



OPEN Pragmatic single center longitudinal study assessing radial extracorporeal shock wave therapy for patients with severe mental and physical disabilities

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Patients with severe motor and intellectual disability (SMID) experience persistent spastic pain and severe malpositioning of the limbs, exacerbated by the lack of effective treatment for severe spastic palsy. This study (UMIN-CTR, UMIN000048842) aimed to evaluate the efficacy and safety of radial extracorporeal shock wave therapy (rESWT) for spastic palsy in these patients. rESWT was applied to the biceps brachii of 15 elbow joints with flexion pattern spastic palsy of Modified Ashworth Scale (MAS) grade 1+ or greater in 11 patients with SMID. The MAS score, elbow range of motion (ROM) and adverse events were monitored for up to 10 weeks. Electromyography signals at rest were recorded on 8 elbow joints. Following a single rESWT session, the spasticity of the elbow joint immediately decreased, the MAS score significantly decreased from 2 (range, 2–3) to 1 (range, 1–2), and the elbow ROM significantly increased by 10° (range, 0°–15°). Moreover, muscle activity decreased by 24% (range, 11–37%), being clinically meaningful in SMID. rESWT resulted in an immediate and clear improvement in the MAS score for approximately 8 weeks and in the elbow ROM, continuing even at 10 weeks. Our findings highlight rESWT as a non-invasive therapy for spastic palsy in patients with SMID.

Keywords Radial extracorporeal shock wave therapy, Spasticity, Surface electromyography, Cerebral palsy, Severe motor and intellectual disability, Modified Ashworth Scale

In Japan, severe motor and intellectual disability (SMID) is defined as limited physical functioning with a bedridden or sedentary condition and an intelligence quotient < 35 ^{1,2}. SMID is a subset of profound intellectual and motor disabilities (PIMD) and severe or profound intellectual and motor disabilities (SPIMD), which are globally accepted terminologies³. SMID is most commonly caused by cerebral palsy, in addition to encephalitis, encephalopathy, congenital abnormalities, traumatic brain injury, and degenerative diseases¹. A previous study including long-term residents of Japanese national sanatoriums and national hospital wards reported that the average life expectancy of severely disabled children was < 15 years in 1982 but had improved significantly to approximately 36 years by 2009; nonetheless, many issues in daily life still need to be addressed¹, such as different types of paralysis, joint contractures, and postural problems caused by muscle tension⁴. Spasticity occurs in 80% of children with cerebral palsy⁵, and up to 60% of children with spastic-predominant cerebral palsy also exhibit dystonia⁶, making it one of the most serious problems in SMID^{7,8}. Researchers have not proposed that spastic paresis includes movement abnormalities that are caused by both nerve and muscle problems and not only spasticity, spastic myopathy, co-contraction, spastic dystonia, or stretch-sensitive paresis^{9–12}. Although it is a common symptom associated with neurological disorders such as stroke, spinal cord injury, and cerebral palsy^{13–15}, patients with SMID experience particularly severe spastic palsy throughout the body, and their mobility is confined to transitioning from a bedridden state to a wheelchair.

Efforts to manage spastic palsy in patients with SMID have included various approaches. These involve using positioning techniques, developing sit-to-stand devices, and adapting wheelchairs to individual physical needs¹⁶.

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Additionally, oral muscle relaxants have been utilized to help alleviate symptoms¹⁷. However, the effects of the above measures are limited, and patients continue to experience persistent spastic pain, requiring substantial assistance, severe malpositioning of the limbs, which progresses gradually, and a decline in activities of daily living and overall quality of life (Fig. 1).

Currently, botulinum neurotoxin-A injection is a highly effective treatment for spastic palsy but is dose-limited^{18,19}. Its effectiveness is limited when dealing with large areas of spastic palsy. In institutions using this treatment for patients with SMID, there are concerns about the administration of the drug by doctors and securing the necessary financial resources for this expensive medication, which limits its widespread use among patients with SMID. In addition, intrathecal baclofen is a more effective treatment due to the direct application of muscle relaxants to the spinal cord^{20,21}; however, it is not an option for patients with SMID, as the procedure is highly invasive and requires transfer to a hospital for implantation. Other approaches, including targeted physical therapy, occupational therapy, orthotic interventions, neuromodulation, and emerging technologies such as virtual reality-based rehabilitation, have also demonstrated beneficial effects on spasticity and motor function^{1,2}. Its use is not feasible in patients with SMID. Therefore, a feasible, safe, and sustainable treatment for spasticity that is suitable for patients with SMID needs to be established.

