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## Mechanical properties and damage characterization of cracked granite after cyclic temperature action

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Due to the unique geographical environment of the plateau, large-scale damage and destruction of fractured surrounding rock often occur during geotechnical engineering construction as a result of high-temperature cycles. Therefore, this study aims to investigate the mechanical properties and damage characteristics of fractured granite under the influence of cyclic temperature, uniaxial compression tests were conducted on granite specimens with pre-existing fractures at cyclic temperatures of 30 °C, 50 °C, 70 °C, 100 °C, and 130 °C. The study integrated analyses of characteristic stress, acoustic emission parameters, damage variables, fractal dimensions, and SEM to explore the mechanical properties and damage features of granite. The results indicated that at a 45° fracture inclination and a temperature of 70 °C, granite exhibited a distinct turning point in mechanical properties and damage characteristics. At the same cyclic temperature, granite with a 45° pre-existing fracture showed significant decreases in peak stress, elastic modulus, and  $\sigma_{ci}/\sigma_m$  ratios, with the AE b-value drop point noticeably earlier, and both cumulative AE ring count and total energy reduced. The damage variable quickly reached its maximum, and the development of internal microcracks in the specimen is highly orderly. At the same fracture inclination, peak stress, elastic modulus, and  $\sigma_{ci}/\sigma_m$  slightly increased at 70 °C, while AE ring counts and total energy were lower, indicating the degree of internal thermal damage in the specimen has significantly decreased, and the development of internal microcracks in the specimen has shown a marked reduction in orderliness. These findings provide theoretical insight into the meso-damage and failure mechanisms of granite influenced by different cyclic temperatures and fracture inclinations.

**Keywords** Single-crack granite, Cyclic temperature, Characteristic stress, Acoustic emission parameters, Damage, Fractal dimension

In recent years, high temperatures have become an increasingly prominent challenge in tunnel engineering. For instance, the Sangzhuling Tunnel reaches a peak temperature of 89 °C, Nigge Tunnel up to 70 °C, Heibai Water Tunnel 60 °C, and Bayu Tunnel 47 °C<sup>1-3</sup>. Extended exposure to high temperatures poses risks to both worker health and equipment maintenance, necessitating continuous cooling. However, repetitive heating and cooling accelerate the deterioration of rocks with natural fractures, leading to extensive cracking and instability of surrounding rock, posing engineering hazards. Thus, understanding the changes in mechanical properties, damage, and instability behaviors of cracked granite under cyclic temperature conditions is crucial for safe tunnel construction.

Numerous studies have been conducted both domestically and internationally on the impact of cyclic temperature on rock. Li et al. conducted Brazilian splitting tests on granite subjected to cyclic temperatures ranging from 100 °C to 700 °C, finding that the mechanical properties of granite decline continuously as the temperature increases<sup>4</sup>. Yang et al. performed uniaxial compression tests on granite subjected to cyclic heating and water cooling, discovering that the mechanical properties of the specimens improve to some extent at 50 °C and 150 °C<sup>5</sup>. Other researchers have explained the changes in the mechanical properties of rocks under cyclic temperature from the perspective of internal structural and chemical changes. For example, Yang studied

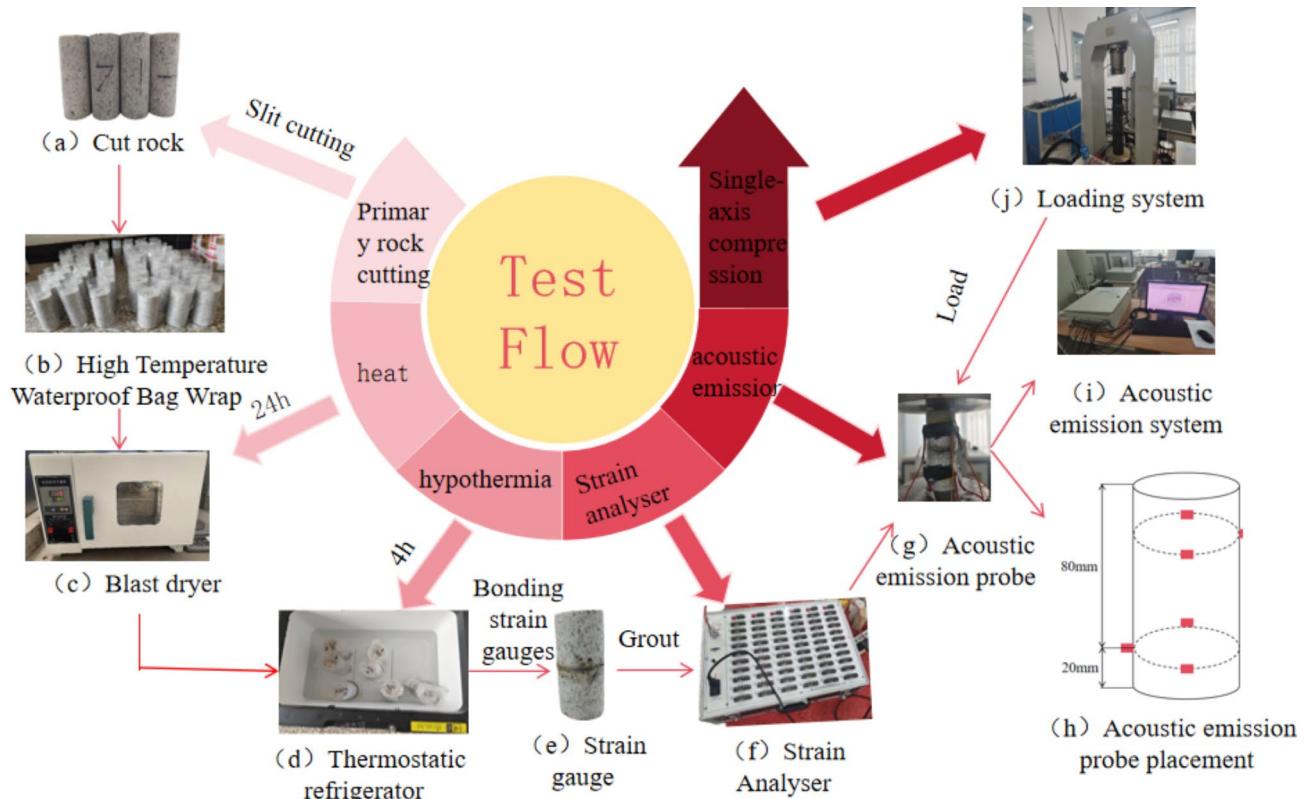
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the impact of thermal-cold shocks at 600 °C on rock porosity, finding that pores in the rock develop severely and form clusters under these conditions<sup>6</sup>. Kumari conducted thermal shock tests on granite and found that the minerals inside the granite undergo phase transitions at 573 °C<sup>7</sup>. These studies indicate that the effect of cyclic temperature on the mechanical properties of rock is not linear and significantly impacts the internal structure and chemical properties of the rock. In studies on the impact of cyclic temperature on rock damage, Xia et al. confirmed through temperature cycling tests that the damage caused by cyclic temperature on rocks is irreversible and cumulative<sup>8</sup>. Zhu et al. observed macrocracks, expansion, and penetration in granite through SEM analysis at temperatures exceeding 300 °C<sup>9</sup>. Jia et al. found that the failure mode of granite shifts from tensile-shear failure to tensile failure as the temperature increases from 200 °C to 400 °C<sup>10</sup>. These findings suggest that rock damage after cyclic temperature is irreversible and that the failure mode changes. In research on the effects of repeated temperature cycling on cracked rocks, Wang et al. used acoustic emission to study the impact of different crack angles on rock damage, finding that damage is greater at a 45° crack angle, with a significant decrease in mechanical properties<sup>11</sup>. Song et al. studied freeze-thaw cycling in double-crack rocks and discovered that initial damage decreases as the crack angle increases, but damage grows rapidly in the later stages of compression<sup>12</sup>. Wang et al. used numerical simulations to study granite with triangular cracks under high temperatures, finding that thermal stress is concentrated around the prefabricated cracks, and thermal stress fields become uneven<sup>13</sup>. Li et al. studied the impact of thermal cycling on cracked rocks, finding that the damage area of cracked rocks is smaller than that of intact rocks after thermal cycling<sup>14</sup>. These studies have primarily focused on mineral decomposition, changes in physical and mechanical properties, the impact of crack angle, and crack development characteristics. However, most of the temperatures studied have been above 100 °C, while in current high-temperature tunnel excavations, the issue is the large-scale damage to surrounding rock caused by repeated cooling and heating cycles within 100 °C. There are few reports on the changes in mechanical properties and damage characteristics of cracked rocks under cyclic temperatures between 30 °C and 100 °C.

Therefore, considering the actual engineering hazards such as rock bursts and block fractures caused by temperature fluctuations in high-temperature tunnels like Sangzhuling and Bayu, this study performed uniaxial compression tests on granite with fractures under cyclic temperatures to study the changes in the mechanical properties of granite and the damage characteristics during the loading process. The findings offer theoretical support and references for controlling the stability of surrounding rock and designing support structures under cyclic thermal conditions.

