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Autism and ADHD traits, effortful control and mental health during the transition from elementary to junior high schools

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The transition from elementary to junior high school presents developmental challenges, particularly for students with neurodevelopmental traits. This study examined how autism, attention-deficit/hyperactivity disorder (ADHD) traits and effortful control (EC) were related to changes in mental health during this transition in a large Japanese community sample (N = 2,564). This longitudinal study used data from a community-based cohort of Japanese students and their parents/guardians (N = 2,692). Autism traits were measured using the Autism Spectrum Screening Questionnaire (ASSQ). ADHD traits were assessed with the Attention Deficit/Hyperactivity Disorder Rating Scale (ADHD-RS). Effortful control (EC) was evaluated using the "Effortful Control" subscale of the Early Adolescent Temperament Questionnaire-Revised (EATQ-R). Mental health problems were assessed using the Strengths and Difficulties Questionnaire (SDQ) before and after the transition. Generalized estimating equations (GEE) and latent profile analysis (LPA) were conducted to examine associations among autism and ADHD traits, EC, and mental health across the transition. GEE revealed that higher autism and ADHD traits and lower EC predicted more severe mental health problems. The LPA identified three distinct subgroups characterized by high, moderate, and low SDQ scores across the transition. The high-SDQ group showed elevated autism and ADHD traits and low EC, whereas the low-SDQ group showed low autism and ADHD traits and high EC. The moderate group exhibited intermediate levels for all measures. These findings suggest that pre-existing mental health problems tend to persist during the transition period. Importantly, students with higher autism and ADHD traits and lower EC exhibited diverse adaptation patterns—some improved while others worsened—highlighting that high autism traits are not necessarily associated with post-transition mental health deterioration. This underscores the need for support tailored to neurodevelopmental and self-regulatory profiles.

Keywords Autism, Adolescents, School transition, Mental health, General population

The transition from elementary to junior high school is a major turning point for children. During this transition, students must adjust to important changes in school structure, teacher expectations, and social relationships^{1–3}. Junior high schools differ from elementary schools in terms of their learning environment,

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including campus size, number of students, moving to different classrooms for classes, curriculum, and multiple subject specialists⁴. Therefore, a higher developmental level is required from junior high school students not only in self-management of academic and behavioral but also emotional aspects compared to elementary school students^{4,5}. Whereas younger children rely heavily on caregivers and teachers for emotional regulation, adolescence is characterized by a developmental shift toward greater self-regulation and peer-based support⁶. Given the heightened emotional reactivity and increased vulnerability to mental health problems during this period, emotional self-regulation becomes particularly critical in early adolescence^{6,7}. Studies have reported that unsuccessful school transitions can be associated with more mental health problems⁸, lower well-being⁹, and prolonged absenteeism and dropout¹⁰.

This transition also requires students to move between multiple classrooms throughout the day, adapt to multiple teachers, and interact with many new peers¹¹ and coincides with important developmental changes and increased stress levels¹². In adolescence, as relationships shift from an adult-centered to a peer-centered orientation¹³, students spend more time with their peers, develop more complex friendships, seek a sense of belonging to a peer group where they feel valued, and become more sensitive to acceptance and rejection by their peers^{14,15}. Simultaneously, as students spend less time with specific teachers in junior high school, there is less support for students in junior high school than in elementary school¹⁵. Therefore, whereas teacher support decreases during this transition period, social support and relationships with peers and teachers affect student transitions^{16,17}. Given these points, the transition period requires enhanced adaptive skills and self-regulation abilities, particularly because students must manage their social interactions and academic responsibilities independently with less direct adult supervision¹⁸.

