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## Influence of layer thickness and extrusion ratio on strand morphology, porosity, surface roughness, and anisotropic mechanical properties in FDM

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Fused deposition modeling (FDM) technology is widely used in the areas of rapid prototyping, education, automobile and health care. However, the disadvantages of high porosity, low surface quality, and significant anisotropic mechanical properties hinder the applications in high-demand fields. In this paper, numerical model and experimental tests are combined to study the effects of layer thickness and extrusion ratio on the printing quality and mechanical properties of FDM structures. The computational fluid dynamics (CFD) and volume of fluid (VOF) method are adopted to investigate the flow behavior of melt and the cross-section of strand. The porosity characteristics, surface roughness and anisotropic mechanical properties of printed part are researched. The results show that, when the layer thickness is less than the diameter of nozzle, the squeezing of nozzle bottom face causes the melt climbing at the rear side of nozzle, which forms the bulges at the top corners of strand. Lower layer thickness helps to decrease the porosity and surface roughness. The proper increment of extrusion ratio can effectively reduce the porosity and improve the mechanical properties of the structures, yet large extrusion ratio may cause excessive squeezing of strand melt which will worsen the surface roughness and mechanical properties. This research contributes to a deeper understanding of FDM technology and provides guidance for the process optimization and structure design.

**Keywords** Fused deposition modeling, Strand morphology, Porosity, Surface roughness, Mechanical property

Additive manufacturing technology can realize personalized manufacturing of complex structures, performs greater freedom in product design stage and lower cost in the development stage. The materials used in additive manufacturing range from ceramics, metals, polymers, composites and biomaterials, which possesses great material diversity<sup>1,2</sup>. Based on novel material design method, advanced materials such as metamaterials and smart materials can be manufactured<sup>3,4</sup>. Among various additive manufacturing methods, fused deposition modeling (FDM) has been increasingly used in recent years in the fields of rapid prototyping, healthcare, education, energy storage and automobile for the advantages of low cost and open communities<sup>5,7</sup>. The main materials used in FDM technology include polylactic acid (PLA), acrylonitrile butadiene styrene (ABS), thermoplastic urethane (TPU), polyamide (PA), etc. By mixing metal particles inside the thermoplastic material and combining with sintering technology, metal structural parts can be manufactured in this low-cost way<sup>8</sup>. Adding glass fiber or carbon fiber into the filament, the stiffness and strength of printed part can be improved greatly<sup>9–11</sup>. Despite the aforementioned advantages, the widely existed porosities, poor surface condition and significant anisotropy property limit the application of FDM technology in many fields<sup>12</sup>. Therefore, the research on influencing factors of printing quality and mechanical properties of FDM structures is of great importance.

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In FDM process thermoplastic material is melted in the nozzle and extruded onto the printing platform, where they are stacked stand by strand and layer by layer to create structural parts. As the smallest building unit, the morphology of single strand plays an important role in the formation of pores and interfaces between adjacent strands and layers<sup>13–16</sup>. The characteristic of strand is closely related with the flow and solidification behavior of the melt, which includes the flow inside the nozzle as well as the flow outside the nozzle. Inside the nozzle, due to the continuous feeding of low-temperature thermoplastic filaments, the temperature of melt in the region close to the inner wall of the nozzle is higher, which is closer to the setting temperature, while the temperature in the central region inside the nozzle is lower. This low-temperature region is elongated when the feeding rate increases, which exhibits a large temperature gradient<sup>17,18</sup>. When the setting temperature raises this temperature gradient decreases<sup>19</sup>. In order to measure the melt pressure inside the nozzle, Anderegg et al. developed a novel nozzle that monitors temperature and pressure fluctuations by means of a built-in pressure transducer and thermocouple<sup>20</sup>. Increasing the extrusion force can improve the extrusion rate of the melt, yet when the extrusion rate is too large, the solid plastic filament cannot be completely melted and will block the nozzle, which in turn leads to the flexing of the filament or the slipping of the feeder wheel. Luo et al. constructed the governing equations for the temperature and pressure distributions of the melt inside the nozzle, and investigated the factors of the material rheological properties and the nozzle size that affect the maximum filament feeding rate. The approximate linear relationship between the maximum extrusion force and the extrusion rate was clarified<sup>21</sup>. Serdeczny et al. constructed a computational fluid dynamics (CFD) model of the extrusion process, and the results show that a longer nozzle would make the extruded melt obtain a temperature closer to the setting temperature and the oscillation of the extrusion force occurs when the extrusion rate exceeds the critical value<sup>22</sup>. After the melt is extruded from the nozzle, the mechanical energy stored inside the melt releases and die swell occurs, which can increase the melt diameter by up to 30%<sup>23,24</sup>. In addition, when the extrusion rate is too large, the melt flow will be disturbed and fracture instability and sharkskin phenomenon will occur<sup>17,25</sup>. The aforementioned studies mainly focus on the free state of the melt after it is extruded out of the nozzle, however, in real printing the flow behavior is also affected by the limited space between the bottom of the nozzle and the printing platform or the strand in the previous layer.

In order to study the morphological characteristics of the printed strand, Comminal et al. constructed a CFD model of the melt extrusion process, and investigated the effects of extrusion rate and nozzle height on the cross-sectional morphology of the single strand<sup>26</sup>. Based on the results of the single strand simulation, Serdeczny et al. further analyzed the multi-strand structures and evaluated the effects of interlayer height, strand-to-strand distance and arrangement on the porosity, which showed that lower interlayer height and staggered arrangement could reduce porosity and increase the bonding effect between strands<sup>13</sup>. The above researches have constructed the numerical simulation method to analyze the morphology of a strand, and made suggestions to optimize the porosity and interfacial properties. However, the relations between the strand morphology and pores in micro scale and surface roughness and anisotropic mechanical properties in macro scale have rarely been investigated systematically.

In this paper, a numerical model based on CFD and VOF (volume of fluid) method is established to study the flow behavior of melt during FDM process. The influences of layer thickness and extrusion ratio on the single strand morphology and deposition pressure are investigated. Based on the characteristics obtained in micro scale, the surface roughness and anisotropic mechanical properties of multi-strand part are furtherly investigated. This research work helps to deeply understand the effect of melt flow behavior on the properties of printed part and optimize the selections of printing parameters.

## Numerical model

The design and manufacturing process of FDM technology is shown in Fig. 1(a). The STL format file is exported from 3D modeling software, and the critical parameters such as printing speed, printing temperature, layer thickness, etc. are set in the slicing software, then the G-code format file is exported and sent to the 3D printer to carry out the printing process. Figure 1(b) illustrates the process of material extrusion in FDM, where the thermoplastic is heated, melted and extruded out of the nozzle by the piston action of filament. With the movement of nozzle, the strand is built on the printing platform. When the extrusion state is stable, the geometry of strand satisfies the following equation:

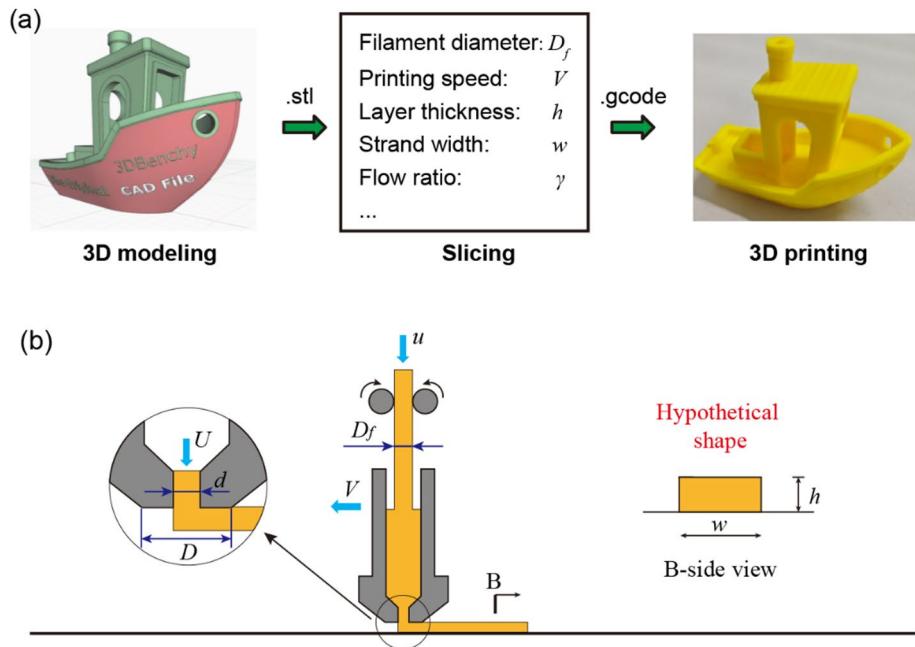
$$\frac{\pi D_f^2}{4}u = \frac{\pi d^2}{4}U = AV \quad (1)$$

where  $D_f$  is the diameter of the filament,  $u$  is the feeding speed of the filament,  $d$  is the nozzle diameter,  $U$  is the inlet velocity of melt at nozzle tip,  $A$  is the cross-sectional area of strand,  $V$  is the moving speed of nozzle. In many slicing software, a rectangular cross-section is assumed, then:

