



OPEN Hepatic arterial infusion chemotherapy with Folfox 4 regimen versus cisplatin and gemcitabine for locally advanced intrahepatic cholangiocarcinoma

Lin Liu^{1,5}, Huanhuan Wang^{2,5}, Liang Sun³, Yufang Liu⁴, Yujing Zhang², Xutian Wang² & Xin Zheng²✉

For locally advanced intrahepatic cholangiocarcinoma (ICC), the combination of cisplatin plus gemcitabine (CisGem) is the standard first-line treatment. However, the outcome remains unsatisfied with the median overall survival (OS) of 11.7 months. We aimed to compare the effect of CisGem regimen and hepatic arterial infusion chemotherapy (HAIC) with Folfox 4 for locally advanced ICC. 97 Locally advanced ICC patients treated by CisGem regimen or HAIC with Folfox 4 in our institution from 2017 to 2019 were studied as training group. 43 locally advanced ICCs receiving CisGem chemotherapy or HAIC with Folfox 4 were investigated as validation group. The median OS was 14.5 months among 37 ICC patients from the HAIC group and 10.3 months among 60 ICC cases in the CisGem group. The median PFS in the HAIC group was 8.2 months in contrast to 5.3 months in the CisGem group. Additionally, objective response rate (ORR) in the HAIC group was markedly better than one in the CisGem group (29.7% v 5.0%). Patients from the HAIC group suffered from less AE (particularly 3–4 grade AE) than those in the CisGem group. The prediction nomogram models for OS and PFS were built respectively after Cox multivariate analysis, which were confirmed to be clinically useful by external validation cohort. These data here suggested HAIC with Folfox 4 was a potential first-line treatment option for local advanced ICC.

Keywords Hepatic arterial infusion chemotherapy, Intrahepatic cholangiocarcinoma, Folfox 4, Gemcitabine, Cisplatin

Abbreviations

BTC	Biliary tract cancer;
ICC	Intrahepatic cholangiocarcinoma;
OS	Overall survival;
PFS	Progression-free survival;
AE	Adverse events;
RFA	Radiofrequency ablation;
TACE	Transcatheter arterial chemotherapy and embolization;
HAIC	Hepatic arterial infusion chemotherapy;
CisGem	Cisplatin plus gemcitabine;
ECC	Extrahepatic cholangiocarcinoma;
HCC	Hepatocellular carcinoma;
SEER	National Cancer Institute Surveillance, Epidemiology and End Results;
IAT	Intra-arterial therapy;

¹Department of Anesthesiology, The First Affiliated Hospital of Xi'an Jiaotong University, Xi'an 710061, Shaanxi, China. ²Department of Hepatobiliary Surgery, The First Affiliated Hospital of Xi'an Jiaotong University, Xi'an 710061, Shaanxi, China. ³Department of Hepatobiliary Surgery, Nanzheng People Hospital, Nanzheng 723100, Shaanxi, China. ⁴Department of Hepatobiliary Surgery, Shangluo Central Hospital, Shangluo 726000, Shaanxi, China. ⁵Lin Liu and Huanhuan Wang contributed equally to this work. ✉email: zhengxin@xjtu.edu.cn

CT	Contrast-enhanced computed tomography;
MR	Magnetic resonance examination;
RECIST	Response Evaluation Criteria in Solid Tumor;
NCI-CTCAE	National Cancer Institute Common Terminology Criteria for Adverse Events;
ECOG	Eastern Cooperative Oncology Group;
CEA	Carcinoembryonic antigen;
CA-199	Carbohydrate antigen 199;
ALT	Alanine aminotransferase;
AST	Aspartate aminotransferase;
CR	Complete response;
PR	Partial response;
SD	Stable disease;
PD	Progressive disease;
DCR	Disease control rate;
ORR	Objective response rate;
NLR	Neutrophil-to-lymphocyte ratio;
PLR	Platelet to lymphocyte ratio;
TARE	Transarterial radioembolization;
SIRT	Selective internal radiation therapy;
ROC	Receiver operating characteristic;
AUC	Area under curve

Intrahepatic cholangiocarcinoma (ICC), which was an anatomic subtype of cholangiocarcinoma arises from the intrahepatic bile ducts, is a highly lethal liver neoplasm¹. The National Cancer Institute Surveillance, Epidemiology and End Results (SEER) program database reported that the incidence rate of ICC was 0.95 cases per 100,000 adults in the United States². More ICC patients were diagnosed at the advanced stage than extrahepatic cholangiocarcinoma (ECC) because of the lack of symptoms and the overall outcome was unfavorable in contrast to ECC³. Currently, hepatic resection with the goal to achieve negative-margin (R0) resections is the only curative modality with the 5-year OS rate of 25–31%^{4,5}. However, the relapse rate after radical surgery remains high between 42% and 70%^{6,7}. And most patients were diagnosed at the advanced stage who were not suitable to receive the curative surgery⁸. For ICC patients with adequate performance status at the advanced stage, cisplatin and gemcitabine (CisGem) have been considered as the current first-line standard treatment due to the favorable survival benefit shown in the ABC-02 clinical trial⁹. The patients recruited in the ABC-02 trial included intra- or extra-hepatic cholangiocarcinoma, gallbladder or ampullary carcinoma, which led to determine the effect of CisGem on ICC. Although CisGem therapy led to an improved overall survival, the benefit was not obvious which was about 2–3 months (median survival 11.7 in CisGem group vs. 8.3 months in Gemcitabine monotherapy group)⁴.

Because of limited effect of systemic chemotherapy for advanced ICC, there is growing interest in locoregional treatment. Most of ICC lesion is confined to liver, hence, locoregional therapy, intra-arterial therapy (IAT) particularly, is potential therapy option for unresectable ICC. For unresectable hepatocellular carcinoma patients, several clinical investigation showed the favorable survival benefit over best supportive treatment^{9,10}. Although there are several studies reported about IAT on unresectable ICC which showed well tolerated by ICC patients, the type of IAT used in these studies was different, including TAE, transarterial chemoembolization (TACE)¹¹, drug-eluting bead TACE (DEB-TACE)¹² and Yttrium-90 radioembolization^{13,14}, and overall survival from these investigation was similar with CisGem group in the ABC-02 trial.

Recently, hepatic arterial infusion chemotherapy with Folfox (HAIC-Folfox) regimen showed the satisfied clinical efficacy on unresectable hepatocellular carcinoma (HCC) patients^{15–18}. Due to absence of satisfied treatment option, unresectable ICC patients at our center were given two alternative: CisGem systemic chemotherapy (on days 1 and 8 every 3 weeks) or HAIC-Folfox 4 treatment (every 3 to 4 weeks). Here, we showed the comparable results of two treatments in terms of efficacy and adverse effect on unresectable ICC patients without extrahepatic metastases.

