

Onset of Bloch oscillations in the almost-strong-field regime

Received: 6 September 2022

Accepted: 30 November 2022

Published online: 13 December 2022

Jan Reislöhner¹, Doyeong Kim¹, Ihar Babushkin^{2,3,4} & Adrian N. Pfeiffer¹✉

In the field of high-order harmonic generation from solids, the electron motion typically exceeds the edge of the first Brillouin zone. In conventional nonlinear optics, on the other hand, the excursion of band electrons is negligible. Here, we investigate the transition from conventional nonlinear optics to the regime where the crystal electrons begin to explore the first Brillouin zone. It is found that the nonlinear optical response changes abruptly already before intraband currents due to ionization become dominant. This is observed by an interference structure in the third-order harmonic generation of few-cycle pulses in a non-collinear geometry. Although approaching Keldysh parameter $\gamma = 1$, this is not a strong-field effect in the original sense, because the iterative series still converges and reproduces the interference structure. The change of the nonlinear interband response is attributed to Bloch motion of the reversible (or transient or virtual) population, similar to the Bloch motion of the irreversible (or real) population which affects the intraband currents that have been observed in high-order harmonic generation.

A crystal electron accelerated by an electric field in an electronic band (a Bloch electron) shows a motion pattern that is distinctively different from a free electron. The motion of a Bloch electron is described in k -space by the acceleration theorem $\partial_t k = -E$ (atomic units are used). Already Felix Bloch recognized that the electron motion under the influence of a constant electric field would be oscillatory instead of unidirectional because the group velocity $v_n^k = \partial_k \omega_n^k$ of an electron wave packet in band n with energy ω_n flips the sign after crossing the Brillouin zone edges. However, these Bloch oscillations, one of the most intriguing and counter-intuitive corollaries of electronic bands, are difficult to observe because electron scattering prevents extended motion in k -space for static fields below the breakdown threshold.

One option to realize Bloch oscillations is to increase the lattice constant a so that the zone edge at $k = \pi/a$ is closer. This was achieved by semiconductor superlattices and allowed the first observations of Bloch oscillations^{2–4}. Another option is to increase the electric field sufficiently so that the zone edge is reached ultrafast before scattering destroys the wave packet. This condition can be met for intense laser pulses when the electric field is so strong that electrons cross the zone

edge within one optical cycle. This was considered from the beginning as a possible mechanism of high-order harmonic generation (HHG) from transparent crystals⁵. For most conditions, the contribution of Bloch oscillations to HHG was reported to be weaker than other mechanisms⁶, such as recollision⁷, multiband coupling⁸, and motion in bands with higher spatial frequencies⁹. For terahertz fields it was found using a numerical switch-off analysis that Bloch oscillations contribute substantially to HHG¹⁰. Very recently, it has been reported that HHG produced by two-color fields exhibit phase variations that can be associated with reaching the zone edges¹¹. On the other hand, the decoherence dynamics of strong-field processes in solids are disputed, with many recent calculations assuming the ultrafast loss of interband coherence with few-femtosecond dephasing times^{7,12–15}. If the underlying reason for this ultrafast coherence loss is rooted in scattering, it is questionable how laser-driven Bloch oscillations can arise.

For low-order harmonics, it is commonly accepted that ionization gains importance as the damage threshold is approached¹⁶. Recently, the influence of step-wise ionization on intraband currents has been discussed^{17–19}. When the electrons begin to cover a significant range of

¹Institute of Optics and Quantum Electronics, Abbe Center of Photonics, Friedrich Schiller University, Max-Wien-Platz 1, 07743 Jena, Germany. ²Institute for Quantum Optics, Leibniz Universität Hannover, Welfengarten 1, 30167 Hannover, Germany. ³Cluster of Excellence PhoenixD (Photonics, Optics, and Engineering - Innovation Across Disciplines), Welfengarten 1, 30167 Hannover, Germany. ⁴Max Born Institute, Max Born Str. 2a, 12489 Berlin, Germany.

✉ e-mail: a.n.pfeiffer@uni-jena.de

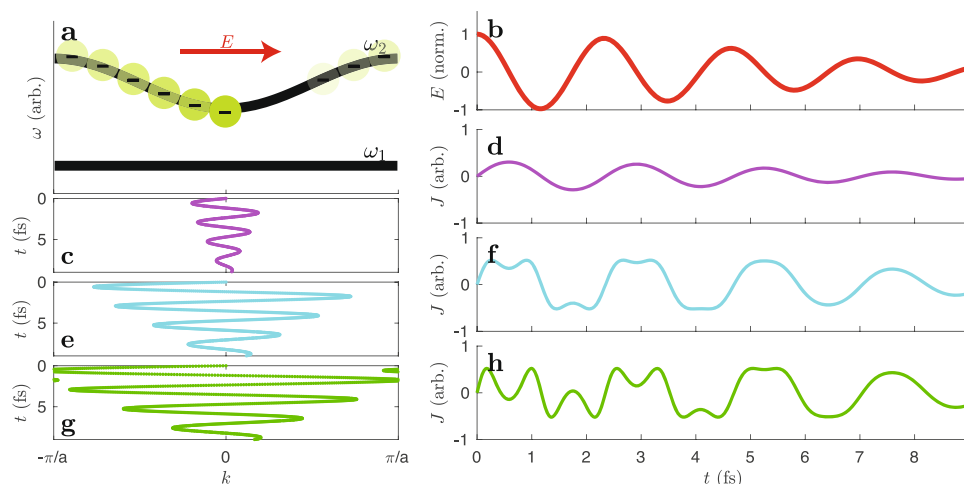


Fig. 1 | Current generated by Bloch electrons. **a** An electron that is born in the conduction band at $k=0$ will subsequently undergo motion according to the acceleration theorem. With the electric field displayed in **b**, the electron trajectories

for peak intensity $I = 1, 15$, and 30 TW/cm^2 are depicted in **(c)**, **(e)**, and **(g)**. The corresponding currents are shown in **(d)**, **(f)**, and **(h)**. Parameters: lattice constant $a = 0.5 \text{ nm}$; peak electric field $\max(E) = \sqrt{\frac{8 \times 10^{-7} \pi c I}{n_R}}$; refractive index $n_R = 1.54$.

the first Brillouin zone, the contribution of Bloch electrons to low-order harmonics is expected to change, as visualized in Fig. 1. An electron promoted to the conduction band ($n=2$) generates the current $J(t) = -\dot{v}_2^{k(t)}$. With a rather short-range trajectory at low intensities, the current contains mainly fundamental frequencies. With increasing intensity, the electron motion becomes anharmonic and contains increasingly more third harmonic frequencies.