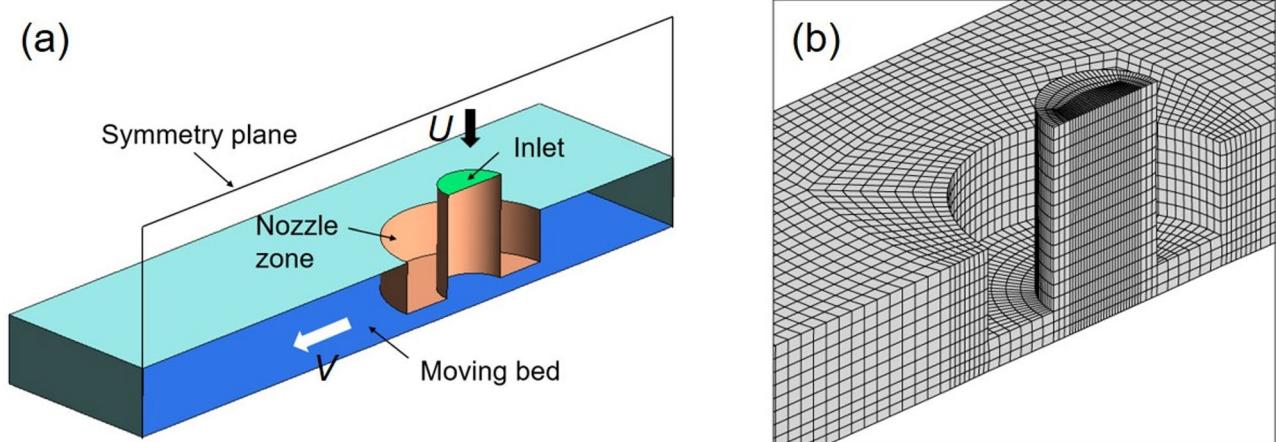
$$A = hw\gamma \quad (2)$$

where  $h$  is the layer thickness setting,  $w$  is the strand width setting, and  $\gamma$  is the flow ratio used to adjust the extrusion amount of melt. Once  $h$ ,  $w$ ,  $\gamma$  and  $V$  are given, the feeding speed  $u$  can be calculated according to Eq. (1), by which the extrusion length of filament used in G-code can be obtained.

Figure 2(a) illustrates the CFD model established in this paper. The taper shape of nozzle is simplified to a hollow cylinder. The velocity of inlet is assumed to be a homogeneous value of  $U$ . The modelling of melt is consistent with the setting of Comminal et al.<sup>26</sup>, which assumes that the melt is a Newtonian fluid and incompressible. Navier-Stokes equations used to describe the conservation of mass and momentum of melt are as follows:



**Fig. 1.** FDM technology: (a) design and manufacturing process; (b) schematic of key parameters.



**Fig. 2.** CFD model: (a) boundary condition; (b) structured meshing.

$$\rho \left( \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) = -\nabla \mathbf{p} + \mu \nabla^2 \mathbf{u} + \mathbf{f}_s + \rho \mathbf{g} \quad (4)$$

where  $\mathbf{u}$  is the velocity vector,  $\rho$  is the melt density,  $\mathbf{p}$  is the melt pressure,  $\mathbf{f}_s$  represents the surface tension force, and  $\mathbf{g}$  is the gravitational acceleration. Symmetric boundary condition is adopted, and the printing platform moves with the velocity of  $V$ . The model construction and calculation are implemented in ANSYS Fluent software, and the structured meshing is illustrated in Fig. 2(b). The global element seed size is 0.02 mm, and the time-step is set with 0.001 s. The VOF method is implemented to capture the interface between melt and air.

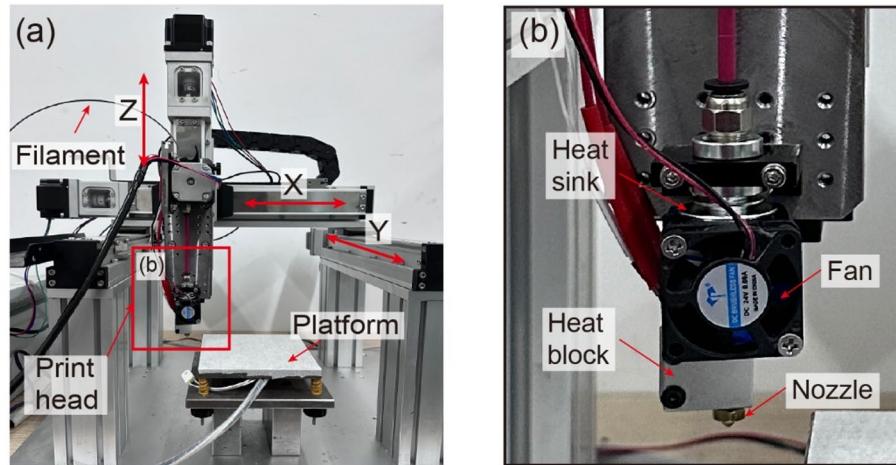
The printing parameters studied in this paper include the normalized extrusion ratio  $U/V$  and the layer thickness  $h$ . All the parameters used in the numerical model are listed in Table 1.

### Experimental methods

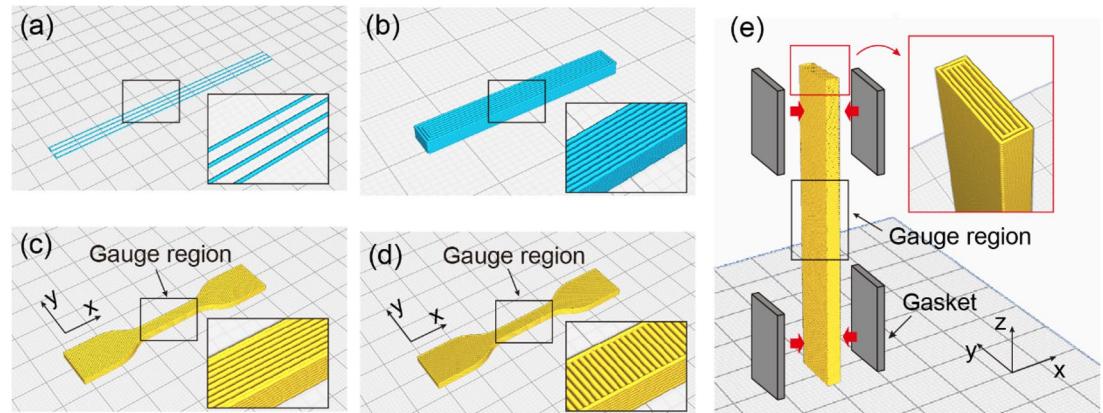
The filament used in the experiment is PLA from Flashforge company with the diameter of 1.75 mm. The printer used for the experiments is shown in Fig. 3, which is a high-rigidity gantry structure with stepper motors to drive the movement of the print head. The control system is Makerbase Gens V1.2 hardware with Marlin 2.0 firmware. G-code is sent to the printer by Repetier-Host software to realize the printing work. The filament extrusion accuracy was corrected by marking the position on the thermoplastic filament, and setting a fixed

Variable	Symbol	Value	Unit
Inlet velocity	$U$	50, 37.5, 25	mm/s
Printing speed	$V$	50	mm/s
Layer thickness	$h$	0.2, 0.3, 0.4	mm
Diameter of nozzle	$d$	0.4	mm
Melt viscosity	$\mu$	1000	Pa·s
Melt density	$\rho$	1.2	g/cm <sup>3</sup>
Surface tension coefficient	$\sigma$	0.03	N/m
Contact angle	$\theta$	130	°

**Table 1.** The parameters used in the numerical model.



**Fig. 3.** 3D printer (a) and print head (b) used in the experiments.



**Fig. 4.** Three types of experimental specimens: (a) single strand specimen; (b) multi-strand specimen; (c) tensile specimen of X-direction; (d) tensile specimen of Y-direction; (e) tensile specimen of Z-direction.

extrusion length of 100 mm by sending G-code 'G1 E100', then the position of marked point was measured and actual extrusion length was obtained. The number of motor pulses in EEPROM was modified until the extrusion length error is less than 3%.