Methods

Patients and eligibility criteria

This is non-randomized controlled trial which was approved by the institutional review board of the First Hospital of Xian Jiaotong University (XJTU1AF2016LSL-034) and was performed in accordance with the Declaration of Helsinki of 1975 as revised in 1983. This study was also registered at <http://www.chictr.org.cn/index.aspx> (ChiCTR-INR-17010977). Between August 1, 2017 and October 1, 2019, 163 consecutive unresectable ICC patients treated at our institution were recruited. All patients were informed the details of the HAIC procedures, especially about the uncertain benefits and complication risks associated with HAIC, and the therapeutic effect and AE of the current standard therapy option (CisGem) for unresectable ICC patients. Clinical decision was made together by clinical experts and patients. All patients provided written informed consent before enrollment. ICC was diagnosed based on histopathological findings by percutaneous liver biopsy guided by ultrasound. All patients received PET examination in order to exclude the metastatic liver cancer from other organs for example gastrointestinal cancer.

The eligibility criteria for inclusion was listed here: (1) age 18–75 years old; (2) Eastern Cooperative Oncology Group Performance Score (ECOG-PS) 0–1; (3) histologically confirmed ICC unsuitable for curative resection without extrahepatic tumor metastases; (4) bi-dimensionally measurable liver lesions; (5) no previous treatment for ICC; (6) estimated life expectancy of more than 3 months; (7) total bilirubin ≤ 30 mmol/L, and serum

albumin ≥ 32 g/L; (8) platelet count $\geq 75,000/\mu\text{L}$, leukocyte count $\geq 3000/\mu\text{L}$; (9) the absence of cirrhosis or the cirrhotic status of Child–Pugh class A only; (10) Complete medical and follow-up data. Patients were excluded from the study according to the following criteria: (1) distant metastasis; (2) patients with severe underlying cardiac or renal diseases who were unsuitable HAIC treatment; (3) complete follow-up data were unavailable; (4) any previous treatment for other cancer; (5) accompanied by other primary malignancy.

Treatment protocol

For the patients from the CisGem group, each cycle comprised cisplatin ($25\text{ mg}/\text{m}^2$ of body-surface area) which was followed by gemcitabine ($1000\text{ mg}/\text{m}^2$), each administered on day 1 and 8 every 21 days, initially for 4 cycles. For patients from the HAIC group, femoral artery puncture was conducted using Seldinger's technique and catheterization was performed routinely. A 5 French RH catheter and 2.6 French microcatheter were both used in HAIC. After microcatheter was inserted into the tumor-feeding hepatic artery under the guidance of digital subtraction angiography, the following regimen was carried out through the microcatheter: oxaliplatin $85\text{ mg}/\text{m}^2$ for 2 hours; leucovorin $200\text{ mg}/\text{m}^2$ for 2 hours; fluorouracil, $400\text{ mg}/\text{m}^2$ for 2 hours day 1 and day 2; and fluorouracil, $600\text{ mg}/\text{m}^2$ for 22 h day 1 and day 2. After HAIC was completed, RH catheter and microcatheter were removed and no implanted port system was used. The HAIC therapy regimen was carried out on a tri-weekly basis. The criteria for dose reduction and delay/discontinuation of treatment are listed in the Supplementary Table 1.

Follow-up and survival assessment

All patients received the follow-up evaluation at the start of every cycle including physical examination, monitoring of symptoms, adverse events, CEA, CA199, and laboratory test. Tumor response was assessed by contrast-enhanced computed tomography (CT) or magnetic resonance examination (MR) according to the Response Evaluation Criteria in Solid Tumor 1.1 criteria (RECIST 1.1) every 6 weeks (2 cycles). Once the diagnosis of the progressive illness was made, the subsequent treatment was administered and the follow-up interviews persisted. The primary endpoint of this trial was to measure overall survival (OS), which was calculated from the date of HAIC or CisGem treatment to the date of death from any cause. The secondary endpoints were progression-free survival (PFS), objective response rate (ORR) and disease control rate (DCR). PFS was defined as the time from the first HAIC or CisGem treatment to either liver cancer lesion progression or progression of lymph-node metastases or distant organ metastases. The metastases of lymph-node was defined as enlarged lymph-node with the minimum diameter larger than 15 mm as detected by contrast-enhanced CT or MR. ORR was defined as the percentage of patients diagnosed with complete response (CR) or partial response (PR). DCR was defined as the percentage of CR, PR and SD. Adverse events were classified according to the National Cancer Institute Common Terminology Criteria for Adverse Events (NCI-CTCAE) version 5.0¹⁹. The final follow-up visit was taken in January 15, 2021.

Statistical analysis

IBM SPSS software (version 13.0) and GraphPad Prism 8.0 were used for statistical analysis. Categorical variables was analyzed by χ^2 test or Fisher's exact test. Quantitative variables are described as median with interquartile range and were compared by Student's t test (for continuous variable) or nonparametric Mann-Whitney U test for skewed values. Time-to-event variables were analyzed using the Kaplan-Meier method and were compared by log-rank test. Univariate and multivariate Cox regression analyses were carried out to determine factors related with survival outcomes. Factors with the P value less than 0.05 in the univariate analysis were analyzed by the multivariate analysis. A two-sided P-value less than 0.05 was considered statistically significant. The Sangerbox platform's tools (<http://sangerbox.com/home.html>) were employed to develop a Nomogram-based predictive model, with subsequent receiver operating characteristic (ROC) analysis for model validation.

Results

Patient characteristics

We assessed the eligibility of 163 patients with unresectable ICC who received either CisGem or HAIC treatment. Among them, 12 had received previous treatment for ICC and 25 suffered from ICC distant metastases. 6 had severe cardiac diseases who were not suitable to receive HAIC treatment and 2 were accompanied by other primary malignancy. Additionally, 21 were lost to follow-up. There was a total of 97 eligible patients included in this study based according to inclusion/exclusion criteria (Fig. 1).

The baseline demographics and the clinical characteristics data of these patients recruited were comparable between the two groups (Table 1). The patients in CisGem group had worse performance status and less total bilirubin in blood serum compared to those in HAIC group. The baseline of other demographic and disease characteristics were well-balanced between the both groups. Curative resection was performed for 3 ICC patients (8.1%) in HAIC group, whereas 2 ICC patients (3.3%) in CisGem group underwent surgical resection ($P=0.366$).

Antitumor activity

The patients in the HAIC group had better median OS of 14.5 months (95% CI, 0.805 to 2.461) than 10.3 months (95% CI, 0.406 to 1.242) for those from CisGem group (HR 0.503 [95% CI, 0.299 to 0.846]; $P=0.013$; Fig. 2A). The OS rates at 6, 12, 18 and 24 months were 97.3%, 59.5%, 10.8% and 2.7% in HAIC group, and 96.7%, 28.3%, 5% and 1.7% in CisGem group, respectively. Patients in HAIC group had a significantly longer median PFS (8.2 months; 95% CI, 0.881 to 2.718) than those in CisGem group (5.3 months; 95% CI, 0.368 to 1.135; HR 0.463 [95% CI, 0.278 to 0.773]; $P=0.005$, Fig. 2B).