Here, this simple picture is extended to the motion of interband coherences, which give rise to interband polarization. It is found that the nonlinear optical response from interband coherences (which are responsible for the reversible or transient or virtual population) changes abruptly as the crystal electrons explore the first Brillouin zone. This is observed by an interference structure in the third-order harmonic generation (THG). For short laser pulses, this happens already at intensities where the contribution of intraband currents due to ionization is not yet dominant. The mechanism is different from the influence of Bloch electron motion which has been observed before in HHG^{10,11} because that is related to intraband currents, which are seeded by the irreversible (or real) population. Furthermore, the observed effect is in the realm of perturbative optics, because the iterative series²⁰ converges and reproduces the interference structure. The regime of intensities might be called an almost-strong-field regime because the intensities are smaller than in strong-field laser physics, yet the nonlinear response differs substantially from conventional nonlinear optics. Conventional nonlinear optics is understood here to indicate that the excursion of band electrons is negligible. Strong-field laser physics, on the other hand, is understood here to indicate that the response cannot be treated perturbatively, which implies a Keldysh parameter $\gamma < 1$. The almost-strong-field regime, where the electron trajectories cover a significant range of the first Brillouin zone but the contribution of intraband currents is still negligible, is commonly reached in lenses and windows of high-power optical instruments, in contrast to the strong-field regime, where optical elements deteriorate quickly.

Results

Experiment

To uncover the expected interference, an intensity scan is required that extends from the regime of conventional nonlinear optics into the regime of Bloch electron motion. This introduces significant complications for the experiment, as the yield of harmonic light would cover a very wide range. To overcome this problem,

non-collinear spectroscopy is used here, sketched in Fig. 2. Two visible-infrared (Vis-IR) pulses A and B are focused into $100 \mu\text{m}$ -thick crystals with polarization perpendicular to the plane of incidence. A and B are overlapped spatially and temporally with a precision of $\pm 10 \mu\text{m}$ and $\pm 1 \text{ fs}$, forming a laser-induced grating^{21,22}. Deep ultraviolet (DUV) light is produced by THG in the crystals. The DUV light that is emitted collinearly to A, which is kept at a constant intensity $I_A = 7 \text{ TW/cm}^2$, is detected with a spectrometer. The intensity of B is varied in the range $I_B = [0, 8] \text{ TW/cm}^2$, which varies the peak intensity $I_{\text{peak}} = (\sqrt{I_A} + \sqrt{I_B})^2$ in the grating. This facilitates intensity scans over a high dynamic range with little variation in spectrometer count rates.

Data evaluation

The fundamental Vis-IR pulses are strongly modified by nonlinear pulse propagation, which obscures the observation of THG mechanisms. Fortunately, THG from the beginning of the crystals strongly contributes to the fringed DUV spectrum (Fig. 2b). As previous studies^{23,24} revealed, the spectral fringes are produced by two DUV pulses that are well separated in time after the crystal. The leading pulse (labeled U) is in time with the Vis-IR pulse, whereas the trailing pulse (labeled V) propagates at the DUV group velocity^{23–25}. V is beneficial for the interpretation of the data because it is generated within the first few micrometers and maintains its spectrum in the subsequent linear propagation. The contribution of U complicates the interpretation of the data because it is generated after nonlinear pulse propagation modified the fundamental pulses. Due to the scattering of the Vis-IR light in the DUV spectrometer, the spectra contain also a significant background in addition to the fringed spectra $I(\omega) = |U(\omega) + V(\omega)|^2$. To remove the background and to enhance the sensitivity to V, the raw spectra are inverse Fourier transformed, the side peak (alternating component) is cut out and shifted to zero, and thereafter Fourier transformed. This yields $I_r(\omega) = |U^*(\omega)V(\omega)e^{-i\omega t_e}|$, where t_e represents the shift to zero which corresponds to the delay between U and V after the medium. The parameters used are 87 fs for SiO_2 , 111 fs for Al_2O_3 , 190 fs for MgO .

The intensity scan reveals an interference structure in the region $I_{\text{peak}} = [10, 20] \text{ TW/cm}^2$ for SiO_2 (see Fig. 2c). As this is the regime where the crystal electrons explore the first Brillouin zone (Fig. 1), this is the first indication for THG from Bloch electrons that competes with conventional THG. Corresponding experiments in Al_2O_3 and MgO yield similar interferences (Fig. 3), but fine details indicate that the band structure has an influence.