### Experimental equipment and procedure

The experimental equipment mainly consists of a loading system, an acoustic emission system, and a strain analyzer, as shown in Fig. 1. The loading system uses a TWA-2000 kN rock triaxial mechanical testing system, with a maximum pressure of 2000 kN, capable of directly measuring the axial stress-strain during the



**Fig. 1.** Main instruments and experimental steps.

compression process, as shown in Fig. 1j. The SENSOR acoustic emission system accurately measures various acoustic emission parameters, as shown in Fig. 1g. The strain analyzer has a measurement range of  $\pm 50,000 \mu\epsilon$ , with a strain indication error of no more than  $0.2\% \pm 2 \mu\epsilon$ , as shown in Fig. 1f.

Granite samples sourced from the Sangzhuling Tunnel were prepared into cylindrical specimens of  $\phi 50$  mm  $\times 100$  mm by drilling and polishing. Cracks were created on the specimen surfaces using a cutter, with careful alignment by marking the cutting position and entering precise measurements. The crack angles (measured from the horizontal direction) were set at  $0^\circ$ ,  $45^\circ$ , and  $90^\circ$ , with a depth of 4 mm, length of 50 mm, and width of 1 mm, as shown in Fig. 1a. Given that temperatures in high-temperature tunnels can reach up to  $89^\circ\text{C}$ , cyclic heating was conducted at maximum temperatures of  $30^\circ\text{C}$ ,  $50^\circ\text{C}$ ,  $70^\circ\text{C}$ ,  $100^\circ\text{C}$ , and  $130^\circ\text{C}$ , followed by cooling to  $15^\circ\text{C}$ . Each temperature cycle was repeated five times. The detailed experimental procedure is as follows:

(1)Cyclic Heating-Cooling Test: Before heating, the specimen was wrapped in a

high-temperature waterproof bag to ensure that water does not affect the specimen during the temperature cycle, as shown in Fig. 1b. The specimen was then heated in an electric blast drying oven for 24 h to ensure uniform heating, as shown in Fig. 1c. After heating, the specimen was cooled in a constant temperature refrigerator ( $15^\circ\text{C}$ ) for 4 h, and its temperature was monitored with a temperature gun to ensure complete cooling. To ensure waterproofing, the high-temperature waterproof bag was replaced before each cycle, and the above steps were repeated until the required number of cycles was reached.

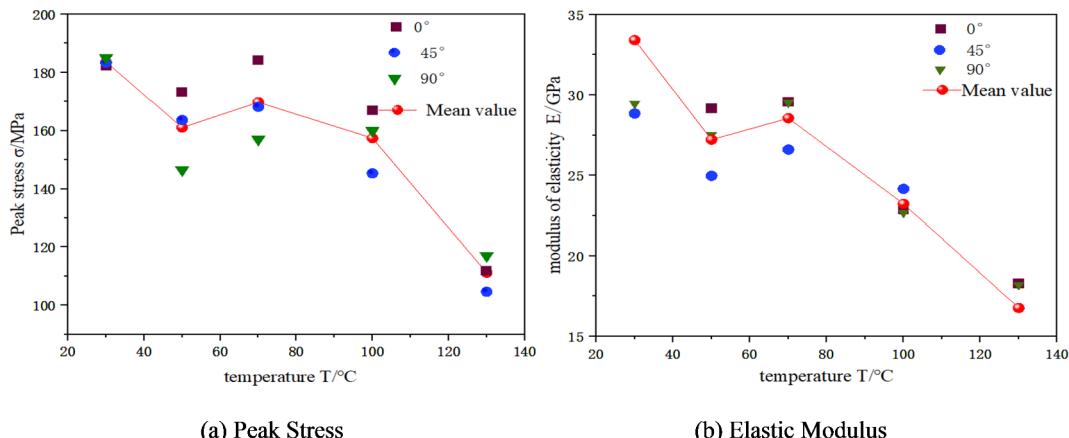
(2)Uniaxial Compression Test: The uniaxial compression was performed using a displacement loading method with an axial stress loading rate of  $0.01$  mm/s. During the compression process, the acoustic emission system and strain analyzer were used to monitor rock damage and deformation. Six acoustic emission probes were selected, distributed in two groups at the upper and lower ends of the specimen, each group placed approximately 20 mm from the end. The upper probes were arranged at  $0^\circ$ ,  $90^\circ$ , and  $180^\circ$ , while the lower probes were arranged at  $180^\circ$ ,  $270^\circ$ , and  $360^\circ$  to enhance signal strength and improve accuracy, as shown in Fig. 1h. In the strain analysis system, strain gauges were attached laterally to the middle of the specimen, approximately 50 mm from both ends, to monitor lateral strain during compression, as shown in Fig. 1e.

## Mechanical properties of cracked granite under temperature cycles

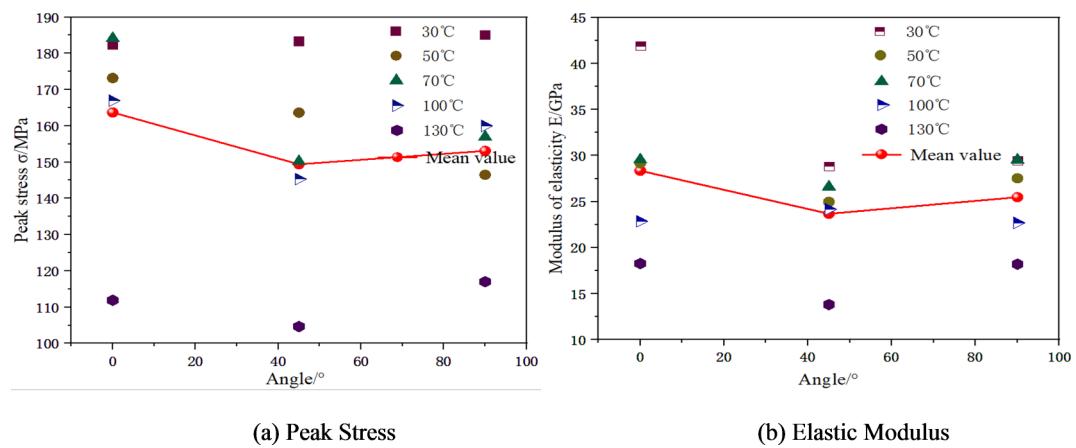
The stability of the surrounding rock is closely related to the physical and mechanical properties of the rock. After five temperature cycles, the mechanical properties of granite specimens with different crack angles and cycle temperatures are shown in Figs. 2 and 3.

From Fig. 2, it is evident that after five temperature cycles, both the peak strength and elastic modulus of the rock samples initially decrease, then increase, and finally decrease again with the rise in temperature. The average peak strength values are  $183.51$  MPa,  $161.10$  MPa,  $169.78$  MPa,  $157.43$  MPa, and  $111.17$  MPa, respectively, while the average elastic modulus values are  $33.40$  GPa,  $27.22$  GPa,  $28.56$  GPa,  $23.23$  GPa, and  $16.76$  GPa, respectively. Between  $30^\circ\text{C}$  and  $70^\circ\text{C}$ , the peak strength and elastic modulus of granite fluctuate, and beyond  $70^\circ\text{C}$ , both parameters rapidly decline. Although the peak strength and elastic modulus of cracked granite exhibit slight fluctuations with temperature increase, the overall trend shows a decrease.