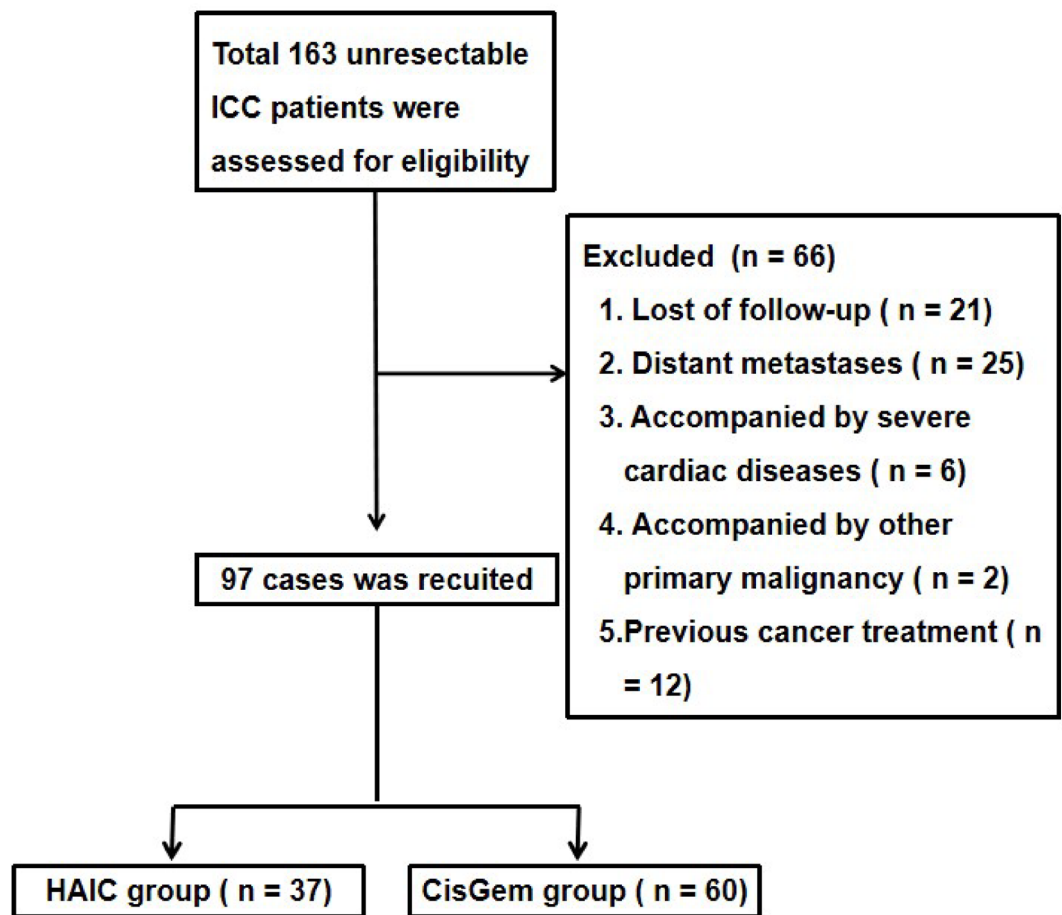


Fig. 1. A flow diagram of patient selection.

The subgroup analyses to determine OS and PFS were performed and the results were shown in Figs. 3 and 4. HAIC showed a significant clinical benefit on OS for patients with one of the following features: male, ECOG status of 0, Child-Pugh A class liver function, no liver cirrhosis, multiple liver tumor lesion, maximum tumor diameter of more than 5 cm, lymph node metastasis, higher serum CA199 level, higher NLR and lower PLR (Supplementary Figs. 1, 2, 3 and 4). HAIC treatment appeared to specifically increase overall survival of ICC patients with Child-Pugh A class liver function or no liver cirrhosis (Supplementary Fig. 2A and B). As shown in Supplementary Figs. 5, 6, 7 and 8, in the subgroup study, HAIC treatment was found to provide a clinical benefit on PFS for ICC patients with age less than 50 years old, male, ECOG status of 0, Child-Pugh A class liver function, no liver cirrhosis, multiple liver tumor lesion, maximum tumor diameter of more than 5 cm, lymph node metastasis, higher serum CA199 level, higher serum CEA level, lower NLR and higher PLR.

The results of univariate analysis for OS were listed in Table 2. Multivariate survival analysis about over-all survival showed that favorable independent risk factors of survival were no liver cirrhosis, single liver tumor lesion, tumor size of less than 5 cm, no lymph node metastasis and HAIC treatment. And we also built diagnostic nomogram for predicting OS of ICC based on the results of multivariate survival analysis Fig. 5A. ROC (receiver operating characteristic) analysis displayed that the one-year AUC (area under curve) of this diagnostic nomogram reached 0.85 (95% CI: 0.93 to 0.77, Fig. 5B). The one-year AUC of the validation cohort was 0.960 (95% CI: 1.00 to 0.91, Fig. 6A). For external validation cohort, patients were divided into low risk group (lower nomogram score) and high risk group (higher nomogram score) using the median nomogram score (155) as the cut-off value. As shown in Fig. 6B, patients from the low risk group had the better OS than those from the high risk group ($P=0.002$; HR=0.342, 95%CI (0.157–0.744)), which showed the good predictive performance of OS nomogram model for locally advanced ICC patients.

Table 3 showed that univariate and multivariate analysis about PFS. Multivariate survival analysis revealed favorable independent risk factors for PFS were male, tumor size of less than 5 cm, no lymph node metastasis, and HAIC. Figure 5C showed the nomogram for predicting PFS of ICC based on the results of multivariate survival analysis with the 6-month AUCs of 0.82 (95% CI: 0.91 to 0.74, Fig. 5D). The 6-month AUC of the PFS-prediction model was 0.89 (95% CI: 1.00 to 0.77, Fig. 6C). We also divided the patients in external validation cohort into low risk group (low score) and high risk group (high score) using the media nomogram score (220) as the cut-off value. The PFS of ICC patients from the low risk group was significantly better than those from