The experimental specimens are divided into three types: single strand specimen, multi-strand specimen and tensile test specimen, which are shown in Fig. 4. The single strand specimens are used to study the cross-section shape (Fig. 4(a)), the G-code of which is listed in Appendix A. The multi-strand specimens are used to study the porosity and surface roughness (Fig. 4(b)), the dimension of which is  $30 \times 4 \times 2$  mm<sup>3</sup>. The hatch distance of multi-strand specimen and tensile test specimen is same with the diameter of nozzle, which is 0.4 mm. The tensile test specimens with X/Y/Z-directions are adopted to study the anisotropic mechanical properties as shown in

Variable	Symbol	Value	Unit
Printing temperature	$T$	200	°C
Printing speed	$V$	50	mm/s
Strand width	$w$	0.4	mm
Hatch distance	$H$	0.4	mm
Layer thickness	$h$	0.2, 0.3, 0.4	mm
Diameter of nozzle	$d$	0.4	mm
Flow ratio	$\gamma$	100, 105, 110, 115	%
Infill density	/	100	%
Infill pattern	/	Lines	/

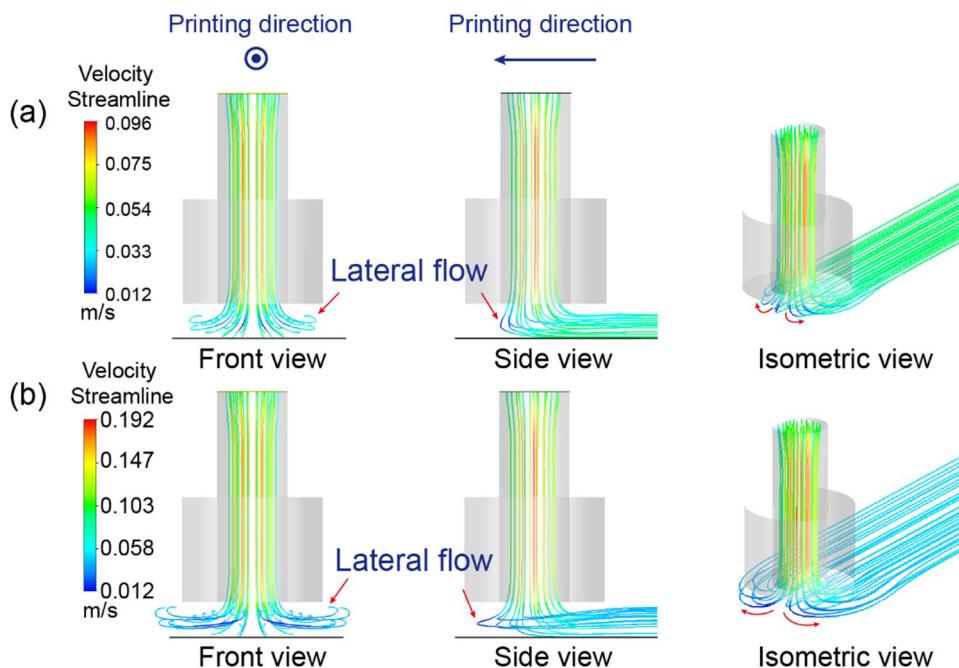
**Table 2.** The printing parameters used in experiments.**Fig. 5.** Velocity streamlines of melt at different  $U/V$ : (a)  $U/V=0.5$ ; (b)  $U/V=1.0$ .

Fig. 4(c)-(e)). In the study of each direction, 5 samples were adopted to carry out the statistical analysis. The dimensions of gauge regions in X/Y-direction specimens are  $2 \times 4 \times 12 \text{ mm}^3$ . The dimensions of gauge regions in Z-direction specimens are  $3 \times 10 \times 12 \text{ mm}^3$ .

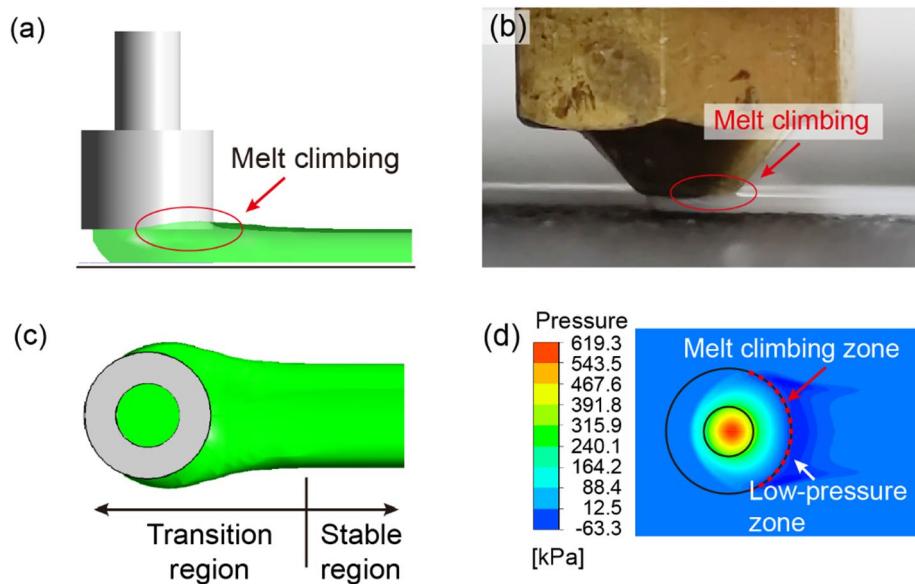
The printing parameters of specimens are listed in Table 2. The filaments are dried at  $55^\circ\text{C}$  for 12 h before printing process to remove the moisture. The printing temperature is set as  $200^\circ\text{C}$ , and no cooling fan is used for the printed part. After the completion of the printing, the stable part of the single strand was cut, polished and then observed by optical microscope. The cross-sections of multi-strand specimens were observed by scanning electron microscope (SEM), and the surface morphology was measured by 3D laser profilometer. Tensile tests of specimens in different directions were carried out in universal material testing machine according to ASTM D638. Differential scanning calorimetry (DSC) was used to study the crystallinities of different specimens.

## Results and discussion

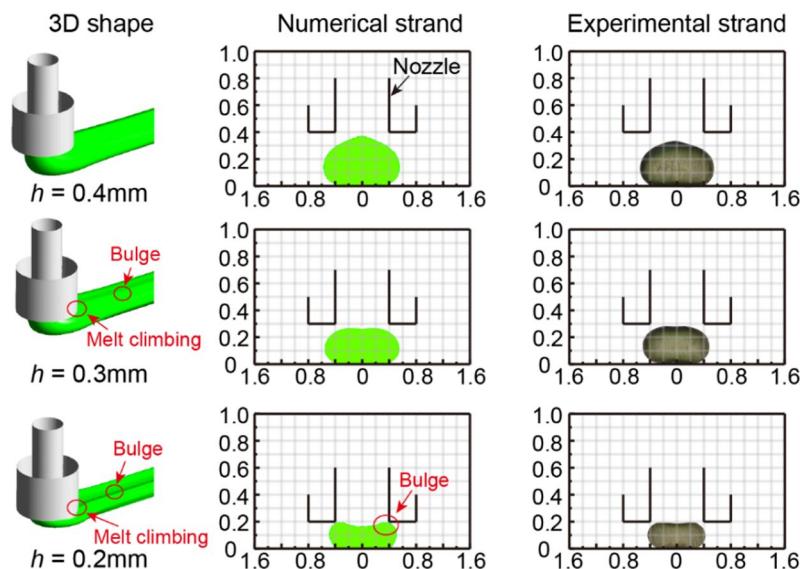
### Melt flow behavior

The velocity streamlines of melt at different  $U/V$  are shown in Fig. 5. Due to the restricted space under the nozzle, the melt flow laterally from the center area of nozzle. At  $U/V=0.5$ , the range of lateral flow is small and the width of strand is narrow. When  $U/V$  increases to 1.0, more melt is extruded and causes a larger range of lateral flow, making the increment of strand width.

