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## Exploring water relations and phenological traits of *Betula utilis* (D. Don) in western Himalayan treeline ecotone

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*Betula utilis* exhibits intriguing characteristics and interactions with its environment and has specific adaptations that enable it to thrive in various water conditions. Drought has a prominent role in influencing the growth and development of vegetation, while temperature serves as a crucial determinant of species distribution in high-altitude environments. The investigation was centered on the eco-physiological dimension of *B. utilis* in areas near the treeline. Across different seasons, sites, and years, the most negative pre-dawn twig water potentials ( $\Psi_{PD}$ ) and mid-day twig water potentials ( $\Psi_{MD}$ ) were  $-0.81$  and  $-1.24$  MPa, respectively. The highest seasonal change ( $\Delta\Psi$ ) in twig water potential ( $\Psi_{twig}$ ) was in the post-monsoon season. Osmotic potential at full turgor ( $\Psi\pi_{100}$ ) declined by  $-0.66$  MPa and osmotic potential at zero turgor ( $\Psi\pi_0$ ) declined by  $-1.07$  MPa. The highest leaf conductance ( $gw$ ) of  $380.26$  mmol m $^{-2}$  s $^{-1}$  was measured in the afternoon. During the initiation of flowering,  $\Psi_{PD}$  of the twig was  $-0.72$  MPa and gradually rose to  $-0.17$  MPa by the end of the flowering period. This study provides key insight into the  $\Psi$  dynamics, leaf conductance, and phenology of *B. utilis*, highlighting its adaptation to changing environmental conditions and the need for effective management strategies to ensure the resilience and conservation of this Critically Endangered species.

In mountainous regions, as elevations increase a rapid change in environmental factors such as temperature decline rapidly, and a noticeable change in vegetation is visible. Trees may take on deformed, bush-like forms. This transition zone between the contiguous subalpine forest and open alpine tundra is commonly known as treeline ecotone. The Himalaya boasts the highest and most diverse treelines globally, making it an ideal region to study climate change's imprints<sup>1</sup>. In this ecologically significant area, the treeline zone experiences fluctuations in vegetation due to long-term climatic changes. The complex interplay of geographical factors, topography, microclimatic conditions, herbivory, and human influence shapes the structure, composition, and regeneration patterns of timberline vegetation<sup>2,3</sup>.

*B. utilis* D. Don (Birch), is a dominant species in the treeline zone and considered the climax forest for the Himalayas. The *Betula* forest has an important role in the ecosystem, making it an ecologically and reasonably keystone species<sup>4</sup>. The species functions as a transitional zone between sub-alpine and alpine regions in the Himalayan<sup>5</sup>. The species under consideration has a wide range, including both frequent and unusual occurrences. Its habitat spans elevations ranging from 2400 to 4300 m above sea level<sup>6</sup>. The aforementioned region constitutes the highest segment of the natural treeline zone, exhibiting a range of around 3300 to 3800 m. The genus *Betula* occupies a broad longitudinal range in the Northern Hemisphere from sub-tropics to arctic, distributed in various habitats like bogs, highlands, tundra, and forests<sup>6</sup>. Taxonomically, the genus *Betula* is polyploidy, hybridization, introgression, morphological convergences, and specimen misidentifications are the main reasons for the misclassification and disagreement in the taxonomy of the genus *Betula*<sup>6</sup>. Nevertheless, it encounters obstacles, namely attributable to the phenomenon of climate change and the concurrent increase in

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temperatures. According to existing research, temperature is a significant factor in influencing the geographic spread of *B. utilis* in the Hindukush Himalaya region. In light of the phenomenon of climate change, the studied species have been shown to exhibit a notable expansion in their geographical distribution towards elevated altitudes<sup>7</sup>. The spread of birch is influenced by precipitation and temperature, particularly soil moisture and snow cover during the growth season<sup>8</sup>.

The population of *B. utilis* encounters difficulties inside the treeline zone as a result of its sluggish regeneration in comparison to other species inhabiting the treeline<sup>9</sup>. The species also faces competition from a diverse range of alpine and sub-alpine environments, resulting in a decrease in its population size<sup>10</sup>, with estimates ranging from 50 to 85% in some areas of the Hindukush and the Himalayas<sup>11</sup>. The loss of the species has been exacerbated by human activities, leading to its categorization as Critically Endangered in the Indian Himalayan Region<sup>12</sup>. The management and restoration efforts of high mountain forests are further complicated by the lack of comprehensive understanding of the community features and ecology of these ecosystems<sup>13</sup>. The presence and accessibility of water, both within the soil and in the atmosphere, are significant factors that influence the distribution and growth patterns of plant species<sup>10</sup>. Ensuring sufficient hydration and turgidity is crucial for the proper functioning and growth of plants. The equilibrium is determined by the amount of water absorption relative to water loss<sup>14</sup>. In response to water stress, plants have evolved several mechanisms to enhance water absorption or minimize water transpiration<sup>15</sup>. When faced with varying moisture levels, plants of the same species exhibit considerable variation in size and other morphological and physiological characteristics<sup>16</sup>. This demonstrates their ability to adapt to different environmental conditions and optimize their growth in response to water availability. Species-specific characteristics such as rooting depth, leaf phenology, wood morphology, xylem characteristics, and growth rate, all contribute to variability in different environmental conditions.

One of the critical climatic events affecting natural ecosystems, especially treeline areas in the Himalayan region, is drought<sup>17</sup>. Trees that grow in the treeline region endure harsh environmental conditions; thus, they have developed unique adaptations to optimize their water use efficiency. With increasing water stress, below a threshold value of  $\Psi_{PD}$  close to  $-1.1$  MPa, *B. utilis* seedlings exhibit a severe reduction in stomatal conductance and an increase in leaf shedding<sup>18</sup>. To facilitate the process of leaf growth, it is crucial to regulate water loss, alter osmotic potential, maintain tissue flexibility, and ensure the continuity of xylem conductance<sup>19</sup>. Plants are capable of maintaining turgor pressure and facilitating cell growth and high-water uptake even when faced with low  $\Psi$  using diminishing osmotic potential ( $\Psi\pi$ ). European white birch (*B. pendula*) often had a  $\Psi_{MD}$  near the turgor loss point, even under well-watered conditions, and that stomatal conductance to water vapour ( $g_s$ ), decreased in response to mild soil water deficits<sup>20</sup>, while another observation shows that its maintained fairly uniform  $\Psi_{MD}$  slightly above their  $\Psi$  at the turgor loss point ( $\Psi_{t/p}$ ), despite variation in vapour pressure deficit and  $\Psi_{PD}$ <sup>21</sup>. The regulation of water uptake is crucial for water relations, as species at the treeline exhibit lower stomatal conductance in response to limited water availability, thereby reducing water loss. Transpiration, driven by the humidity gradient between leaves and the atmosphere, can be impacted by temperature effects in the winter season, leading to increased transpiration due to overheating<sup>22</sup>. The *B. utilis* species with low osmotic potential at full hydration ( $\Psi_{\pi, sat}$ ) values were better able to maintain turgor with decreasing  $\Psi$ , and they maintained higher  $g_s$  and developed lower  $\Psi_{MD}$  under mild water stress than species with high  $\Psi_{\pi, sat}$ <sup>21</sup>.