Characteristics	HAIC group (n = 37)	CisGem group (n = 60)	P value
Age, years, median (range)	48 (37–72)	49 (37–73)	0.507
Sex			
Male	24 (64.9%)	40 (66.7%)	> 0.999
Female	13 (35.1%)	20 (33.3%)	
ECOG			
0	24 (64.9%)	24 (40%)	0.022
1	13 (35.1%)	36 (60%)	
Tumor number			
Single	14 (37.8%)	18 (30%)	0.506
Multiple	23 (62.2%)	42 (70%)	
Largest tumor size, cm			
< 5	10 (27.0%)	15 (25%)	0.816
≥ 5	27 (73.0%)	45 (75%)	
Local lymph node metastasis			
Absent	12 (32.4%)	16 (26.7%)	0.636
Present	25 (67.6%)	24 (73.3%)	
Liver cirrhosis			
Absent	31 (83.8%)	48 (80%)	0.789
Present	6 (16.2%)	12 (20%)	
Child-Pugh score			
A	26 (70.3%)	43 (71.7%)	> 0.999
B	11 (29.7%)	17 (28.3%)	
CEA, ng/mL, median (range)	15.4 (1.1–79.3)	10.3 (1.2–112.2)	0.888
CA199, U/mL, median (range)	293 (13–1169)	254 (23–3244)	0.492
ALT, U/L, median (range)	36 (24–64)	34.5 (22–67)	0.920
AST, U/L, median (range)	37 (22–58)	35.5 (18–75)	0.934
Albumin, g/L, median (range)	38 (34–42)	38.5 (32–43)	0.760
Total Bilirubin, umol/L, median (range)	20.5 (11.7–42.1)	16.4 (9.5–41.2)	0.001
Curative resection	3 (8.1%)	2 (3.3%)	0.366

Table 1. Clinical characteristics of 97 ICC Patients. Data are No. (%) unless otherwise specified. HAIC, hepatic arterial infusion chemotherapy; CisGem, cisplatin plus gemcitabine; ECOG, Eastern Cooperative Oncology Group; CEA, carcinoembryonic antigen; CA-199, carbohydrate antigen 199; ALT, alanine aminotransferase; AST, aspartate aminotransferase.

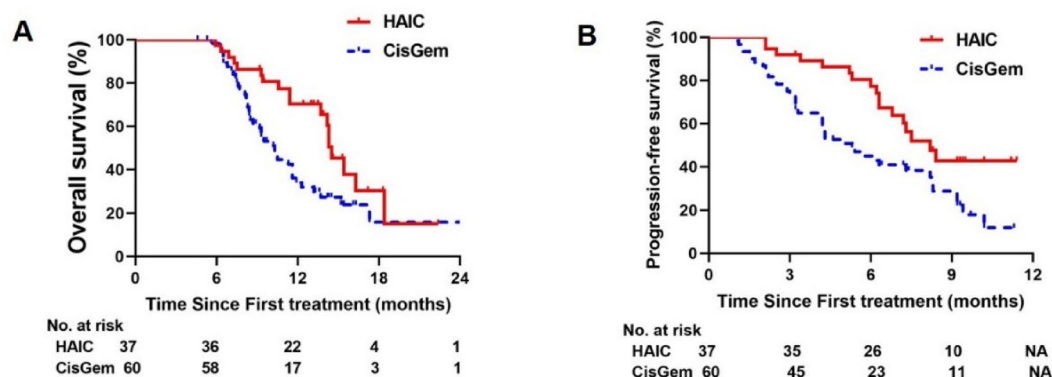


Fig. 2. A Kaplan-Meier survival curves for over-all survival (OS) of both HAIC group and CisGem group; B Kaplan-Meier survival curves for progression-free survival (PFS) of HAIC group and CisGem group.

the high risk group ($P < 0.001$; HR = 0.327, 95%CI (0.164–0.651), Fig. 6D). These also supported that the PFS-prediction model for locally advanced ICC patients had the good performance.

The tumor responses of ICC patients were presented in Table 4. On the basis of RECIST 1.1, ICC patients in the HAIC group achieved a higher ORR (29.7% v 5.0%, $X^2 = 11.3$, $P < 0.001$) than those in the CisGem group.

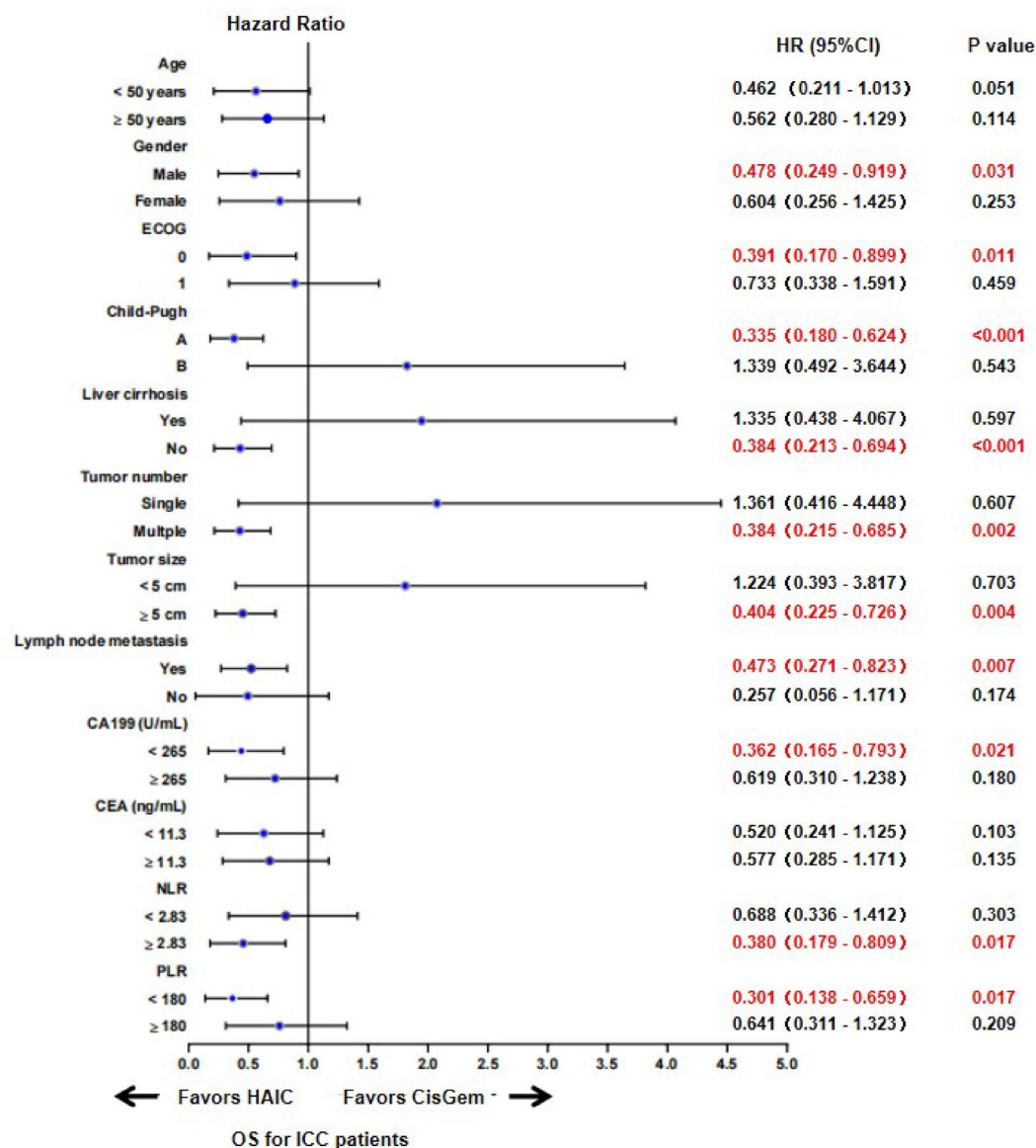


Fig. 3. Forest plot of factors associated with OS in ICC patients treated with HAIC versus CisGem regimen.