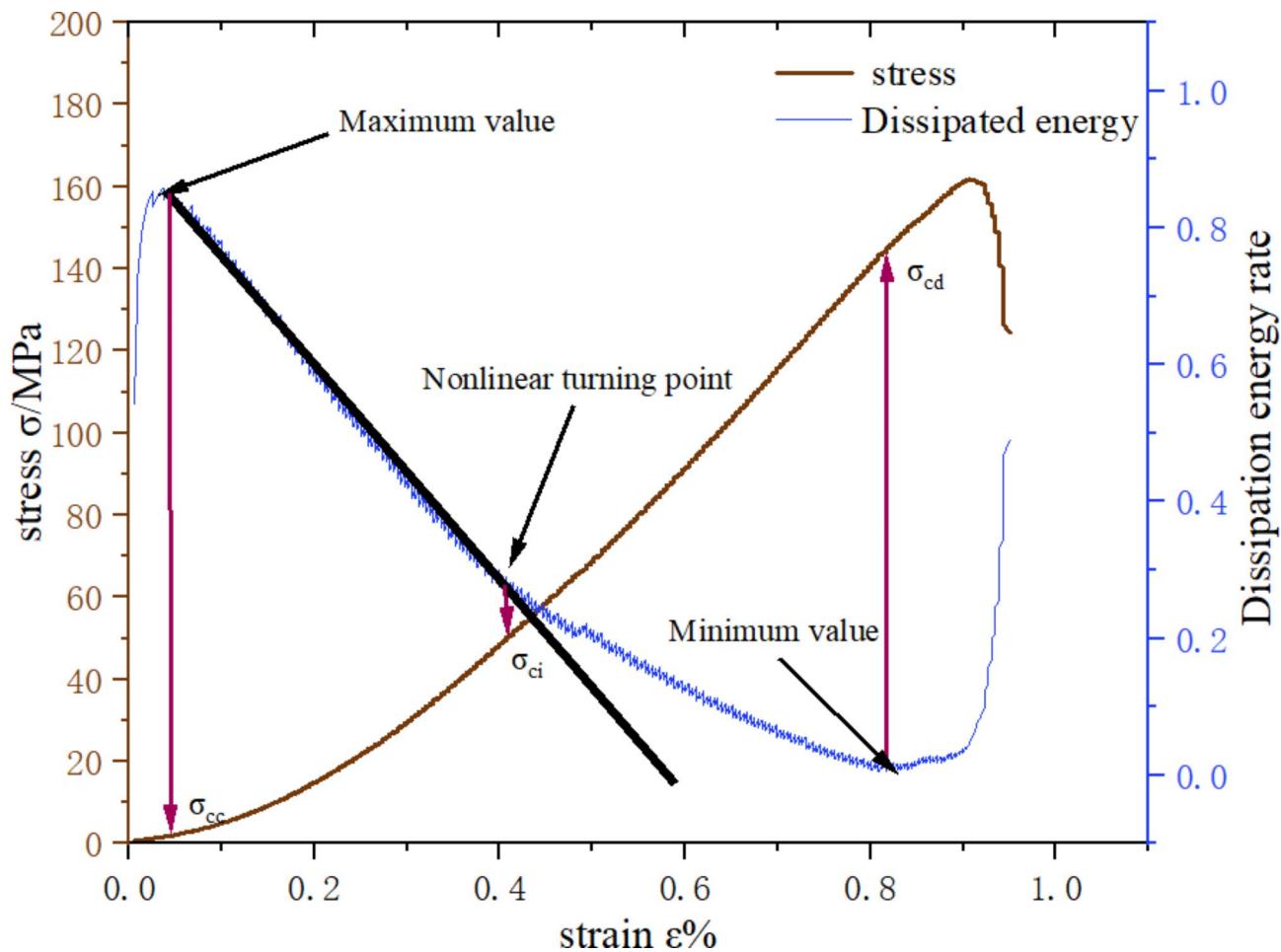
Figure 3 indicates that as the crack angle increases, the average peak strength and elastic modulus of granite under different cycle temperatures show a trend of initial decrease followed by an increase. The average peak strength values are  $163.7$  MPa,  $153.01$  MPa, and  $153.09$  MPa, while the average elastic modulus values are  $28.35$  GPa,  $23.68$  GPa, and  $25.47$  GPa, respectively. The peak stress and elastic modulus reach their minimum values at a  $45^\circ$  crack angle. This is because the rock specimens with a prefabricated  $45^\circ$  crack predominantly exhibit compressive-shear failure, whereas those with a  $90^\circ$  prefabricated crack mainly undergo tensile failure (refer to Sect. 5.2 for failure modes). The difference in failure modes results in the regular variation of peak strength and elastic modulus. Overall, the ability of granite to resist deformation weakens with the increase in cycle temperature, its ductility increases, and as the prefabricated crack angle increases, the ability to resist deformation and ductility first weakens (weakest at  $45^\circ$ ) and then increases.



**Fig. 2.** Variation of peak stress and elastic modulus of granite with different crack angles under temperature cycles.



**Fig. 3.** Variation of peak stress and elastic modulus of granite under different cycle temperatures with crack angle.



**Fig. 4.** Example of using dissipated energy to determine characteristic stress points.

## Damage characteristics of granite under cyclic temperature

### Analysis of stress characteristic point variations

While peak stress and elastic modulus can reflect the stability of the surrounding rock during engineering excavation, they cannot characterize the internal damage and microstructural changes within the rock. Therefore, by studying the stress damage thresholds (stress characteristic points) at each stage of rock compression, the internal damage and microstructural characteristics of granite under the influence of cyclic temperature and cracks can be determined.

Currently, methods for calculating the stress cracking point include the volumetric strain method<sup>15</sup>, acoustic emission method<sup>16</sup>, lateral strain difference method<sup>17</sup>, and dissipated energy rate method<sup>18</sup>. Most scholars believe that the energy generated during the compression of rock is directly related to its deformation and failure<sup>19</sup>. Therefore, when determining stress characteristic points, the point at which the elastic energy rate reaches its maximum under axial pressure is defined as the closure stress ( $\sigma_{cc}$ ). Afterward, as axial pressure continues to increase, the rock enters a period of calm crack development, where the dissipated energy decreases linearly. The end of this linear development of dissipated energy is defined as the crack initiation stress ( $\sigma_{ci}$ )<sup>20</sup>. After this, the cracks within the rock enter an unstable stage, and the proportion of dissipated energy increases. When damage fully develops, the dissipated energy rate reaches a minimum, thereby determining the damage stress ( $\sigma_{cd}$ )<sup>21</sup>. As can be seen from the above analysis, from the perspective of energy dissipation, the evolution of cracks and determination of stress characteristics have a solid theoretical background. Therefore, the dissipated energy rate method is selected to calculate the characteristic stress points, which allows for accurate determination of these points during the compression of rock specimens<sup>22</sup>. The specific calculation method and determination of characteristic points are as follows:

The total energy of the rock can be calculated using the following expression:

$$U_0 = U_e + U_d = \int \sigma_{1i} d\epsilon_1 = \sum_{i=1}^n \frac{1}{2} (\sigma_{1i} + \sigma_{1i-1}) (\epsilon_{1i} - \epsilon_{1i-1}) \quad (1)$$

Where  $U_0$  is the total energy generated by the failure of rock per unit volume ( $\text{kJ}\cdot\text{m}^{-3}$ );  $U_e$  is the elastic energy ( $\text{kJ}\cdot\text{m}^{-3}$ );  $U_d$  is the dissipated energy ( $\text{kJ}\cdot\text{m}^{-3}$ );  $\sigma_{1i}$  and  $\sigma_{1i-1}$  are the stresses at the time of collection (MPa);  $\epsilon_{1i}$  and  $\epsilon_{1i-1}$  are the axial strains at the time of collection; and  $i$  is the collection sequence.

The elastic energy  $U_e$  in Eq. (1) can be calculated using the following formula:

$$U_e = \frac{1}{2} \sigma_1 \epsilon_1^e \approx \frac{\sigma_1^2}{2E_0} \quad (2)$$

Where  $\sigma_1$  is the principal stress (MPa); and  $E_0$  is the initial elastic modulus (GPa).

$$U_d = U_0 - U_e = \sum_{i=1}^n \frac{1}{2} (\sigma_{1i} + \sigma_{1i-1}) (\epsilon_{1i} - \epsilon_{1i-1}) - \frac{\sigma_1^2}{2E_0} \quad (3)$$

The dissipated energy  $U_d$  generated by rock compression in Eq. (1) can be calculated using the following formula:

An example of the determination of characteristic stress points using dissipated energy is shown in Fig. 4.

Characteristic stress points are thresholds in the development stage of rock damage. The ratio of characteristic stress to peak stress can indicate the extent of internal damage in the rock. The larger the ratio, the greater the proportion of total damage at that stress threshold. The ratio of closure stress to peak stress  $\sigma_{cc}/\sigma_m$  represents the development extent of pore closure during rock compression<sup>23</sup>. The ratio of crack initiation stress to peak stress  $\sigma_{ci}/\sigma_m$  is significantly affected by internal defects and can serve as a criterion for rock integrity<sup>24</sup>. The ratio of damage stress to peak stress  $\sigma_{cd}/\sigma_m$  directly reflects the long-term strength of the rock and indicates the stage where cracks within the rock enter instability<sup>25</sup>. The experimental results of characteristic stress and the ratio of characteristic stress to peak stress under temperature cycles are shown in Table 1; Fig. 5.

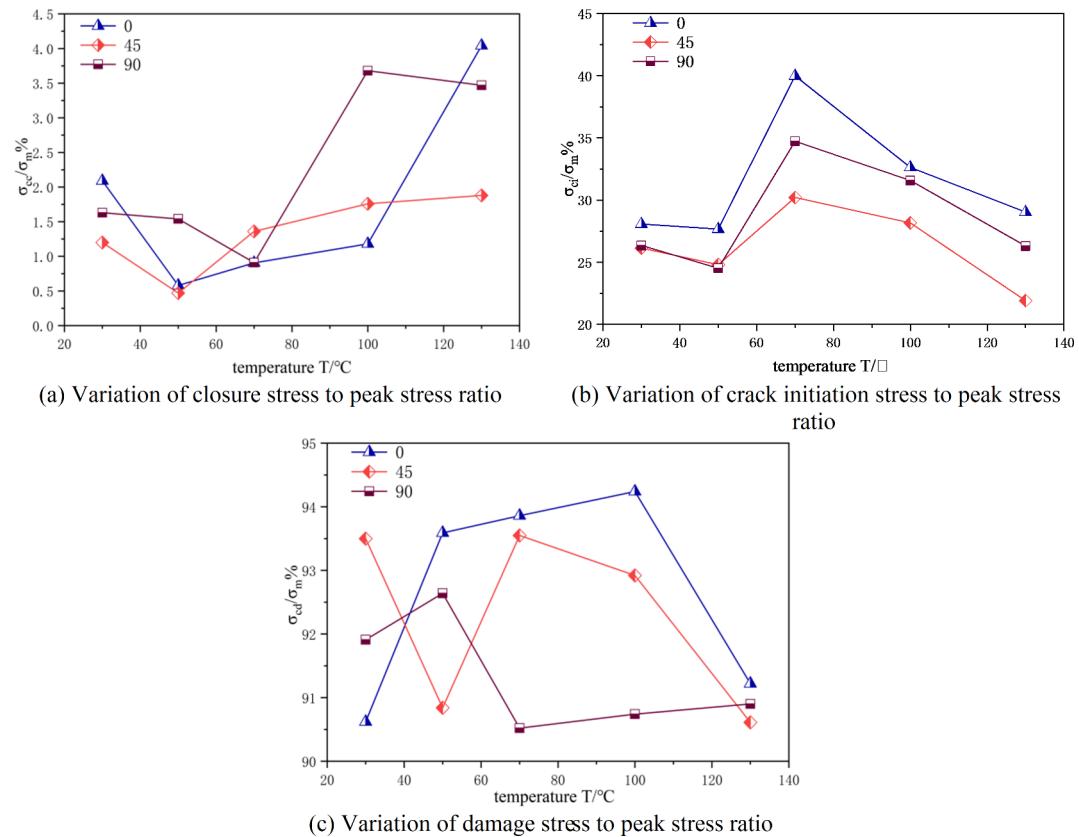
From Fig. 5a, it is observed that the  $\sigma_{cc}/\sigma_m$  of cracked granite specimens decreases first and then increases with temperature rise for the same crack angle. The fluctuation range of  $\sigma_{cc}/\sigma_m$  with crack angle variation is within 2%, indicating that temperature has a significant effect on  $\sigma_{cc}/\sigma_m$ , while the crack angle has a minimal impact. Figure 5b shows that  $\sigma_{ci}/\sigma_m$  of cracked granite increases first and then decreases with temperature rise for the same crack angle, with a maximum at 70 °C. At the same temperature,  $\sigma_{ci}/\sigma_m$  decreases first and then increases with the increase of crack angle, with a larger fluctuation at a 0° crack angle, with variation values of 15.46% and 12.33%. Figure 5c shows that while temperature and crack angle affect the  $\sigma_{cd}/\sigma_m$  of cracked granite, the pattern is not evident, with a variation range of about 3%  $\sigma_m$ . This is because  $\sigma_{cc}/\sigma_m$ ,  $\sigma_{ci}/\sigma_m$ , and  $\sigma_{cd}/\sigma_m$  are significantly influenced by internal pores, prefabricated cracks, natural cracks, the overall structure, and chemical properties of the rock. The inclination angle of cracks significantly impacts the development and initiation of internal cracks in granite, while cyclic temperature primarily affects the initiation of internal pores and cracks within the granite.