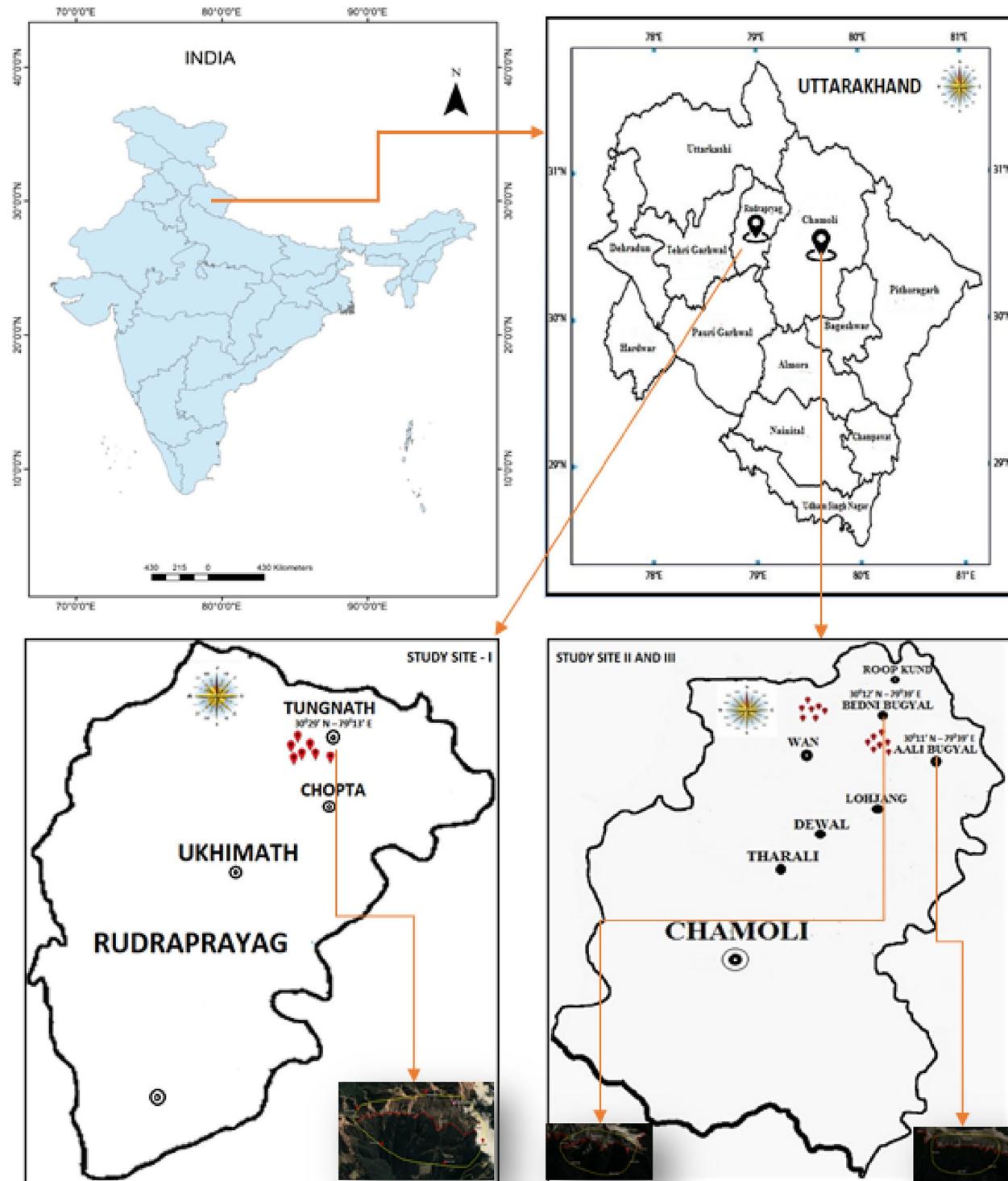
The water relations of Himalayan treeline species are of considerable importance in their phenological phases, since these trees have developed adaptations in their phenological patterns to align their development and reproductive processes with the presence of water and suitable climatic conditions. Several researches work that has been carried out in the past have focused on vegetation structure and composition<sup>23,24</sup>, climate change<sup>25,26</sup>, floristic diversity<sup>27,28</sup>, ethnobotanical surveys<sup>29,30</sup>, biomass productivity and carbon storage<sup>27,31</sup>, vegetation dynamics concerning human disturbances and edaphic conditions<sup>32-35</sup>, criteria and indicator for assessing threat timberline species<sup>3</sup> in the treeline region. In particular, the eco-physiological aspects related to western Himalayan treeline species, especially the effect of soil and tree water relations on the vegetative and reproductive phase of the plant in the treeline environment remain poorly investigated<sup>36,37</sup>. It is apparent that there still exist several gaps in our understanding of tree water relations and its effect on some keystone treeline species. Despite *B. utilis* being an important tree in the treeline environment, only limited research has explored its eco-physiological aspects, particularly regarding water potential, leaf conductance, and phenology in the Indian Himalayan treeline habitat. Understanding these parameters is essential for comprehending drought resistance, and phenological activities of the species. In this study, we hypothesize that *B. utilis* trees exhibit adaptive mechanisms in response to variations in water potential, leaf conductance, and phenology. Specifically, we expect that *B. utilis* demonstrates significant adjustments in these eco-physiological parameters to cope with drought conditions typical of the treeline environment. The objective of this research is to address the existing gaps in knowledge and provide novel perspectives on the water relations and stress tolerance of *B. utilis* in the treeline regions of the western Himalaya, India.

## Material and methods

### Study sites

The study was conducted at three treeline locations, namely Aali, Bedni, and Tungnath, situated at coordinates  $30^{\circ} 11' 02''$  N and  $79^{\circ} 39' 36''$  E. These sites are located at elevations ranging from 3145 to 3467 m asl in the western Indian Himalayan region (Table 1, Fig. 1). The study locations are located in the sub-alpine and alpine zones. The soil in these regions has a characteristic brown hue and possesses a sandy loam texture, characterized by a high concentration of sand and silt particles, and exhibits acidity, as indicated by pH values ranging from 4.0 to 5.0<sup>38</sup>. The climate of the research region is impacted by the monsoon, which is characterized by extended periods of harsh winters and brief periods of mild summers. The mean monthly minimum temperature varied between  $-6.02 \pm 0.23$  and  $10.36 \pm 0.43$  °C, the mean monthly maximum temperature varied between  $3.08 \pm 0.76$

Site name	District	Elevation (m)	Latitude (N)	Longitude (E)	Aspect
Aali	Chamoli	3302–3446	30° 11' 17–30° 11' 02	79° 39' 13–79° 39' 28	South-East, North-East
Bedni	Chamoli	3284–3467	30° 12' 22–30° 12' 09	79° 39' 26–79° 39' 36	South-East, South-West
Tungnath	Rudraprayag	3145–3355	30° 29' 45–30° 29' 54	79° 12' 45–79° 13' 24	South-East, North-East

**Table 1.** Physiographic description of the research sites.**Figure 1.** Map of the study treeline sites. (Map of India Source: Survey of India).