And DCR in HAIC group seemed higher than that in CisGem group, although no significant difference was found in both group ($P=0.632$).

Safety

The incidence of treatment-related adverse event (TRAE) was 89.2% in HAIC group and 91.7% in CisGem, which was not significantly different ($P=0.683$). More serious adverse events (Grade 3 and 4, SAE) were observed in CisGem group (61.7%) than in HAIC group (40.5%, $P=0.043$). Among them, the frequencies of grade 3–4 fatigue, leukopenia, Thrombocytopenia, elevated ALT, and hypoalbuminemia were significantly higher in CisGem group than in HAIC group (Table 5). Notably, more abdominal pain was found in HAIC group when oxaliplatin was injected (Grade 1 + 2, 51.4%; Grade 3 + 4, 16.2%), and the abdominal pain was relieved by injecting 200 mg lidocaine. Hence, in this study, there was no abdominal pain related dose reduction or interruption found in HAIC group.

Significantly more dose reductions were found in CisGem group than in HAIC group ($P<0.001$, Supplementary Table 1), which could be caused by more SAE in CisGem group. The frequencies of treatment delay of HAIC group (35.1%) seemed higher than those in CisGem group (26.7%). There was more treatment discontinuation in HAIC group than CisGem group (16.2% vs. 0, $P=0.001$).

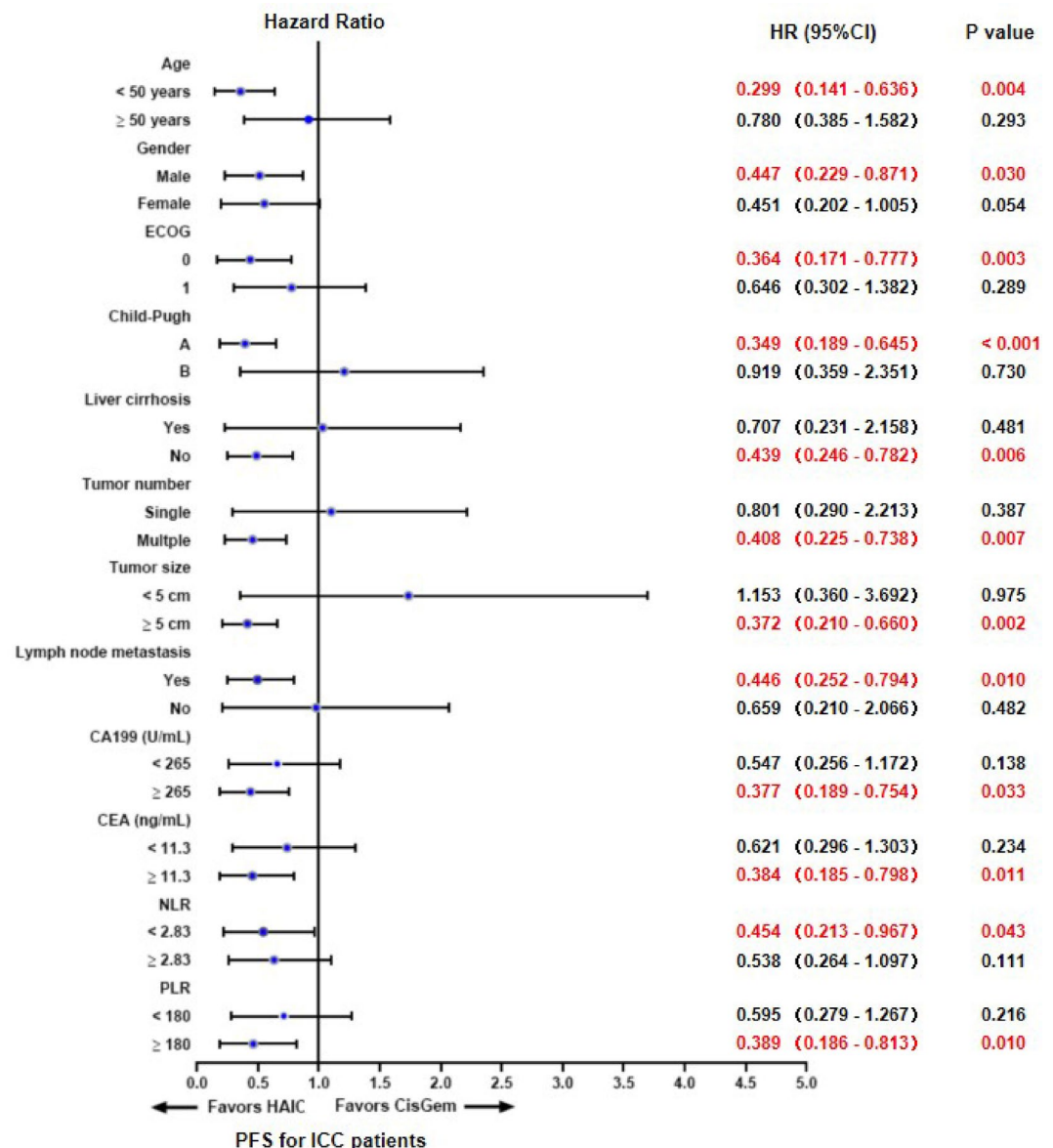


Fig. 4. Forest plot of factors associated with PFS in ICC patients treated with HAIC versus CisGem regimen.

Discussion

ICC is the second most common malignant liver tumor which accounts for about 3% of gastrointestinal cancers²⁰. Because that only 20 – 30% of ICC patients received curative-intent operation systemic chemotherapy is the most common therapeutic option for ICC patients²¹. Recent studies have identified several key genomic alterations that have prognostic and therapeutic implications. For instance, mutations in TP53, KRAS, CDKN2A/B, and ARID1A are commonly observed across BTC subtypes, with TP53 and KRAS mutations associated with significantly poorer overall survival (OS)⁴. On the other hand, FGFR mutations, predominantly found in intrahepatic cholangiocarcinoma (ICC), have a positive prognostic association and serve as potential therapeutic targets. FGFR2 rearrangements, in particular, are considered to define a unique molecular subtype of cholangiocarcinoma and are mutually exclusive with IDH mutations. IDH1/2 mutations are also frequently detected in ICC and can influence treatment strategies, such as the use of IDH inhibitors²². Understanding these molecular features is crucial for advancing personalized medicine in BTC and improving patient outcomes. Based on ABC-02 clinical trial reported in 2010⁹, CisGem regimen has been considered as the preferred first-line therapy for advanced ICC patients with 11.7 months of OS. In this study, we reported the primary data from open-label, parallel-group phase II trial of HAIC (Folfox 4 regimen) versus CisGem treatment for locally advanced ICC patients (liver-confined and unresectable). As the first-line therapy, HAIC-Folfox 4 displayed a notable improvement in OS, tumor treatment response, and PFS which also had fewer AE compared with CisGem. Additionally, we built an effective nomogram model to predict the outcome of locally advanced ICC.

