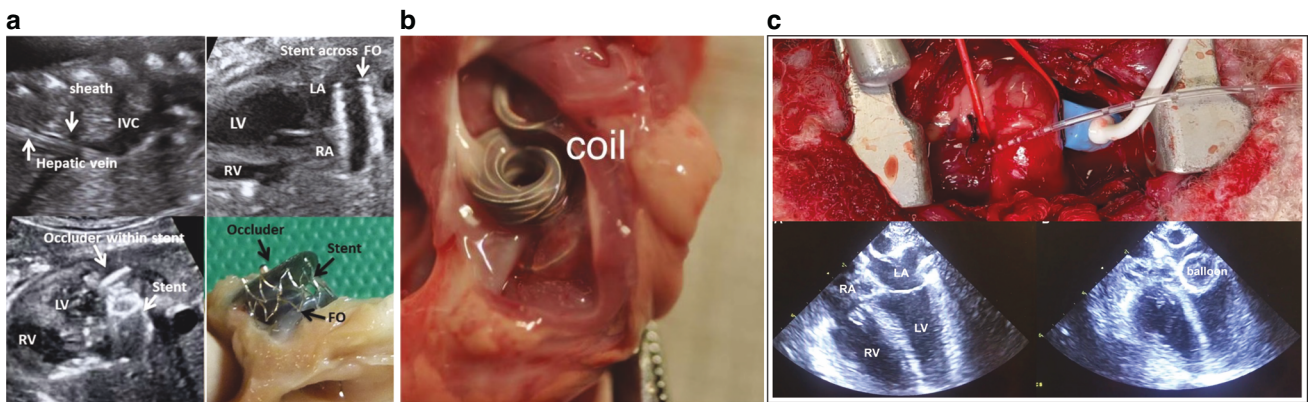


Fig. 4 Three methods to induce hypoplastic left heart syndrome in a fetal lamb model. **a** Occlusion of foramen ovale, **b** coiling occlusion of the left atrium, and **c** restriction of blood flow through the mitral valve by an inflated balloon inside the left atrium. IVC inferior vena cava, LA left atrium, LV left ventricle, RA right atrium, RV right ventricle, FO foramen ovale, BCT brachiocephalic trunk, PDA patent ductus arteriosus. These images were adapted/reproduced from refs. ^{64–66}

The first and currently only attempt to utilize mice to induce HLHS by in-utero surgical manipulation was reported by Rahman et al. in 2021. ⁶⁷

In their murine study, an embolizing agent, a shear-thinning biomaterial (STB), was delivered to the fetal LA, combined with ultrasound-guided microinjection techniques. The LA was embolized at embryonic day 14.5 to block blood flow into the left side of the fetal heart structure, inducing hypoplasia of the LV, ascending aorta, and aortic valve by gestational term at 18.5 days. Survival rates at gestational term were 56% for embolized fetuses (76% for sham fetuses), and among the surviving fetuses, 48% (12

out of 25) showed successful LA embolization, as confirmed by magnetic resonance imaging. These mice with confirmed LA embolization demonstrated retrograde aortic arch flow at gestational term, and they had non-apex-forming LV and hypoplastic ascending aorta. This study established the first mouse model of isolated HLHS with a fully penetrant cardiac phenotype and survival to term.

As a unique attempt utilizing rats, Watson et al. ⁶⁸ created heart hypoplasia disorders by inducing congenital diaphragmatic hernia (CDH) in rats. Nitrofen is a herbicide that is known to induce CDH in rodents. Back in the 1990s, the relationship between

morphologic changes in several organs and CDH was reported,^{69,70} and follow-up studies were performed in 2022.⁶⁸ Nitrofen was given to pregnant rats at gestational day E10, and the hearts from the fetuses positive for CDH at E21 (80–85% of the fetuses treated with Nitrofen) were investigated. These hearts were smaller and showed decreased maturity, probably because normal stretching of the heart wall was diminished due to mechanical compression by visceral organs. Although it was unclear whether the induced heart hypoplasia was left-side specific, the findings suggest that reduced blood flow (mechanical force) could contribute to hypoplastic cardiac development in rats.

Rescue and reversal attempt in HLHS animal models

Recently, several centers have offered fetal aortic valvuloplasty (FAV) as an in utero intervention for mid-gestation fetuses with critical aortic stenosis. Critical aortic stenosis causes major biomechanical and functional aberrations⁷¹ and is associated with a high probability of progression to HLHS.⁷² FAV may prevent progression of aortic stenosis to HLHS in mid-gestation, with reported technical success rates of 81–87%; among live-born infants, 33–59% achieve a biventricular circulation according to recent reports.^{73–75} However, in the context of establishing HLHS animal models, no attempts have yet been made to apply such fetal valvuloplasty approaches. Only Sedmera et al.⁷⁶ have performed a reverse intervention in their HLHS chick embryo model, using right atrial clipping following left atrial clipping, which resulted in increased myocyte proliferation and greater myocardial volume in both ventricles.