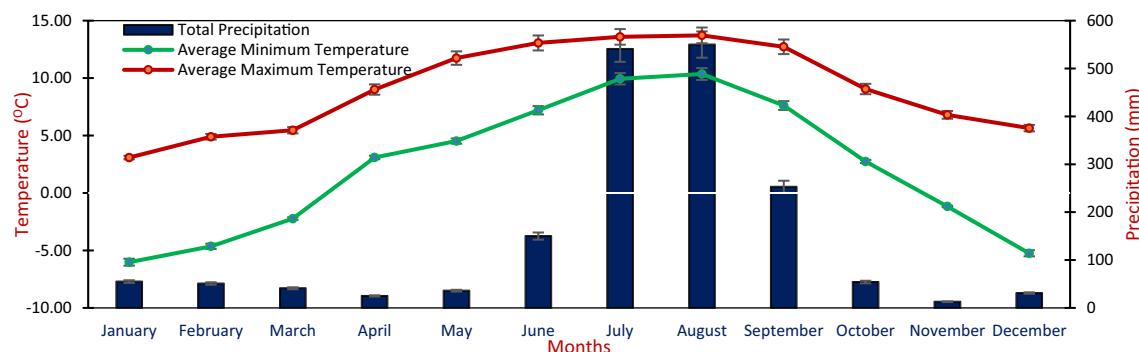
and  $13.71 \pm 1.03$  °C and the total monthly precipitation was  $13.0 \pm 1.16$  and  $541.0 \pm 4.37$  mm during 2017–2020 at Tungnath treeline site<sup>69</sup> (Fig. 2). The treeline in these places is mostly composed of *Abies spectabilis*, *B. utilis*, *Quercus semecarpifolia*, *Rhododendron arboreum*, and *Rhododendron campanulatum*, all of which were consistently detected throughout all the sites examined.

### Measurements

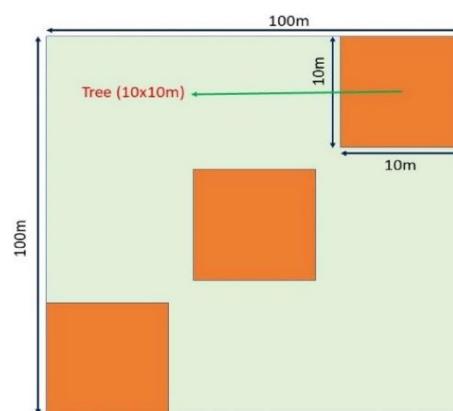
In each research site, a designated area of  $100 \times 100$  m was demarcated. Within this area, a total of twenty-five trees were randomly selected as representatives. These trees exhibited various circumferences at breast level ranging from 60 to 90 cm. All measurements were conducted only within the boundaries of this designated area<sup>36</sup>. The measurement of density was conducted by using  $10 \times 10$  m quadrats to assess the distribution of trees (Fig. 3). Soil moisture levels (Sm) were assessed at three different depths. The twig water potential, leaf conductance, and  $\Psi_{\text{twig}}$  components were quantified for a sample of ten marked trees. Additionally, phenological observations were recorded for a separate sample of twenty-five representative trees. A comprehensive set of 48 samples was measured at regular intervals spanning from 2017 to 2019 at the designated research locations. The study was carried out over two years spread across four seasons (pre-monsoon, monsoon, post-monsoon, and winter) in each year. The research period included the collection of seasonal data categorized as pre-monsoon (March–May), monsoon (June–September), post-monsoon (October–November), and winter (December–February). These measurements were obtained to observe the fluctuations in the examined parameters.

### Soil moisture

To estimate soil moisture, a thorough soil sampling approach was employed, focusing on three distinct depths: the upper layer (0–15 cm), the middle layer (15–30 cm), and the lower layer (30–45 cm) at seasonally. This was done at five representative locations within each site, utilizing a stainless-steel soil corer manufactured by Vienna Scientific Instruments in Vienna, Austria. In the field, a quantity of soil weighing, fifty grams was measured using a battery-operated digital weighing balance. The soil samples were subjected to a drying process at a temperature of 100 °C until a consistent weight was achieved<sup>39</sup>.



**Figure 2.** The mean monthly data of temperature and precipitation at Tungnath study site during 2017–2020. (Source: Joshi et al.<sup>69</sup>).



**Figure 3.** Layout of the experimental setup for twig water potential, leaf conductance and phenological studies in the studied treeline sites.

### Water potential

The  $\Psi$  of *B. utilis* tree twigs was assessed using a Pressure chamber (PMS Instrument Co. model 1000, range 70 bars) at two specific time points: pre-dawn ( $\Psi_{PD}$ ) from 5:30–6:30 h and mid-day ( $\Psi_{MD}$ ) from 13:30–14:30 h. These measurements were conducted on previously marked individual trees at regular intervals throughout the seasons<sup>36,37,40</sup>. Numerous scholars have engaged in comprehensive discussions on the hypothetical and useful dimensions of this approach<sup>41–43</sup>. The estimation of  $\Psi_{twig}$  was conducted by collecting samples from a vertical distance of 2–3 m. These samples were roughly 15 cm in length and had a diameter of 1–2 mm. The severed portion of the twigs was introduced through a perforated rubber stopper, with about 1.0 cm of the twigs extending beyond the stopper. Subsequently, the chamber valve was unsealed to facilitate the ingress of gas into the chamber, while concurrently modifying the pace of pressure escalation. Subsequently, the lid was affixed onto the chamber and securely fastened by twisting it. The chamber valve was activated, and further adjustments were made to the rate control and control needle valve to achieve a controlled increase in pressure inside the chamber, ranging from 0.05 to 0.25 bars per second. The  $N_2$  gas was externally pressurized until a pronounced bubbling of water was detected at the severed end of the twig. The pressure expressed in Bars/MPa is often referred to as Balance Pressure (BP), where a negative BP is interpreted as the water potential of a tree ( $\Psi = -BP$ )<sup>37,40,43</sup>.

### Water potential components

To demonstrate a correlation between the constituents of  $\Psi$  and relative water content (RWC%), Pressure–Volume (PV) curves were generated by the use of a Pressure chamber. The PV curves were generated using the bench drying process. To generate PV curves, a total of 20 twigs were procured from ten distinct trees at the designated study location. These twigs were thereafter stored inside an insulated container for preservation purposes. The specimens were conveyed to the laboratory and afterwards re-sectioned at the severed extremity (4–5 cm) while submerged in water. They were then left undisturbed overnight to regain their saturation. The techniques used in this study to calculate  $\Psi_{\pi_{100}}$ ,  $\Psi_{\pi_0}$ , and RWC% at the turgor loss point (RWC<sub>z</sub>) from the PV curves<sup>42</sup>. The investigation included the computation of osmotic adjustment, namely the decrease in  $\Psi_{\pi}$ , for each of the various seasons.

### Leaf conductance

*B. utilis* is a deciduous tree species and remains leafless between October to April. Thus, the leaf conductance rate of *B. utilis* was measured only for pre-monsoon and monsoon seasons. AP<sub>4</sub> leaf porometer (“Delta-T Devices”) was used for measurements of leaf conductance rate seasonally on previously marked trees. The data on conductance were gathered during two time periods: in the forenoon ( $gw_{AM}$ ) from 10:30 to 11:30 h, and in the afternoon ( $gw_{PM}$ ) from 13:30 to 14:30 h. The measurements were taken on the sunny side of five individual leaves. The measurement of conductance rate was conducted on leaves of trees that had been permanently tagged, and from which twigs had been collected for water relation research<sup>37</sup>.