Radial extracorporeal shock wave therapy (rESWT) sends high-energy sound waves directly to the affected area to reduce pain and promote tissue repair. It is mainly used in the fields of orthopedics and rehabilitation and is effective for chronic pain, such as tendonitis and muscle pain. rESWT has traditionally been used for muscle fatigue and pain in athletes, providing pain relief and promoting tissue repair^{22–24}. Furthermore, its efficacy

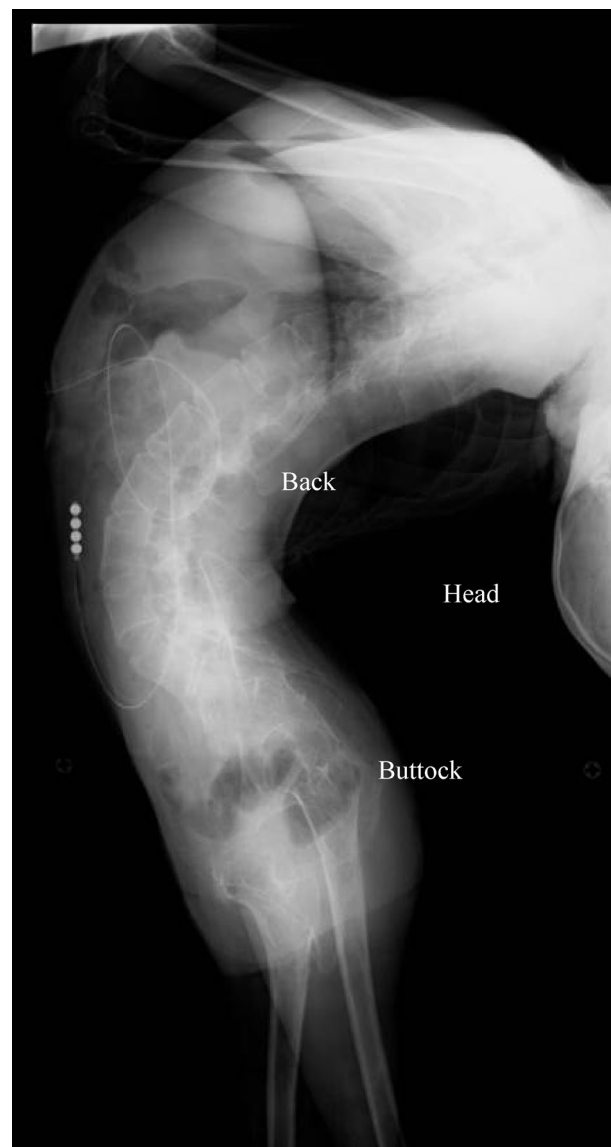


Fig. 1. Abnormal posture of a patient with severe motor and intellectual disability. The intense spasticity had caused the patient to arch his back, and the deformity progressed year by year, to the point where his head and buttocks were in contact.

in managing spasticity in patients with stroke has recently been documented^{25–27}. The application of rESWT in patients with post-stroke spastic palsy has been shown to relieve muscle stiffness and induce functional improvements²⁸. In several studies, rESWT has been reported to be effective in improving symptoms of spastic gait disturbance in children with cerebral palsy^{29–31}. Although rESWT is a promising method for the treatment of spasticity, its mechanism has not been clearly understood, nor has the duration of its effect and the optimal treatment protocols been fully established. In addition, the treatment of spasticity in patients with SMID has not been reported. We hypothesized that rESWT would improve severe spasticity, which causes continuous pain and skeletal deformities in patients with chronic SMID. Therefore, in this study, we aimed to evaluate the effectiveness and safety of rESWT therapy for spasticity in patients with SMID. Our findings can help develop an effective and consecutive method that can be used to treat spastic palsy in routine clinical practice.

Methods
Study design, setting, and period

In this single-center, longitudinal, interventional study, we used convenient sampling to recruit patients with SMID who were admitted to the Tokyo Metropolitan Tobu Ryoiku Center (Tokyo, Japan) , a facility that offers disability care. These facilities provide long-term care for patients with SMID, offering appropriate medical and rehabilitation services. We recruited patients who met the inclusion criteria and whose parents or guardians agreed to their participation. This study was conducted from August 2023 to August 2024.

Participants

Although elbow joint flexion is affected by other muscles, such as the BR and brachial muscles, it is mainly moved by the biceps as the brachialis lies deep beneath the biceps brachii and may not be effectively treated with rESWT. The BR is less palpable and difficult to accurately target in clinical settings; thus, the elbow joint was selected as the target muscle of this study since it can reduce the number of elements involved in complex muscle activity. The inclusion criteria were enrollment in the Tokyo Metropolitan Tobu Ryoiku Center, age ≥ 20 years, and an elbow flexor spasticity of grade 1+ or more in the elbow. Patients were excluded if they were aged < 20 years and had elbow flexor spasticity of grade 4 with complete retraction and no passive elbow extension. Also, they were excluded if they had contraindications to rESWT (such as malignancy, severe coagulation dysfunction, or infections), had previously received botulinum toxin injections, or did not provide signed informed consent (either from the patients or their families).

Participant characteristics

Of a total of 120 residents in the facility, 42 met the inclusion criteria. The study was conducted with 11 residents whose families agreed to their recruitment in the study. rESWT was performed on 15 elbows of the 11 included patients with SMID and spastic elbows (eight males and five females; average age, 44.5 years). Of these, nine patients had clinically diagnosed cerebral palsy and two had traumatic brain injury in early childhood. In terms of physical ability, all patients were bedridden (Table 1), and seven patients also had scoliosis.