The melt flow behavior during FDM process are illustrated in Fig. 6. When the melt flows out of the bottom region of the nozzle, the melt pressure suddenly decreases and the melt climbing behavior occurs along the rear side of the nozzle wall (Fig. 6(a) and (b)), and fall back occurs when the melt moves away from the bottom region of the nozzle. Figure 6(c) shows a top view of the melt morphology, which can be divided into two regions: transition region and stable region. The transition region is under the nozzle, which includes the behavior of



**Fig. 6.** Melt flow behavior during FDM process: (a) numerical melt climbing; (b) experimental melt climbing; (c) top view of the melt morphology; (d) pressure field of printing platform ( $U/V=1.0$ ).



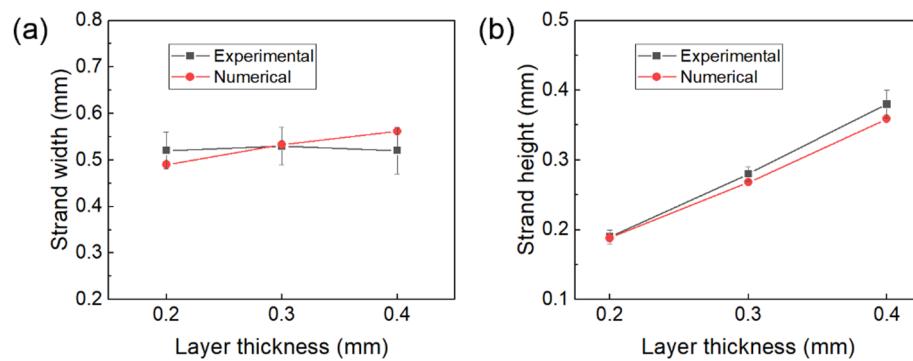
**Fig. 7.** Effect of layer thickness  $h$  on the strand morphology ( $d=0.4$  mm).

lateral flow, melt climb and falling. The stable region is behind the transition region, where the melt flow is in a stable state and the streamlines are parallel with each other. Figure 6(d) shows the pressure field of printing platform when  $U/V=1.0$ . The pressure is higher in the center area below the nozzle, where the melt flow direction in this area changes from vertical to backward, forming a larger extrusion pressure. When the melt flows outside the bottom of nozzle the pressure decreases significantly, which causes a low-pressure area related with the melt climbing behavior. The pressure below the nozzle is very important to the formation of strong interface between adjacent layers. High pressure is conducive to the fusion and entanglement of the molecular chains in different layers to increase the interlayer strength. However, if the pressure is too high the deformation of the strand may occur and the printing quality declines.

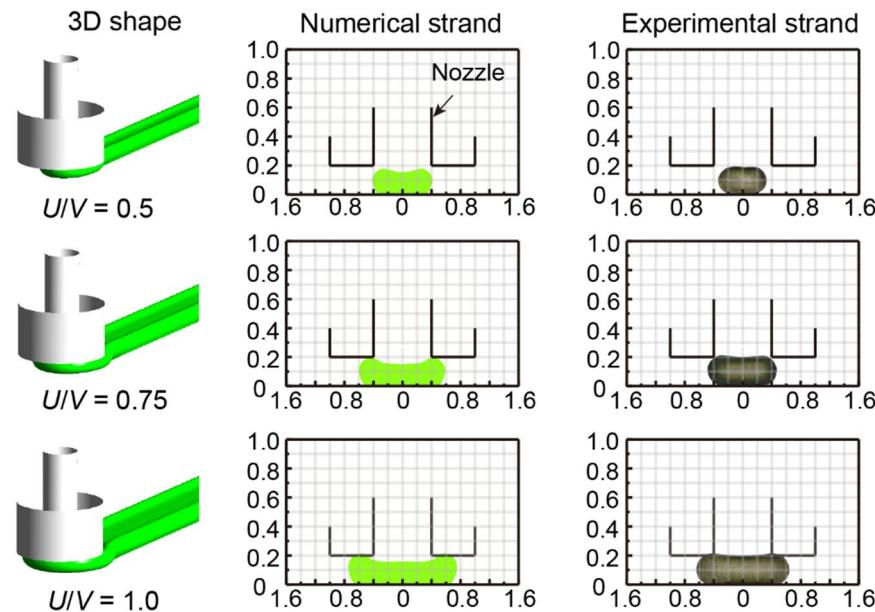
### Influencing factors of strand morphology

#### Layer thickness

The effect of layer thickness  $h$  on the strand morphology is shown in Fig. 7. When  $h$  is 0.4 mm, the bottom face of the nozzle performs little contact with the melt, and the center of strand is higher than two sides. When  $h$  is 0.3 mm, the bottom of the nozzle has a squeezing effect on the melt, and the melt climbing behavior occurs,



**Fig. 8.** The numerical and experimental strand dimensions with different layer thickness  $h$ : (a) strand width; (b) strand height.



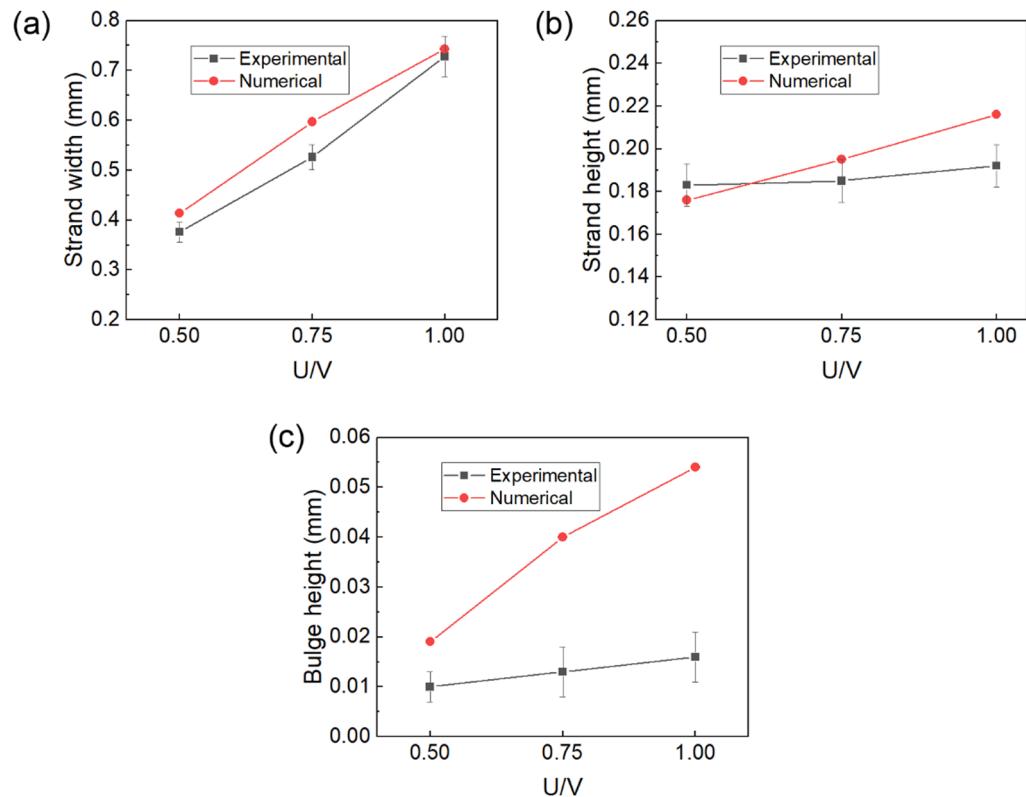
**Fig. 9.** Effect of normalized extrusion ratio  $U/V$  on strand morphology ( $d=0.4$  mm,  $h=0.2$  mm).

which results in bulges on both sides of the strand cross-section. When  $h$  is 0.2 mm, the bottom of the nozzle has a stronger squeezing effect on the melt, the melt climbing behavior is more obvious and the height of the bulge is higher.