### Phenological observation

A phenological study was conducted to observe five phenophases in *B. utilis*: leafing, flowering, fruiting, seed fall, and leaf fall. Twenty-five individual trees were randomly selected and marked at the studied treeline sites for phenological observations. During the low activity period, observations were made fortnightly, while during the peak activity period, observations were conducted weekly. Each selected tree was monitored, and the presence or absence of specific phenological phases was observed and recorded<sup>44</sup>. To consider an event as active, it had to be present and observed in at least 20% of observed tree crowns; otherwise, the events were recorded as absent<sup>45</sup>. The assessment of the crown was conducted through direct observation from a terrestrial vantage point. A phenophase was just launched if it was active and seen in 5–10% of the individuals<sup>46</sup>. Furthermore, the species was considered to stay in that phenophase as long as it was represented by at least 5–10% of the individuals. In addition,  $\Psi_{PD}$  of twigs measurements were taken during each phenological event and correlated with water status parameters of the trees<sup>38,47</sup>. This allowed for an assessment of the relationship between the  $\Psi_{PD}$  and the overall water status of the trees during different phenological stages.

### Statistical analysis

The data collected were subjected to analysis using the analysis of variance (ANOVA) test at significance levels of 95% and 99%. The statistical analyses in this research were performed using the software SPSS version 2016 (<https://www.ibm.com/spss>). The variables under investigation in the analysis of variance (ANOVA) included sites, seasons, and years, along with their respective interaction. The Spearman rank correlation coefficient (r) was calculated to evaluate the strength of the relationship between variables.

### Ethical approval and consent to participate

The plant sample/specimen was collected from the High-Altitude region of western Himalaya, Uttarakhand, India for research purposes and permission was obtained from the Department of Forestry and Environmental Science, Kumaun University, India. All the images in the manuscript are original and generated by the author hence no need to get permission from a third party. The research conducted from 2017–2019 strictly adhered to local and national guidelines, showcasing a commitment to ethical standards. The team diligently followed all prescribed regulations, ensuring the study's integrity.

## Results

### Soil moisture

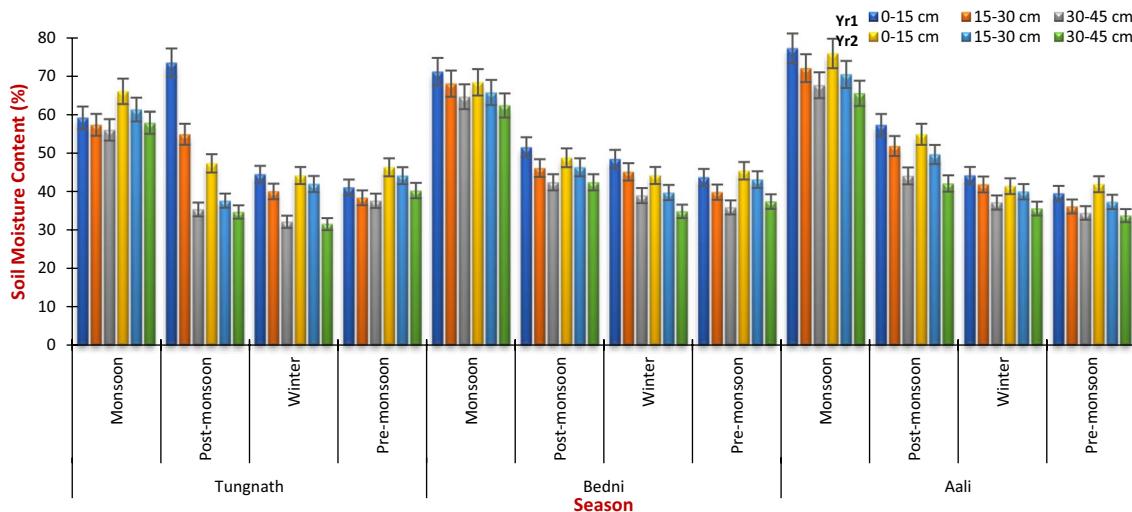
The variability of soil moisture (Sm) over different depths was found to be statistically significant ( $p < 0.05$ ,  $F = 23.96^{**}$ ). The Sm values exhibited variation across all study locations and seasons, ranging from  $32.17 \pm 0.32\%$  to  $77.22 \pm 2.21\%$  in year1 (Yr1), and from  $31.56 \pm 2.72\%$  to  $75.93 \pm 3.19\%$  in year2 (Yr2). The highest Sm was at a depth of 0–15 cm during the monsoon season and the minimum at a depth of 30–45 cm during the pre-monsoon season (Fig. 4). The statistical study using analysis of variance (ANOVA) revealed statistically significant differences in Sm levels across different places, seasons, and years ( $p < 0.05$ ) (Table 2).

### Water potential

The  $\Psi_{PD}$  of *B. utilis* tree twigs varied between  $-0.12 \pm 0.07$  and  $-0.72 \pm 0.11$  MPa in Yr1 and  $-0.13 \pm 0.02$  and  $-0.81 \pm 0.09$  MPa in Yr2 and  $\Psi_{MD}$  was  $-0.23 \pm 0.04$  and  $-1.12 \pm 0.08$  MPa in Yr1 and  $-0.17 \pm 0.04$  and  $-1.24 \pm 0.11$  MPa in Yr2 across all the seasons and sites. Across the years the  $\Psi_{PD}$  and  $\Psi_{MD}$  of twigs were least negative during monsoon season while most negative during pre-monsoon and post-monsoon ( $\Psi_{MD}$ -Yr2) season (Fig. 5). Both pre-dawn and midday water potential of twigs showed variations across the sites, seasons and years ( $p < 0.05$ ) (Table 2).

### Seasonal change

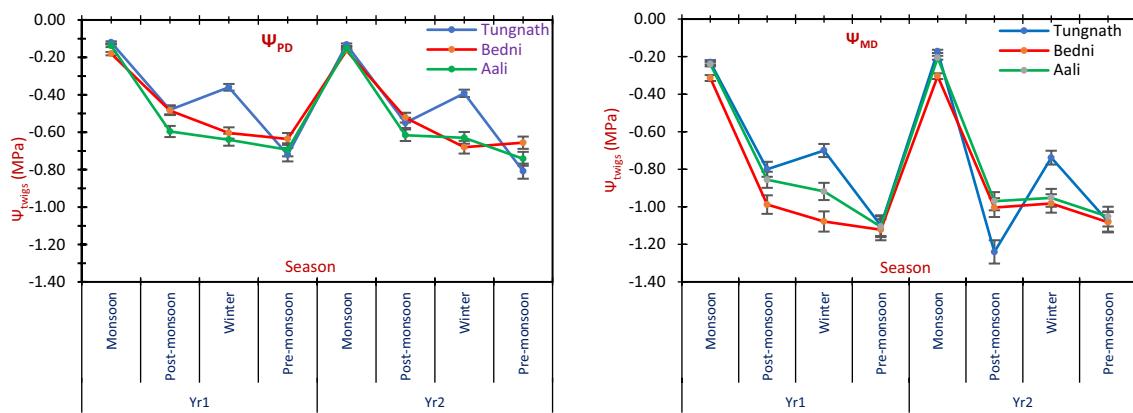
The average seasonal variations ( $\Delta\Psi = \Psi_{MD} - \Psi_{PD}$ ) in the water potential of twigs were found to be  $0.10 \pm 0.03$  and  $0.50 \pm 0.11$  MPa in Yr1, and  $0.04 \pm 0.01$  and  $0.69 \pm 0.04$  MPa in Yr2, including all seasons and locations. The fluctuations in  $\Delta\Psi$  of twigs exhibited the least magnitude during the monsoon season, while reaching their highest levels during the post-monsoon season for both years (Fig. 6). The analysis of variance (ANOVA) revealed statistically significant differences ( $p < 0.05$ ) in the seasonal fluctuations of twigs water potential across different years, places, and seasons (Table 2).