### Analysis of acoustic emission parameters

The variation trends of rock mechanical properties can qualitatively describe the influence of internal rock defects on damage and failure, but it's challenging to quantify crack numbers and their development intensity under compression. Acoustic emission (AE) ringing counts indicate changes in crack quantity during loading, while AE energy describes crack propagation extent. Additionally, fluctuations in the AE b-value directly reflect

| Angle | Temperature | Characteristic stress value(MPa) |               |               |            | Characteristic stress/peak stress |                        |
|-------|-------------|----------------------------------|---------------|---------------|------------|-----------------------------------|------------------------|
|       |             | $\sigma_{cc}$                    | $\sigma_{ci}$ | $\sigma_{cd}$ | $\sigma_m$ | $\sigma_{cc}/\sigma_m$            | $\sigma_{ci}/\sigma_m$ |
| 0°    | 30          | 3.81                             | 51.18         | 165.2         | 182.29     | 2.09%                             | 28.07%                 |
|       | 50          | 1.03                             | 48.95         | 165.03        | 176.88     | 0.58%                             | 27.67%                 |
|       | 70          | 1.67                             | 74.04         | 173.8         | 185.16     | 0.905%                            | 39.99%                 |
|       | 100         | 1.97                             | 54.49         | 157.37        | 166.98     | 1.18%                             | 32.63%                 |
|       | 130         | 4.52                             | 32.49         | 102.07        | 111.88     | 4.043%                            | 29.03%                 |
| 45°   | 30          | 2.21                             | 47.90         | 171.35        | 183.25     | 1.20%                             | 26.14%                 |
|       | 50          | 0.83                             | 44.07         | 157.73        | 173.63     | 0.47%                             | 25.43%                 |
|       | 70          | 4.81                             | 50.82         | 157.18        | 168.19     | 1.36%                             | 30.21%                 |
|       | 100         | 2.55                             | 40.93         | 135.03        | 145.32     | 1.759%                            | 28.16%                 |
|       | 130         | 1.00                             | 24.68         | 97.56         | 112.64     | 1.88%                             | 21.91%                 |
| 90°   | 30          | 3.03                             | 48.84         | 170.33        | 185.32     | 1.63%                             | 26.35%                 |
|       | 50          | 2.97                             | 38.37         | 144.98        | 156.49     | 1.54%                             | 24.52%                 |
|       | 70          | 1.44                             | 54.68         | 142.53        | 157.45     | 0.91%                             | 34.73%                 |
|       | 100         | 5.90                             | 50.63         | 140.66        | 161.31     | 3.68%                             | 31.58%                 |
|       | 130         | 0.55                             | 30.83         | 106.54        | 117.21     | 3.47%                             | 26.30%                 |

**Table 1.** Characteristic stress values and ratios of crack-containing granite under the action of temperature cycling.



**Fig. 5.** Variation of the ratio of characteristic stress to peak stress for cracked granite under temperature cycles.

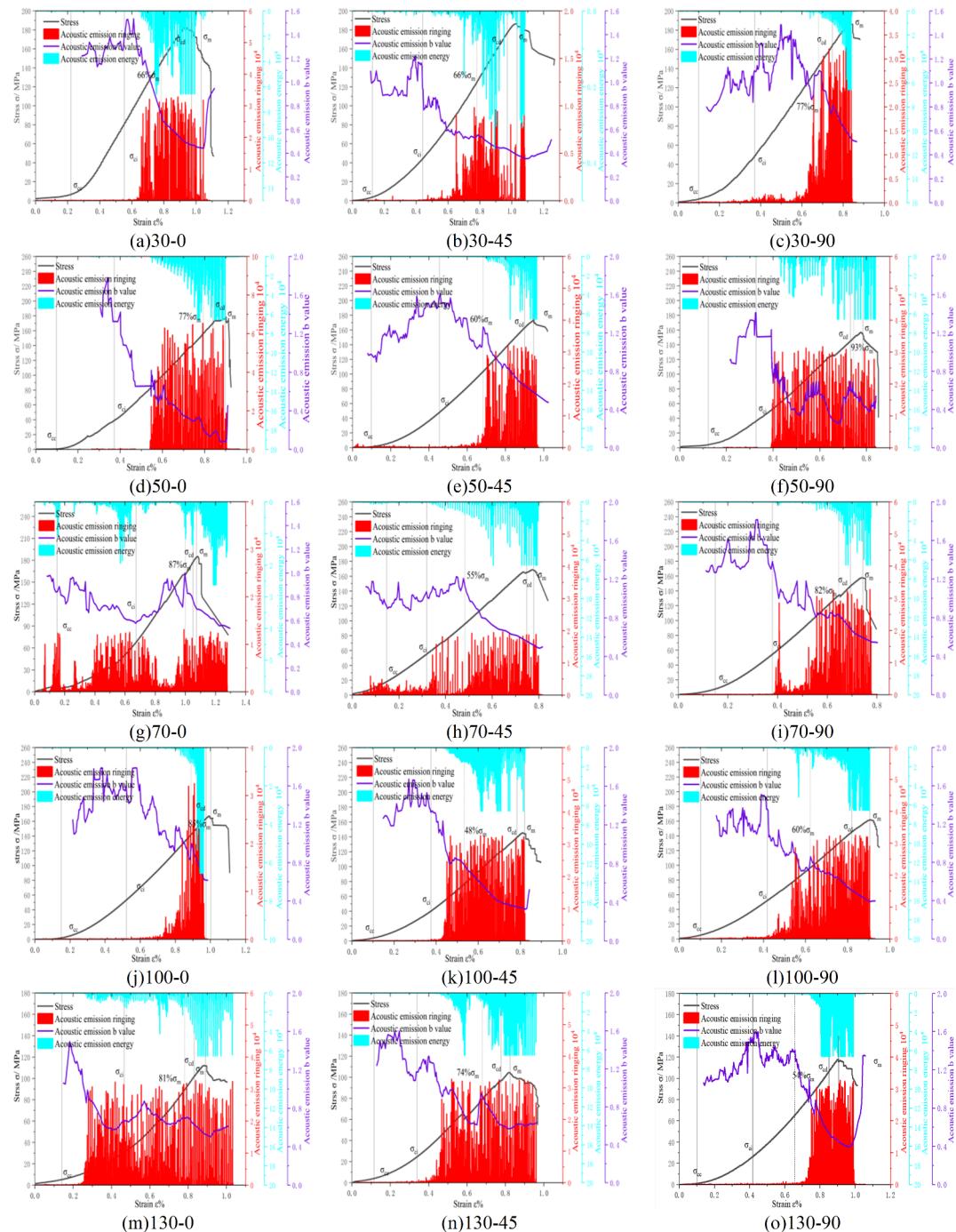
the degree of internal damage progression<sup>26,27</sup>. The last significant drop in b-value before peak stress serves as a characteristic parameter signaling imminent rock failure<sup>28</sup>, where a lower b-value indicates more intense microcrack development. Therefore, incorporating AE ringing, AE energy, and AE b-value analysis facilitates studying the damage evolution within the rock. The b-value formula is as follows:

$$\lg N = a - bM \quad (4)$$

In the equation,  $M$  represents the magnitude, which is calculated as the amplitude of acoustic emission divided by 20.  $N$  denotes the number of occurrences within the range of  $M$ , typically representing the number of impacts exceeding the acoustic emission amplitude.  $a$  is a constant, usually defined based on the type of rock, and  $b$  is the ratio of small amplitude events to large amplitude events<sup>28</sup>.