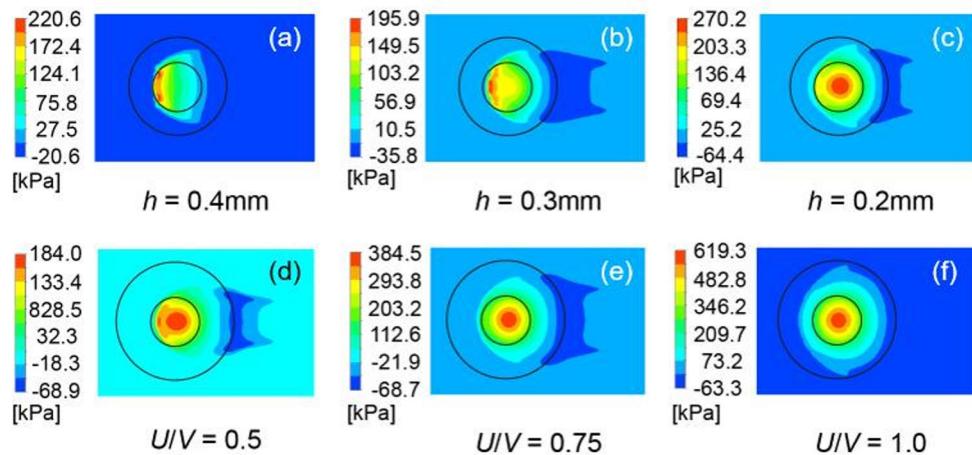
The numerical and experimental strand dimensions with different layer thickness  $h$  are illustrated in Fig. 8. In the parameter setting of many slicing software, it is assumed that the cross-section of strand is rectangular and the width is set to be same with the nozzle diameter (0.4 mm). However, both the numerical and the experimental result show that the two sides of the strand present rounded features, the width of the strand is larger than the width setting of 0.4 mm in the slicing software, and the height of the strand is lower than the layer thickness setting under each condition.

#### Normalized extrusion ratio

The effect of normalized extrusion ratio  $U/V$  on strand morphology is shown in Fig. 9. In the numerical results, when  $U/V$  increases from 0.5 to 1.0, the lateral flow of the melt under the nozzle enhances, and the width of the strand increases respectively. The numerical and experimental strand dimensions with different  $U/V$  are shown in Fig. 10. With the increment of  $U/V$ , the strand width and height grow both in the numerical and experimental results. In addition, due to the increasing melt climbing behavior the bulge height also grows. For the lacking of volume shrinkage in the numerical model, the predicted strand widths and bulge heights are greater than the experimental results.



**Fig. 10.** The numerical and experimental strand dimensions with different normalized extrusion ratio  $U/V$ : (a) strand width; (b) strand height; (c) bulge height.



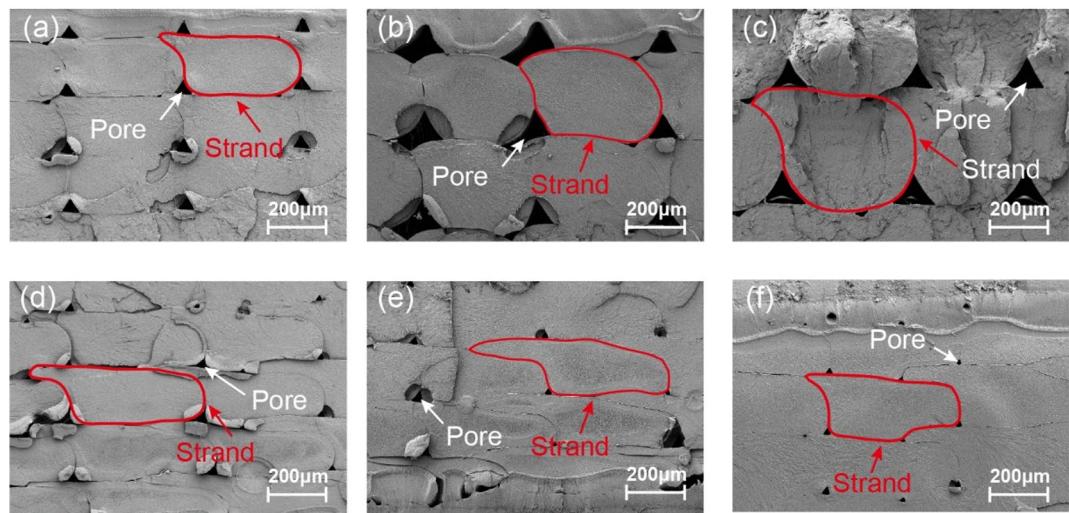
**Fig. 11.** Pressure field of platform under different layer thickness  $h$  and normalized extrusion ratio  $U/V$ .

#### *The pressure field under different conditions*

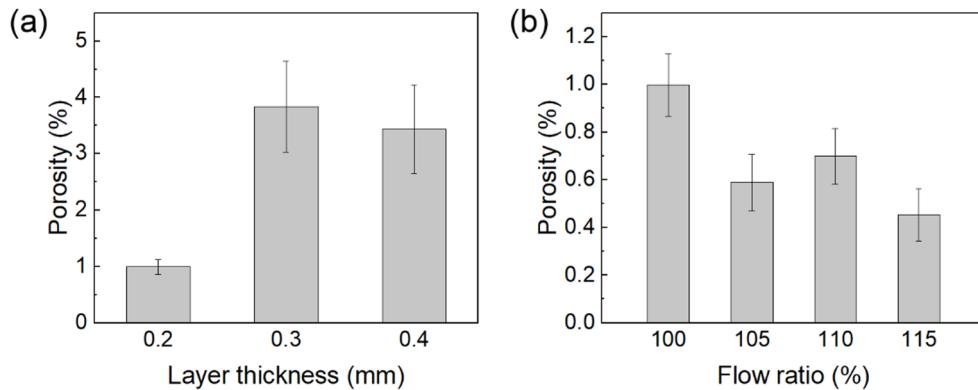
Figure 11 illustrates the pressure field of platform under different layer thicknesses  $h$  and normalized extrusion ratio  $U/V$ . In the numerical results, the gauge pressure is adopted and negative pressure appears. To calculate the absolute pressure the atmospheric pressure value of 101.3 kPa needs to be added.

As shown in Fig. 11(a)-(c), when  $h$  is 0.4 and 0.3 mm, the maximum pressure locates in the front of nozzle zone. The large gap between nozzle bottom face and platform provides sufficient space for the melt to flow freely. With the moving of platform, the melt accumulates in the front area and makes the pressure larger. When  $h$  is 0.2 mm, large amount of melt accumulates in the center of the nozzle and significant lateral flow occurs, which causes higher pressure in the center of the nozzle zone.

As shown in Fig. 11(d)-(f), with the increment of  $U/V$  the pressure of the printing platform under the nozzle also increases respectively, and the maximum pressure increases from 184.0 kPa to 619.3 kPa when the  $U/V$



**Fig. 12.** Cross-sectional morphology of printed specimens with different layer heights  $h$  and flow ratio  $\gamma$ : (a)  $h=0.4$  mm,  $\gamma=100\%$ ; (b)  $h=0.3$  mm,  $\gamma=100\%$ ; (c)  $h=0.2$  mm,  $\gamma=100\%$ ; (d)  $h=0.2$  mm,  $\gamma=105\%$ ; (e)  $h=0.2$  mm,  $\gamma=110\%$ ; (f)  $h=0.2$  mm,  $\gamma=115\%$ .



**Fig. 13.** Porosities of specimens with different layer thicknesses (a) and flow ratio (b).

increases from 0.5 to 1.0, which is conducive to the fusion and entanglement of the molecular chains between adjacent layers and the improvement of the interlayer strength. However, excessive pressure may cause the failure of filament extrusion and affect the printing precision.

#### Cross-section of printed part

Figures 12 and 13 show the cross-sectional morphologies and porosities of multi-strand specimens with different layer thickness  $h$  and flow ratio  $\gamma$ . Here, the flow ratio  $\gamma$  is used instead of normalized extrusion ratio  $U/V$  for the convenience of adopted in the slicing software. In most slicing software the inlet velocity  $U$  cannot be directly inputted through the user interface, while the flow ratio  $\gamma$  can be adjusted by the user. According to Eqs. (1) and (2), the relationship of  $\gamma$  and  $U/V$  are as follows:

$$\gamma = \frac{\pi d^2}{4wh} \cdot \frac{U}{V} \quad (5)$$

For the reason that the actual strand width is larger than the hypothetical rectangle shape, one side of the strand melt covers on the adjacent strand in each situation. At  $h=0.2$  mm and  $\gamma=100\%$ , the lower layer is able to form an effective support to the higher layer and the bulging regions help to fill the pores, causing the porosity as small as  $1.00 \pm 0.13\%$ . At  $h=0.3$  mm and  $\gamma=100\%$ , the filling effect of bulges decreases, and the pores size becomes larger. The porosity at this layer thickness is  $3.83 \pm 0.81\%$ . At  $h=0.4$  mm and  $\gamma=100\%$ , the pore sizes are still quite large, yet the number of pores decreases greatly, which makes the porosity reduce to  $3.44 \pm 0.79\%$ .

