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IFN- γ and IL-4 correlate with protection induced by an attenuated malaria vaccine

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Controlled human malaria infections after immunization *via* mosquito bites with radiation attenuated *Plasmodium falciparum* sporozoites are necessary tools to decipher immune signatures of malaria protection. The phenotype of circulating mononuclear innate cells and the PBMC response to *in vitro* stimulation unraveled correlates of protection from baseline immune response to sporozoite stimulation (IFN- γ secretion and HLA-DR^{high} expression) to vaccine-induced immune factors (IL-4 secretion and CD57⁻ $\gamma\delta$ T cell frequency).

Understanding correlates of protection of infectious diseases is key for vaccine development. As malaria sub-unit vaccines (RTS,S/AS01E[®], R21/MatrixM[®]) are implemented in more than 15 African countries, important gaps persist in our understanding of which immune mechanisms mediate sterile protection. Filling these gaps is essential for designing second-generation vaccines with higher efficacy and durable sterile protection. Immunization *via* mosquito bite with radiation attenuated *Plasmodium falciparum* sporozoites (IMRAS) has been considered a “gold standard” malaria vaccine, even though field implementation as a vaccine for larger population may be challenging. Controlled human malaria infection (CHMI) after suboptimal vaccination with IMRAS in a phase I clinical trial (aiming to achieve ~50% vaccine efficacy) enabled profiling of the immune response of protected (P) and non-protected (NP) subjects and establishing immune signatures of protection¹. By combining immunoprofiling of adaptive responses with an integrative computational approach, we previously associated T cell functionality with protection². Interestingly, the baseline (pre-vaccination) response was already predictive of protection, as reported for other vaccines (e.g., influenza³). At the transcriptomic level, PBMC-based RNAseq analysis confirmed differential magnitudes of responses to IMRAS in P vs. NP individuals, with NP subjects not responding to *in vitro* SPZ stimulation⁴. As the adaptive vaccine immune response is initiated by innate cells, we sought to profile the IMRAS-induced innate immune response and characterize the hypo-responsiveness of NP subjects. We first evaluated the phenotype of circulating mononuclear cells before IMRAS vaccination, after completing the vaccination regimen, and after CHMI (Fig. 1a). Then, we assessed the functionality at each timepoint by measuring cytokine responses of PBMCs or sorted monocytes to specific (SPZ) and non-specific (lipopolysaccharide - LPS) stimulation.

The innate immune signature of IMRAS (Fig. 1) was characterized by a significantly higher frequency of $\gamma\delta$ T cells ($p = 0.03$) (Fig. 1b), CD56^{bright} NK

cells with a naïve phenotype (CD62L⁺ CD45RO⁻) ($p = 0.01$) (Fig. 1c), and fewer classical and more intermediate monocytes, although not significant ($p = 0.07$), in response to *in vitro* SPZ stimulation (Fig. 1d). This response pattern was unchanged after CHMI. Notably, the expression by $\gamma\delta$ T cells of CD57 (marker of terminally differentiated cells) was different after IMRAS or CHMI, with a significant increase in CD57⁻ $\gamma\delta$ T cells after IMRAS ($p = 0.04$) (Fig. 1e) and a significant increase in CD57⁺ $\gamma\delta$ T cells after CHMI (Fig. 1f). The induction of $\gamma\delta$ T cells after malaria infection is well known and its importance in protection after attenuated *Pf*SPZ vaccination has been reported⁵. CD57 expression by $\gamma\delta$ T cells is associated with chronic exposure to malaria⁶ but was not induced by repetitive exposure to irradiated SPZ (IMRAS, five immunization with ~200 mosquito bites/immunization). CD56^{bright} NK cells (Fig. 1c) are a subclass of circulating NK cells with a high potential for migration to secondary lymphoid organs, less cytotoxic activity and a propensity to secrete high levels of cytokines like IFN- γ ⁷. Comparing P and NP subjects revealed a significantly higher frequency of HLA-DR^{high} monocytes in response to SPZ stimulation in P subjects ($p = 0.05$), at baseline and after immunization (Fig. 1g, h). This underscores the importance of antigen presentation in this group, in accordance with our previous PBMC-based transcriptomic data⁴. Indeed, this differential expression of HLA-DR was not seen in unstimulated cells, nor in response to LPS. Induction of immunosuppressive monocytes by malaria infection is well known, as well as the increase in NK and $\gamma\delta$ T cells⁸. However, we could not statistically associate frequencies of $\gamma\delta$ T cells or NK cells subclasses with protection (Fig. 1h).