After cyclic temperature effects, the changes in acoustic emission ringing, energy, b-value, and stress-strain curves during the compression process of granite specimens with different crack angles are shown in Fig. 6. The characteristic stress points and b-value drop points in the rock compression process are marked. In Fig. 6a, 66%  $\sigma_m$  represents the stress percentage at the point where the acoustic emission b-value drops, and “30–0” means the specimen temperature is 30 °C with a crack angle of 0°. The other numbers in the figures have similar meanings.

From Fig. 6, it can be seen that under different temperatures, the magnitude of the acoustic emission b-value first increases and then decreases with the increase of the crack angle. There is no significant change in the



**Fig. 6.** Changes in acoustic emission characteristic parameters of granite with cracks under temperature.

b-value during the  $0-\sigma_{cc}$  stage, while during the  $\sigma_{cc}-\sigma_{ci}$  stage, the b-value rises continuously and reaches its maximum, indicating that a large number of microcracks appear inside the rock in this stage, but the degree of crack development and penetration is low. When the specimen enters the  $\sigma_{ci}-\sigma_m$  stage, the b-value fluctuates and decreases, indicating that microcracks develop rapidly until macroscopic cracks form, leading to complete rock failure and the b-value reaching its minimum. At the same temperature, as the crack angle increases, the average b-value drop point decreases from 80%  $\sigma_m$  (0° prefabricated crack) to 60%  $\sigma_m$  (45° prefabricated crack) and then increases to 75%  $\sigma_m$  (90° prefabricated crack), with fluctuations in the b-value drop point. This indicates that the prefabricated cracks influence and guide the development of internal cracks in the rock, significantly enhancing the intensity of internal damage development and leading to the early formation of large-scale cracks. At the same crack angle, with the increase of temperature, the b-value sudden drop point of 90° prefabricated cracks increases and then decreases, while the b-value sudden drop point of 45° prefabricated cracks decreases and then increases, and the b-value sudden drop point of 0° prefabricated cracks continues to increase, so there is not a uniform development pattern of granite at the three angles with the increase of temperature, which suggests that there is no obvious pattern of the influence of the temperature on the formation of the large-scale cracks inside the specimens.

Furthermore, Fig. 6 illustrates that at temperatures of 30 °C, 50 °C, and 70 °C, the acoustic emission ringing and energy distribution for each crack angle advance significantly with increasing temperature. At 100 °C and 130 °C, the acoustic emission ringing and energy distribution for the 0° crack initially delay and then advance with rising temperature. In contrast, for the 45° and 90° cracks, these parameters consistently delay with increasing temperature, with the 90° crack showing a significant delay. This indicates that temperature has a considerable impact on the pores and natural cracks within the rock, affecting the distribution of acoustic emission ringing and energy during the early stages of compression, and that crack angle can guide the development of internal cracks. Therefore, both temperature and crack angle have significant effects on the distribution of acoustic emission ringing and energy in the specimen.

To quantitatively analyze the variation pattern of acoustic emission parameters under the influence of cyclic temperature and crack angle, the trends in cumulative ringing count and total energy of granite with cracks under the influence of temperature and crack angle are shown in Fig. 7.

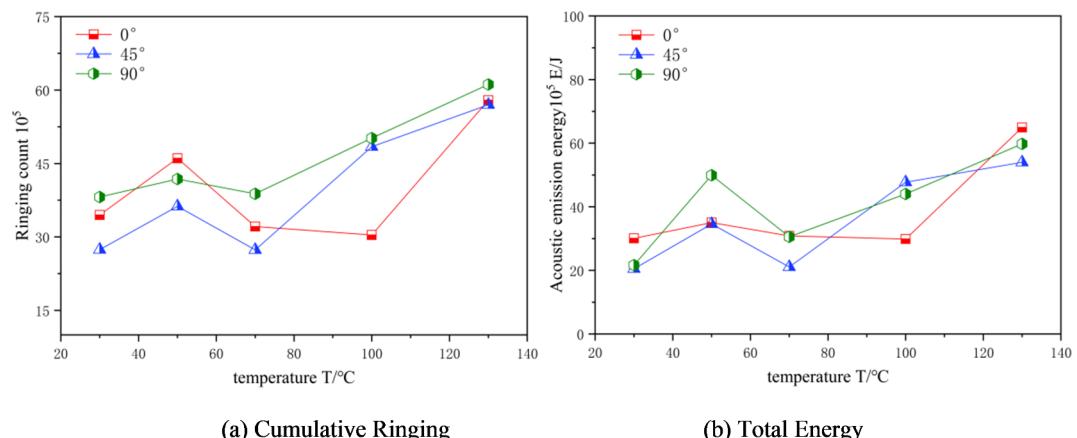
From Fig. 7, it can be seen that with increasing cyclic temperature, the cumulative ringing count and total energy of granite with cracks first increase, then decrease, and increase again, reaching a minimum at 70 °C. At the same temperature, the cumulative ringing count and total energy of acoustic emission first decrease and then increase with increasing crack angle, with a minimum at 45°. The above analysis shows that under the same temperature, the cumulative ringing count and total energy of the specimen with a 45° crack angle are the smallest, indicating that the 45° crack angle facilitates the guidance of rock damage and failure. The internal microcracks in the rock quickly merge to form large cracks, while the guidance of internal crack development is significantly reduced in specimens with 0° and 90° cracks. As a result, large-scale cracks cannot form quickly, leading to better crack development and a significant increase in cumulative ringing count and total energy.

### Analysis of damage development characteristics

The acoustic emission b-value, cumulative ringing, total energy, and energy distribution can indicate the intensity, total amount, and distribution of damage influenced by cyclic temperature and prefabricated cracks. However, a quantitative analysis of the damage development process during the compression of rocks is still lacking. Therefore, a damage variable is introduced to further quantitatively analyze the damage development characteristics of granite with cracks under cyclic temperature. The sudden increase in the damage variable represents the large-scale initiation of microcracks inside the specimen<sup>29</sup>.

The damage variable can be defined as:

$$D_N = \frac{N_d}{N_m} \quad (4)$$



**Fig. 7.** Changes in total energy and cumulative ringing count of granite with cracks under cyclic temperature.

Where  $N_d$  represents the cumulative ringing count of acoustic emission at time  $d$  from the start of the axial compression test, and  $N_m$  represents the cumulative ringing count of acoustic emission at the end of the axial compression test. Based on the above calculation, the damage variables of granite under cyclic temperature and crack angle were calculated, and the results are shown in Fig. 8. In Fig. 8a, “30–0” indicates that the specimen temperature is 30 °C and the crack angle is 0°, with the same meaning for the other values.

Figure 8 analysis shows that under all conditions, the damage variable of specimens follows a pattern of slow progression, rapid increase, and eventual stabilization. During the 0– $\sigma_{ci}$  phase, no significant change in the damage variable is observed. In the  $\sigma_{cc}$ – $\sigma_{ci}$  phase at 70 °C, the damage variable accelerates, signifying a rapid increase in internal microcracks and early development of specimen damage. The  $\sigma_{ci}$ – $\sigma_m$  phase shows a sharp rise in the damage variable, indicating the initiation, propagation, and accumulation of microcracks until reaching  $\sigma_m$ , where the damage variable approaches its maximum value of 1, with macrocrack penetration leading to specimen instability and failure. At the same temperature, the 45° pre-cracked granite specimen exhibits an earlier damage variable surge. When stress reaches  $\sigma_{cd}$ , the 45° pre-cracked specimen's damage variable exceeds 0.8, developing rapidly in the  $\sigma_{ci}$ – $\sigma_{cd}$  phase. This rapid development is because microcracks in pre-cracked specimens primarily propagate from the crack tip and extend outward at an angle, this leads to the easier connection of local cracks generated during the compression process of rocks under 45° cracks, which facilitating the formation of large tensile-shear macroscopic cracks<sup>30,31</sup>. Consequently, the 45° crack significantly influences damage evolution in the  $\sigma_{ci}$ – $\sigma_m$  phase, markedly enhancing microcrack guidance in the specimen.

To further analyze the damage proportion in each characteristic stress stage under the influence of cyclic temperature and crack angle, the trend of damage proportion in each characteristic stress stage of granite under the influence of cyclic temperature and crack angle is shown in Fig. 9.

From Fig. 9, it can be seen that under cyclic temperatures of 30 °C and 50 °C and different prefabricated crack angles, the maximum damage proportion is almost entirely concentrated in the  $\sigma_{ci}$ – $\sigma_{cd}$  stage, indicating that at these temperatures, the internal microcracks of the granite specimen initiate and expand rapidly from the crack initiation stress to the damage stress stage, leading to accelerated specimen damage. However, after the cyclic temperature exceeds 70 °C, the damage proportion begins to show dispersed distribution, and the higher the temperature, the greater the dispersion of the damage proportion, indicating that the thermal damage effect inside the specimen becomes more evident after 70 °C. This conclusion is consistent with that of Sect. 4.1.

### Analysis of damage fractal characteristics

Fractal dimension, as a mathematical tool for analyzing irregular objects, can quantitatively describe irregular objects to reveal their intrinsic relationships. The crack development process during rock compression follows a nonlinear pattern with regularity. To describe the intrinsic relationships of this nonlinear process, the fractal dimension can be used for analysis.