DISCUSSION

Current in vivo models of HLHS are broadly categorized into two types: genetically induced and mechanically induced models. Zebrafish and mice are commonly used in genetic models to study molecular and developmental pathways, whereas chick embryos, fetal lambs, and rodents are employed in mechanical models to investigate the impact of altered hemodynamics. Both approaches have contributed significantly to understanding the physiological and biomechanical mechanisms underlying HLHS. The ability of these models to replicate HLHS-like features varies depending on the developmental stage targeted and the specific experimental design.

Zebrafish and mice are widely used as models for evaluating human cardiac function in developmental and functional genomics, as well as in studies of cardiac structure, due to their physiological and morphological similarities to the human heart.^{77,78} They are particularly valuable for understanding the function of each gene in development and disease, owing to their ease of genetic manipulation.^{17,25} Additionally, their short life-spans and rapidly developing embryos make them ideal models for studying cardiac development.^{79–81} These models are especially useful because testing multiple genes in mammalian in vivo models can be challenging due to cost and throughput limitations.¹¹

Historically, HLHS was considered a valve disease⁸² and was thought to result from reduced blood flow caused by LVOTO, typically due to aortic valve atresia secondary to LV growth deficiency.²¹ Therefore, only one study has reported a genetically induced zebrafish model that mimics the full spectrum of HLHS, including deficiencies in the ventricle, valve, and aorta.²¹ However, employing zebrafish as a model for HLHS in human conditions or for investigating the chronic phase of the disease remains challenging, due to their two-chambered heart⁷⁹ and the high morbidity associated with HLHS-like phenotypes.^{9,20,21}

Humans and mice share a high degree of genetic similarity, with ~90% of their genomes consisting of conserved gene order regions.⁸³ This makes mice valuable for studying cardiac development and disease, particularly through genetic

modification.^{25,78} Despite multiple attempts, early efforts to create HLHS mouse models were largely unsuccessful, possibly due to the short gestation period and the presumed hemodynamic etiology of HLHS.⁸¹ In this context, the development of the Ohia mutant line, which exhibits mutations in *Sap130* and *Pcdha9*, represented a significant advancement.⁹ This model demonstrated hypoplastic left ventricles and aortic atresia, reflecting key features of HLHS. However, it also suffered from low disease penetrance and high postnatal lethality, limiting its reproducibility and translational value.

One contributing factor to the limitations of the Ohia model is the variability in the presence and combination of mutations among individual mice, which complicates phenotype consistency and interpretation.^{9,32,33} This genetic heterogeneity highlights the ongoing difficulty in defining the precise genetic architecture of HLHS. Even so, the Ohia model remains a valuable foundation for the development of improved genetic systems that can more precisely dissect gene-specific roles in cardiac morphogenesis. While each model has its limitations, genetic models of HLHS are beginning to shed light on significant genetic contributors to left heart hypoplasia, which may help close knowledge gaps regarding structural defects and cell-specific contributors.⁸²

Regarding mechanically induced HLHS models, non-genetic factors, especially abnormalities in hemodynamics and/or biomechanical loading, are believed to play a larger role in HLHS etiology, and thus more experience and knowledge have been accumulated compared to genetically induced models. The approach to such mechanical factors is based on the ‘no flow, no grow’ theory,^{84,85} which suggests that an abnormal cardiac valve could restrict blood flow, leading to an underdeveloped (hypoplastic) ventricle during cardiogenesis. This type of mechanical approach is a straightforward yet effective method for recapitulating HLHS physiology and hemodynamics, because the degree of induced abnormality can be surgically controlled and adjusted, and the effects are easily visualized as the severity of heart defects. Additionally, therapeutic interventions can be made targeting these mechanical and hemodynamic aspects, enabling their rapid transition to clinical use. Indeed, fetal heart intervention on HLHS fetuses has already been used in clinical settings.^{73,86}

Looking back at the historical development of chick embryo models, two main approaches were used to create cardiac defects: (1) occlusion of the outflow tract of the heart loop (e.g., CTB and VAL) to create a hypoplastic arch, and (2) restriction or occlusion of inflow (e.g., LA clip, LAL, vitelline vein ligation, LA coil, and LA balloon) to induce underdevelopment of the ventricle and heart valves. The former attempt succeeded in achieving the development of a hypoplastic arch, but the systemic ventricle usually became dilated and hypertrophic and did not become hypoplastic. On the other hand, in the latter attempt, although each methodology varied, the results were generally convincing as HLHS models. These results are well explained by the ‘no flow, no grow’ theory, and currently, inducing obstruction to the left heart inflow by various means is a common method for creating HLHS animal models.