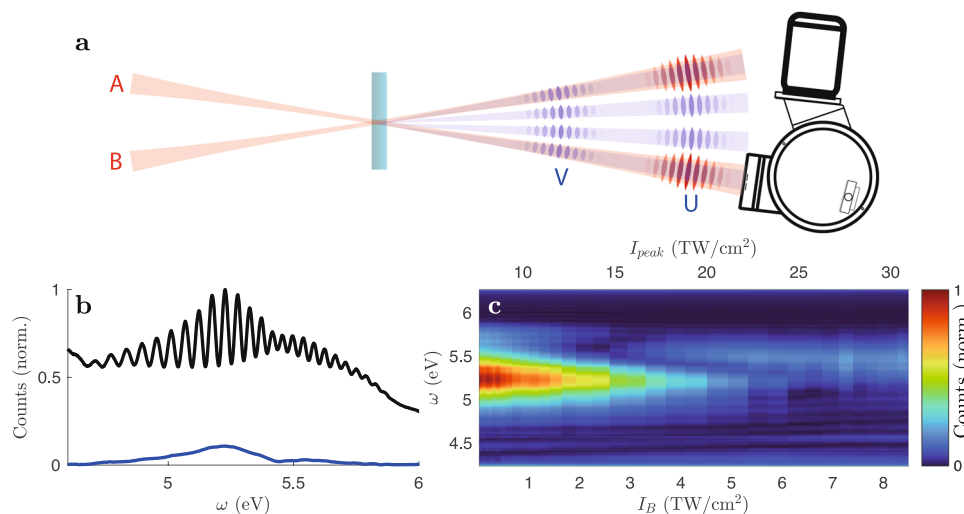


Fig. 2 | Experiment. **a** Two fundamental beams (red) are focused into a crystal (crossing angle $\alpha = 1.1^\circ$, beam waist $120\ \mu\text{m}$). Beams in the DUV (blue) are emitted from the laser-induced grating both collinearly to the fundamental beams and in the interstitial space. The wavefronts of the fundamental pulses A and B, which originate by beam splitting of one laser pulse, are highlighted in red. Cross-phase modulation scans (XPMS) are used for experimental pulse retrieval²³ and indicate a center wavelength of 700 nm and a pulse duration of 8 fs. The DUV beams contain two pulses (wavefronts highlighted in blue), of which the front pulses travel at the

pace of the fundamental pulses, whereas the rear pulses propagate at a speed corresponding to the DUV dispersion and are thus delayed due to the refractive index mismatch at Vis-IR and DUV wavelengths. The spectrometer records the DUV spectrum emitted collinearly to A. The experiment is carried out in a vacuum, inhibiting nonlinear interactions with air. **b** The raw spectrum $I(\omega)$ (black) and the Fourier filtered spectrum $I_f(\omega)$ (blue) at $I_B = 3.5\ \text{TW}/\text{cm}^2$ from $\text{SiO}_2(001)$. **c** The Fourier filtered spectrum $I_f(\omega)$ as a function of intensity.

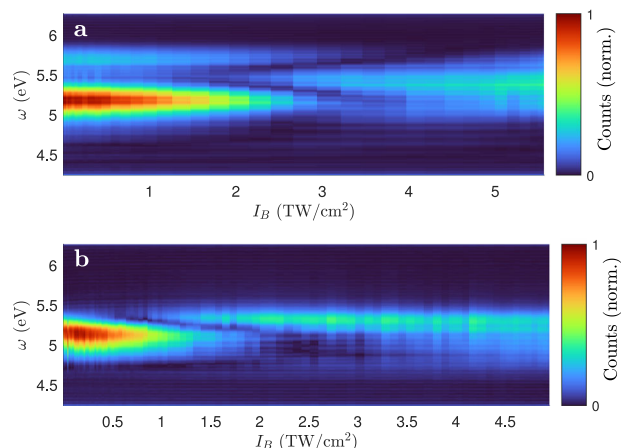


Fig. 3 | Comparison with other crystals. The Fourier filtered spectrum $I_f(\omega)$ as a function of intensity as in Fig. 2 but for $\text{Al}_2\text{O}_3(110)$ (**a**) and $\text{MgO}(100)$ (**b**).

Discussion

To get further insights, numerical calculations are performed using semiconductor Bloch equations (SBEs)¹. With restriction to the spatial dimension of the electric field vector and omitting the Coulomb interaction, the SBEs read^{20,26}

$$i \frac{d}{dt} \rho_{nm}^{k+A} = -\omega_{nm}^{k+A} \rho_{nm}^{k+A} + E \cdot \sum_l (d_{lm}^{k+A} \rho_{nl}^{k+A} - d_{nl}^{k+A} \rho_{lm}^{k+A}) + i (\partial_t \rho_{nm}^{k+A})_{\text{relax}} \quad (1)$$

The diagonal elements ρ_{nn}^k of the density matrix are the populations of the electronic bands, the off-diagonal elements ρ_{nm}^k ($n \neq m$) are the coherences between the states with transition energies $\omega_{nm}^k = \omega_m^k - \omega_n^k$. The electric field E induces dynamics by coupling the electronic bands via the dipole matrix elements d_{nm}^k and by moving the electrons and holes within the bands, which is realized by the

coordinate transform $k \rightarrow k + A$, where A is the vector potential defined by $E = -\partial_t A$. The relaxation terms $(\partial_t \rho_{nm}^k)_{\text{relax}}$ are implemented as phenomenological damping terms (see the “Methods” section). The polarization P and the current J are calculated by

$$P = \sum_{n \neq m} \sum_k d_{mn} \rho_{nm}^k \delta k \quad (2)$$

$$J = - \sum_n \sum_k \rho_{nn}^k v_n^k \delta k, \quad (3)$$

where δk is the spacing in the k -grid.

In most recent studies, the band structures are calculated by ab initio methods like density functional theory (DFT). Here, a different approach is taken. For a quantitative comparison of optical fields originating from macroscopic pulse propagation, it is essential that both the linear response (including the group velocity dispersion) and the nonlinear response (including the optical Kerr effect (OKE)) match the experiment. The linear response of DFT is known to deviate because of missing background contributions²⁷; if the OKE is correctly reproduced is typically not tested. Here, numerical refractive index data is used to incorporate linear polarization in the pulse propagation. The nonlinear polarization $P^{(\text{NL})}$ is used as a source term in the pulse propagation, which is calculated from Eq. (2) in Fourier space by

$$\mathcal{F}\{P^{(\text{NL})}\} = \mathcal{F}\{P\} - \chi^{(1)} \mathcal{F}\{E\}, \quad (4)$$

where $\chi^{(1)}$ is the linear susceptibility of Eq. (1) (see the “Methods” section). The dipole matrix elements of three bands are then adjusted to match the experimental data of the OKE. This supports pulse propagation using the unidirectional pulse propagation equation (UPPE) at feasible computation times, yet captures the interband and intraband dynamics consistently²⁰.