To further analyze the damage distribution during the granite specimen's damage development process, the correlation dimension of the acoustic emission ringing count is introduced to characterize the chaos degree of the damage distribution within the rock<sup>32,33</sup>. This approach is used to explore the influence of cyclic temperature and crack inclination on the damage distribution and development patterns within the rock at various stages. The correlation dimension calculation considers the acoustic emission ringing counts of granite specimens under compression during four stages: 0– $\sigma_{cc}$ ,  $\sigma_{cc}$ – $\sigma_{ci}$ ,  $\sigma_{ci}$ – $\sigma_{cd}$ , and  $\sigma_{cd}$ – $\sigma_m$ , with each stress stage being treated as a separate time window for analysis, leading to the calculation of the fractal dimension  $D_f$ . The calculation method is as follows:

The G-P correlation dimension is determined by first constructing a phase space using a sample of acoustic emission ringing counts  $n$  and forming an  $m$ -dimensional space ( $m < n$ ) as follows:

$$X = [x_1 x_2 x \cdots x_n] \quad (5)$$

Where the first vector  $X_1$  is created by taking the first  $m$  elements of the time series of the ringing counts. A phase space of dimension  $m$  is then constructed by sequentially selecting elements at intervals, leading to  $N = n - m + 1$  vectors:

$$X_i = [X_i, X_{i+1} \cdots X_{i+m-1}] \quad (6)$$

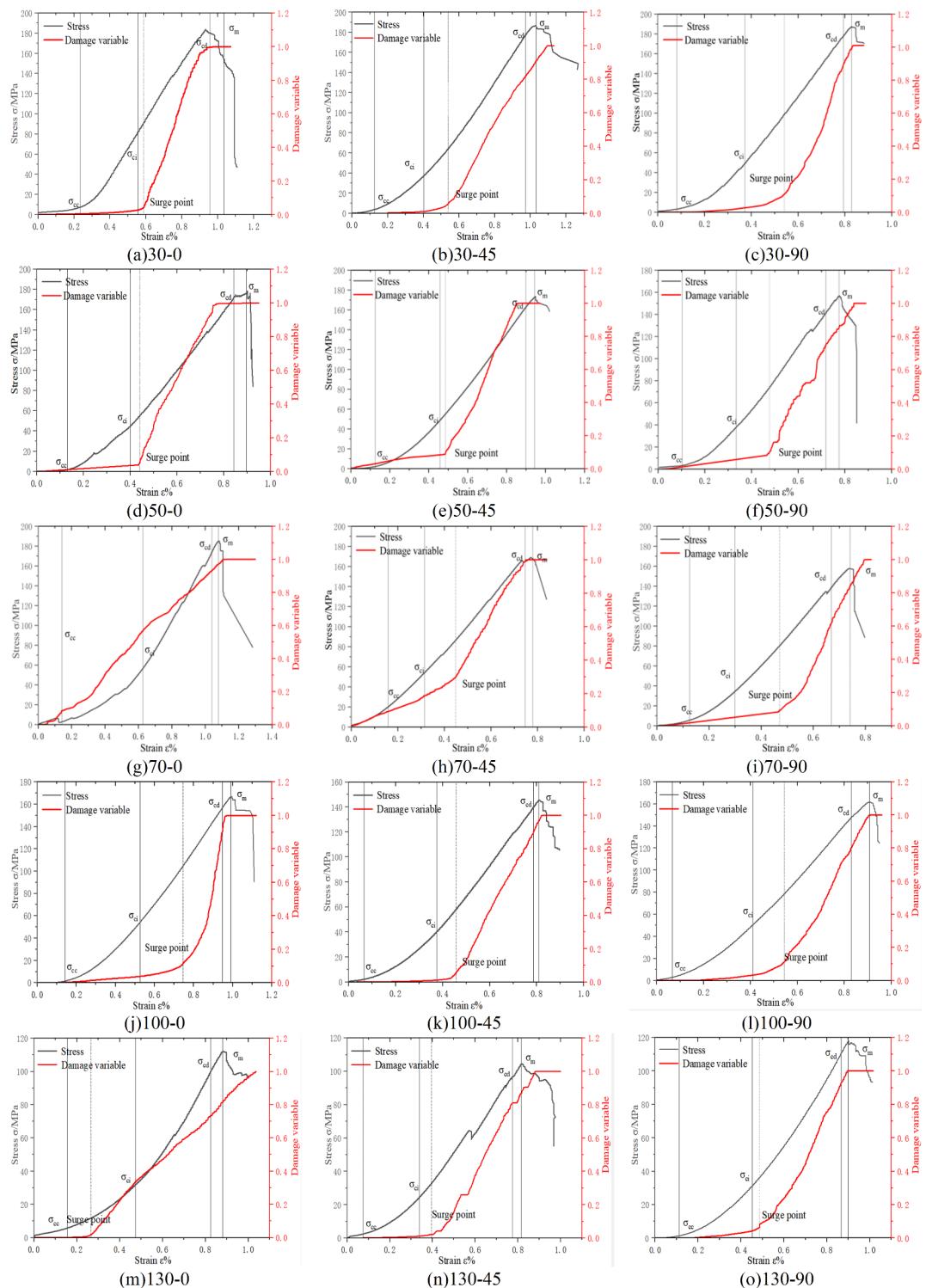
The G-P function is then defined as:

$$C_m(r) = \frac{1}{N^2} \sum_i^N \sum_i^N H[r - \|X_i - X_j\|] \quad (7)$$

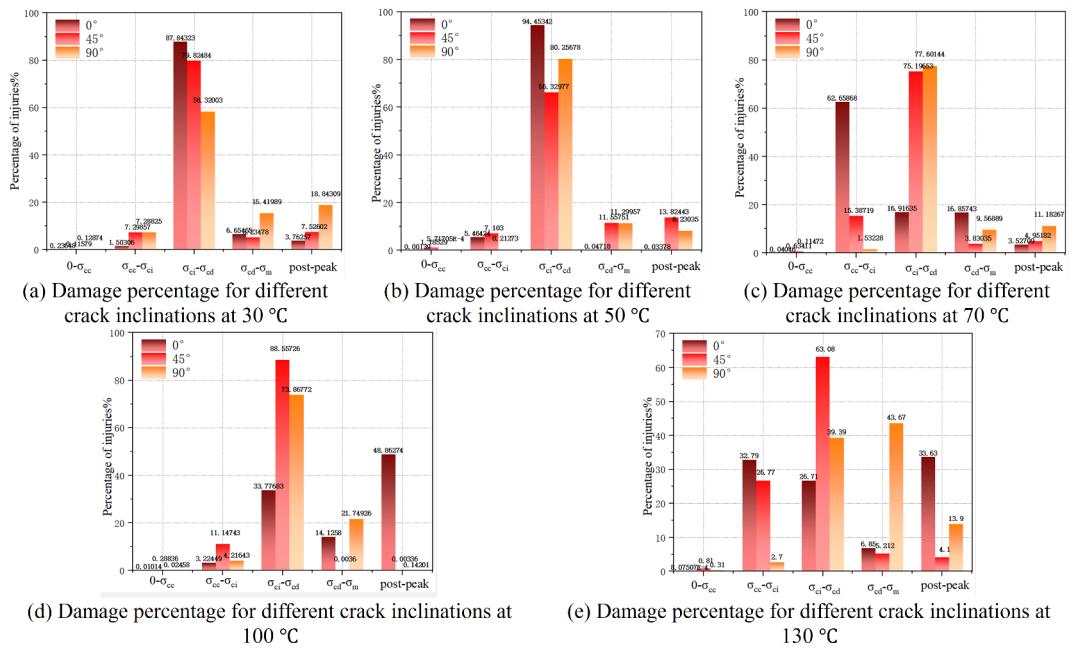
Where  $C$  is the correlation function,  $H$  is the Heaviside function, and  $r$  is a scale factor. The correlation dimension  $D_f$  is then given by:

$$H = \begin{cases} 0, & x < 0 \\ 1, & x \geq 0 \end{cases} \quad (8)$$

$$r(k) = k \frac{i}{N^2} \sum_{i=1}^N \sum_{j=1}^N |X_i - X_j| \quad (9)$$



**Fig. 8.** Damage development trends under different temperatures and crack angles.



**Fig. 9.** Damage proportion in each stress stage of granite.

The equation includes the observational coefficient  $k$ , which is typically chosen between 10 and 20, to determine the value of  $r$  within a certain interval, thereby obtaining the correlation dimension  $D_f$ . The expression for  $D_f$  is as follows:

$$D_f = \lim_{r \rightarrow 0} \frac{\ln C(r)}{\ln r} \quad (10)$$

Based on the G-P correlation dimension model calculation described above, the computed data points  $\{\ln r, \ln C\}$  were linearly fitted. The closer the fit to a straight line, the more fractal characteristics the data set exhibits. In this study, each stress stage during the compression of the specimen was treated as an interval, and the minimum embedding dimension  $m=1$  was chosen for a single calculation. By fitting 15  $\{\ln r, \ln C\}$  calculation points, the fitting interval of the data was determined to be between 93% and 99%, indicating that the ringing count of the granite specimen under the influence of temperature and crack angle exhibits fractal characteristics across the four stages of  $0-\sigma_{cc}$ ,  $\sigma_{cc}-\sigma_{ci}$ ,  $\sigma_{ci}-\sigma_{cd}$ ,  $\sigma_{cd}-\sigma_m$  - post-peak.

Using this model, the ringing counts under different stress stages were calculated for the granite specimens subjected to varying cyclic temperatures and crack inclinations. The results are shown in Fig. 10.