We then assessed the response of sorted CD14⁺ monocytes to *in vitro* SPZ stimulation (specific response) or LPS (nonspecific response) by quantifying 10 cytokines (IFN- γ , IL-4, IL-10, IL-12p70, IL-13, IL-1 β , IL-2, IL-6, IL-8 and TNF- α) in supernatant after 24 h stimulation (Fig. 2). In response to SPZ stimulation, CD14⁺ monocytes secreted similar levels of

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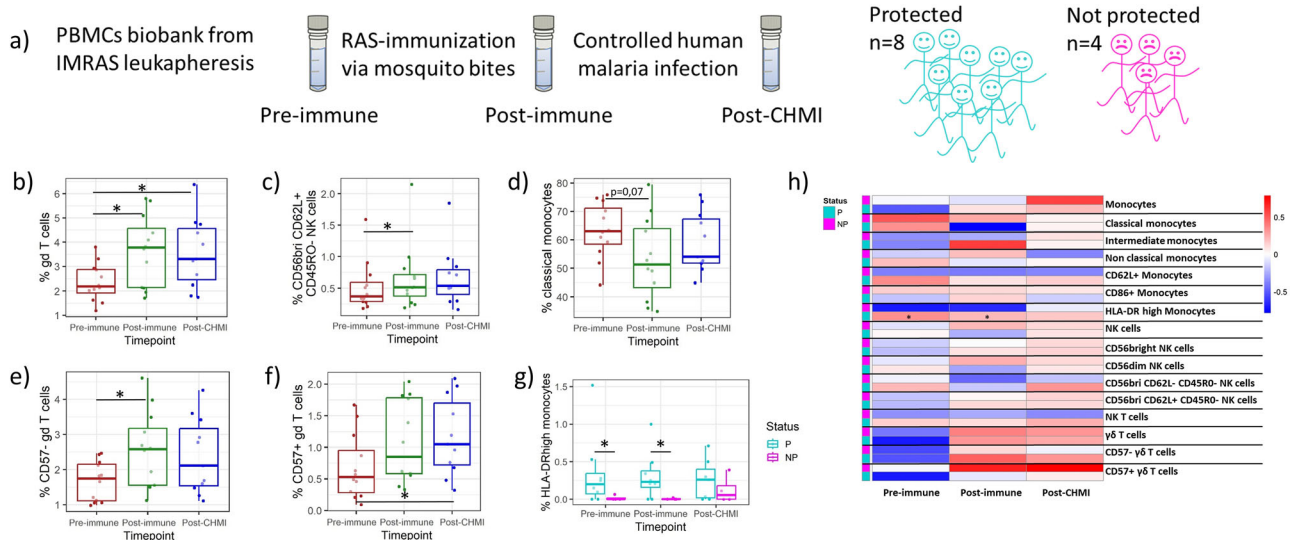


Fig. 1 | Landscape of innate immune cell types responding to in vitro sporozoite stimulation before immunization (pre-immune), after immunization (post-immune) and after CHMI (post-CHMI). **a** Schematic representation of the biological samples available from the IMRAS clinical trial and the repartition of the protection status after CHMI. Boxplot representing the percentage of **b** $\gamma\delta$ T cells, **c** $CD56^{bri}CD62L^{+}CD45RO^{-}$ NK cells, **d** classical monocytes, **e** $CD57^{+} \gamma\delta$ T cells and **f** $CD57^{+} \gamma\delta$ T cells. **g** Boxplot representing the percentage of $HLA-DR^{high}$ monocytes stratified by protection status (Protected (P) = turquoise, Non-Protected (NP) = magenta). **h** Heatmap visualizes the normalized z-score of the frequencies of innate cells stratified by protection status. The color scale indicates the z-score of the mean cell frequency of P or NP subjects with red color for values above the mean, blue below the mean. Asterisks indicate statistically significant differences: * $p < 0.05$.