The macroscopic UPPE calculations (see the “Methods” section), using the experimentally determined pulse shapes, confirm the assumption of two separate DUV pulses (see Fig. 4). The calculated spectra are processed like the experimental spectra. The Fourier-

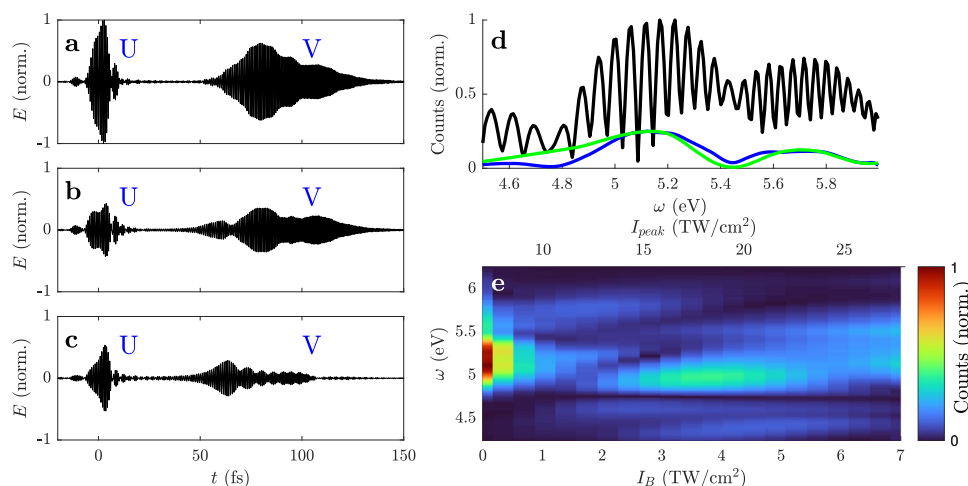


Fig. 4 | Macroscopic calculations using experimentally determined pulse shapes in SiO₂. The electric field of the DUV pulses U and V emitted collinearly to A for $I_{\text{peak}} = 7$ TW/cm² (a), 14 TW/cm² (b), and 23 TW/cm² (c). The electric field was Fourier filtered to include only frequencies in the interval [4.2, 6.2] eV to suppress the much stronger fundamental field at Vis-IR wavelengths. **d** The raw spectrum

$I(\omega)$ (black) and the Fourier filtered spectrum $I_f(\omega)$ (blue) at $I_{\text{peak}} = 14$ TW/cm². The spectrum $\mathcal{F}\{P^{(\text{NL})}\}$ calculated with the initial fundamental pulses at the beginning of the crystal is shown in green for comparison. **e** The Fourier filtered spectrum $I_f(\omega)$ as a function of intensity.

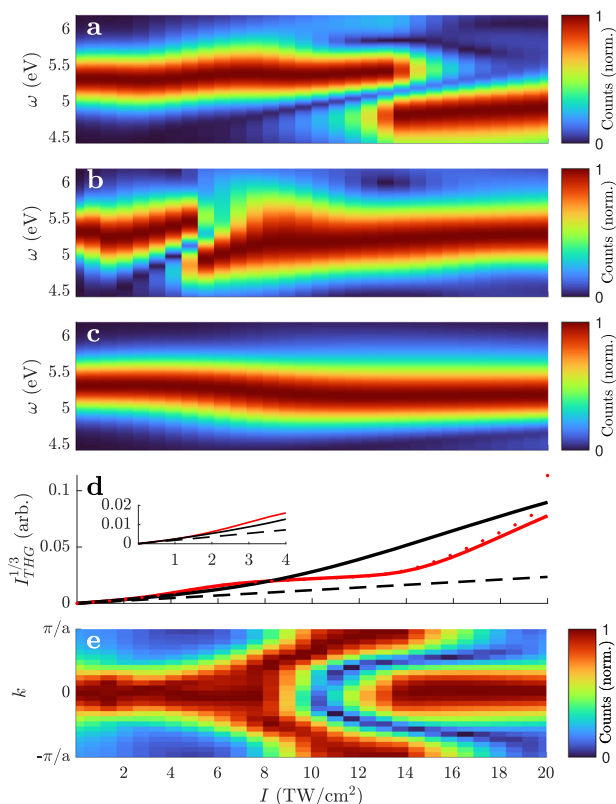


Fig. 5 | Optically thin calculations using 8-fs Gaussian pulses. The spectra of $P^{(\text{NL})}$ (a) and J (b) are calculated using the full SBEs. For comparison, the spectrum of $P^{(\text{NL})}$ with suppression of the electron motion is shown in (c). All pseudo-color plots are normalized at each intensity to increase visibility. The third root of the sum of the spectra of $P^{(\text{NL})}$ before normalization (corresponding to the third root of the total THG intensity) is depicted in (d) for the SBE calculation with (red solid) and without (black solid) Bloch electron motion. The red dotted line shows the iterative calculation with 100 iterations that diverges for $I > 18$ TW/cm². For comparison, the black dashed line shows the instantaneous response $P^{(\text{NL})} = \chi^{(3)}E^3$, which is a straight line in this diagram. The k -resolved contribution $P^{(\text{NL})}(k)$ of the full-SBE calculation summed over frequencies [4.5, 5] eV is displayed in (e).

filtered spectrum $I_f(\omega)$, although somewhat masked by propagation effects, still resembles the THG at the beginning of the crystal (Fig. 4d). The reason is that V originates within the first few micrometers in the crystal and maintains its spectrum in the subsequent linear propagation. The intensity scan exhibits an interference structure that is similar to the experimental data. In order to investigate the influence of the band shape, calculations are performed where the band shape contains higher frequencies (Supplementary Information Fig. 1). As expected, the band shape influences the interference structure, which might be exploited to extract information about band shapes from the data. However, the calculations limited to three bands are unlikely to reproduce the interference structure in fine detail.