Characteristic		Univariate analysis			Multivariate analysis		
		HR	95% CI	P value	Exp(B)	95% CI	P value
Treatment	HAIC-Folfox 4/CisGem	0.503	0.299–0.846	0.013	0.339	0.167–0.685	0.003
Age (years old)	< 50/ ≥ 50	0.659	0.391–1.110	0.110	1.254	0.670–2.347	0.478
Gender	Female/Male	0.990	0.578–1.694	0.969	1.025	0.521–2.015	0.994
ECOG	0/1	0.697	0.415–1.173	0.171	1.368	0.735–2.545	0.323
Child-Pugh classification	A/B	0.677	0.363–1.262	0.169	1.170	0.604–2.266	0.642
Liver cirrhosis	Present/Absence	2.333	1.026–5.307	0.005	2.289	1.092–4.799	0.028
Tumor number	Multiple/Single	2.876	1.969–4.879	< 0.001	2.667	1.285–5.536	0.008
Tumor size (cm)	≥ 5/ < 5	1.353	0.751–2.436	0.345	3.589	1.635–7.875	0.001
Lymph node metastasis	Present/Absence	4.736	2.787–8.048	< 0.001	7.619	3.191–18.195	< 0.001
CA199 (U/mL)	≥ 265/ < 265	1.358	0.808–2.282	0.246	1.476	0.812–2.683	0.201
CEA (ng/mL)	< 11.3 / ≥ 11.3	0.937	0.557–1.575	0.803	0.825	0.455–1.496	0.526
NLR	≥ 2.83/ < 2.83	0.818	0.487–1.376	0.445	0.639	0.334–1.225	0.178
PLR	< 180 / ≥ 180	1.007	0.599–1.693	0.980	1.648	0.913–2.975	0.097

Table 2. Univariate and multivariate analyses of over-all survival in patients. HAIC, hepatic arterial infusion chemotherapy; CisGem, cisplatin plus gemcitabine; ECOG, Eastern Cooperative Oncology Group; CEA, carcinoembryonic antigen; CA-199, carbohydrate antigen 199; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet to lymphocyte ratio.

Several local treatments have been performed for locally advanced ICC patients including transarterial chemoembolization (TACE), transarterial radioembolization (TARE), thermal ablation, and external-beam radiotherapy. Because of dual blood supply of the liver, TACE has been considered as the favorable therapy choice for unresectable malignant liver tumor. But there was controversy on TACE for ICC. The previous studies using cisplatin, doxorubicin, mitomycin, irinotecan, or a combination of these agents showed ORRs ranging from 10–50%^{23–26}. Y-90 selective internal radiation therapy (SIRT), also called as TARE was also used popular locoregional therapy for unresectable ICC. The preliminary studies showed that SIRT got an ORR between 6% and 50% and the median OS with 29 months, and facilitated downstaging to resection^{27–29}. Additionally, combination SIRT and CisGem chemotherapy was found to have favorable anti-tumor effect as first-line treatment of advanced ICC patients and get a high ratio of downstaging to surgical intervention in a phase 2 trial³⁰. However, ICC is not the indication of Y-90 indication in China.

Due to liver's dual blood supply, HAIC delivers high doses of chemotherapeutic agents to liver cancer preferentially with limited damage to liver tissues. The study showed that chemotherapy administered by hepatic artery directly got drug concentrations in the liver that were 400-fold higher than those by systemic administration³¹. Because the liver clears the chemotherapy via first-pass metabolism, chemotherapy by HAIC diminishes systemic toxic effects. Hepatic artery infusion pump chemotherapy in advanced ICC was reported by several previous studies, which showed good therapeutic effect^{32,33}. In this study, we compared the treatment effect and adverse effect of between HAIC-Folox 4 and systemic CisGem chemotherapy for locally advanced ICC patients. It was found here that the ORR per RECIST 1.1 of the HAIC group was more than five times that of the CisGem group (29.7% vs. 5.0%). The median OS of patients from the HAIC group was 14.5 months, which was higher than 10.3 months in CisGem group. Similarly, there was a significantly longer median PFS in HAIC group (8.2 months) than those in CisGem group (5.3 months). It indicated that HAIC-Folfox 4 regimen had better anti-tumor effect for locally advanced ICC patients than systemic CisGem chemotherapy. Subgroup analysis of OS and PFS were carried out on the basis of various clinical features. HAIC provided the significant benefit in ICC patients with the following features: male, ECOG status of 0, Child-Pugh A class liver function, no liver cirrhosis, multiple liver tumor lesion, maximum tumor diameter of more than 5 cm, lymph node metastasis, higher serum CA199 level, higher NLR and lower PLR. On PFS, HAIC provided the clinical benefit for ICC patients with age less than 50 years old, male, ECOG status of 0, Child-Pugh A class liver function, no liver cirrhosis, multiple liver tumor lesion, maximum tumor diameter of more than 5 cm, lymph node metastasis, higher serum CA199 level, higher serum CEA level, lower NLR and higher PLR. It indicated that HAIC-Folfox 4 regimen could be more suitable for locally advanced ICC patients with the clinical characteristics mentioned above.

Cox multivariate survival analysis revealed that favorable independent risk factors of OS were no liver cirrhosis, single liver tumor lesion, tumor size of less than 5 cm, no lymph node metastasis and HAIC treatment. Based on these data, we built the nomogram model with the good AUC value to predict the 12-month OS of locally advanced ICC patients via SangerBox internet tool (<http://sangerbox.com/home.html>). This model was confirmed by the data of the external validation cohort, which showed a good predictive performance. On the same way, we also built the PFS-relevant prediction nomogram model, which was confirmed well by the external validation. These prediction model could help us to predict the outcome of locally advanced ICC before starting treatment.