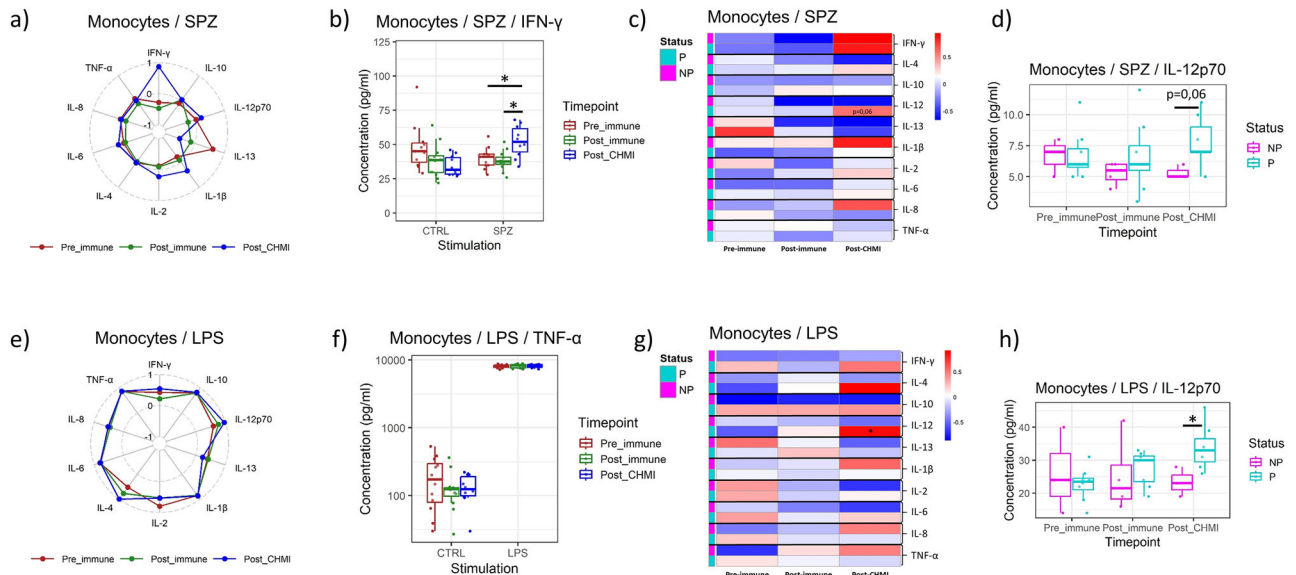


Fig. 2 | Differential cytokine profiles secreted by $CD14^{+}$ monocytes in response to in vitro specific (SPZ) or nonspecific (LPS) stimulation. **a** Radar graph representing the normalized z-score of cytokines secreted by monocytes in response to SPZ stimulation before immunization (pre-immune), after immunization (post-immune) and after CHMI (post-CHMI) ($n = 12$). **b** Boxplot representing the concentrations of $IFN-\gamma$ (pg/ml) in response to SPZ stimulation or left unstimulated (CTRL) at the three time points. **c** Heatmaps visualizing the normalized concentration of cytokines (z-score) in response to SPZ stimulation and stratified by protection status (Protected (P) = turquoise, Non-Protected (NP) = magenta). The color scale indicates the z-score of the mean concentration of P or NP subjects with red color for values above the mean, blue below the mean. **d** Boxplot representing the concentration of $IL-12p70$ (pg/ml) in response to SPZ stimulation at the three time points and stratified by protection status. **e** Radar graph representing the normalized z-score of cytokines secreted by monocytes in response to LPS stimulation at the three time points ($n = 12$). **f** Boxplot representing the concentrations of $TNF-\alpha$ (pg/ml) in response to LPS stimulation or left unstimulated (CTRL) at the three time points. **g** Heatmaps visualizing the normalized concentration of cytokines (z-score) in response to LPS stimulation and stratified by protection status. The color scale indicates the z-score of the mean concentration of P or NP subjects with red color for values above the mean, blue below the mean. **h** Boxplot representing the concentration of $IL-12p70$ (pg/ml) in response to LPS stimulation at the three time points and stratified by protection status. Asterisks indicate statistically significant differences: * $p < 0.05$.