The interference structure also addresses a debated inconsistency in the field of HHG from solids. Numerical calculations by several groups agree that noisy spectra of HHG are predicted, in contrast to the clean harmonics measured experimentally. The most prominent solution to this discrepancy is to assume ultrafast coherence loss realized by dephasing times below 10 fs, which helps the calculations produce clean harmonics^{7,12–15}. The interference structure vanishes for such short dephasing times (Supplementary Information Fig. 2), which does not support the assumption of dephasing times below 10 fs.

To clarify whether the interference structure is caused by a change in the mechanism of THG rather than propagation effects, the nonlinear response generated by 8-fs Gaussian pulses is investigated (see Fig. 5). $P^{(\text{NL})}$ exhibits an interference structure in the range [8, 20] TW/cm², similar to the UPPE calculations and the experiment. The shape is influenced by the band shape (see Supplementary Information Figs. 3 and 4), but the general appearance is universal. Also, J shows an interference structure, but at lower intensities [2, 8] TW/cm². This is an indication that the interference structure observed experimentally is not due to J . This is affirmed by running the UPPE calculation with $J = 0$, which yields an indistinguishable result from the complete calculation displayed in Fig. 4c. This seems to contradict recent wave-mixing experiments at similar intensities^{17,18}, but a crucial point may be that the SBE calculations reproduce the reversible population of the conduction band^{20,28,29} rather than assuming a step-wise ionization.

The Keldysh parameter $\gamma = \frac{\omega_0 \sqrt{\omega_0}}{|E|}$, where ω_0 is the optical frequency, is commonly used to distinguish multiphoton ($\gamma > 1$) and strong-field ($\gamma < 1$) interactions³⁰. While the former can be treated by the power

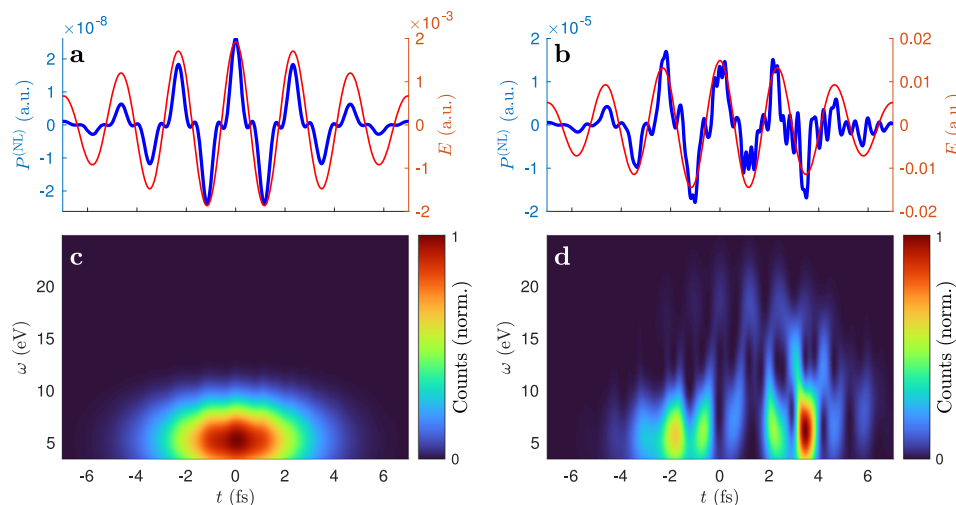


Fig. 6 | The nonlinear polarization response in time domain. $P^{(NL)}$ is calculated using the SBEs with Bloch electron motion at 0.2 TW/cm² (a) and (c) and at 4 TW/cm² (b) and (d). The blue lines in a and b show $P^{(NL)}$, the generating field is

displayed by the red curve. The spectrograms c and d show the smoothed pseudo-Wigner–Ville distributions of $P^{(NL)}$. Before calculating the spectrograms, all frequency components of $P^{(NL)}$ with $\omega < 3.5$ eV were removed by Fourier filtering.

series expansion of perturbative nonlinear optics, this series diverges for the latter. The transition region has attracted much attention for gases, which is sometimes referred to as the regime of nonadiabatic tunneling³¹ but has not yet received much attention for solids. The intensity range of the interference structure ($\gamma = 1.5$ at 8 TW/cm² and $\gamma = 1$ at 18 TW/cm²) is below the regime of strong-field laser physics in the original sense. Strong-field laser physics in the original sense is understood here to mean that the power series expansion does not converge. To test the convergence, the SBEs are solved iteratively (see the “Methods” section and ref. 20). It has been shown before that the convergence criterion of the iteration is fulfilled for $\gamma > 1^{20}$. The pseudo-color spectra produced with 100 iterations are distinguishable from those of the time-domain integration displayed in see Fig. 5a. Only the line plot of Fig. 5d reveals deviations starting at 18 TW/cm² where $\gamma = 1$.