In this context of environmental changes during the transition to middle school, individual differences in effortful control (EC) emerge as a critical factor that largely determines whether students can successfully adapt to these new demands. These abilities are involved in coordinating behavioral, emotional, and cognitive processes in complex middle school environments. EC has been identified as a key predictor of adaptation to changes that occur during this school transition period¹⁹. EC is the ability to voluntarily inhibit a dominant response (inhibitory control), activate a subdominant response (activation control), detect errors, or engage in planning, and to focus and shift attention when needed (attentional control)²⁰. While EC is sometimes described as a subcomponent of self-regulation, it is widely regarded as a central construct reflecting individual differences in self-regulatory capacity, particularly in children and adolescents²¹. Inhibitory control and self-regulation are crucial components of EC that help students manage their behavior, attention, and emotions during the school transition period^{18,21}. These abilities are especially important, as students face novel academic and social demands in their new school environment. For example, attentional control supports the ability to focus in multiple classes and shift between tasks; inhibitory control is crucial in peer interactions where emotion regulation is needed; activation control allows students to initiate tasks even in the absence of motivation or in the presence of social anxiety. Thus, adequate EC allows students to sustain their attention, complete tasks, and follow rules and instructions, all of which directly affect their learning²². Longitudinal studies on the transition from elementary to junior high school have found that students with higher levels of self-control tend to maintain higher adjustment and academic achievement after school transition^{23,24}.

Self-regulation and social interaction deficits are known to manifest in neurodevelopmental disorders (NDD)^{25,26}. Autism spectrum disorder (ASD) is characterized by deficits in social communication and social interaction across multiple contexts and the presence of restricted, repetitive patterns of behavior, interests, or activities²⁷ and is associated with difficulties in EC²⁸. Research has shown that specific components of the EC, inhibitory control, and attention control display distinct patterns in autistic individuals. Although autistic individuals often demonstrate relative strength in basic attention regulation, they frequently experience challenges with inhibitory control, particularly in social contexts where they need to suppress inappropriate responses or adapt their behavior to changing social demands^{29,30}. Similarly, self-regulation deficits are a core feature of attention-deficit hyperactivity disorder (ADHD)³¹. ADHD is a behavioral disorder characterized by attentional dysfunction, hyperactive/impulsive behavior, or both²⁷. In contrast to ASD, ADHD is characterized by difficulties in working memory and most other metacognitive executive functions, including initiation, planning, organization, self-monitoring, and inhibition²⁵. Although ADHD symptoms generally decline with development, they may stagnate or worsen during the transition to middle school due to environmental changes such as multiple teachers, classroom transitions, and increased demands for autonomy³². Moreover, ADHD traits have been associated with poorer academic achievement and increased use of maladaptive strategies after school transition³³. A longitudinal study further identified inattention in students with ADHD as a risk factor for academic impairment during middle school^{34,35}. Importantly, autism and ADHD traits frequently co-occur, with approximately 50–70% of autistic individuals also meeting criteria for ADHD^{36,37}. This co-occurrence is also evident at the trait level in general population samples³⁸. Co-occurring autism and ADHD traits have been associated with more severe school functioning difficulties compared to autism alone³⁹. Given this point, including both autism and ADHD traits in analyses may provide more insightful understanding of how autism traits relate to mental health problems during the school transition period. It is currently considered that autism and ADHD traits are continuously distributed in the general population^{40,41}.

However, little is known about how these factors relate to mental health during the school transition in mainstream educational settings. Several studies^{42,43} have examined the association between autism traits and transitions in the general population. Typically, these studies have shown that high autism traits are associated with poor outcomes during the transition period (e.g., Quality of Life: QoL). Nevertheless, Whelan et al.⁴² found that students with higher autism traits showed improved QoL and mental health after the transition. Previous studies^{42,43} have been limited by small sample sizes (N=51) in the general population and could not conduct robust subgroup analyses or examine the complex interactions between neurodevelopmental traits and transition outcomes.

Furthermore, previous studies on autism traits and school transition in clinical samples have yielded inconsistent results^{44–46}, highlighting the importance of considering the possible heterogeneity of autism traits. To accurately understand the association between autism traits and school transition, it is essential to identify homogeneous subgroups based on autism and ADHD traits, EC, and mental health problems and to examine how these characteristics change during the transition period within each identified subgroup. This approach can potentially elucidate associations between particular characteristics and transition experiences, thereby enabling more targeted and effective interventions.