cytokines before and after immunization (Fig. 2a), but higher levels of $IFN-\gamma$ after CHMI (Fig. 2a, b). Stratification by protection status revealed that P subjects responded also with increased levels of $IL-12p70$ ($p = 0.06$) (Fig. 2c, d). The $CD14^{+}$ monocyte response to LPS did not statistically differ at the

three time points (Fig. 2e). Notably, $TNF-\alpha$ secretion, a common marker of trained immunity, was similar at baseline, after immunization and CHMI (Fig. 2f). After stratifying by protection status, the cytokine pattern of P subjects was dominated by $IFN-\gamma$, $IL-4$, $IL-10$ and significantly $IL-12p70$

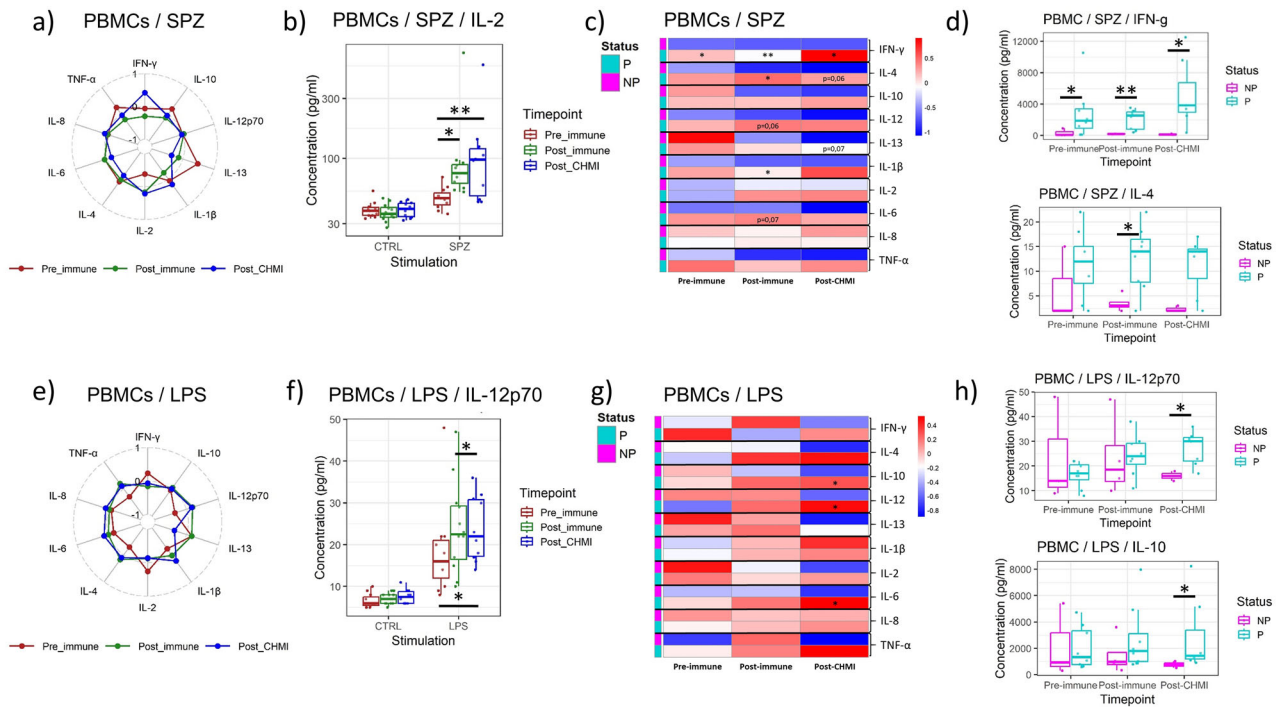


Fig. 3 | Differential cytokine profiles secreted by PBMCs in response to in vitro specific (SPZ) or nonspecific (LPS) stimulation. (a) Radar graph representing the normalized z-score of cytokines secreted by PBMCs in response to SPZ stimulation before immunization (pre-immune), after immunization (post-immune) and after CHMI (post-CHMI) ($n = 12$). (b) Boxplot representing the concentrations of IL-2 (pg/ml) in response to SPZ stimulation or left unstimulated (CTRL) at the three time points. (c) Heatmaps visualizing the normalized concentration of cytokines (z-score) in response to SPZ stimulation and stratified by protection status (Protected (P) = turquoise, Non-Protected (NP) = magenta). The color scale indicates the z-score of the mean concentration of P or NP subjects with red color for values above the mean, blue below the mean. (d) Boxplot representing the concentration of IFN- γ and IL-4 (pg/ml) in response to SPZ stimulation at the three time points and

stratified by protection status. (e) Radar graph representing the normalized z-score of cytokines secreted by PBMCs in response to LPS stimulation at the three time points ($n = 12$). (f) Boxplot representing the concentrations of IL-12p70 (pg/ml) in response to LPS stimulation or left unstimulated (CTRL) at the three time points. (g) Heatmaps visualizing the normalized concentration of cytokines (z-score) in response to LPS stimulation and stratified by protection status. The color scale indicates the z-score of the mean concentration of P or NP subjects with red color for values above the mean, blue below the mean. (h) Boxplot representing the concentration of IL-12p70 and IL-10 (pg/ml) in response to LPS stimulation at the three time points and stratified by protection status. Asterisks indicate statistically significant differences: * $p < 0.05$ ** $p < 0.01$.