To finally reveal the mechanism that causes the modification of the non-linear response in the almost-strong-field regime, a simplified calculation is performed neglecting the motion of the Bloch electrons. This is achieved by omitting the coordinate transform $k \rightarrow k + A$ in Eq. (1). With the Bloch electron motion turned off, the interference structure in $P^{(NL)}$ disappears. For lower intensities, displayed in the inset of Fig. 5d, the calculations with and without Bloch electron motion agree perfectly. Thus, Bloch electron motion can be neglected at moderate intensities. Moreover, the instantaneous response $P^{(NL)} = \chi^{(3)} E^3$, which is a common simplification for the OKE in transparent solids, is a very good approximation for these intensities. In the almost-strong-field regime, the THG intensity deviates from the instantaneous response model for both calculations with and without Bloch electron motion but only the full calculation generates the interference structures. At 5 TW/cm², where J exhibits interference, the electrons transverse up to 45% of the first Brillouin zone. However, this is not observed in the experiment because the influence of J is still negligible at these low intensities. At 14 TW/cm², where $P^{(NL)}$ exhibits interference, the electrons transverse up to 75% of the first Brillouin zone. The origin of the nonlinear polarization in k -space is traced by omitting the k -summation in Eq. (2) resulting $P^{(NL)}(k)$. In the almost-strong-field regime, the origin is shifted through the entire Brillouin zone (Fig. 5d). This underpins the interpretation of Bloch motion that affects the interband polarization. At low intensities, only the local band curvature is decisive which is highest at $k = 0$. When the electrons start to explore the Brillouin zone, the band curvature throughout the trajectory must be considered both for the

interband and for the intraband contribution of the nonlinear response.

The spectrograms (Fig. 6 and Supplementary Information Fig. 5) show that while THG is temporally delocalized at low intensity, THG and also higher frequency generation are localized within the optical cycle at higher intensities. Some features are reminiscent of the three-step model for HHG³². In particular, there are branches with positive chirp, as typically associated with short electron trajectories, followed by branches with negative chirp, as typically associated with long trajectories. However, the three-step model would predict only photons with energies of band transitions^{7,8}, which are limited to [10.3, 13.3] eV for the band structure used here. Furthermore, the highest photon energies are found at the peaks of the generating field, but the three-step model predicts them near the zero crossings. It is remarkable that the instantaneous response model fits very well for low intensity but at higher intensity both the $P^{(NL)}$ and J exhibit dents at the field crests. These dents are clearly visible by comparing with the simplified calculation that neglects the motion of the Bloch electrons (Supplementary Information Fig. 6). These dents are reminiscent of the current generated by a single Bloch electron in Fig. 1. This strengthens the interpretation that Bloch electron motion can be regarded as a mechanism of harmonic generation not only for real electrons, which are the origin of J and which was considered from the beginning as a possible mechanism for HHG but also for virtual electrons (coherences), which are the origin of $P^{(NL)}$. In contrast to the original strong-field regime ($\gamma < 1$), where optical components are very easily damaged, the almost-strong-field regime is often reached in high-power lasers and other optical instruments. The results of this work, especially numerical pulse propagation at feasible computation times, will be useful for the design of such instruments.

Methods

Calculations based on SBEs

The consistent treatment of the OKE requires at least three bands²⁰. Two valence bands (bands 1 and 3) and one conduction band (band 2) are considered here. In a realistic band structure, valence bands have typically a transition energy on the order of 1 eV, but resonance effects with the Vis–IR pulse do not prevail because many valence bands exist. To avoid resonance effects for only two valence bands, $\omega_3^k = \omega_1^k$ is assumed. However, only band 1 is coupled to the conduction band. Only the conduction band energy is considered to be k -dependent

with a tight-binding band shape:

$$\omega_2^k = \frac{1}{2}b_1(1 - \cos(ka)) \quad (5)$$

with bandwidth $b_1 = 3$ eV and lattice constant $a = 0.49$ nm. The band-gap is set to $\omega_{12}^{k=0} = \omega_{12} = 10.3$ eV,

The dipole matrix elements are matched to the OKE at low intensities as described in ref. 20. The valence band transitions are implemented with $d_{13}^k = d_{31}^k = d_{13} = 16$. The valence to conduction band transitions $d_{12}^k = d_{21}^k$ are implemented as¹

$$d_{12}^k = d_{12}^{k=0} \frac{\omega_{12}^{k=0}}{\omega_{12}^k}. \quad (6)$$

with $d_{12}^{k=0} = 0.02$. All other dipole matrix elements are set to zero.

Relaxation is implemented as phenomenological damping terms. For the diagonal elements, the lifetime T_1 and the collision time T_c are considered.

$$(\partial_t \rho_{nn}^k)_{\text{relax}} = -\frac{1}{T_1} \rho_{nn}^k - \frac{1}{2T_c} (\rho_{nn}^k - \rho_{nn}^{-k}). \quad (7)$$

The lifetime in conduction bands of dielectrics usually exceeds 100 fs, justifying the assumption $T_1 = \infty$. The terms proportional to $1/T_c$ cause a decay of the currents, while the total band population is preserved. The damping of the currents cannot be neglected, because Drude collision times are in the few-femtosecond range. This is in accordance with the qualitative picture of excited electrons that first undergo rapid momentum relaxation and thereafter energy relaxation on a longer timescale³³. For the coherences,

$$(\partial_t \rho_{nm}^k)_{\text{relax}} = -\frac{1}{T_2} \rho_{nm}^k. \quad (8)$$

where T_2 is the interband dephasing time. Here it is assumed that T_2 is identical for all coherences and independent of k . The relation between the dephasing time T_2 and the Drude collision time T_c is not known. Here, $T_2 = 2T_c$ is assumed, following the phenomenological picture that if scattering occurs to an electron at position k , its interband- and intraband coherences are likewise destroyed.

The numerical calculations are performed on a k -grid with 27 points. The time-domain integration is performed using the 4th-order Runge-Kutta (RK4) method. For the calculations with pulse propagation (Fig. 4 and Supplementary Information Figs. 1 and 2), a t -grid with 30,001 points in the interval $[-250, 250]$ fs is used. For the calculations without pulse propagation (all other figures), a t -grid with 70,001 points in the interval $[-500, 500]$ fs is used.