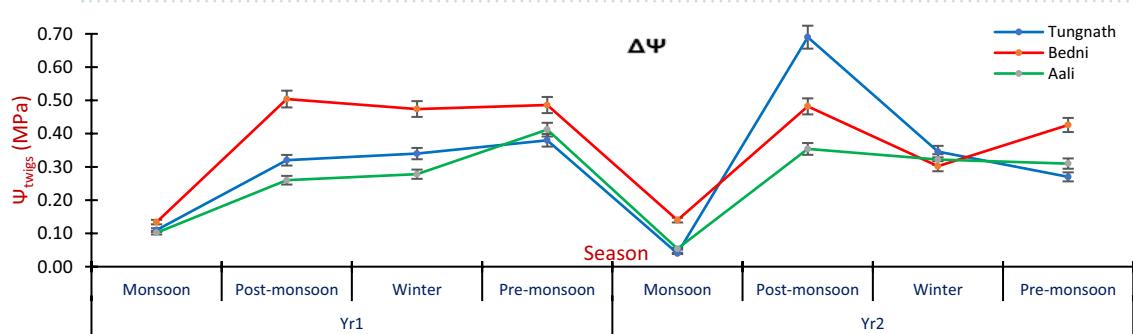
**Figure 4.** Mean soil moisture content (%) across the selected studied sites, seasons, and years. Error bars indicate  $\pm$  SE while the bars in different colors indicate the soil depths and year.

Source of variation	Sm	$\Psi_{PD}$	$\Psi_{MD}$	$\Delta\Psi$	$\Psi_{\pi_{100}}$	$\Psi_{\pi_0}$	$\Psi_{P_{100}}$	RWC	$gw_{AM}$	$gw_{PM}$
Site	45.35**	52.48**	42.33**	28.55**	23.45**	34.33**	21.45**	26.43**	16.72**	372.47**
Season	115.21**	4.37**	4.68**	167.70**	326.09**	178.67**	240.52**	326.46**	0.09 <sup>NS</sup>	611.17**
Year	6.42**	25.97**	8.71**	7.24**	4.19 <sup>NS</sup>	0.34 <sup>NS</sup>	0.10 <sup>NS</sup>	0.01 <sup>NS</sup>	7.73**	11.03**
Site * Year	8.79**	2.22 <sup>NS</sup>	17.38**	7.72**	0.53 <sup>NS</sup>	0.41 <sup>NS</sup>	0.06 <sup>NS</sup>	0.01 <sup>NS</sup>	57.76**	355.64**
Site * Season	35.71**	63.73**	27.28**	7.37**	6.54**	3.98**	4.87**	12.91**	344.38**	30.59**
Year * Season	2.49 <sup>NS</sup>	2.51 <sup>NS</sup>	35.11**	20.54**	3.38 <sup>NS</sup>	1.38 <sup>NS</sup>	0.84 <sup>NS</sup>	2.95 <sup>NS</sup>	163.55**	318.59**
Site * Year * Season	6.91**	1.89 <sup>NS</sup>	12.50**	9.18**	0.60 <sup>NS</sup>	0.34 <sup>NS</sup>	0.97 <sup>NS</sup>	0.05 <sup>NS</sup>	71.07**	80.81**

**Table 2.** Analysis of variance ( $F$ -value) among different water relations attributes across all the study sites. Significant values: NS = non-significant, \*\* = significant at 0.05% significant level ( $p < 0.05$ ).



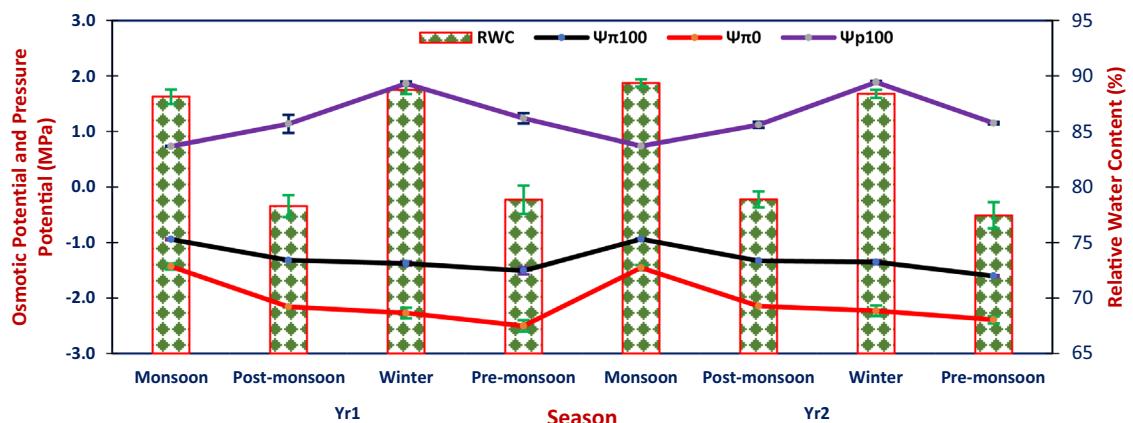
**Figure 5.** Mean pre-dawn and midday water potential of *B. utilis* tree twigs across all the seasons and sites. Error bars indicate  $\pm$  SE while the lines in different colors indicate the three sites.



**Figure 6.** Seasonal changes in twig's water potential of *B. utilis* across the seasons and sites. Error bars indicate  $\pm$  SE while the lines in different colors indicate the three sites.

### Tree water potential components

In *B. utilis* tree twigs, the  $\Psi_{\pi 100}$  exhibited a range of values, with  $-0.94 \pm 0.02$  and  $-1.51 \pm 0.07$  MPa in Yr1, and  $-0.94 \pm 0.01$  and  $-1.60 \pm 0.02$  MPa in Yr2. The initial  $\Psi_{\pi 0}$  showed values of  $-1.43 \pm 0.05$  and  $-2.50 \pm 0.10$  MPa in Yr1, and  $-1.45 \pm 0.05$  and  $-2.39 \pm 0.06$  MPa in Yr2, across all seasons and locations. The negative values of  $\Psi_{\pi 100}$  and  $\Psi_{\pi 0}$  exhibited a notable decrease during the monsoon season compared to the pre-monsoon season in both years (Fig. 7). The decrease in  $\Psi_{\pi 100}$  and  $\Psi_{\pi 0}$  occurred throughout the monsoon (July–August) to pre-monsoon (April–May) period in both the years. The decline in  $\Psi_{\pi 100}$  and  $\Psi_{\pi 0}$  were  $-0.57$  and  $-1.07$  MPa in Yr1, and  $-0.66$  and  $-0.94$  MPa in Yr2 (Fig. 7). The pressure potential ( $\Psi_p$ ) at maximum turgor of *B. utilis* tree twigs was measured to be  $0.73 \pm 0.01$  and  $1.86 \pm 0.04$  MPa in Yr1, and  $0.74 \pm 0.00$  and  $1.89 \pm 0.02$  MPa in Yr2, including all seasons and locations. The variable  $\Psi$  exhibited its peak value during the winter season, but its minimum value