($p = 0.02$) whereas NP subjects secreted more pro-inflammatory cytokines, namely TNF- α , IL-8 and IL-1 β (Fig. 2g). IL-8 has been shown to have polarizing effects on T cells and regulate their activation⁹. CHMI may have induced in P subjects type-I polarized monocytes producing high levels of IL-12p70 (Fig. 2h). Th1 polarization and IL-12 in malaria protection were previously reported¹⁰.

Establishing the cytokine profile of PBMCs (Fig. 3), IMRAS vaccination induced significantly more IL-2 ($p = 0.01$) in response to SPZ stimulation (Fig. 3a, b). A similar trend was observed after CHMI ($p = 0.01$). The stratification by protection status revealed differential cytokine levels at baseline, especially IFN- γ ($p = 0.04$) (Fig. 3c, d). After completed IMRAS vaccination, PBMCs from NP subjects were hypo-responsive to SPZ, with significantly higher secretion of IFN- γ ($p = 0.004$), IL-4 ($p = 0.04$) and IL-1 β ($p = 0.05$) by PBMCs from P subjects (Fig. 3c, d). This profile was maintained after CHMI for IFN- γ ($p = 0.02$) (Fig. 3c, d). Comparing cytokine profiles of monocytes vs. PBMCs post-CHMI revealed that TNF- α and IL-6 production were higher in PBMCs of P subjects though not statistically significant (Figs. 2c, 3c). IL-6 helps activate T cells, supports their survival, and drives their differentiation toward Th17 and follicular helper T cell (Tfh) subsets while limiting Treg development¹¹. TNF- α provides additional costimulatory signals that boost T cell proliferation and promotes Th1 and Th17 responses. Together, these cytokines amplify effector T cell activity while reducing negative feedback.

The PBMC response to LPS confirmed the type-I polarization with higher levels of IL-12p70 secretion after vaccination ($p = 0.04$) and CHMI ($p = 0.01$) (Fig. 3e, f). After CHMI, cytokine profiles of PBMCs significantly

changed based on protective status (Fig. 3g): PBMCs from P subjects responded with significantly more IL-12p70 ($p = 0.03$), IL-10 ($p = 0.03$) and IL-6 ($p = 0.03$) (Fig. 3g, h). This suggests that live sporozoites are more potent than attenuated SPZ at training innate cells to acquire nonspecific effector functions. Previous work demonstrated that *Pf*-infected red blood cells and hemozoin can reprogram human adherent PBMCs to hyper-respond to nonspecific stimuli¹² and that CHMI induces lasting changes in monocytes suggestive of trained immunity¹³. Our results suggests that the induced innate training is different depending on the protection status, likely because of large quantities of blood stage parasites in NP subjects.