This study addressed these methodological limitations using a large-scale community-based design, enabling a more precise estimation of the effects and identification of homogeneous subgroups based on NDD traits. Therefore, this study primarily aimed to clarify how autism, ADHD traits, and EC are related to mental health problems during the transition using a large-scale community-based study design. Additionally, we aimed to identify distinct subgroups based on the patterns of NDD traits, EC levels, and mental health problems during the transition. This community-based study, with a diverse sample, enabled a more robust estimation of NDD traits and mental health problems. Consistent with previous research, we hypothesized that autism and ADHD traits would be negatively associated with mental health and EC would be positively associated with mental health (i.e., higher autism and ADHD traits would be related to more severe mental health problems and higher EC would be related to less mental health problems). We further hypothesized that the association between autism and ADHD traits and EC and mental health problems during the transition period would vary across the identified subgroups. Specifically, we predicted that more vulnerable groups—those with higher autism and ADHD traits and lower EC—would face an increased risk of mental health problems during the transitional period. Clarifying these associations can be useful in understanding school refusal and mental health problems among adolescents.

Methods

Study setting and participants

Data for this study were obtained from the Assessment from Preschool to Puberty—Longitudinal Epidemiological (APPLE) study, a community-based cohort study investigating risk and protective factors for mental health in children and adolescents in Japan⁴⁷. The APPLE study is a population-based prospective cohort conducted in Hirosaki, Japan, that tracks developmental and mental health outcomes from age 5 to 12. The study comprises three phases: a 5-year-old developmental check-up, annual school-based surveys from grades 1 to 6, and an adolescent survey at grade 7. APPLE study was conducted in public elementary and junior high schools in Hirosaki City, Aomori Prefecture, Tohoku region (northern part of Japan's main island). Hirosaki City has 52 public elementary and junior high schools (35 elementary and 17 junior high schools), including the only elementary and junior high schools affiliated with Hirosaki University. There is only one private junior high school in the city. This study included all 52 public schools, all of which participating schools followed the standard Japanese public education system, characterized by a clear transition from elementary (grades 1–6) to junior high school (grades 7–9). Private schools, international schools, and integrated schools—with potentially different or no transition structures at grade 7—were excluded from this study. For details regarding the study design and data collection procedures, please refer to Hirota et al.⁴⁷. In the present analysis, we used two cohorts from the APPLE study: Cohort 1, comprising students who were in 6th grade (last year of elementary school) in 2017, and Cohort 2, consisting of students who were in 6th grade in 2018. Both cohorts were followed through their transition to 7th grade (the first year of junior high school). A total of 2,692 students and their parents/guardians were enrolled in this study.

In Japan, junior high schools differ significantly from elementary schools. For example, elementary schools use a class-teacher system, whereas junior high schools adopt a subject-teacher system. Additionally, junior high schools impose more rules on students, and student guidance tends to be more rule-based and stricter than in elementary schools⁴⁸.

Measurements

Autism traits

Autism traits were measured prospectively in 2016 using the Autism Spectrum Screening Questionnaire (ASSQ)⁴⁹. The ASSQ was administered when participants were in 5th grade (Cohort 1) or 4th grade (Cohort 2), and completed by the parents/guardians of participating students. The ASSQ is a screening tool used to identify ASD in school-aged children and consists of 27 items rated on a 3-point scale. Of the 27 items, 11 pertain to social interactions, 6 to communication problems, and 5 to restricted and repetitive behavior. The remaining items pertain to motor clumsiness and its associated symptoms. The psychometric properties of the Japanese version of the ASSQ have been validated in a general population sample⁵⁰. The total score ranges from 0 to 54, with higher scores indicating higher ASD traits. The internal consistency of the ASSQ in the present study was good ($\alpha=0.89$).