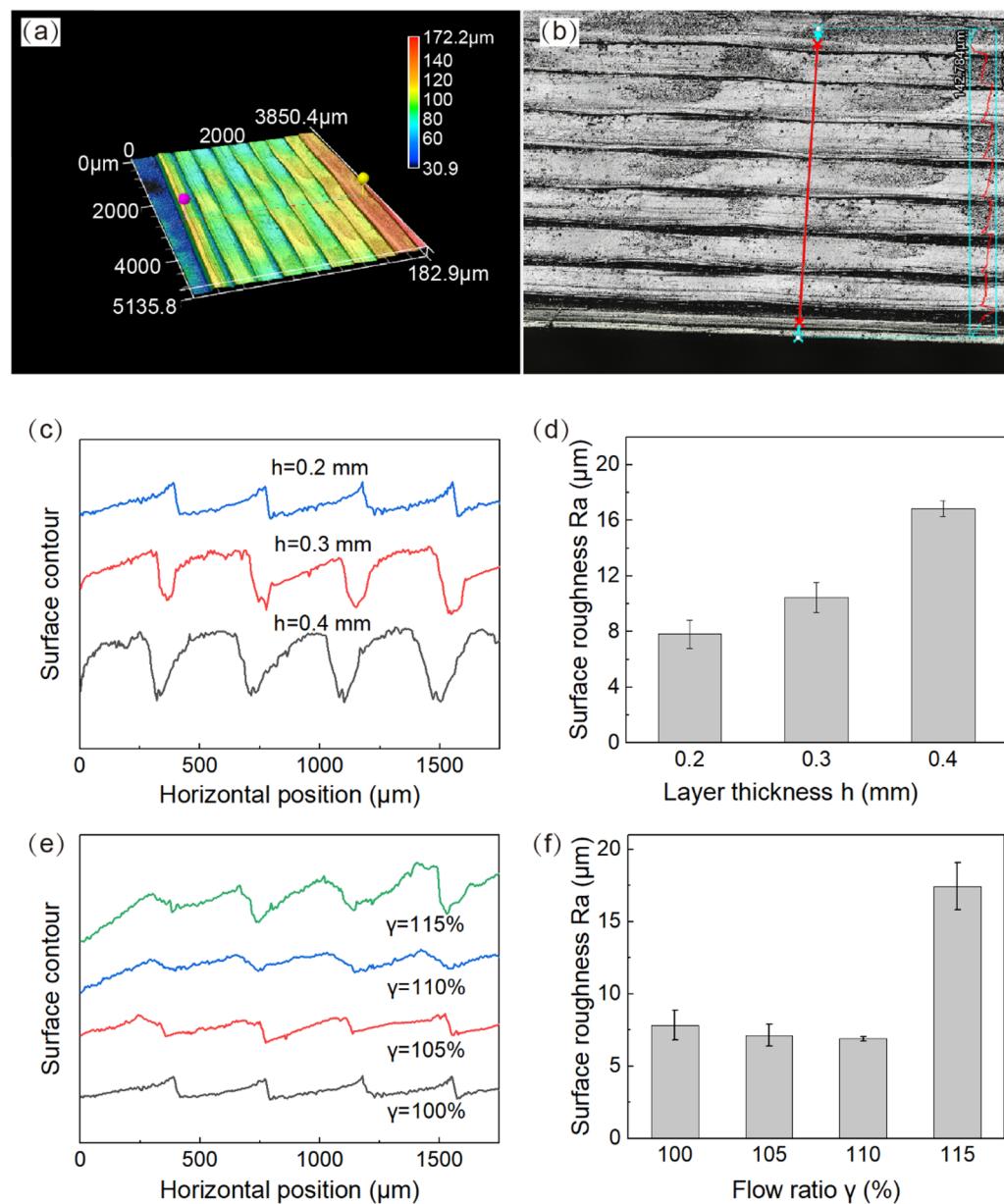
As shown in Fig. 12(d)-(f), with the increment of  $\gamma$  excessive squeezing occurs between different strands, which damages the regularity of the strands in the printed part. The porosity of specimens with different flow ratios are shown in Fig. 13(b). The increasing of  $\gamma$  from 100% to 105% helps to decreases the porosity from

$1.00 \pm 0.13\%$  to  $0.59 \pm 0.12\%$ , and the reduction is 41%. However, with the increment of  $\gamma$  to 115%, the porosity increases slightly and then decreases.

### Surface morphology of printed part

Figure 14 shows the surface morphology of the printed parts with different layer thicknesses  $h$  and flow ratio  $\gamma$ . From the 3D contours and the top view of the surface in Fig. 14(a)-(b), it can be found that each strand covers on the adjacent strand, which causes the periodic fluctuations of the part surface. Figure 14(c)-(d) show the comparison of the surface contours and surface roughness  $R_a$  of parts with different  $h$ . In the research of  $R_a$ , 5 detection lines are used in 5 specimens to carry out the statistical analysis. When  $h$  reduces from 0.4 mm to 0.2 mm, the grooves between the strands gradually decrease and the surface quality performs improvement. The surface roughness  $R_a$  changes from  $16.86 \pm 0.56 \mu\text{m}$  to  $7.82 \pm 1.01 \mu\text{m}$ , and the decline has reaches a magnitude of 46.38%.

Figure 14 Surface morphology of printed part with different layer thicknesses  $h$  and flow ratios  $\gamma$ : (a) laser reconstructed 3D morphology,  $h=0.2 \text{ mm}$  ( $\gamma=100\%$ ); (b) surface morphology image,  $h=0.2 \text{ mm}$  ( $\gamma=100\%$ ); (c) surface contour lines of printed parts with different  $h$  ( $\gamma=100\%$ ); (d) surface roughness of printed parts



**Fig. 14.** (e)-(f) show the surface contours and surface roughness  $R_a$  of parts with different  $\gamma$ . When  $\gamma$  increases from 100% to 110%, the surface roughness decreases due to the filling of melt into the pores between the strands, and  $R_a$  decreases from  $7.82 \pm 1.01 \mu\text{m}$  to  $6.88 \pm 0.15 \mu\text{m}$ . However, when  $\gamma$  reaches 115%, the excessive melt causes excessive squeezing and significant increment of surface roughness.

with different  $h$  ( $\gamma=100\%$ ); (e) surface contour lines of printed parts with different  $\gamma$  ( $h=0.2$  mm); (f) surface roughness of printed parts with different  $\gamma$  ( $h=0.2$  mm).

### Mechanical properties of specimens

Mechanical properties of specimens in different printing directions with different layer thicknesses  $h$  and flow ratios  $\gamma$  are shown in Fig. 15. Engineering stress and strain are adopted in the data analysis. From the stress-strain curves in Fig. 15(a), it can be found that when  $h$  is 0.2 and 0.3 mm, the X-direction specimen exhibits large plastic deformation before losing their load-bearing capacity. The fracture morphologies are shown in Fig. 16(a) and Fig. 16(b) at Appendix B. The specimens with  $h$  of 0.4 mm suffer brittle fracture after the load reaches their maximum value, whose fracture morphology is shown in Fig. 16(c) at Appendix B. The tensile strengths of X-direction specimen are  $52.2 \pm 0.7$  MPa at  $h=0.2$  mm,  $46.6 \pm 1.5$  MPa at  $h=0.3$  mm, and  $51.9 \pm 2.4$  MPa at  $h=0.4$  mm. This phenomenon may be caused by the combination of porosity and crystallinity. The specimen at  $h=0.3$  mm processes the largest porosity of  $3.83 \pm 0.81\%$ , which causes the reduction of load-bearing area during the tensile test. In addition, according to the DSC tests, the crystallinity of specimens with  $h$  of 0.2, 0.3, 0.4 mm are 20.72%, 19.76% and 20.97% respectively, as shown in Fig. 17; Table 3 at Appendix B. Lower crystallinity causes the decrement of tensile strength of specimens. The difference of crystallinities with different layer thicknesses may causes by following reasons: smaller layer thickness performs fast cooling, which inhibits grain formation and results in lower crystallinity, but the latter layer will reheat the former layer which may result in secondary crystallization and thus increase the crystallinity. When the layer thickness changes, the competition between inhibiting and promoting of crystallization changes. With the layer thickness of 0.2 mm, thermal cycling has a stronger effect on promoting crystallization. With the layer thickness of 0.4 mm, the heat dissipation of strand is weaker and the crystallinity is higher. In contrast, with the layer height of 0.3 mm, both of the above effects are weak, resulting in a lower crystallinity.