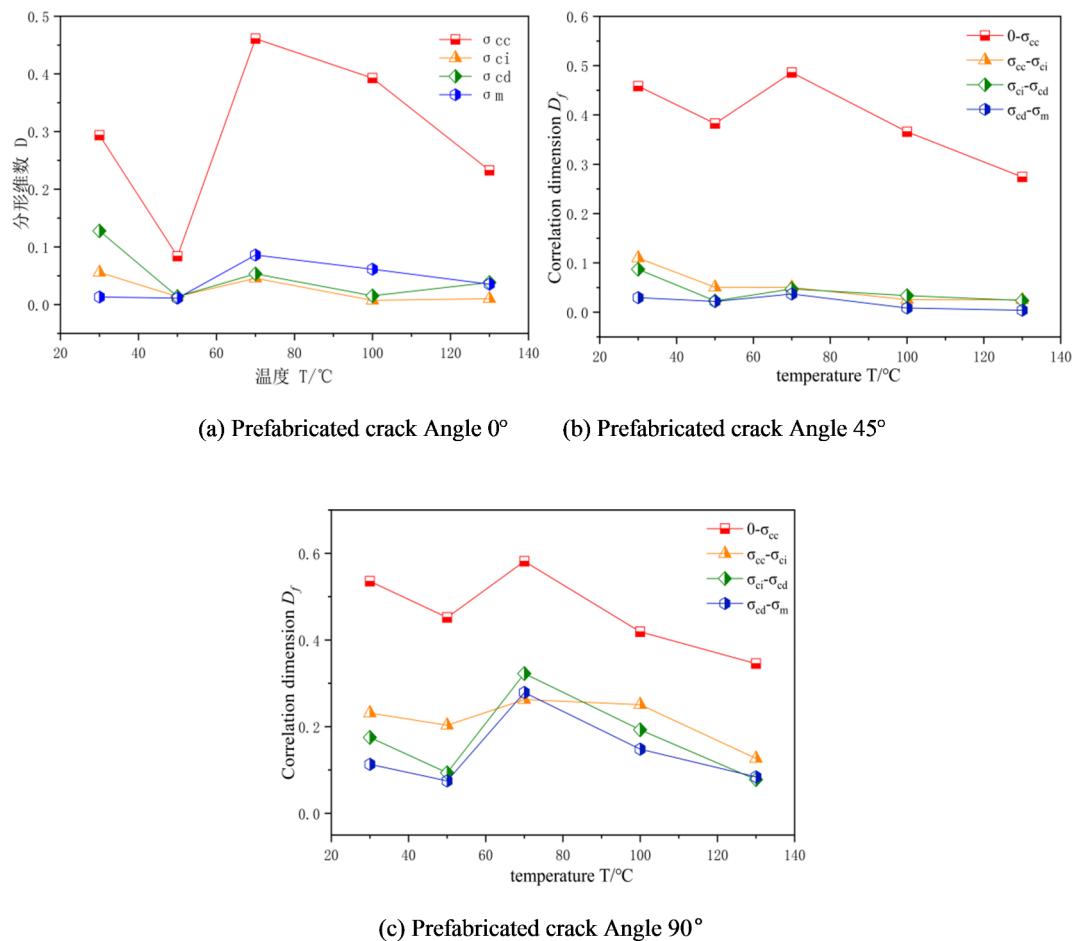
Analysis of Fig. 10, it is observed that under the same crack inclination, the correlation dimension  $D_f$  exhibits a trend of first decreasing, then increasing, and finally decreasing again with increasing temperature. This indicates that the internal damage development in the rock initially becomes more ordered, then less ordered, and finally more ordered again, with the maximum correlation dimension occurring at 70 °C, which acts as a turning point for temperature. For the same temperature, the impact of crack inclination on the correlation dimension  $D_f$  is not significant during the  $0-\sigma_{cc}$  stage. However, during the  $\sigma_{cc}-\sigma_{ci}$ ,  $\sigma_{ci}-\sigma_{cd}$ , and  $\sigma_{cd}-\sigma_m$  stages, the fluctuation in  $D_f$  with increasing crack inclination decreases from 0.19 (0°) to 0.12 (45°) and then increases to 0.39 (90°).

This suggests that at 70 °C, the distribution of microcracks within the specimen is chaotic and disordered, unable to form a clear development trend. The fluctuations in  $D_f$  during the  $\sigma_{cc}-\sigma_{ci}$ ,  $\sigma_{ci}-\sigma_{cd}$ , and  $\sigma_{cd}-\sigma_m$  stages are more significant under 0° and 90° crack inclinations due to poor guidance of internal crack development, leading to dispersed damage development and less distinct crack growth paths.

## Discussion

### Damage mechanism under cyclic temperature

Cyclic temperature directly affects the microscopic physical properties of the rock, such as pores and microcracks. Scanning Electron Microscopy (SEM) was used to further analyze the damage mechanism of granite under cyclic temperature. The granite specimens were subjected to cyclic temperatures of 30 °C, 50 °C, 70 °C, 100 °C, and 130 °C, and then slices were taken from the middle part of the specimens for SEM analysis. Given space limitations, only partial results for each specimen group are presented in Fig. 11, with micro-pores and microcracks marked. The SEM images reveal that internal pore and microcrack changes are not significant at 30 °C and 70 °C. However, at 50 °C, 100 °C, and 130 °C, the number of internal pores and microcracks increases significantly, indicating a marked increase in rock damage. This observation is consistent with the conclusions drawn earlier in Sect. 3.3 and 3.4.



**Fig. 10.** The fractal dimension of acoustic emission ringing of granite specimens under different temperature cycles and different crack angles.

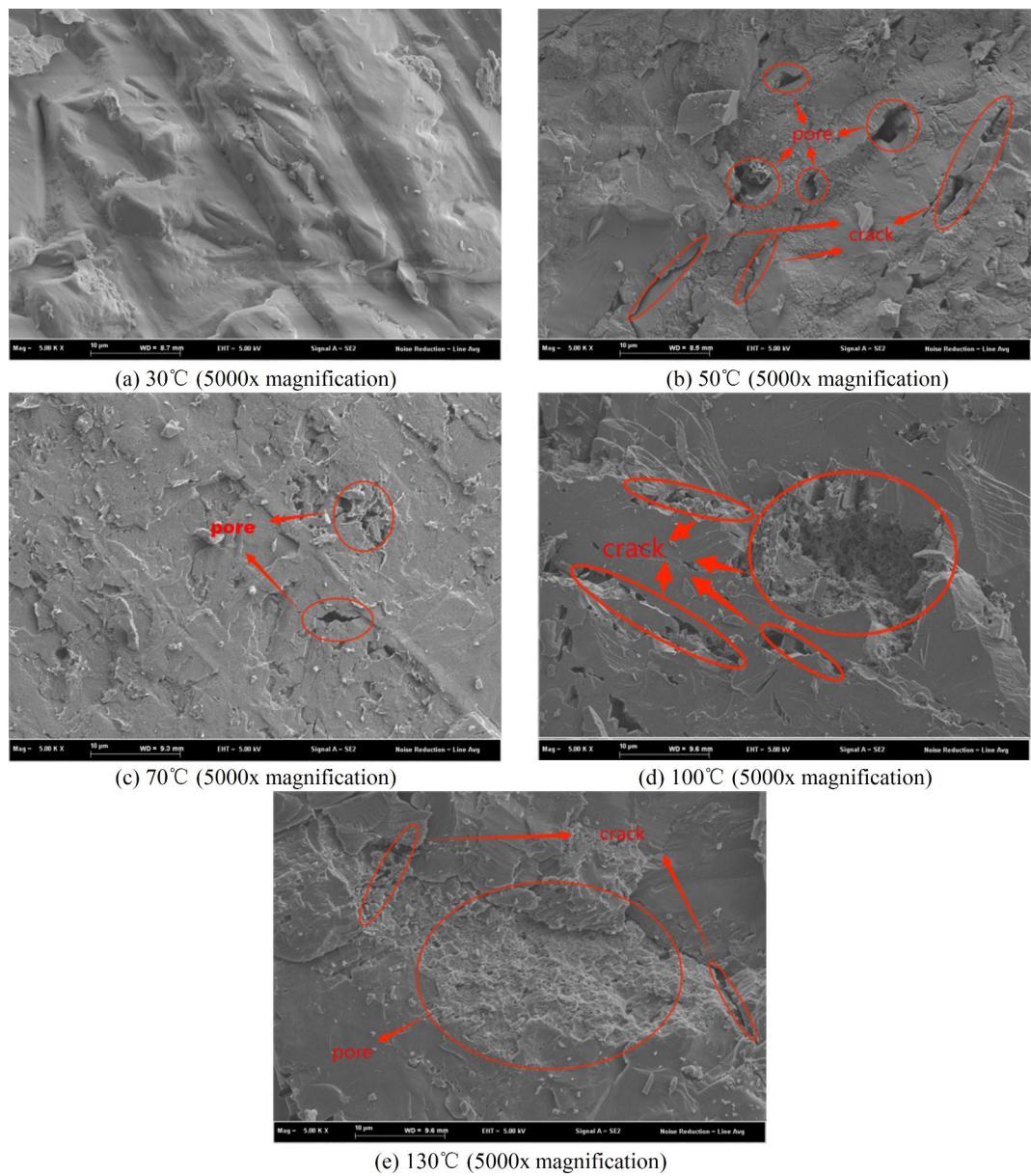
To quantitatively analyze the SEM images under different temperatures, the images were binarized, and the box dimension was used to evaluate the surface roughness of the granite<sup>34</sup>. A higher box dimension  $D_B$  indicates lower surface roughness, and vice versa. The calculation method is as follows:

$$D_B = - \lim_{\varepsilon \rightarrow 0} \frac{\log N(k)}{\log(\varepsilon_k)} \quad (11)$$

In this formula:  $N(k)$  represents the number of boxes required to cover  $k$ ;  $\varepsilon_k$  is the length of the box's edge. MATLAB software was used for grayscale processing, image filtering, feature detection, and boundary recognition of SEM images to obtain a binarized image by selecting an appropriate threshold.