ADHD traits

The ADHD traits were measured prospectively in 2016 using the attention deficit/hyperactivity disorder rating scale (ADHD-RS)⁵¹. The ADHD-RS was also collected when participants were in 5th grade (Cohort 1) or 4th grade (Cohort 2), and completed by parents/guardians of participating students. The ADHD-RS consists of 18 items rated on a 4-point scale. Of these, 9 assess inattention and 9 hyperactivity-impulsivity. The Japanese version of the ADHD-RS has been validated in a general population sample⁵². The total score ranges from 0 to 18, with higher scores indicating higher ADHD traits. The ADHD-RS total score demonstrated in the present study excellent reliability ($\alpha=0.92$).

Effortful control (EC)

In this study, EC was measured in the 6th grade using the “effortful control” subscale of the Japanese version of the Early Adolescent Temperament Questionnaire-Revised Self-Report Form (EATQ-R)⁵³, with responses provided by students’ parents or guardians. The EC subscale consists of 26 items scored on a 5-point scale (1 = almost always untrue of you; 5 = almost always true of you). The psychometric properties of the Japanese version of the EATQ-R were validated using a general population sample⁵⁴. The total score ranges from 26 to 130, with higher scores indicating the presence of a given temperamental characteristic. The “Effortful Control” subscale of EATQ-R demonstrated excellent internal consistency ($\alpha=0.91$).

Mental health problems

Mental health problems were measured in 6th grade elementary school and 1st grade junior high school using the Strengths and Difficulties Questionnaire (SDQ)⁵⁵, which was completed by students’ parents or guardians. The SDQ comprises 25 items measuring hyperactivity/inattention, conduct problems, emotional symptoms, peer problems, and prosocial behavior among 3- to 16-year-olds. The Japanese version of the SDQ has good reliability and validity⁵⁶. In this study, we used the Total Difficulties Score (TDS) of the SDQ (range: 0–40), which is calculated as the sum of the four difficulty subscales: conduct problems, hyperactivity/inattention, emotional symptoms, and peer problems. Higher scores indicate more serious problems. The TDS provides a broad indicator of mental health by combining internalizing and externalizing difficulties during the school transition. Prior research suggests that such co-occurring difficulties often remain stable over time and may serve as early indicators of later adjustment problems^{57,58}. Furthermore, the TDS has been widely used in studies of school transition and interventions targeting autistic students^{45,59}. The TDS of SDQ for showed acceptable reliability ($\alpha=0.80$ in both 6th and 7th grade).

Statistical analyses

Multiple imputation was performed before generalized estimating equation (GEE) analysis. Of the total sample, 59% ($n=1,589$) had no missing data. The pattern of missingness was assumed to be missing at random. Participants with more than 70% missing data ($n=128$) were excluded from the analyses to minimize potential bias and estimation errors. The final analytical sample for the GEE consisted of 2,564 students, with data collected at both pre- and post-transition time points. This represents an exceptional 95% retention rate of the total sample, ensuring robust longitudinal data and minimizing potential selection bias. Ten imputed datasets were generated using mice package 3.13.0⁶⁰. Following Rubin’s rule⁶¹, a GEE was performed on each imputed dataset, and the results were pooled to calculate the final estimates. The GEE is particularly valuable for analyzing panel data, especially repeated measures or time-series data, as it accounts for within-subject correlations and provides robust population-averaged estimates⁶². In this study, we employed a GEE to examine factors associated with changes in mental health problems during this transition. Before applying GEE, continuous predictor variables (ASSQ, ADHD-RS, and EC scores) were standardized within each imputed dataset. In the GEE model, the SDQ score was used as the dependent variable, and time, sex (coded as 1 = male, 2 = female), and standardized ASSQ, EC, and ADHD-RS scores were included as independent variables.