The rate of TRAE was found no significant difference between HAIC group and CisGem group. However, there were more SAE in CisGem group (61.7%) than in HAIC group (40.5%). In CisGem group, more patients suffered from grade 3–4 fatigue, leukopenia, Thrombocytopenia, elevated ALT, and hypoalbuminemia compared

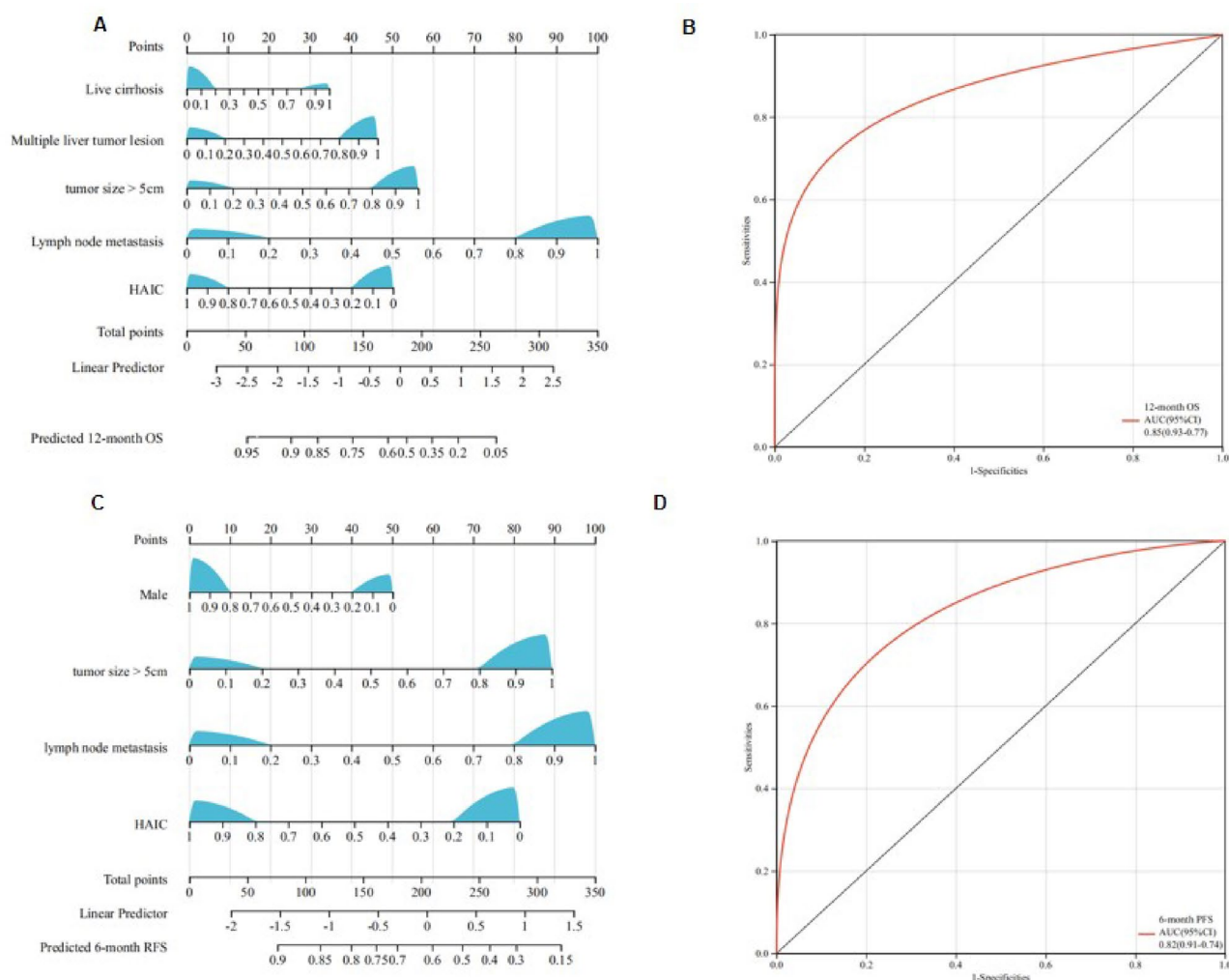


Fig. 5. **A** diagnostic nomogram for predicting OS of ICC in study cohort based on the results of multivariate survival analysis; **B** ROC (receiver operating characteristic) curves of the diagnostic nomogram for predicting OS of ICC in study cohort; **C** diagnostic nomogram for predicting PFS of ICC in study cohort based on the results of multivariate survival analysis; **D** ROC curves of the diagnostic nomogram for predicting PFS of ICC in study cohort.

with those in HAIC group. Notably, when oxaliplatin was injected, a considerable percentage of patients suffered from the abdominal pain (Grade 1+2, 51.4%; Grade 3+4, 16.2%) which was relieved by injecting 200 mg lidocaine immediately. Hence, no patients refused to receive the following HAIC treatment due to abdominal pain, and there was need to reduce the dose of oxaliplatin.

One of the limitations was that this was a non-randomized, open-label, parallel-group phase II trial, which could influenced the accuracy of the results. However, this study showed HAIC-Folfox 4 regimen had the significant advantage on both anti-tumor activity and treatment treatment-related adverse event over traditional systemic CisGem chemotherapy as the first-line therapeutic option. It should be confirmed further by a phase 3 randomized clinical trial with large sample size whether HAIC-Folfox 4 regimen is a better first-line treatment for locally advanced ICC patients.