Finally, we integrated cellular and cytokine data to identify parameters associated with protection using principal component analysis (Fig. 4) and machine learning (random forest model). Discrimination of P and NP subjects was achieved with an accuracy of 86% ($\kappa = 0.58$). The immune measures with the highest relative weights in the prediction were IFN- γ and IL-4 secreting PBMCs in response to SPZ stimulation after IMRAS vaccination, and the frequency of CD57 γ T cells measured after IMRAS vaccination. IFN- γ secretion, especially at baseline, may involve γ T cells that are important in shaping the protective CD8 $^+$ T cell response¹⁴ and/or CD56 $^{\text{bright}}$ NK cells⁷. In malaria-naïve adults immunized with the radiation-attenuated *Pf*SPZ vaccine or live *Pf*SPZ plus chemoprophylaxis (PfSPZ-cvAC), the γ T cell frequency and expansion, particularly the V δ 2 subset, was dose dependent and increased IFN- γ expression^{15,16}. CD57 expression by γ T cells was associated with susceptibility to CHMI in our study. In the field, increased expression of CD57 was associated with repeated infections of Ugandan children, and diminished pro-inflammatory cytokine

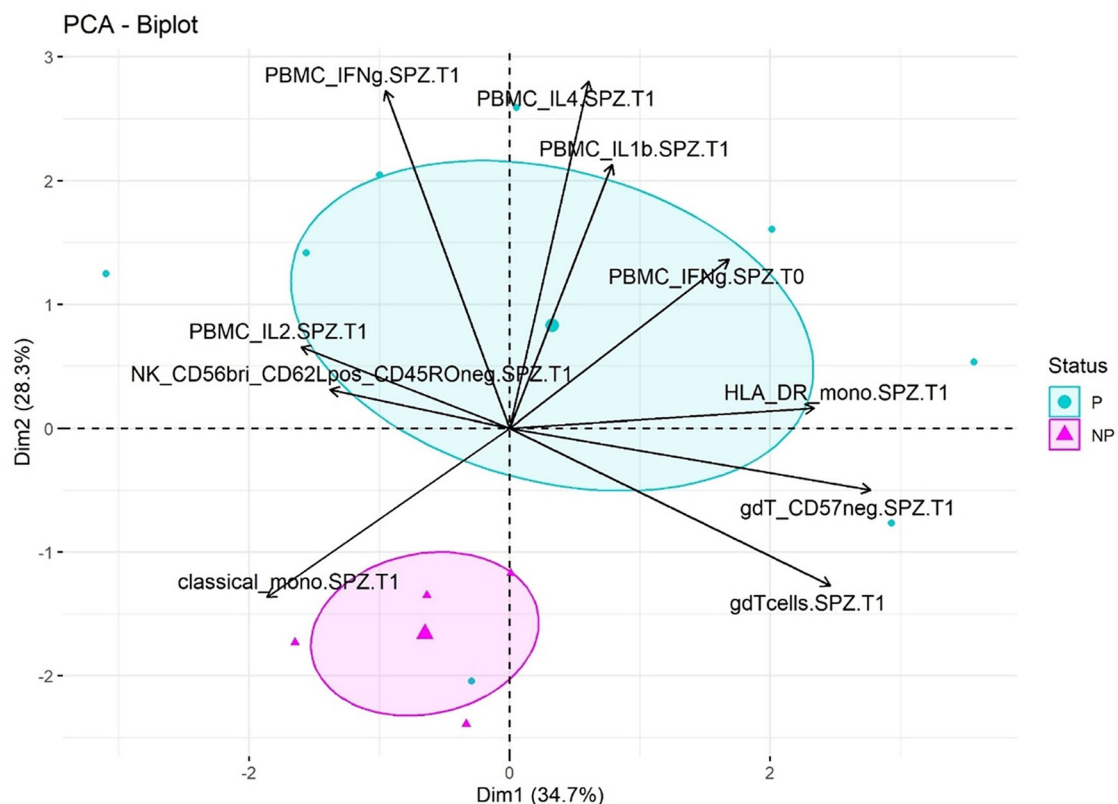


Fig. 4 | Principal component analysis of immune parameters associated with protection. Twelve individuals were plotted on the first (Dim1) and second (Dim2) principal components. The color and shape of the dots indicate the protection status

(turquoise = P subjects, magenta = NP subjects). The ellipses represent the 95% confidence interval.

production⁶. IL-4 may have originated from Th2 CD4⁺ T cells, which were already shown to correlate in vitro with protection in response to antigens from *Pf* erythrocytes¹⁷. IL-4 is key for developing intrahepatic CD8⁺ T cell immunity that is critical for malaria protection^{18,19}.