**Figure 7.** Mean  $\Psi_{\pi 100}$ ,  $\Psi_{\pi 0}$ ,  $\Psi_{p 100}$  and RWC% of *B. utilis* tree twigs at studied treeline sites. Error bars indicate  $\pm$  SE.

was seen during the monsoon season for both years (Fig. 7). The RWC% exhibited a range of values, specifically ranging from  $78.28 \pm 0.98\%$  to  $88.76 \pm 0.39\%$  in Yr1, and from  $77.46 \pm 1.18\%$  to  $89.37 \pm 0.32\%$  in Yr2, across all seasons and sites. The highest RWC% was observed during the winter season, followed by the monsoon season in Yr1. In Yr2, the patterns of RWC% were found to be contrary to those observed in Yr1 (Fig. 7). The analysis of variance revealed significant variation ( $p < 0.05$ ) in the  $\Psi_{\pi_{100}}$ ,  $\Psi_{\pi_0}$ , pressure potential at full turgor ( $\Psi_{p_{100}}$ ), and RWC% measurements across different seasons and sites (Table 2). Sites and seasons interaction also show significant variation ( $p < 0.05$ ), while all the other interactions were not significant (Table 2).

### Leaf conductance

The leaf conductance rates of *B. utilis* trees were measured from pre-monsoon to monsoon seasons. The  $gw_{AM}$  ranged from  $202.20 \pm 5.42$  to  $305.76 \pm 4.21$   $\text{mmol m}^{-2} \text{ s}^{-1}$  in Yr1, it was minimum in monsoon season and maximum in pre-monsoon season. In Yr2  $gw_{AM}$  varied between  $142.76 \pm 2.51$  to  $334.60 \pm 5.24$   $\text{mmol m}^{-2} \text{ s}^{-1}$  it was minimum in pre-monsoon season and maximum in monsoon season. The  $gw_{PM}$  was  $100.62 \pm 2.58$  to  $249.40 \pm 1.89$   $\text{mmol m}^{-2} \text{ s}^{-1}$  in Yr1 and  $133.32 \pm 3.14$  to  $380.26 \pm 6.52$   $\text{mmol m}^{-2} \text{ s}^{-1}$  in Yr2. The  $gw_{PM}$  was minimum in monsoon season and maximum in pre-monsoon season across the years (Fig. 8). Statistical analysis using ANOVA indicated that the  $gw_{AM}$  of *B. utilis* varied with sites and years ( $p < 0.05$ ), while  $gw_{PM}$  varied with sites, years and seasons ( $p < 0.05$ ). All the interactions of forenoon and afternoon leaf conductance also varied ( $p < 0.05$ ) (Table 2).

### Phenological observation

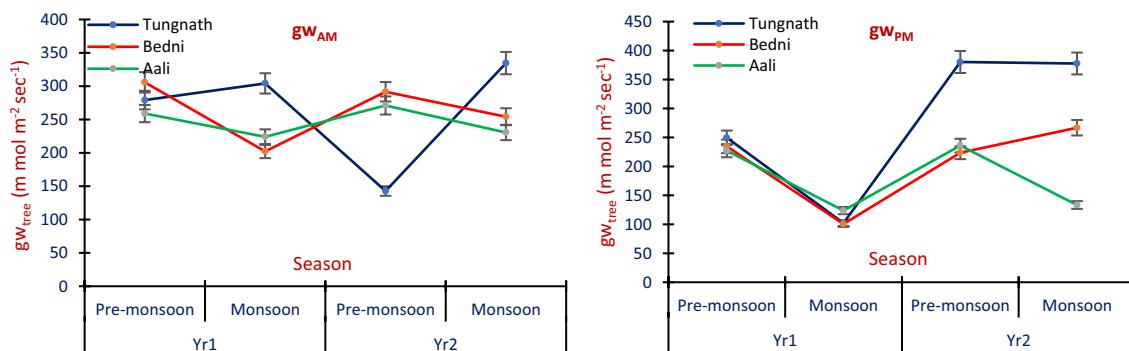
The leafing in *B. utilis* trees started in the first week of May and was completed by the second week of July. The commencement of flowering was from the third week of May and completed by the third week of July. The initiation of the catkin appearance was in the second week of August and completed by the second week of October. The seed fall was between the third week of September and the third week of November. The onset of leaf fall occurred in the fourth week of September, and the process was completed by the first week of November. The longevity of studied phenophases i.e., leafing, flowering, fruiting (catkin), seed fall and leaf fall was 70–80 days, 60–70 days, 55–65 days, 55–70 days and 40–50 days respectively (Fig. 9).

### Relationship between Phenological Events and $\Psi_{PD}$ of *B. utilis*

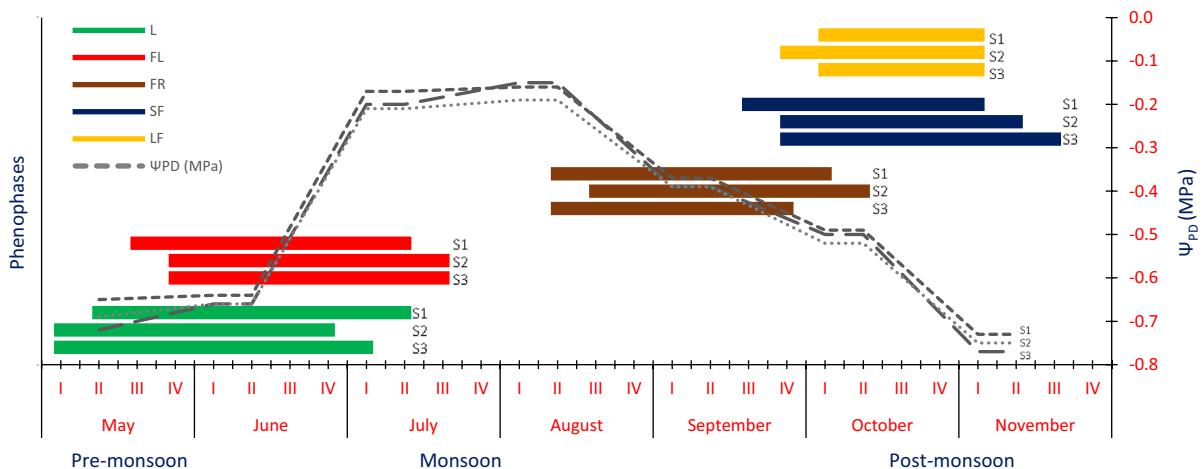
The pre-dawn water potential of tree twigs generally remained above  $-0.77 \pm 0.21$  MPa across all the phenological activities in *B. utilis*. The  $\Psi_{PD}$  of twigs during the initiation of leafing in the pre-monsoon season was generally high as the leaf matured the  $\Psi_{PD}$  of twigs declined up to June and then increased in July during the monsoon season. The  $\Psi_{PD}$  of twigs was  $-0.72 \pm 0.13$  MPa during the initiation of flowering and gradually rose  $-0.17 \pm 0.01$  MPa at the end of the flowering season. The  $\Psi_{PD}$  of twigs was maximum during the commencement of catkin in monsoon season and as the catkin matured in post-monsoon season the  $\Psi_{PD}$  of twigs continuously declined. The  $\Psi_{PD}$  of twigs during the initiation of seed fall and leaf fall in post-monsoon and winter seasons was generally high and ranged between  $-0.39 \pm 0.05$  and  $-0.42 \pm 0.10$  MPa (Fig. 9).