Treatment

rESWT was performed between August 2023 and March 2024 on all participants on the elbow with spasticity using a pressure wave treatment device (Physio Shockmaster®, Sakai Medical Co. Tokyo, Japan). The target muscle was the biceps brachii at the elbow joint. rESWT was performed for only one session per patient, and the sessions were all conducted by the first author after identifying the biceps brachii and with the patient in the bed-rest position. The treatment protocol of rESWT includes 1000 shots (frequency, 17 Hz frequency; pressure, 1.4 bar pressure) applied with an R15 probe, mainly in the middle of the muscle belly of the biceps brachii.

Patients (n)	11
Age (range), years	44.5 (20–63)
Sex, M/F, n	7/4
Body height, cm ± SE	151.1 ± 5.1
(Range)	(121–174)
Body weight, kg ± SE	36.0 ± 2.3
(range)	(31–50)
Body mass index, cm/kg ² ± SE	15.7 ± 0.4
(Range)	(13.7–17.1)
Type, n	
Cerebral palsy	9
Traumatic brain injury	2
Affected site, n	
Left	7
Right	8

Table 1. Patient characteristics. SE standard error.

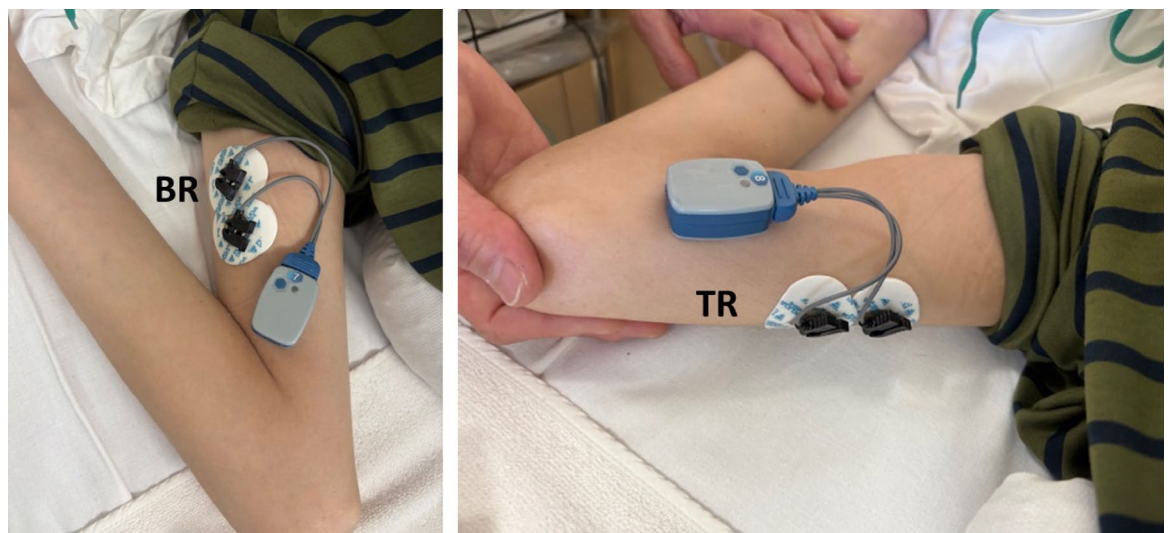
Furthermore, 1500 shots (frequency, 17 Hz; pressure, 1.6 bar) with an R20 probe were applied diffusely on the tendon of the biceps brachii at the elbow. This protocol was based on previous studies using rESWT in patients with upper limb spasticity after stroke or brain injury^{32–36}.

Data collection and reduction

The spasticity of the biceps brachii was assessed using the MAS before and immediately after rESWT and once a week thereafter for up to 10 weeks to evaluate the immediate and persistent effect of the treatment on spasticity at the bed-rest position. The MAS grades resistance encountered during passive stretching³⁷ on a six-point scale: 0, no increase in tone; 1, slightly increased tone with a catch/release or minimal resistance at the end of ROM; 1+, slightly increased tone with a catch followed by minimal resistance throughout less than half of the ROM; 2, a more marked increased tone through most of the ROM but the affected part moves easily; 3, considerably increased tone making passive movement difficult; and 4, rigidity in flexion or extension. For the convenience of statistical analysis, MAS grade 1+ was counted as 1.5. Additionally, passive extension of the elbow ROM was measured with a goniometer before and immediately after rESWT and once a week thereafter for up to 10 weeks.

EMG signals at rest were recorded on eight elbow joints using a surface EMG (Ultium EMG, EM-U810M8, Noraxon USA Inc., Scottsdale, AZ, USA) and recorded at 2000 Hz with a band-pass filter (5–500 Hz) on a personal computer. Before attaching the electrodes, the skin was cleaned with alcohol. The electrode application site for EMG was determined according to the guidelines of SENIAM (Surface ElectroMyoGraphy for the Non-Invasive Assessment of Muscles; URL: <http://seami.com/>). Bipolar surface electrodes (SNAPRODE, TEO-30 30DR, Fukuda-Denshi, Tokyo, Japan) were attached to the long head of the biceps brachii and triceps brachii muscles. The electrode to the biceps was placed on the line between the medial acromion and the fossa cubit at 1/3rd the distance from the fossa cubit. The electrode to the triceps was placed on the line between the medial acromion and the fossa cubit at 1/3rd the distance from the fossa cubit. The electrode to the triceps was placed in the middle of the line between the posterior crista of the acromion and olecranon at two finger widths lateral to the line. Since the SENIAM guidelines recommend a 20-mm interelectrode spacing, the diameter of this electrode was 30 mm, which overlapped the adhesive frames and set the spacing to 25 mm to reduce crosstalk³⁸. The electrodes for each muscle were attached parallel to the muscle fibers of the biceps brachii and triceps brachii (Fig. 2a,b). Since patients were bedridden, the EMG and angle of the elbow were both measured in the relaxed posture they usually adopt while lying in bed. In patients who were able to extend their elbows after rESWT, we measured the EMG at the extended elbows. The EMG of the biceps and triceps during active extension was also measured in patients who were able to extend their elbows on their own after pressure wave therapy.