This study involved a limited numbers of subjects ($n = 12$) but still allowed us to identify critical components of the correlate-of-protection induced by the attenuated sporozoite malaria vaccine. Our results highlight the importance of the innate immune response baseline, mainly (i) IFN- γ secretion in response to in vitro SPZ stimulation presumably from $\gamma\delta$ T cells and/or CD56^{bright} NK cell, and (ii) antigen presentation in the form of HLA-DR expression and the frequency of intermediate monocytes. We confirmed at the protein level the previous transcriptomic observation that PBMCs from NP subjects are hypo-responsive to in vitro SPZ stimulation, from baseline to IMRAS and CHMI. Reasons for the hypo-responsiveness are unknown and require further investigation, but others have also reported the importance of baseline immune states to predict vaccine outcomes^{3,20}. The current study revealed that the protective immune signature induced by IMRAS vaccination involves adaptive immune responses, specifically IL-4 secretion. We attributed IL-4 secretion to Th2 CD4⁺ T cells, which may be critical for shaping a protective CD8⁺ T cell response in the liver. Finally, exposure to live sporozoites significantly modified the cytokine profile of immune cells to non-SPZ stimulus, with type-I polarized monocytes producing high levels of IL-12p70.

Methods

Study design

Human PBMCs were collected under clinical protocol (www.clinicaltrials.gov, trial ID NCT01994525) from an open-label clinical study for identifying biomarkers of protection in two cohorts of healthy malaria-naïve adults¹. Each volunteer received five immunization sessions involving ~200

bites from infected (*Pf*RAS NF54) *Anopheles stephensi* mosquitoes ($n = 21$). Longitudinal leukapheresis samples (pre-immune, post-immune and post-CHMI timepoints) were available for 8 protected (P) and 4 non-protected (NP) individuals.

PBMCs stimulation and CD14⁺ monocytes magnetic cell enrichment

Cryopreserved PBMCs from the three timepoints were thawed and CD14⁺ monocytes were sorted by magnetic enrichment using CD14 positive selection (Miltenyi Biotec, San Diego, CA, USA). Total PBMCs (4×10^5 per well) and sorted CD14⁺ monocytes (4×10^5 per well) were cultured for 24 h in 96-well plates in media alone (complete RPMI-1640 containing 10% human serum) or stimulated with aseptic, purified, cryopreserved attenuated *Plasmodium falciparum* sporozoites (Sanaria, Rockville, MD, USA) (30,000 SPZ per 4×10^5 cells) or with 10 ng/ml lipopolysaccharide (InvivoGen, San Diego, CA, USA).

Flow cytometry

Following cell stimulation, PBMCs were washed and aliquoted for staining with two different surface staining antibody mixtures for 45 minutes at 4 °C. Both included a live/dead fixable dye (Fixable Blue, UV450/50). The innate cell panel included anti-human $\gamma\delta$ TCR-PE (clone 11F2), anti-human CD62L-ECD (clone DREG56), anti-human CD56-PE-Cy5.5 (CMSSB), anti-human CD3-PE-Cy7 (clone SK7), anti-human CD38-APC (clone IB6), anti-human CD16-APC-Alexa700 (clone 3G8), anti-human CD8-APC-Alexa750 (clone 3B5), anti-human CD57-Pacific blue (clone NC1), anti-human CD45RA-BV785 (clone HI100), anti-human CD45RO-BUV737 (clone UCHL1), anti-human TCR Va24-ja18-FITC (clone 6B11). The monocyte panel included anti-human CD14-Viogreen (clone REA599), anti-human