Iteration of SBEs

For dielectrics, the population transfer into the conduction band is only a small fraction of the valence band population when irreversible material changes are avoided. This justifies the assumptions $\rho_{11}^k - \rho_{22}^k = 1$; $\rho_{11}^k - \rho_{33}^k = 0$; $\rho_{33}^k - \rho_{22}^k = 1$, which effectively decouple the diagonal and off-diagonal elements of the SBEs. With this approximation, the non-diagonal elements of Eq. (1) can be transformed to

$$\begin{aligned} \mathcal{F}\{\rho_{12}^{k+A}\} &= \frac{\mathcal{F}\{d_{12}^{k+A}E\} + D_{12}}{\omega_{12} - \omega + i/T_2} \\ \mathcal{F}\{\rho_{32}^{k+A}\} &= \frac{D_{32}}{\omega_{32} - \omega + i/T_2} \\ \mathcal{F}\{\rho_{13}^{k+A}\} &= \frac{D_{13}}{\omega_{13} - \omega + i/T_2} \end{aligned} \quad (9)$$

with

$$\begin{aligned} D_{12} &= \mathcal{F}\{-\tilde{\omega}_{12}^{k+A} \rho_{12}^{k+A}\} - d_{13} \mathcal{F}\{E \rho_{32}^{k+A}\} \\ D_{32} &= \mathcal{F}\{-\tilde{\omega}_{32}^{k+A} \rho_{32}^{k+A}\} - d_{13} \mathcal{F}\{E \rho_{12}^{k+A}\} \\ D_{13} &= -\mathcal{F}\{d_{12}^{k+A} E \rho_{32}^{k+A}\}^* \Big|_{-\omega}. \end{aligned} \quad (10)$$

Here, the time-dependent transition energy ω_{nm}^{k+A} is separated into a static part $\omega_{nm} = \omega_{nm}^k$ and the dynamic part $\tilde{\omega}_{nm}^{k+A} = \omega_{nm}^{k+A} - \omega_{nm}$. The elements D_{nm} are the corrections due to the nonlinearity. A recursive method is used for their calculation: In the n th step of iteration, the elements ρ_{nm} in Eq. (9) are calculated using the elements D_{nm} of the $(n-1)$ th step. Each step of iteration requires (inverse) Fourier transforms and time-domain multiplications. $D_{nm} = 0$ is assumed in step 0.

The iteration is equivalent to a power series expansion. Accordingly, there is an upper limit for the electric field above which the iteration does not converge. In the limit of a monochromatic field with frequency ω_0 , the convergence criterion is given by $\gamma > 1$ ³⁰.

The linear susceptibility follows from Eq. (9) by setting $D_{12} = 0$:

$$\chi^{(1)} = \frac{\mathcal{F}\{P\}}{\mathcal{F}\{E\}} = \sum_k \frac{2\omega_{12}^k (d_{12}^k)^2}{(\omega_{12}^k)^2 - \omega^2 + 1/T_2^2 + 2i\omega/T_2} \delta k \quad (11)$$

Pulse propagation

Macroscopic pulse propagation is calculated using the UPPE

$$\partial_z \hat{E} = i\left(\frac{\omega}{u} - K\right) \hat{E} - \frac{2\pi\omega}{Kc^2} \left(i\omega \hat{P}^{(NL)} + \hat{J}\right), \quad (12)$$

where the hat symbol indicates the Fourier transform in the dimensions of time and transverse space. In addition to the propagation direction z , one transverse dimension (the x -dimension) is included to account for the noncollinear geometry with $K = \sqrt{n_R^2 \frac{\omega^2}{c^2} - k_x^2}$. Numerical tables are used for the refractive index n_R , c is the speed of light and u is the group velocity of the Vis-IR pulse. The electric field is treated as a scalar field because all pulses are polarized perpendicular to the plane of incidence.

The UPPE is integrated numerically using the split-step method with an x -grid with 81 points in the interval $[-260, 260]$ μm and a z -grid with 401 points in the interval $[0, 100]$ μm .

Subsequent to the propagation inside the crystal, the light propagating collinearly to A with an emission angle $-\frac{\pi}{2}$ is calculated by

$$E(\omega) = \hat{E}(\omega, k_x) \quad (13)$$

with $\tan(-\frac{\pi}{2}) = \frac{k_x}{\sqrt{(\omega/c)^2 + (k_x)^2}}$.

Data availability

The data generated in this study are provided in the Supplementary Information. Source data are provided with this paper.

References

- Haug, H. & Koch, S.W. *Quantum Theory of the Optical and Electronic Properties of Semiconductors*, 5th edn (World Scientific, Singapore, 2009)
- Feldmann, J. et al. Optical investigation of Bloch oscillations in a semiconductor superlattice. *Phys. Rev. B* **46**, 7252–7255 (1992).