Data on the patient's age, sex, height, weight, body mass index, primary disease, and adverse events were collected from the medical databases of the institutes. Regarding adverse events, the first author and attending physicians documented them during and after the treatment. Patients with SMID have difficulty speaking, and their facial expressions can be difficult to interpret due to co-contraction of the facial muscles. Therefore, we also evaluated changes in blood pressure and heart rate during the treatment and interpreted facial expressions with the help of the attending nurse, therapist, and the patient's usual caregiver during the study.



(a)

(b)

Fig. 2. Electrode application site for electrography. **(a)** Biceps muscle (BR) **(b)** Triceps muscle (TR).

Data analysis

Since this is the first study to examine the effects of rESWT treatment for patients with SMID, the effect size was unknown; hence, a statistical sample size calculation was not implemented.

Forty-nine patients met the inclusion criteria, of which, we expected to recruit around 30 patients. However, due to the difficulty of contacting the guardians, we were only able to recruit 11 patients. Because of the small sample size, we considered only using descriptive statistics; however, due to the large effect, we conducted a significance test.

In the statistical analysis, the MAS scores and ROM were compared before rESWT, immediately afterward, and weekly thereafter. In the analysis of surface EMG data from the biceps and triceps brachii, all raw EMG signals were rectified and smoothed using the root-mean-square algorithm with a 100-ms time reference. The average amplitude of over 10 consecutive seconds at rest, before and immediately after rESWT, was compared. The improvement rate was calculated by dividing the post-rESWT value by the pre-rESWT value. Because MAS is discrete data, the Wilcoxon signed-rank test (two-sided) was used to assess changes between pre-treatment and each follow-up time point. Additionally, to examine the overall time-dependent change in MAS scores across the 10-week period, a supplementary Friedman test was conducted. ROM and surface EMG data are continuous, but normality could not be confirmed due to the small sample size; hence, the Wilcoxon signed-rank test (two-sided) was used. Spearman's correlation was used to assess the relationship between MAS score changes (Δ MAS: 10w – pre) and ROM maintenance (Δ ROM: 10w – post). The significance level was set at $\alpha = 0.05$. The statistical tests used the Bell Curve for Excel 2016 (Social Research and Information, Inc., Tokyo, Japan).

Results

Modified Ashworth Scale

The median (interquartile range [IQR], range) MAS scores significantly decreased from 2 (2–3, 1.5–2) at the baseline assessment to 1 (1–2, 1–2) immediately after rESWT ($p = 0.0011$) and remained significant until 8 weeks after treatment (Fig. 3).

These comparisons between pre-treatment and each subsequent time point were assessed using the Wilcoxon signed-rank test. To further examine overall time-dependent changes in MAS scores, a supplementary Friedman test was conducted across the entire 10-week period, revealing a significant difference ($\chi^2(7) = 41.97$, $p < 0.000001$).

Passive elbow joint range of motion

Performing ESWT on the biceps resulted in immediate improvement in the extension direction in passive ROM (Fig. 4). The median (IQR) of the passive extension of the elbow ROM significantly increased from baseline assessment to after the rESWT 10° (0° – 15°) ($p = 0.0096$) and remained significant until 10 weeks after treatment (Fig. 3). No significant correlation was found between changes in MAS (Δ MAS: 10w – pre) and ROM maintenance (Δ ROM: 10w – post) (Spearman $\rho = -0.14$, $p = 0.618$), indicating that increased spasticity did not directly correspond to loss of passive ROM.