Analysis of Fig. 12 shows that the box dimension  $D_B$  decreases, then increases, and then decreases again with increasing temperature. This trend suggests that the changes in microcracks and micropores within the granite initially increase, then decrease, and then increase again with rising temperature, with a significant drop in the box dimension observed between 70 °C and 100 °C, indicating that the internal microcracks and micropores are most pronounced between these temperatures. Thus, 70 °C serves as a temperature turning point.

At 50 °C, the evaporation of free water, as well as strongly and weakly bound water, from the interior of granite specimens is relatively minimal, and the friction between crystalline particles inside the specimen is relatively low. Consequently, microcracks and pores are more susceptible to crystal thermal expansion, leading to a noticeable increase in internal microcracks and pores. As the temperature rises to 70 °C, further evaporation of free water, as well as strongly and weakly bound water occurs, significantly increasing friction between crystalline particles, which slightly enhances granite strength<sup>35,36</sup>, marking a turning point in mechanical properties. However, at temperatures exceeding 90 °C, a certain degree of microcrystalline fracture is within granite<sup>37-40</sup>, with intensified thermal expansion effects that further promote mineral crystal expansion, surface exfoliation, and visible development of pores and microcracks. As a result, thermal damage within granite intensifies, significantly degrading its mechanical properties.

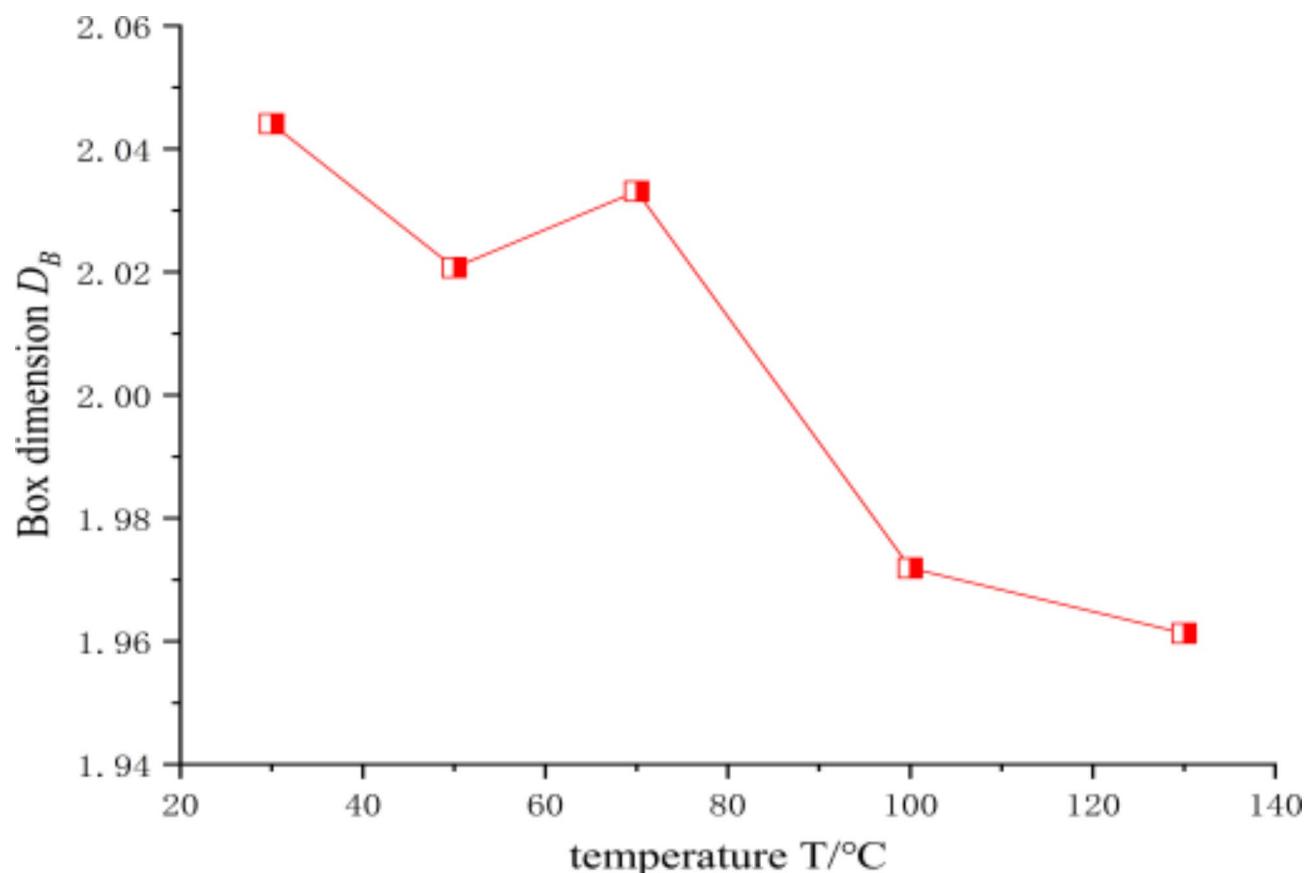


**Fig. 11.** SEM images of granite samples after different cyclic temperature tests.

#### Damage mechanism under crack inclination

The crack inclination has a guiding effect on the damage and failure of granite. To further analyze the impact of crack inclination on damage and failure, the failure patterns of granite specimens with different cyclic temperatures and crack inclinations were examined, as shown in Fig. 13.

The analysis of Fig. 13 shows that the final failure modes of rocks with different crack inclinations at the same temperature are not the same. The failure mode under a  $0^\circ$  crack is dominated by tensile-shear failure, under a  $45^\circ$  crack by distinct shear failure, and under a  $90^\circ$  crack by tensile failure, with a significant reduction in shear failure development. Under a  $45^\circ$  crack, the final failure pattern retains better integrity, and the specimen is not completely pulverized after removing the protective film. This is because, during compression, the rock first develops along internal flaws<sup>41–44</sup>. Thus, the  $45^\circ$  crack guides the development of distinct diagonal cracks, leading to clear shear failure and rapid penetration of localized cracks. Therefore, the internal microcracks under a  $45^\circ$  crack are not fully developed, resulting in better integrity of the final failure pattern. This observation is consistent with the conclusions drawn earlier in [Analysis of stress characteristic point variations](#), [Analysis of acoustic emission parameters](#), and [Analysis of damage fractal characteristics](#) section.



**Fig. 12.** SEM box dimension of granite under different temperature cycles.

## Conclusion

1. At the same cyclic temperature, the peak stress, elastic modulus, and  $\sigma_{cl}/\sigma_m$  of granite initially decrease and then increase with the increase in crack inclination, reaching their minimum values at a crack inclination of 45°. At the same crack inclination, the peak stress, elastic modulus,  $\sigma_{cc}/\sigma_m$ , and  $\sigma_{cl}/\sigma_m$  of granite initially decrease, then increase, and decrease again with increasing temperature, with 70 °C as the turning point.
2. At the same cyclic temperature, the damage drop point occurs earlier at 45°, with the 45° prefabricated crack guiding the development of internal cracks in the rock. At the same crack inclination, the cumulative ring count and total energy of acoustic emissions are the lowest at 70 °C, indicating the minimal damage to the rock specimen. The temperature has no significant impact on the b-value drop point.
3. At the same cyclic temperature, the rate of increase in the damage variable initially increases and then slows down with the increase in crack inclination. At the same crack inclination, the dispersion of damage proportion continuously increases with increasing temperature. Additionally, at a crack inclination of 45°, the fluctuation in fractal dimension is minimal, with strong orderliness and directionality in the development of internal microcracks. At 70 °C, the fractal dimension is at its maximum, with internal microcracks in the specimen becoming more dispersed.
4. At a cyclic temperature of 70 °C, the number of internal microcracks and pores is relatively small. The crack inclination significantly influences the internal crack development trend in the specimen. As the crack inclination increases from 0° to 90°, the specimen's failure mode transitions from tensile-shear failure (0°) to shear failure (45°) and tensile failure (90°). With increasing temperature, the internal microcracks and pores in the granite specimen initially increase, then decrease, and increase again, with the specimen's damage following a similar trend, with 70 °C as the turning point.



**Fig. 13.** Failure characteristics of granite specimens with different cycle temperatures and crack angles.

### Data availability

All data generated or analysed during this study are included in this published article [and its supplementary information files].

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## Author contributions

Xiankai Bao and Lingyu Wang designed the study and supervised the project. Guangqin Gui, Baolong Tian and Jianlong Qiao collected data. Shunjia Huang and Lizhi Wang performed the statistical analysis. Xiankai Bao and Lingyu Wang wrote the initial paper. All the authors read and approved the final manuscript.

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## Declarations

### Competing interests

The authors declare no competing interests.

### Additional information

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