3. Leo, K., Bolivar, P. H., Bruggemann, F., Schwedler, R. & Kohler, K. Observation of bloch oscillations in a semiconductor superlattice. *Solid State Commun.* **84**, 943–946 (1992).
4. Waschke, C. et al. Coherent submillimeter-wave emission from bloch oscillations in a semiconductor superlattice. *Phys. Rev. Lett.* **70**, 3319–3322 (1993).
5. Faisal, F. H. M. & Kaminski, J. Z. Floquet-bloch theory of high-harmonic generation in periodic structures. *Phys. Rev. A* **56**, 748–762 (1997).
6. Ghimire, S. et al. Observation of high-order harmonic generation in a bulk crystal. *Nat. Phys.* **7**, 138–141 (2011).
7. Vampa, G. et al. Theoretical analysis of high-harmonic generation in solids. *Phys. Rev. Lett.* **113**, 073901 (2014).
8. Ndabashimiye, G. et al. Solid-state harmonics beyond the atomic limit. *Nature* **534**, 520 (2016).
9. Luu, T. T. et al. Extreme ultraviolet high-harmonic spectroscopy of solids. *Nature* **521**, 498–502 (2015).
10. Schubert, O. et al. Sub-cycle control of terahertz high-harmonic generation by dynamical bloch oscillations. *Nat. Photonics* **8**, 119–123 (2014).
11. Uzan-Narovlansky, A. J. et al. Observation of light-driven band structure via multiband high-harmonic spectroscopy. *Nat. Photonics* **16**, 428 (2022).
12. Hohenleutner, M. et al. Real-time observation of interfering crystal electrons in high-harmonic generation. *Nature* **523**, 572–575 (2015).
13. Kruchinin, S. Y., Krausz, F. & Yakovlev, V. S. Colloquium: Strong-field phenomena in periodic systems. *Rev. Mod. Phys.* **90**, 021002 (2018).
14. Floss, I. et al. Ab initio multiscale simulation of high-order harmonic generation in solids. *Phys. Rev. A* **97**, 011401 (2018).
15. McDonald, C. R., Ben Taher, A. & Brabec, T. Strong optical field ionisation of solids. *J. Opt.* **19**, 114005 (2017).
16. Couairon, A., Sudrie, L., Franco, M., Prade, B. & Mysyrowicz, A. Filamentation and damage in fused silica induced by tightly focused femtosecond laser pulses. *Phys. Rev. B* **71**, 125435 (2005).
17. Mitrofanov, A. V. et al. Optical detection of attosecond ionization induced by a few-cycle laser field in a transparent dielectric material. *Phys. Rev. Lett.* **106**, 147401 (2011).
18. Jurgens, P. et al. Origin of strong-field-induced low-order harmonic generation in amorphous quartz. *Nat. Phys.* **16**, 1035 (2020).
19. Jurgens, P. et al. Characterization of laser-induced ionization dynamics in solid dielectrics. *ACS Photonics* **9**, 233–240 (2022).
20. Pfeiffer, A. N. Iteration of semiconductor bloch equations for ultra-short laser pulse propagation. *J. Phys. B-At. Mol. Opt. Phys.* **53**, 164002 <https://iopscience.iop.org/article/10.1088/1361-6455/ab94cb> (2020).
21. Pati, A. P., Wahyutama, I. S. & Pfeiffer, A. N. Subcycle-resolved probe retardation in strong-field pumped dielectrics. *Nat. Commun.* **6**, 7746 (2015).
22. Pati, A. P., Reislohnner, J., Leithold, C. G. & Pfeiffer, A. N. Effects of the groove-envelope phase in self-diffraction. *J. Mod. Opt.* **64**, 1112–1118 (2017).
23. Reislohnner, J., Leithold, C. & Pfeiffer, A. N. Characterization of weak deep UV pulses using cross-phase modulation scans. *Opt. Lett.* **44**, 1809–1812 (2019).
24. Reislohnner, J., Leithold, C. & Pfeiffer, A. N. Harmonic concatenation of 1.5 fs pulses in the deep ultraviolet. *ACS Photonics* **6**, 1351–1355 (2019).
25. Babushkin, I. V., Noack, F. & Herrmann, J. Generation of sub-5 fs pulses in vacuum ultraviolet using four-wave frequency mixing in hollow waveguides. *Opt. Lett.* **33**, 938–940 (2008).
26. Li, J. B. et al. Phase invariance of the semiconductor bloch equations. *Phys. Rev. A* **100**, 043404 (2019).
27. Kilen, I. et al. Propagation induced dephasing in semiconductor high-harmonic generation. *Phys. Rev. Lett.* **125**, 083901 (2020).
28. Schultze, M. et al. Controlling dielectrics with the electric field of light. *Nature* **493**, 75–8 (2013).
29. Sommer, A. et al. Attosecond nonlinear polarization and light-matter energy transfer in solids. *Nature* **534**, 86–90 (2016).
30. Keldysh, L. V. Ionization in the field of a strong electromagnetic wave. *JETP* **20**, 1307–1314 (1965).
31. Yudin, G. L. & Ivanov, M. Y. Nonadiabatic tunnel ionization: Looking inside a laser cycle. *Phys. Rev. A* **64**, 013409 (2001).
32. Lewenstein, M., Balcou, P., Ivanov, M. Y., Lhuillier, A. & Corkum, P. B. Theory of high-harmonic generation by low-frequency laser fields. *Phys. Rev. A* **49**, 2117–2132 (1994).
33. Sjakste, J., Tanimura, K., Barbarino, G., Perfetti, L. & Vast, N. Hot electron relaxation dynamics in semiconductors: assessing the strength of the electron–phonon coupling from the theoretical and experimental viewpoints. *J. Phys. Condens. Matter* **30**, 353001 (2018).

Acknowledgements

This project was supported primarily by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) via Priority Program 1840 “Quantum Dynamics in Tailored Intense Fields (QUTIF)” (project ID 281272215) (J.R., D.K., A.N.P., I.B.) and via project B1 in the Collaborative Research Centre 1375 “Nonlinear optics down to atomic scales (NOA)” (project ID 398816777) (A.N.P.). I.B. thanks Cluster of Excellence PhoenixD (EXC 2122, project ID 390833453) for financial support.

Author contributions

J.R. and A.N.P. constructed the experiment. J.R. conducted the experiment. A.N.P. developed the theory. J.R., D.K., I.B., and A.N.P. contributed to the discussion and preparation of the manuscript.

Funding

Open Access funding enabled and organized by Projekt DEAL.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information The online version contains supplementary material available at <https://doi.org/10.1038/s41467-022-35401-3>.

Correspondence and requests for materials should be addressed to Adrian N. Pfeiffer.

Peer review information *Nature Communications* thanks Tzveta Apostolova and the other, anonymous, reviewer(s) for their contribution to the peer review of this work.

Reprints and permissions information is available at <http://www.nature.com/reprints>

Publisher’s note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2022