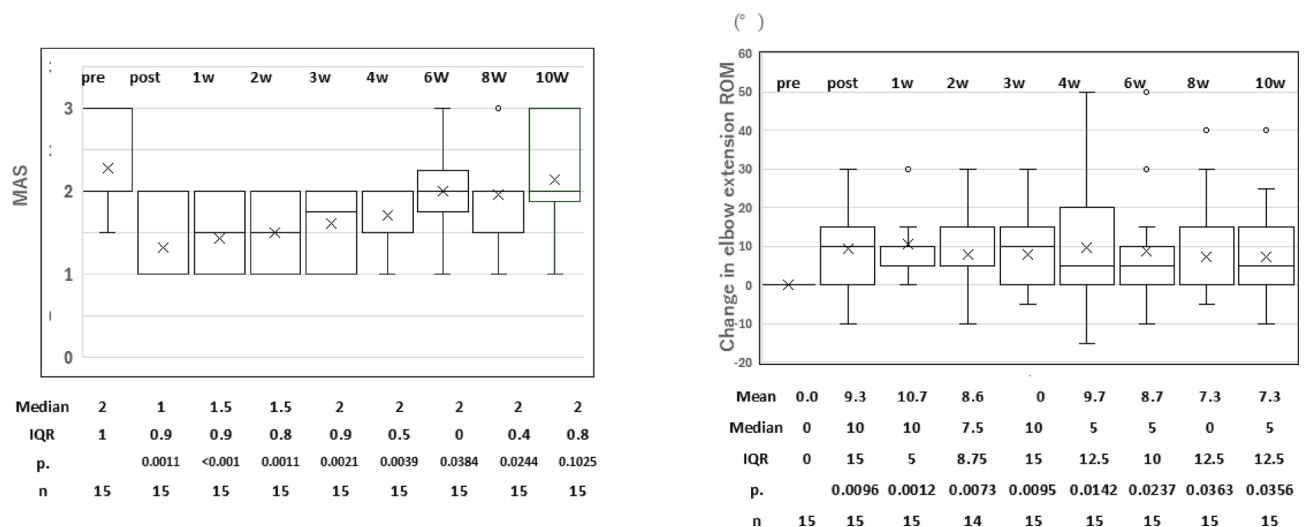


Fig. 3. Changes in MAS scores and the changes of the elbow ROM as a result of rESWT. The x-axis (pre is before treatment, post is immediately after rESWT, and then every week subsequently), and compare it to the pre-value. The MAS data are presented as median and IQR and the changes in the elbow ROM are shown as mean, median, and IQR. Wilcoxon signed-rank test was performed to compare the MAS before and immediately after rESWT and then every week subsequently. Wilcoxon signed-rank test was performed to compare the pre-baseline ROM and pre and paired ROM each week after rESWT. The Wilcoxon signed-rank test was performed between the passive maximum extension angle before rESWT and the maximum extension angle each week. MAS Modified Ashworth Scale, ROM range of motion, rESWT radial extracorporeal shock wave therapy

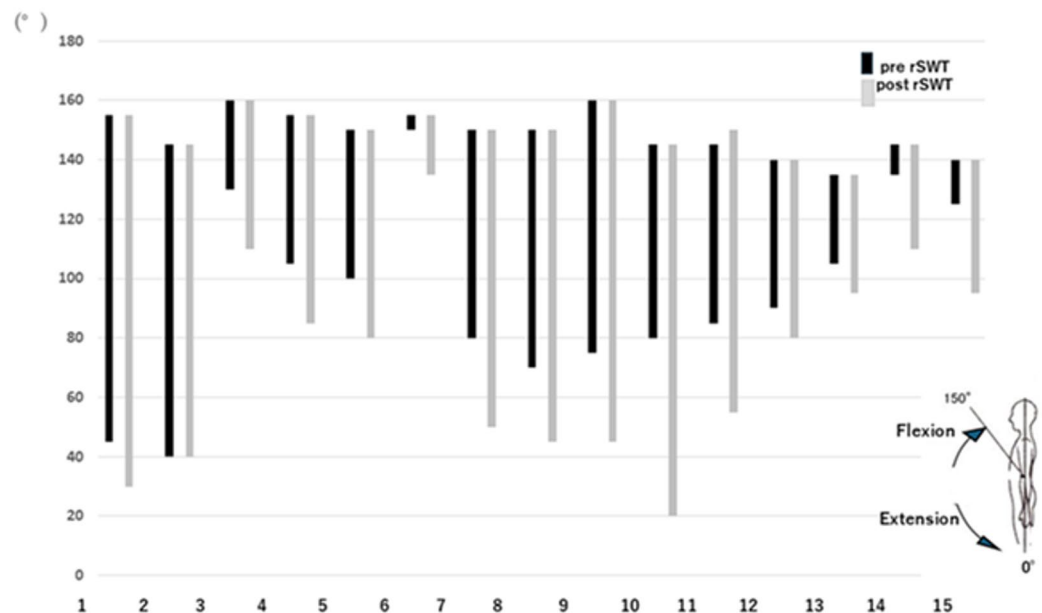


Fig. 4. The elbow passive ROM before and after rESWT. The range of motion of the elbow is measured according to the angles in the figure, and the reference range of motion of the elbow joint is considered to be from 0 to 145°. Patients with SMID are often thin and have a large flexion angle that exceeds this reference range of motion. The figure shows the passive range of motion of the elbow joint before and after rESWT in all patients. The lower value of the bar in the graph is the “angle when the elbow is fully extended,” and the upper value is the “angle when the elbow is fully flexed.”

Electromyography

Surface electromyography (EMG) of the biceps brachii and the triceps brachii showed strong muscle hyperactivity in the biceps brachii at rest. On the active extension of the elbow, co-contraction of the biceps and triceps muscles was observed if the patient had difficulty in extending their elbow before rESWT. Immediately after the rESWT to the biceps brachii, in all cases, the amplitude of the surface EMG of the biceps brachii decreased, and active elbow extension was possible along with triceps contraction due to decreased muscle contraction of the biceps brachii muscle (Fig. 5). The amplitude of the biceps brachii muscle during the 10 s immediately after the rESWT decreased to 24% (11–37) of that before the rESWT. The amplitude of the triceps brachii muscle during the 10 s immediately after the rESWT was 70% (47–109%) of that before the rESWT (Fig. 6).