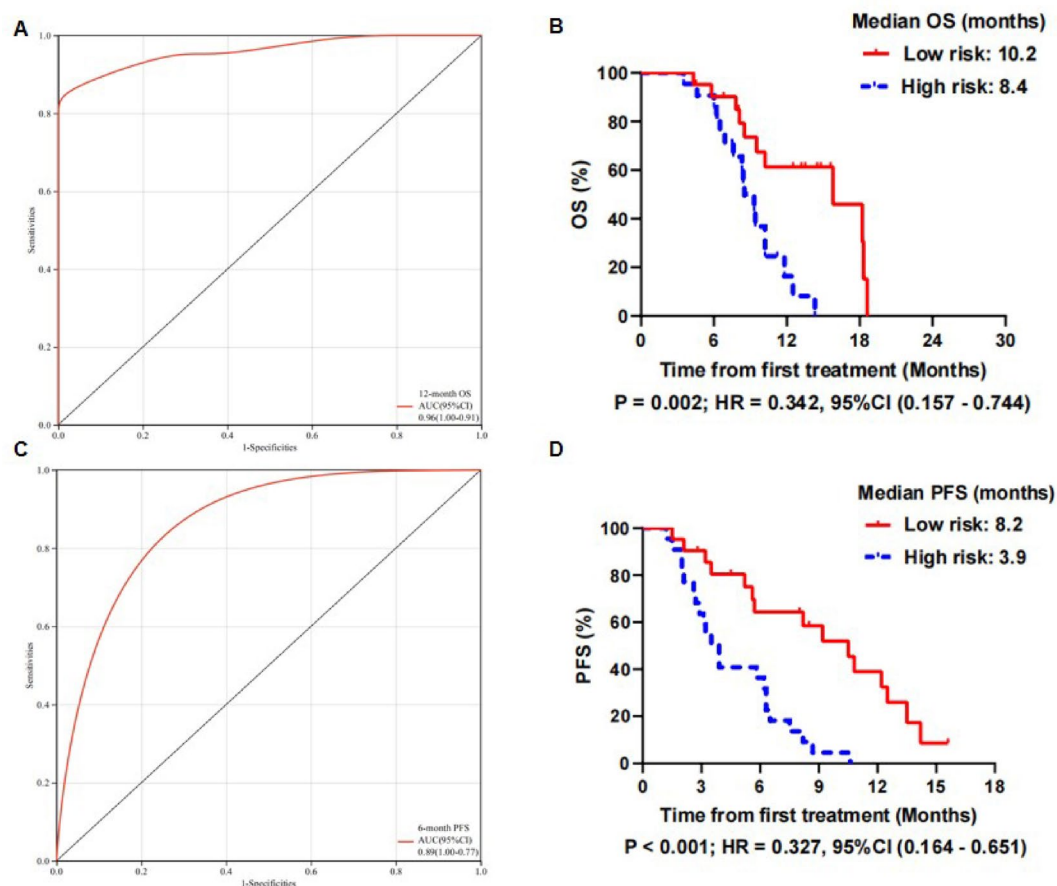


Fig. 6. **A** ROC curves of this diagnostic nomogram for predicting OS of ICC in the validation cohort; **B** Kaplan-Meier Curves for OS of both the low risk group and high risk group from the validation cohort divided by the OS-related diagnostic nomogram model; **C** ROC curves of this diagnostic nomogram for predicting PFS of ICC in the validation cohort; **D** Kaplan-Meier Curves for PFS of both the low risk group and high risk group from the validation cohort divided by the PFS-related diagnostic nomogram model.

Characteristic		Univariate analysis			Multivariate analysis		
		HR	95% CI	P value	Exp(B)	95% CI	P value
Treatment	HAIC-Folfox/CisGem	0.463	0.277–0.773	0.005	0.405	0.213–0.768	0.006
Age (years old)	≥ 50/ < 50	1.807	1.064–3.068	0.009	1.449	0.805–2.605	0.216
Gender	Male/Female	0.665	0.384–1.153	0.969	0.418	0.220–0.749	0.008
ECOG	1/0	1.174	0.705–1.956	0.532	1.041	0.607–1.785	0.884
Child-Pugh classification	B/A	1.582	0.852–2.937	0.097	1.579	0.856–2.913	0.143
Liver cirrhosis	Present/Absence	1.800	0.855–3.793	0.053	1.933	0.993–3.764	0.053
Tumor number	Multiple/Single	1.749	1.060–3.035	0.044	1.681	0.861–3.281	0.128
Tumor size (cm)	≥ 5/ < 5	1.743	1.003–3.030	0.077	3.772	1.824–7.801	< 0.001
Lymph node metastasis	Present/Absence	1.987	1.158–3.409	0.028	3.013	1.416–6.412	0.004
CA199 (U/mL)	≥ 265/ < 265	1.342	0.805–2.238	0.251	1.216	0.703–2.106	0.484
CEA (ng/mL)	≥ 11.3/ < 11.3	0.818	0.490–1.365	0.432	0.995	0.579–1.710	0.987
NLR	≥ 2.83/ < 2.83	1.112	0.667–1.853	0.680	1.495	0.779–2.869	0.227
PLR	≥ 180/ < 180	0.874	0.525–1.458	0.601	1.291	0.735–2.267	0.374

Table 3. Univariate and multivariate analyses of progression-free survival in patients. HAIC, hepatic arterial infusion chemotherapy; CisGem, cisplatin plus gemcitabine; ECOG, Eastern Cooperative Oncology Group; CEA, carcinoembryonic antigen; CA-199, carbohydrate antigen 199; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet to lymphocyte ratio.

Response	HAIC group (n = 37)	CisGem group (n = 60)	P value
CR	0	0	-
PR	11	3	<0.001
SD	5	20	0.030
PD	21	37	0.743
DCR	43.2%	38.3%	0.632
ORR	29.7%	5%	<0.001

Table 4. Summary of best response. HAIC, hepatic arterial infusion chemotherapy; CisGem, cisplatin plus gemcitabine; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; DCR, disease control rate; ORR, objective response rate.

Adverse Events	HAIC group (n = 37)		CisGem group (n = 60)		P value	
	Grades 1 and 2	Grades 3 and 4	Grades 1 and 2	Grades 3 and 4	Any Grade	Grades 3 and 4
Fever	4 (10.8%)	0	4 (6.7%)	0	0.471	-
Abdominal pain	19 (51.4%)	6 (16.2%)	13 (21.7%)	5 (8.3%)	<0.001	0.234
Anorexia	6 (16.2%)	1 (2.7%)	15 (25.0%)	7 (11.7%)	0.064	0.119
Alopecia	4 (10.8%)	0	3 (5%)	0	0.283	-
Nausea	15 (40.5%)	1 (1.7%)	38 (63.3%)	4 (6.7%)	0.009	0.391
Vomiting	12 (32.4%)	1 (1.7%)	29 (48.3%)	9 (15.0%)	0.007	0.053
Fatigue	10 (27.0%)	1 (2.7%)	23 (62.2%)	12 (20%)	0.006	0.015
Leukopenia	5 (18.9%)	2 (13.5%)	24 (40.0%)	10 (16.7%)	<0.001	0.102
Thrombocytopenia	4 (10.8%)	1 (2.7%)	17 (28.3%)	10 (16.7%)	0.001	0.049
Anemia	9 (24.3%)	1 (2.7%)	17 (28.3%)	9 (15.0%)	0.058	0.053
Elevated ALT	5 (13.5%)	2 (5.4%)	17 (28.3%)	9 (15.0%)	0.013	0.148
Elevated AST	14 (37.8%)	3 (8.1%)	27 (45.0%)	3 (5.0%)	0.698	0.537
Hyperbilirubinemia	26 (70.3%)	1 (2.7%)	40 (66.7%)	3 (5.0%)	0.889	0.581
Hypoalbuminemia	6 (16.2%)	5 (13.5%)	18 (30.0%)	20 (33.3%)	0.001	0.030

Table 5. Treatment-Related adverse events. HAIC, hepatic arterial infusion chemotherapy; CisGem, cisplatin plus gemcitabine; ALT, alanine aminotransferase; AST, aspartate aminotransferase.

Data availability

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

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Author contributions

Lin Liu: Investigation; Data curation; Formal analysis; Writing-original draft; Methodology Huanhuan Wang: Data curation; Investigation; Methodology; Project administration Liang Sun: Data curation; Investigation Yu-fang Liu: Data curation; Investigation Yujing Zhang: Data curation Xutian Wang: Methodology; Project administration Xin Zheng: Conceptualization; Methodology; Project administration; Supervision; Writing-review & editing.

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Declarations

Competing interests

The authors declare no competing interests.

Ethics approval and consent to participate

All patients provided written informed consent before enrollment. This is non-randomized controlled trial which was approved by the institutional review board of the First Hospital of Xian Jiaotong University (Approval number: XJTU1AF2016LSL-034) and was performed in accordance with the Declaration of Helsinki of 1975 as revised in 1983. This study was also registered at <http://www.chictr.org.cn/index.aspx> (ChiCTR-INR-17010977).

Additional information

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Correspondence and requests for materials should be addressed to X.Z.

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