As shown in Fig. 15(b), the plastic deformability of the specimens in the X-direction decreases with the increase of  $\gamma$ . When the  $\gamma$  is increased to 115%, the specimen shows typical brittle fracture characteristics. The tensile strengths of the X-direction specimens increase with  $\gamma$ . This improvement can be attributed to the reduction in internal porosity at higher  $\gamma$ , which results in a greater volume of material being available to carry the load.

As shown in Fig. 15(c) and (g), the Y-direction specimen has the maximum tensile strength of  $29.35 \pm 0.64$  MPa when  $h=0.4$  mm. This may be attributed to that the fusion area between two adjacent strands is larger with higher layer thickness, making it easier to form interfaces with higher strength. At  $h=0.2$  mm the tensile strength of Y-direction specimen decreases by 32.98% relative to  $h=0.4$  mm.

As shown in Fig. 15(d) and (g), when  $\gamma$  increases from 100% to 115%, the tensile strength of Y-specimen increases by 127.4%, however, the plastic deformation significantly decreases. The stress-strain curves of Z-direction specimens with different  $h$  are shown in Fig. 15(e). When the  $h$  increases, the contact area between the latter layer and the former layer decreases, and the contact pressure between adjacent layers decreases, which significantly reduces the tensile strength in the Z-direction. When  $h=0.2$  mm, the tensile strength of Z-direction specimens is 58.5% greater than  $h=0.4$  mm. Figure 15(f) shows the mechanical properties of Z-direction specimen with the change of  $\gamma$ . The tensile properties of Z-direction specimens are not only affected by the fusion area between adjacent layers, but also affected by the printing quality of entire specimen. When  $\gamma=105\%$ , the Z-direction specimens have the maximum tensile strengths of  $39.31 \pm 1.92$  MPa.

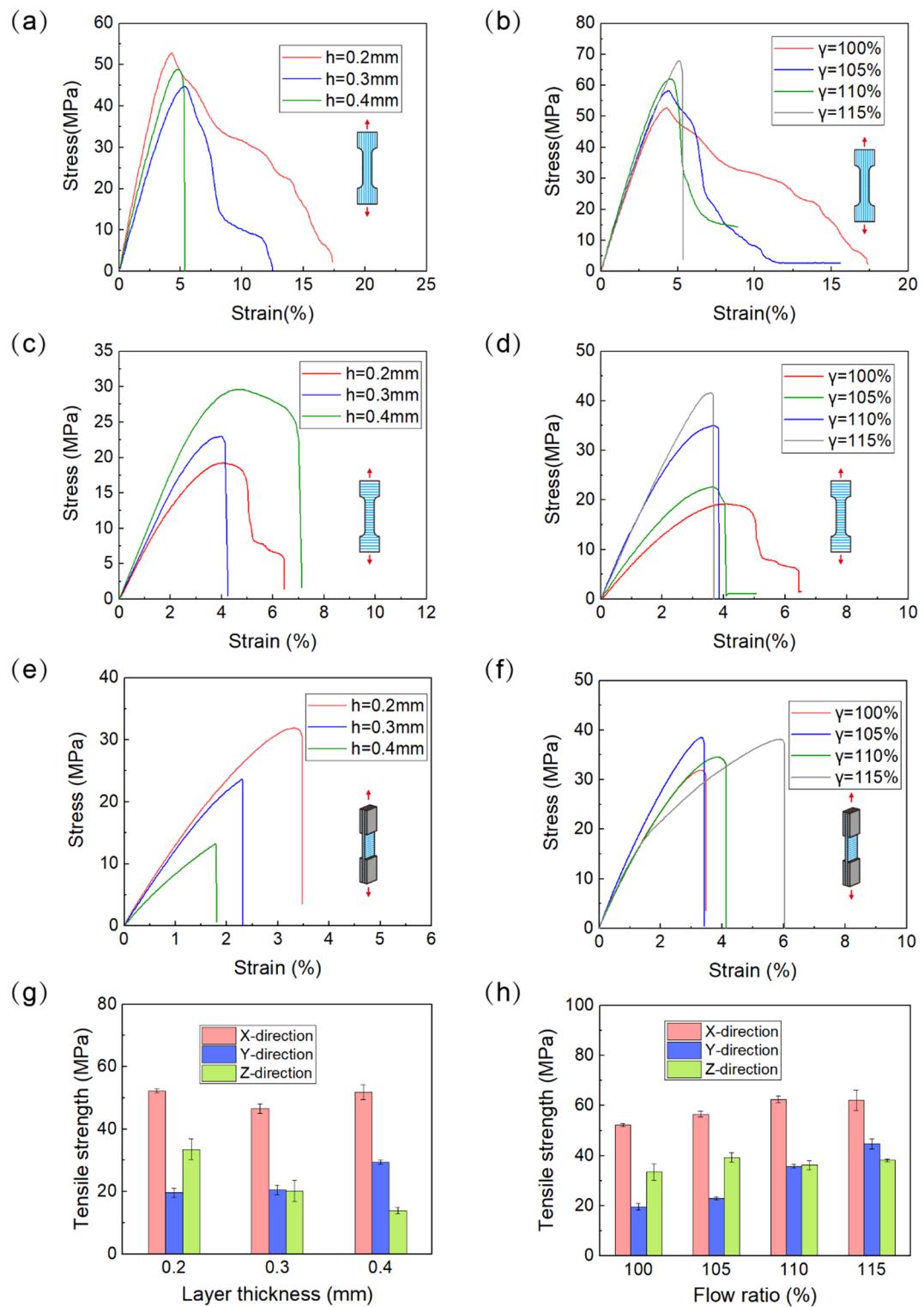
### Conclusions

In this paper, the flow behavior and cross-sectional morphology of the melt in FDM process with different layer thicknesses and flow ratios are investigated. The cross-section shape, surface roughness and mechanical properties of the specimens in different printing directions are analyzed. The main conclusions are as follows:

(1) With the nozzle diameter of 0.4 mm, when the layer thickness is 0.4 mm, the cross-sectional morphology of strand is high in the middle and low at both sides. When the layer thickness is lower than 0.3 mm, the melt flows laterally due to the squeezing of nozzle bottom face, and the melt climbing occurs at two sides behind the nozzle, forming a cross-section shape which is low in the middle and high at both sides.

(2) With the flow ratio of 100%, the reduction of layer thickness from 0.4 mm to 0.2 mm is capable to decrease the porosity by 70.93% and surface roughness  $R_a$  by 53.61%. With the layer thickness of 0.2 mm, the increasing of flow ratio from 100% to 105% can reduce the porosity by 41%. The setting of flow ratio to 110% obtains the lowest surface roughness, however, if the flow ratio is greater than 110%, the excessive extrusion of melt will result in the deterioration of the printing quality and significant increase in surface roughness.

(3) X-direction specimens have larger tensile strengths at 0.2 mm and 0.4 mm layer thicknesses due to the low porosity and crystallinity. As the layer thickness increases, the fracture characteristics of the X-direction specimens change from ductile failure to brittle failure. The tensile strength of Y-direction specimen increases with the growth of layer thickness, which is likely attributable to the increase in the bonding area between adjacent strands in the same layer. The bonding area and pressure between adjacent layers in Z-direction decreases with the growth of layer thickness, resulting in the reduction of Z-direction tensile strength. (4) The increase of flow ratio can reduce the porosity and enlarge the area of bonding interface between strands and layers. With the growth of flow ratio, the tensile strengths in X-direction and Y-direction increase. However, when the flow ratio exceeds 105%, excessive squeezing of melt between the strands and layers occurs, which increases the surface roughness and leads to the decline of mechanical properties in Z-direction.