Adverse events

Two patients experienced small, mild subcutaneous hemorrhages immediately after treatment; both patients recovered within 2 days.

Discussion

In a single rESWT session targeting the elbow of patients with SMID, the MAS score decreased by 1.0 after the treatment. The biceps muscle surface EMG showed high muscle activity at rest due to spasticity, and the average amplitude during the 10 s immediately after the rESWT decreased to $32 \pm 5\%$ of that before rESWT.

Post-hoc power analyses using G*Power (version 3.1) were conducted for the three main outcome measures: MAS scores, passive elbow ROM, and EMG amplitudes of the biceps brachii. For MAS scores ($n = 15$), the effect size was estimated as $d_z = 1.35$, resulting in a calculated power of 0.98. For passive elbow ROM ($n = 15$), the effect size was estimated as $d_z = 0.90$, with an achieved power of 0.86³⁹. For surface EMG amplitudes ($n = 8$), the effect size was estimated as $d_z = 3.9$, indicating a power greater than 0.99. These results support the statistical validity of the observed significant differences despite the small sample size.

Kenmoku et al. reported that rESW exposure significantly reduced compound muscle action potential amplitude without delaying latency in exposed muscles. The rESW-exposed muscles exhibited NMJs with irregular end plates in an animal study, and this transient degeneration of NMJs reduced the compound muscle action potential amplitude⁴⁰. Botulinum toxin blocks the release of acetylcholine from nerve endings to paralyze muscles and decrease pain response; it has a long duration of action, lasting up to 5 months after initial treatment⁴¹. However, we excluded its use because it could have interfered with the effect of rESWT. In

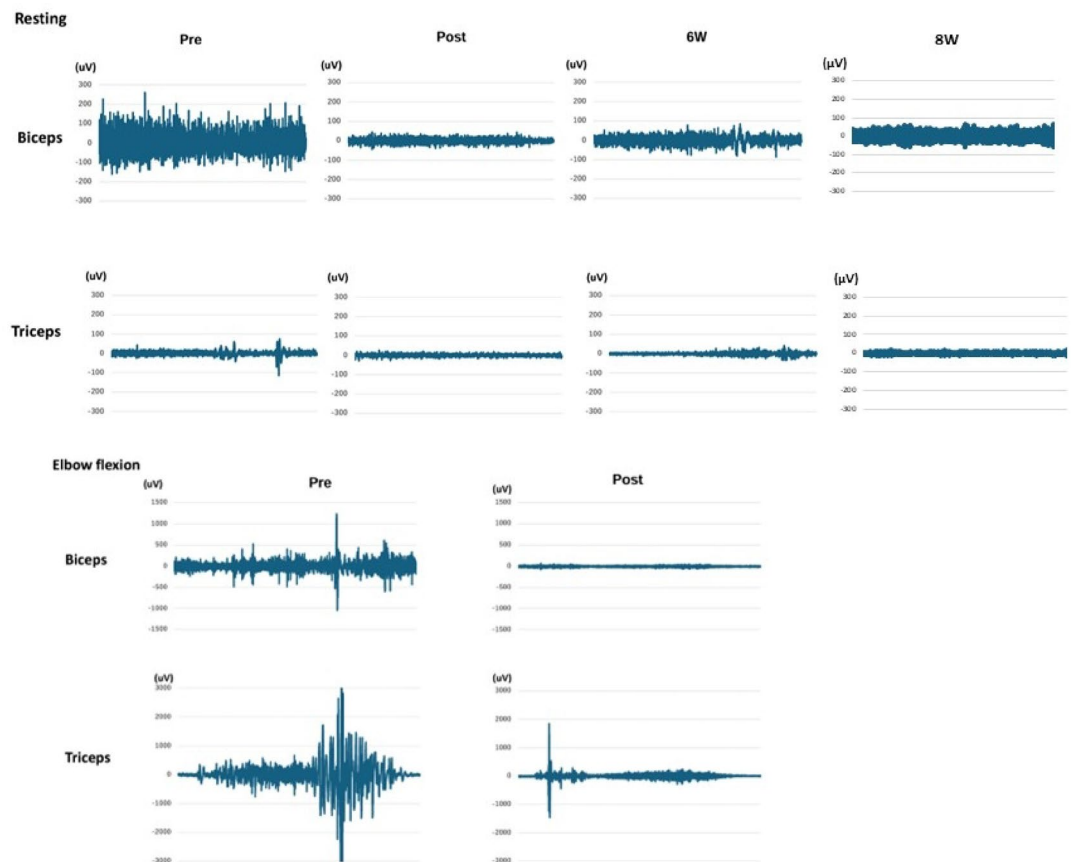


Fig. 5. Surface electromyography of the biceps and triceps muscles at rest from pre rESWT to 8 weeks after rESWT and during active elbow extension before and immediately after rESWT.