CD16-APC-Alexa700 (clone 3G8), anti-human CD3-Vioblue (clone BW264/56), anti-human CD19-PerCPVio700 (clone LT19), anti-human CD56-PE-Cy5.5 (clone CMSSB), anti-human CD36-BV650 (clone CLB-IVC7), anti-human CD62L-ECD (clone DREG56), anti-human HLA-DR-PE (clone REA805), anti-human CD86-PE-Vio770 (clone REA968), anti-human CD11c-FITC (clone REA618), anti-human CCR2-APC (clone REA264). Cells were washed in FACS solution and acquired on a BD LSR Fortessa. Cell viability of thawed PBMCs was > 92% as measured on a Luna-FL™ Dual fluorescence cell counter. Flow cytometric data were analyzed using FlowJo V10. For both panels, cells were first gated based on Forward (FSC) vs Sideward scatter (SSC), then single cells, followed by viability and lineage markers. NK cells were defined as CD3⁺ and CD56⁺ cells, and then divided into CD56 bright/dim expression, and CD62L/CD45R0 expression. NK T cells were defined as CD3⁺ CD56⁺ γδ TCR⁺, and invariant NK T cells (iNK T cells) were defined as NK T cells expressing the TCR Va24-ja18. γδ T cells were defined as CD3⁺ and γδ TCR⁺, and then divided into CD57⁺ and CD57⁻ population. Monocytes were divided into classical, intermediate, and non-classical monocytes based on CD14 and CD16 expression. Expression of CD62L, HLA-DR and CD86 was assessed for each monocyte subpopulation.

Electrochemiluminescence assay

Secretion of ten cytokines (IFN-γ, IL-4, IL-10, IL-12p70, IL-13, IL-1β, IL-2, IL-6, IL-8 and TNF-α) was assessed in the cell culture supernatant using the V-PLEX human pro-inflammatory panel 1 (Meso Scale Discovery® (MSD), catalog number K15049D). Briefly, plates were washed 3-times, 150 μl/well with 1X wash buffer. Culture supernatant samples were diluted 2-fold using Diluent 2, and 50 μl/well (V-PLEX human pro-inflammatory panel 1 plate) were added. Samples were run as singlets. Standards were prepared according to the MSD instructions, diluted 4-fold using Diluent 2, and 50 μl was added to each well. The standard curve samples were set up in duplicate. After incubation on a shaker at 4 °C overnight for 16 h, the plate was washed 3-times and 25 μl of the diluted detection antibodies directed against the 10 selected cytokines were added for another 2 h of incubation on a plate shaker at room temperature. Finally, the addition of 150 μl of 2X read buffer T after three washing steps allowed the detection of a specific chemiluminescent signal with the MESO QuickPlex SQ 120, per manufacturer's instructions. The data generated were analyzed with the Discovery Workbench software version 4. Each cytokine concentration was expressed in pg/ml (means and standard deviations in supplementary tables 1-4).

Statistical analysis

Statistical analysis was performed using R (version 4.0.5) and R Studio (version 2025.1.3.1093) software. The longitudinal analysis over the time was done using the non-parametric Kruskal-Wallis test, then the Dunn post-hoc test. The comparison of the protection status was done using the non-parametric Wilcoxon-Mann-Whitney test. A $p < 0.05$ was considered significant. The random forest model (based on the R caret package) was trained using the repeated *cv* method, subsampling the data set by 5-fold and resampling 100 times. The *varImp* function was used to determine the variable importance for each generated model, and the average variable importance across all models was reported to assess the relative importance of each factor at predicting the protection status. The accuracy of the model was the percentage of correctly classified individuals. The κ value was normalized at the baseline of random chance on the dataset and was of particular interest for an imbalanced model, as is the case in the study.

Data availability

The data are contained within the manuscript. Analysis scripts in R can be made available upon request from the corresponding author.

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Author contributions

Conceptualization, M.M. and E.S.B.-L.; methodology, M.M, T.M.R., J.S.B., and E.H.D.; software, M.M.; formal analysis, M.M.; resources, E.S.B.-L.; writing, M.M.; visualization, M.M.; supervision, M.M. and E.S.B.-L.; All authors have read, edited, and agreed to the published version of the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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