In-utero fetal lamb models have utilized several strategies to restrict left heart inflow, namely, occlusion of the FO, LA obstruction using coils, and mitral inflow restriction via balloon placement. The timing and survival rates for these three methods were as follows: FO occlusion was performed at 0.7–0.75 of gestation with 50% survival; LA coiling at 0.5 of gestation with 44% survival; and balloon placement at 0.8 of gestation with 58% survival. Among these, balloon-mediated mitral inflow obstruction demonstrated the highest survival rate, possibly due to the gradual inflation process, which may have allowed compensatory hemodynamic adaptation. The later gestational timing of balloon placement may have also contributed to the improved outcomes. Interestingly, Reuter et al.⁶⁵ observed that younger fetuses showed greater tolerance to LA coil

implantation and better survival, indicating that fetal age may interact with other procedural variables. Despite the relatively short duration and later onset of flow obstruction, the balloon group achieved comparable left heart hypoplasia to that seen in the FO occlusion model. In contrast, early and prolonged LA coiling resulted in the most pronounced hypoplasia. These findings suggest that both the timing and duration of flow restriction are key determinants of the severity of left ventricular underdevelopment.

Compared to the chick embryo models, fetal lamb models are advantageous due to their larger size, which enables several surgical procedures with detailed adjustment. The experiences with fetal lamb models, however, were still generally very limited, and more data are required on variations in induction timing and methods. Regarding the mouse as a mechanically induced HLHS model, they also shared the same concept of restricting blood inflow, utilizing STB as an embolizing agent inside the LA, and demonstrated promising data. Although only one published experience exists so far, efforts with mice should be encouraged, since insights into surgical techniques obtained from a large number of mouse models may be applicable to lamb fetuses at earlier gestational stages. In addition, a mechanically induced rat model, in which CDH is created using nitrofen, has been reported, suggesting that mechanical compression may also contribute to cardiac hypoplasia.

As a common limitation across these animal models, there are species-specific differences and incomplete phenotypic presentation of HLHS, limiting the potential for translation to humans or to other animal species. Additionally, there has been no report successfully demonstrating HLHS phenotypes after delivery. While currently available models of HLHS have offered valuable insight into its etiology, a clear need remains for more sophisticated approaches to better understand and improve survival in HLHS.

All animal models introduced in this review continue to evolve, and the future direction of HLHS modeling are likely to involve both refinement of existing fetal hemodynamic approaches and expansion into complementary genetic systems. Small-animal models will remain indispensable for dissecting developmental mechanisms and genetic pathways, but their limited body size, short gestation, and high perinatal mortality constrain their usefulness in the development of new surgical or catheter-based therapies. Consequently, more refined large-animal models, particularly fetal lambs and potentially pigs, are expected to play an increasingly central role. Recent efforts in the fetal lamb model have focused on shifting surgical interventions to earlier gestational ages (~50–70 days) to improve procedural tolerance, survival, and more consistent of LV growth suppression, and similar strategies could be extended to establish a fetal porcine HLHS models, which is not yet available. In these large-animal systems, introducing and subsequently releasing controlled left heart inflow or outflow obstruction at defined developmental stages may clarify whether and when left heart growth can be rescued, thereby delineating the critical time window for effective fetal therapy. Ultimately, it is plausible that future models will integrate defined genetic susceptibility with controlled hemodynamic perturbations across multiple species, enabling a more faithful reconstruction of the clinical HLHS phenotype and providing a robust platform for testing emerging fetal and perinatal interventions.

CONCLUSION

Robust animal models are essential for elucidating the genetic and hemodynamic mechanisms underlying the pathogenesis of HLHS. Genetically induced models, such as zebrafish and mice, and mechanically induced models, including chick embryos, fetal lambs, and rodents, each provide unique insights into different aspects of

disease development. Although the extent to which these models replicate HLHS morphology varies, both approaches have contributed significantly to our understanding of disease onset and progression. Continued refinement of these models will be critical for identifying novel therapeutic targets and improving clinical translation. Nevertheless, species-specific limitations and the absence of postnatal HLHS phenotypes highlight the need for further innovation in model development.

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AUTHOR CONTRIBUTIONS

D.O. created the concept of the review, and C.M. and K.N. drafted the manuscript as co-first authors. M.D. added some inputs to the In-utero rodent model section. All authors contributed to editorial changes in the manuscript. All authors read and approved the final manuscript.

FUNDING

This work was supported by Additional Ventures Single Ventricle Research Fund #1284695 (PI: Onohara).

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

Correspondence and requests for materials should be addressed to Daisuke Onohara.

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