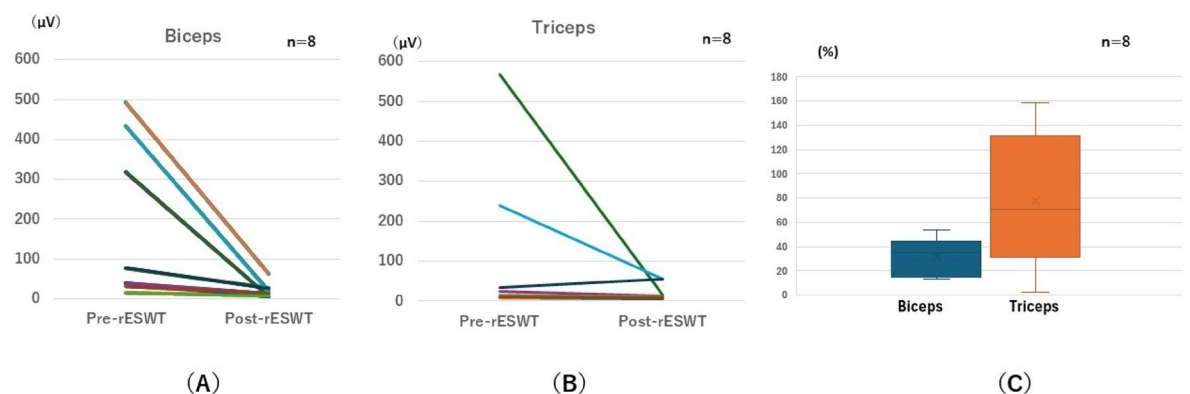


Fig. 6. All the raw amplitude of the biceps brachii (A) and triceps (B) at rest before and after rESWT. The ratio of change in the average amplitude of resting surface electromyography over 10 consecutive seconds before and after rESWT (C). The data were not normally distributed; therefore, the mean and standard deviation values and numerical data group of the quartiles are presented.

our study, there was an immediate marked decrease in surface muscle activity of the biceps after the rESWT in patients with SMID.

Although the MAS score gradually increased each week, a significant reduction was observed at 8 weeks compared to the pre-rESWT levels. Li et al. reported that the MAS score after a single rESWT session was significantly lower than that after sham rESWT after 8 weeks in patients with chronic stroke, a duration similar to that in our study of patients with SMID³⁴.

The passive elbow ROM improved immediately at an average of 10° (0°–15°) in extension after the rESWT to the biceps brachii, along with a decrease in the MAS score, although the average age of our study population

was 44.5 years in the elbow joint, which is limited to MAS1+ to 3 in the inclusion criteria this time. In patients with adult SMID (mostly due to cerebral palsy), spasticity typically develops within 1 or 2 years of birth, and the course of the disease is considerably longer than that in patients with stroke who have undergone rESWT^{25,27}. In cases of adult SMID, the patients have been in a spastic state, and the tendon has been fibrosed as “spastic myopathy”⁹ for a long period; therefore, even if biceps spasticity is relieved, there is limited improvement in extension. However, cases with an improvement of 30° were also noted in the elbow joint, which is limited to a MAS grade of 1+ to 3, although the elbow ROM remained limited in elbow extension even after the rESWT due to long-term contracture in our patients (Fig. 4).

The improvement in the elbow ROM persisted even as the MAS scores increased, with some cases showing further gains over the 10-week period. Physiotherapists perform passive ROM exercises on joints with spastic myopathy and joint contractures daily. This improvement was attributed to the effect of rehabilitation conducted while the MAS scores decreased due to rESWT. In rehabilitation therapy for patients with SMID, the high level of spasticity typically limits joint ROM training, leading to progressive joint contracture over time. However, after rESWT, ROM treatment could progress when spasticity was suppressed. Even as the MAS scores increased over time, some cases showed sustained or improved ROM. ESWT is meaningful in rehabilitation treatment, and its concept is similar to rehabilitation treatment that improves ROM while suppressing spasticity with botulinum toxin^{42–44}.

The observed dissociation between the gradual increase in MAS scores and the sustained or improved elbow ROM may be explained by a combination of physiological and rehabilitative factors. While MAS reflects neural-mediated resistance to passive stretch, ROM is also influenced by the mechanical properties of muscles and connective tissues. rESWT may have transiently suppressed motor neuron hyperexcitability and reduced reflex hyperactivity, contributing to a short-term decrease in spasticity. Concurrently, rESWT may have induced structural changes such as reduced muscle stiffness or improved elasticity in fibrotic or contracted tissues. In our setting, these biological effects were likely enhanced by continuous passive ROM exercises and positioning therapy, which were administered during the MAS-lowering phase. This rehabilitative input may have helped preserve or even increase joint mobility, even as neural spasticity indicators began to rebound. Therefore, the observed improvement in ROM despite rising MAS scores may reflect the synergy between transient neuromodulatory effects of rESWT and ongoing physical therapy aimed at preserving soft tissue extensibility.