### Discussion

*B. utilis* are adapted to cooler climates, and prolonged heat stress can negatively impact their health. Increased temperatures can lead to physiological stress, reduced photosynthesis rates, and increased water demand, potentially leading to decreased growth and increased susceptibility to pests and diseases. The research locations are located in the peripheral regions of the Himalayas and are directly influenced by the monsoonal climate. Various elements, including terrain, soil and air temperature, soil moisture, precipitation, nutrients, snow, wind regimes, and anthropogenic pressure<sup>48</sup>, can influence tree eco-physiology. However, it is important to note that the treeline represents a climatically sensitive zone that may significantly impact tree eco-physiology owing to the presence of harsh conditions<sup>49</sup>. Soil moisture is of essential significance to numerous processes in plant life, generally, precipitation and soil moisture increase with increasing altitude, whereas air temperature decreases with increasing altitude<sup>50</sup>. In the present study, the soil moisture content varied between 31.56 and 77.22% across



**Figure 8.** Mean forenoon and afternoon leaf conductance ( $\text{mmol m}^{-2} \text{ s}^{-1}$ ) of *B. utilis* trees across the seasons and sites. Error bars indicate  $\pm \text{SE}$  while the lines in different colors indicate the three sites.



**Figure 9.** Phenological events and its relationship with  $\Psi_{PD}$  of *B. utilis*. (I–IV) weeks of each month I = week 1, II = week 2, III = week 3, IV = week 4. L = Leafing; FL = Flowering; FR = Fruiting; SF = Seed fall; LF = Leaf fall; while (S1–S3) are the study sites, S1 = Tungnath, S2 = Bedni, S3 = Aali.

all the seasons throughout the study period, multiple factors, including site-specific characteristics, seasonal variations, and interannual climatic fluctuations, contribute to differences in soil moisture<sup>37</sup>. After the monsoon season, soil moisture dramatically drops from October to April during a period of very low precipitation, resulting in soil moisture deficits in the pre-monsoon season when the growing season starts<sup>51</sup>. Warming-induced higher evapotranspiration and soil moisture deficits during dry spring months adversely affect tree growth at Himalayan treelines as well as seedling/sapling density<sup>52</sup>. Moisture supply in the pre-monsoon season might become an effective control of future Himalayan treeline dynamics<sup>53–55</sup>.

The tree's water relations involve a balance between water uptake through its roots and water loss through transpiration from its leaves. The root system of *B. utilis* is relatively shallow, allowing it to access water from the upper layers of the soil. The tree's thin, papery bark and large leaves contribute to higher rates of transpiration, which can increase water demand during dry periods. However, it can also close its stomata (leaf pores) to reduce water loss and withstand drought conditions. In the present study, the  $\Psi_{PD}$  of twigs were  $-0.12$  to  $-0.81$  MPa and  $\Psi_{MD}$  were  $-0.17$  to  $-1.24$  MPa. The difference in pre-dawn and midday twig water potential was the least negative during the monsoon season, while the most negative during the pre-monsoon and post-monsoon seasons. The difference between  $\Psi_{PD}$  and  $\Psi_{MD}$  is related to how plants regulate their water status in response to soil water content. The midday water potential better reflects the maximum water stress to which plants are subjected. Previous studies on treeline species from various regions worldwide have reported critically low levels of  $\Psi$  below  $-2.0$  MPa, which could adversely impact normal plant functioning, during the growing season<sup>56</sup>. Nevertheless, treeline species may have challenges with water availability in the winter season when the soil is frozen, impeding the absorption of water required for the development of leaves and possibly resulting in dehydration throughout winter for young individuals<sup>22,57</sup>. These findings suggest that the monsoon season, characterized by higher precipitation, contributes to improved  $\Psi_{twigs}$ , while the pre-monsoon and post-monsoon seasons, with lower rainfall and higher evaporative demands, lead to more negative  $\Psi$  values<sup>36</sup>. A significant variation was observed in twigs  $\Psi_{PD}$  and  $\Psi_{MD}$ , soil moisture, and other climatic factors that are responsible for these variations<sup>36,37,39,40</sup> but no significant variation were observed in twigs  $\Psi_{PD}$  and  $\Psi_{MD}$  within the study sites. The complex interactions between these factors emphasize the importance of considering multiple drivers in assessing the water status of *B. utilis*.

To comprehensively assess the water condition of a tree, monitoring its daily fluctuations is a crucial methodology. During the investigation, distinct variations ranging from  $0.40$  to  $0.65$  MPa were identified in the seasonal fluctuation patterns of *B. utilis*. The values of  $\Delta\Psi$  ranged from  $0.70$  to  $0.80$  MPa and from  $1.07$  to  $1.22$  MPa for Himalayan treeline species, including *B. utilis*<sup>36</sup>. The seasonal variations in  $\Psi_{twigs}$ , occurring both diurnally and within a single day at various time points, are impacted by a confluence of environmental factors and plant physiological mechanisms<sup>37</sup>. There is a strong correlation between high  $\Delta\Psi$  and many key physiological processes in plants, including high transpiration rate, greater negative twig  $\Psi$ , and a high rate of photosynthesis. The highest twig  $\Psi_{PD}$  and  $\Delta\Psi$  were observed in the monsoon season and lowest in the pre-monsoon and post-monsoon seasons. The leaves of treeline species reached full expansion only during the monsoon season and achieved their maximum leaf mass, resulting in relatively low physiological activities during the pre-monsoon period<sup>36</sup>. Treeline tree species typically inhabit open habitats with intermittent exposure to sunlight during the monsoon season<sup>58</sup>. These results of  $\Delta\Psi$  suggest that the monsoon season, characterized by more stable and favourable water availability, contributes to smaller seasonal fluctuations in water potential.

Osmotic adjustment serves as a useful adaptation employed by trees to combat drought, as it aids in maintaining turgor pressure during dry periods and enhances their competitive ability<sup>36</sup>. Osmotic adjustment is typically quantified by measuring the decline in  $\Psi_{\pi}$  throughout a drought period, which is calculated as the difference between the initial value at the start of the drought and the peak value during the drought<sup>58</sup>. The phenomenon of  $\Psi_{\pi}$  reduction has been well reported in the literature as an adaptive response to the stressors of drought and