**Fig. 15.** Mechanical properties of specimens in different printing directions with different layer thicknesses  $h$  and flow ratios  $\gamma$ : (a) Stress-strain curves of X-direction specimens with different  $h$  ( $\gamma = 100\%$ ); (b) Stress-strain curves of X-direction specimens with different  $\gamma$  ( $h = 0.2\text{ mm}$ ); (c) Stress-strain curves of Y-direction specimens with different  $h$  ( $\gamma = 100\%$ ); (d) Stress-strain curves of Y-direction specimens with different  $\gamma$  ( $h = 0.2\text{ mm}$ ); (e) Stress-strain curves of Z-direction specimens with different  $h$  ( $\gamma = 100\%$ ); (f) Stress-strain curves of Z-direction specimens with different  $\gamma$  ( $h = 0.2\text{ mm}$ ); (g) Tensile strengths specimens in different printing directions with different  $h$  ( $\gamma = 100\%$ ); (h) Tensile strengths of specimens in different printing directions with different  $\gamma$  ( $h = 0.2\text{ mm}$ ).

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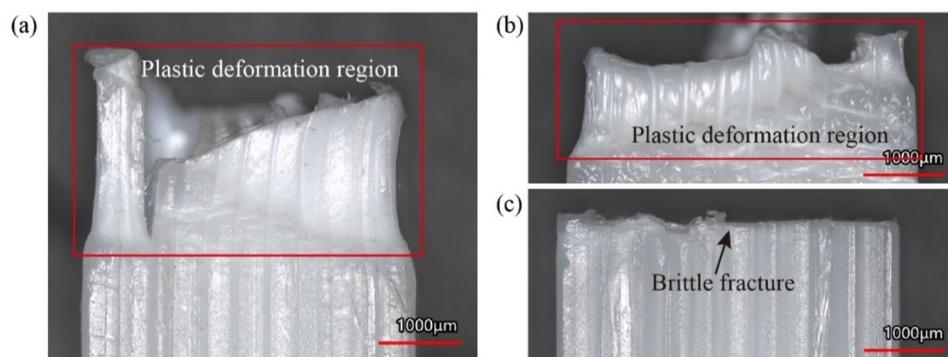
```

T0
M140 S60
M105
M190 S60
M104 S200
M105
M109 S200
M82 ;absolute extrusion mode
G21 ;metric values
G90 ;absolute positioning
M82 ;set extruder to absolute mode
M107 ;start with the fan off
G28 ;Move to min endstops
G92 E0 ;Reset Extruder
G1 E15 F1500
G1 Z15.0 F3000 ;
G92 E0
G92 E0
M106 S255 P1
M204 S500
G0 X60.119 Y112 Z0
G1 X60.119 Y112 E10.14173
G1 X159.88 Y112 E15.11883
G1 X159.88 Y110 E15.21861
G1 X60.119 Y110 E20.1957
G1 X60.119 Y108 E20.29548
G1 X159.88 Y108 E25.27258
G1 X159.88 Y106 E25.37236
G1 X60.119 Y106 E30.34946
M140 S0
M107 P1
M104 T0 S0 ;1st extruder heater off
M140 S0 ;heated bed heater off
G28 X0 Y0 ;move X/Y to min endstops, so the head is out of the way
M84 ;steppers off
M104 S0
;End of Gcode

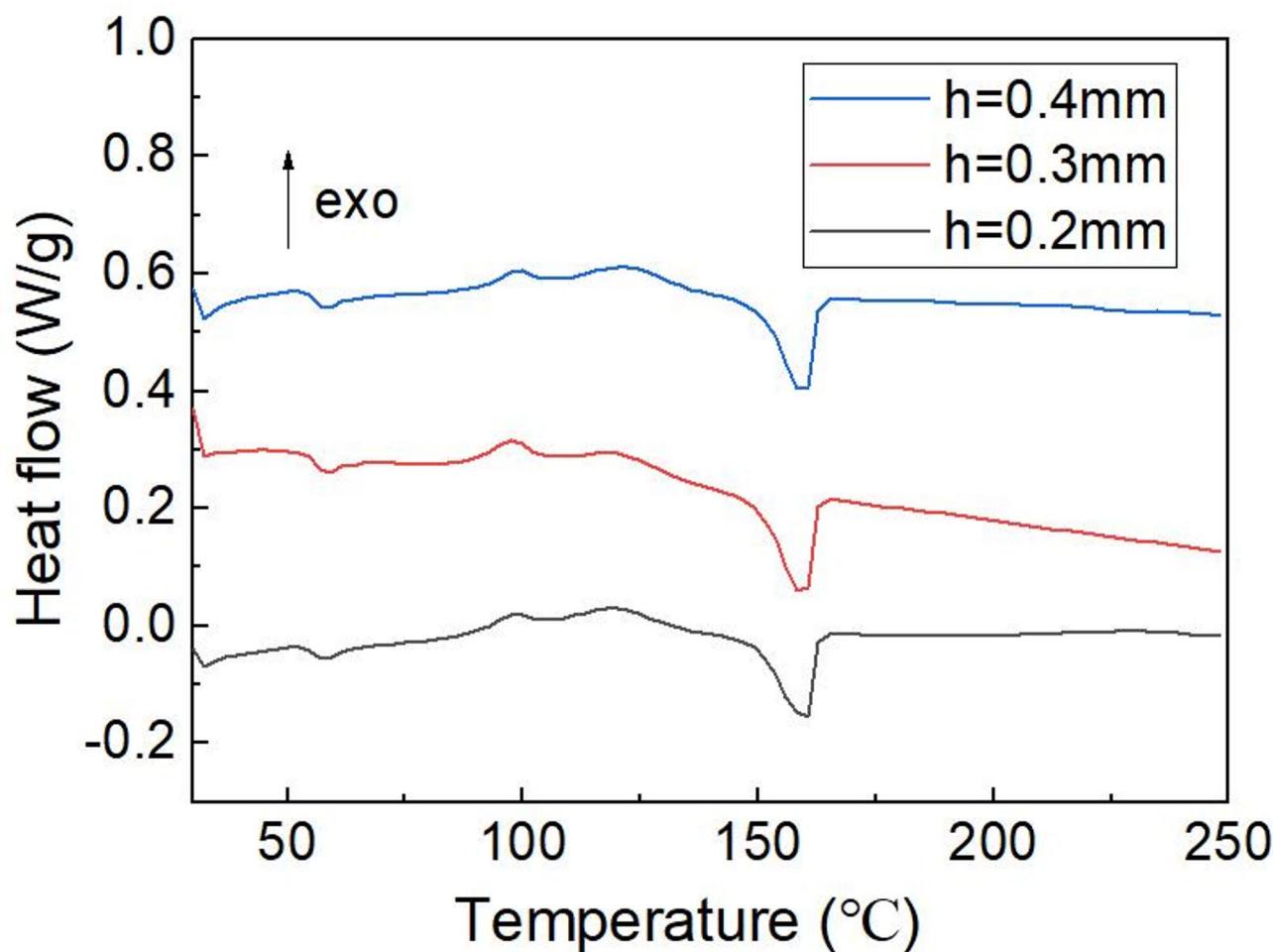
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**Fig. a.** File name: Single\_strand.gcode.



**Fig. 16.** The fracture morphologies of X-direction specimens with different layer thicknesses  $h$ : (a)  $h = 0.2 \text{ mm}$ ; (b)  $h = 0.3 \text{ mm}$ ; (c)  $h = 0.4 \text{ mm}$ .



**Fig. 17.** The DSC curves of specimens with different layer thicknesses.

Layer thickness mm	Crystalline enthalpy W/g	Melting enthalpy W/g	Crystallinity %
0.2	4.12	23.52	20.72
0.3	7.78	26.28	19.76
0.4	5.56	25.30	20.97

**Table 3.** The DSC data of specimens with different layer thicknesses.

## Appendix A

## Appendix B

See Fig. 16, 17 and Table 3.

## Data availability

The datasets used and/or analyzed during the current study available from the corresponding author on reasonable request.

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## Author contributions

Xiaofei Lou: data analysis, original draft preparation, funding. Xin'an Tang and Lei Dong: experiment execution, data analysis. Tao Zhao and Fuwei Wang: formal analysis, validation. Li Zhao and Teng Zhang: validation, supervision, funding.

## Declarations

### Competing interests

The authors declare no competing interests.

### Additional information

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