The mechanisms underlying the effects of shock waves may vary. Dymarek et al. observed a significant increase in infrared thermal imaging values after ESWT, suggesting an improvement in the trophic conditions of the spastic muscles⁴⁵. Similarly, Leng et al. used the NeuroFlexor method, a myotonometer, and electrical impedance myography and found a significant decrease in muscle tone, stiffness, and viscosity after ESWT⁴⁶. Wang et al. reported that shock wave therapy promotes neurovascular ingrowth associated with the early release of angiogenesis-related markers at the Achilles tendon-bone junction in rabbits⁴⁷. Nada et al. reported that rehabilitation interventions using rESWT for patients with chronic stroke (more than 6 months after onset) resulted in reduced fat infiltration and fibrosis, alongside a replacement of spastic muscles³³. ESWT may induce a biological response that alternates between metabolic and proliferative processes, affecting muscle fibrosis and rheological properties. By alleviating spasticity, effective passive ROM rehabilitation becomes possible despite existing limitations in the elbow ROM due to joint contracture or the shortening of muscles and tendons in all cases. ESWT may have induced a biological response that alternately stimulates metabolic and proliferative processes and may have improved ROM by improving tendon fibrosis and affecting muscle fibrosis and its rheological properties. Our findings suggest that continued treatment may lead to cumulative improvements in the ROM.

rESWT was performed on patients with SMID and the procedure resulted in temporary redness in the treated area and subcutaneous petechial hemorrhage in two cases; however, no hematoma formation was reported. rESWT may cause a certain degree of irritation and pain; however, no signs of severe pain were observed in the facial expressions of patients with SMID. Additionally, no symptomatic events, such as fractures, were monitored during the rESWT session and subsequent rehabilitation, although a marked reduction in bone density was observed in the severely affected patients⁴⁸. No specific symptomatic events were observed, similar to the findings of other studies on chronic stroke or cerebral palsy^{31,49}.

Although this study was conducted on the elbow, rESWT treatment for spasticity may also be effective for spasticity throughout the body. Li et al. also reported that stimulation in three consecutive sessions, comprising one session per week, decreases the MAS scores significantly more and for a longer duration (up to 16 weeks) than stimulation in a single session²⁴. Thus, multiple stimulations can have a stronger and more sustained effect on spasticity in patients with SMID. Further investigation is needed to understand the optimal energy of the stimulation and interval between sessions to achieve more effective results. Nonetheless, it is a safe and inexpensive treatment that can be applied to multiple joints throughout the body simultaneously, and it could be used in a wide range of facilities.

In this study, the ROMs of the joints of patients with SMID were measured by physiotherapists, who perform rehabilitation daily, using a goniometer. Normally, ROM measurements should be taken in a fixed posture; however, it is difficult to measure patients with limited body posture or joint contracture. To make the measurement easier and more accurate, 3D video analysis, inertial measurement, wearable sensors, and smartphones were introduced^{50–54}. The markerless video-based motion analysis techniques may also be effective as the approaches for non-invasive, objective quantification of elbow flexion and extension and are particularly well suited for patients with SMID who may not tolerate marker-based systems^{55,56}. These evaluation methods should be used to assess the effectiveness of rESWT in improving the ROM of various joints.

This study has some limitations. First, we only examined 15 elbows in this single center study, resulting in a small dataset with limited variation in the results. Further multicenter studies are required to validate our findings, and future studies with a larger sample size are warranted. Second, we applied the same intensity,

number of shots, and frequency settings for the treatment, limiting the study to a single session. Although rESWT was very effective in reducing the severe spasticity of patients with SMID and improving the ROM of the elbow joint, developing a comprehensive protocol will require including multiple stimuli or performing multiple treatments, as well as considering joints other than the elbow and evaluating the effects of multiple stimuli and cumulative effects of treatment. A few studies have suggested that multiple repetitions of rESWT will lead to further improvements, and we will also consider repeating rESWT in the future. Third, the size of the electrodes in our study was larger than that recommended by SENIAM, and although we tried to shorten the distance between the electrodes, crosstalk could still have happened. Further research is needed on topics such as the current state of spasticity and potential for rESWT to mitigate the progression of scoliosis by controlling spasticity in patients with SMID.

In conclusion, rESWT for severe spasticity in the elbow of patients with SMID resulted in an immediate and clear improvement, with effects lasting for approximately 8 weeks, including an increase in the elbow ROM despite contracture. The improvement in the elbow ROM, despite the gradual increase in MAS scores, continued even at 10 weeks. In some cases, the angle improved over the course of the study due to ROM rehabilitation conducted, while the MAS scores decreased due to rESWT. Our study findings suggest that rESWT is a useful non-invasive therapy for spasticity in patients with SMID.

Data availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Author contributions

T.S. conceived the study and planned and performed the treatment. M.H. and Y.T. analyzed the data and contributed to preparation of the manuscript. A.Y. and T.O. supervised the study. All authors reviewed the manuscript.

Declarations

Competing interests

The authors declare no competing interests.

Ethical approval

This study was approved by the Research Ethics Committee of Tokyo Medical and Dental University (approval number: M2022-021; August 1, 2023) and conducted in accordance with the principles of the Declaration of Helsinki (52nd World Medical Association General Assembly Edinburgh, Scotland, October 2000). Written informed consent to participate in the study was obtained from the participants or their parents or guardians in cases of participants with diminished capacity to provide consent. This study was registered in the UMIN Clinical Trials Registry (UMIN-CTR, UMIN000048842) on 01/12/2022.

Additional information

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