salinity<sup>59</sup>. The species of trees analyzed in the study showed variability in the extent of osmotic decrease under conditions of both zero and full turgor<sup>36</sup>. In *B. utilis* trees a significant variation in the  $\Psi_\pi$ , reflects changes in solute concentrations and the tree's ability to maintain turgor pressure under different conditions. The study also shows a decline in  $\Psi\pi_{100}$  and  $\Psi\pi_0$  from the monsoon season to the pre-monsoon season, with a mean decline of  $-0.61$  and  $-1.0$  MPa. The present reported values were comparable to earlier reported values  $-0.94$  to  $-1.40$  MPa ( $\Psi\pi_{100}$ ) and  $-1.43$  to  $-1.78$  MPa ( $\Psi\pi_0$ ) for *B. nigra*, *B. papyrifera*, *B. pendula*, *B. platyphylla* and *B. populifolia*<sup>21</sup> and *B. utilis*<sup>36,58</sup>. This decline likely reflects increased water stress and water limitation during the shift from the wetter monsoon season to the drier pre-monsoon season. The significant decline in  $\Psi\pi$  observed during mild drought conditions serves to ensure the availability of soil water necessary for sustaining photosynthesis<sup>60</sup>. The study demonstrates that the range of  $\Psi_p$  from  $0.73$  to  $1.89$  MPa. The results indicate that  $\Psi_p$  was highest during the winter season and lowest during the monsoon season for both years. This pattern indicates that the tree undergoes an elevation in turgor pressure and cell expansion during the winter season, when water availability is comparatively greater. Species that use deep root systems or efficient water transport mechanisms to evade dry conditions often exhibit lower levels of osmotic adjustment compared to shallow-rooted trees<sup>61</sup>. The RWC<sub>z</sub> is a method used to evaluate a plant's capacity to maintain turgor pressure under decreasing  $\Psi$ . The RWC% of *B. utilis* trees shows variations between  $78.28$  to  $89.37\%$ . These findings indicate variations in the water-holding capacity of the tree, which could be influenced by water availability, evaporative demand, and seasonal dynamics.

In the Himalayan area, the levels of leaf conductance in various tree species might fluctuate due to fluctuations in temperature and humidity<sup>36</sup>, which are influenced by altitude and seasons. In the species *B. utilis*, the  $gw_{AM}$  exhibits a range of  $142.76$  to  $334.60$   $\text{mmol m}^{-2} \text{ s}^{-1}$ . These indicate variations in the  $gw_{AM}$  rates of *B. utilis*, with different seasons exerting an influence on the tree's water loss through transpiration. Similarly, the  $gw_{PM}$  ranges from  $100.62$  to  $380.26$   $\text{mmol m}^{-2} \text{ s}^{-1}$ . In the present study,  $gw$  values were comparable with earlier reported values of  $102.8$  to  $470.19$   $\text{mmol m}^{-2} \text{ s}^{-1}$  for *B. papyrifera*<sup>62</sup>, *B. nigra*<sup>63</sup>, and *B. utilis*<sup>36,58</sup>. It appears that *B. utilis*, during the growing season from May to October maintains high leaf conductance. These results suggest that *B. utilis* trees tend to have higher transpiration rates in response to increased solar radiation and evaporative demand during the pre-monsoon season, while transpiration is relatively lower during the monsoon season. The highest  $gw$  was seen during and immediately after the monsoon season. This occurrence may be attributed to the presence of abundant moisture in the soil due to the occurrence of bright and sunny days after rainfall. A reduction in  $gw$  during dry months, coincided with the period when the  $\Psi$  of trees reached its lowest point<sup>64</sup>. A considerable variation across the species was also proposed in terms of the extent of decrease in  $gw$  in response to unfavourable environmental circumstances<sup>37</sup>.

Phenological phases in plants are influenced by environmental cues, including temperature and day length, which can be altered by climate change. The life cycle of most deciduous plants consists of distinct vegetative and reproductive phases<sup>65</sup>. The seasonality of Himalayan trees, including *B. utilis* phenology, is primarily influenced by the length and severity of seasonal drought<sup>66</sup>. It is worth noting that even trees of the same species may encounter varying levels of drought-induced stress<sup>67</sup>. In this study, the leafing and flowering of *B. utilis* occurred between early May and July, which aligns with previously reported timings<sup>46,68</sup>. A substantial amount of snow, slow melting, and a colder winter appeared to be positively correlated with *B. utilis* growth during the following growing season<sup>65</sup>. The onset of leaf fall in *B. utilis* coincided with the lowering of autumnal temperatures. A longer wet period resulted in an extended growth phase, which is advantageous for extending the life of the leaves, using perennial deciduous plants.

Phenological events, such as bud burst, flowering, leaf initiation, seed fall, and leaf fall, are strongly influenced by temperature and precipitation patterns and are sensitive to changes in environmental circumstances. Temperature plays a significant role in triggering phenological events. Warmer temperatures can accelerate the onset of bud bursting and flowering, while cooler temperatures can delay these events. Precipitation, particularly rainfall, also affects phenology by providing necessary moisture for growth and development. However, it's important to note that the relationship between temperature, precipitation, and phenological events can vary depending on the species of tree, its geographical location, and local environmental conditions. Tree  $\Psi$  has a direct relation with the temperature and precipitation and it affects the species reproductive and vegetative phases of a species. Understanding the relationship between phenology and  $\Psi$  is essential for assessing the species' resilience and vulnerability to changing environmental conditions, such as drought or altered precipitation patterns. The twig  $\Psi_{PD}$  of *B. utilis* trees was monitored throughout the phenological phases and it consistently maintained a value higher than  $-0.77$  MPa. During the process of leaf development, the  $\Psi_{PD}$  of the twig exhibited an initial high value. Subsequently, as the leaves reached maturity, there was a steady decrease in  $\Psi_{PD}$  of twigs until the month of June. However, in July, there was an observed rise in  $\Psi_{PD}$ . This observed pattern indicates that the tree exhibits adequate water availability during the early phases of leaf formation, but encounters escalating water stress as the leaves progress in their development. During the process of blooming, the  $\Psi_{PD}$  of the twig exhibited a value of  $-0.72$  MPa at the onset of flowering, which then increased progressively to  $-0.17$  MPa after the flowering phase this indicates water availability does not impose substantial constraints on reproductive activities. The correlation between phenological phases and  $\Psi_{PD}$  of twig in *B. utilis* underscores the tree's capacity to regulate its  $\Psi$  in response to the requirements of various developmental stages. Ensuring sufficient  $\Psi$  levels throughout vital phenological stages is essential for achieving effective reproduction and leaf withering.

It is apparent from the study that the water potential of the birch tree does not reach the lethal levels to curtail phenological and physiological activities. The species' ability to maintain high-pressure potential under varying soil moisture conditions is evident by its ability to adjust osmotically, which assists in the absorption of water particularly during the growing season, and makes maximum use of the period during which it has leaves as evident from its higher leaf conductance. The phenological pattern indicates that the tree exhibits adequate water availability during the early phases of leaf formation, but encounters escalating water stress as the leaves progress in their development. The study emphasizes the birch tree's adaptive mechanisms to sustain adequate water

availability during critical growth phases, while also highlighting the ongoing challenges posed by advancing leaf development. Some of our findings are difficult to explain and might need more observation. Furthermore, an extended period of research on water relations and many other ecological parameters is required in the treeline areas to understand the impacts of climate change and simultaneously facilitate actions related to the conservation and management of this Critically Endangered species.

## Data availability

All data generated or analyzed during this study are included in this published article.

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## Author contributions

Conceptualization, Methodology, Data collection, Data analysis, Writing an original draft, Editing and Finalized, N.S.; Conceptualization, Methodology, Data collection, Visualization, Supervision, Review and Finalized, A.T. and V.J.; Conceptualization, Methodology and Data collection, S.S.; Conceptualization, Methodology and Data collection, A.M., S.M. and A.A.K.

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### Competing interests

The authors declare no competing interests.

### Additional information

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