Although GEE is useful for identifying population-level factors associated with mental health problems during the transition period, it does not account for individual differences in developmental trajectories over time. To address this limitation, we employed a latent profile analysis (LPA), a robust mixture-model technique commonly used to identify homogeneous latent subgroups within a heterogeneous population^{63,64}. This approach enabled us to identify subgroups characterized by different patterns of mental health problems across the transition period and to examine the characteristics associated with each profile. In general, growth mixture modeling (GMM) or latent class growth analysis (LCGA) are more suitable for identifying latent subgroups in longitudinal data. However, because this study included only two measurement time points, applying GMM or LCGA is likely to result in overfitting and/or convergence problems. Therefore, an LPA was conducted to examine the patterns of autism and ADHD traits, EC levels, and mental health problems. The LPA included ASSQ, ADHD-RS, EC, and SDQ scores in the 6th and 7th grades to examine how combinations of ASD traits, ADHD traits, and effortful control co-occur with different mental health patterns. Including all these variables allowed us to identify complex profiles (e.g., improved SDQ scores alongside high autism traits and low ADHD traits). We tested models with one to six profiles using the tidyLPA package in R⁶⁵. Model selection was guided by multiple fit indices, including the Bayesian Information Criterion (BIC), Akaike Information Criterion (AIC), and entropy. The number of profiles were selected based on minimizing AIC, BIC, and LogLikelihood and maximizing entropy. These indices were obtained from each imputed dataset, and the average scores across the imputed data were used to evaluate each model. Class labels across the imputations were aligned using the Hungarian algorithm to ensure consistency in class interpretation. For LPA, missing data were handled using the missForest package⁶⁶ because there is currently no standardized method for pooling LPA results across multiple imputed datasets.

Analysis of variance (ANOVA) was used to investigate whether neurodevelopmental traits and mental health problem characteristics discriminated between classes; the chi-square test of independence was applied to test categorical differences in sex distribution across profiles. All analyses were performed using R 4.1.0⁶⁷.

Ethical considerations

This study was approved by the Committee of Medical Ethics of the Hirosaki University Graduate School of Medicine (IRB# 2015–055). Before data collection, parents/guardians received written information about the study from the schools, with instructions to contact the researchers if they wished to decline participation. We excluded children whose primary caregivers indicated that they did not want their children to participate. The student questionnaire was administered in class in accordance with a teacher manual. Before distributing the

	Total sample (N = 2,692)				Analyzed sample (N = 2,564)			
	Cohort 1 (n = 1,345)		Cohort 2 (n = 1,347)		Cohort 1 (n = 1,285)		Cohort 2 (n = 1,279)	
	n	(%)	n	(%)	n	(%)	n	(%)
	Male	664	(49.37)	674	(50.04)	633	(49.26)	632
	Mean	(SD)	Mean	(SD)	Mean	(SD)	Mean	(SD)
ASSQ ^a	4.33	(5.55)	4.47	(5.66)	4.26	(5.48)	4.46	(5.60)
ADHD-RS ^b	5.70	(6.62)	6.57	(7.09)	5.64	(6.55)	6.52	(7.05)
Effortful control ^c	62.65	(11.65)	62.82	(11.55)	62.65	(11.65)	62.82	(11.55)
SDQ ^d in 6th grade	7.34	(4.92)	7.30	(4.66)	7.34	(4.92)	7.30	(4.66)
SDQ in 7th grade	7.26	(4.78)	6.90	(4.52)	7.26	(4.78)	6.87	(4.51)

Table 1. Sample characteristics. ^aAutism spectrum screening questionnaire. ^bAttention-Deficit/Hyperactivity Disorder Rating Scale. ^c“Effortful control” subscale of Early Adolescent Temperament Questionnaire-Revised Self-Report. ^dStrengths and difficulties questionnaire.

	β	S.E	<i>t</i>	<i>p</i>
Sex (1 = male, 2 = female)	0.365	0.116	3.152	0.002
Time	–	0.161	0.092	–1.748
ASSQ ^a	1.214	0.106	11.465	<0.001
ADHD-RS ^b	0.955	0.115	8.331	<0.001
Effortful control ^c	–	1.823	0.078	–23.296
				<0.001

Table 2. Generalized estimating equations for change in SDQ after transition (7th grade). ^aAutism Spectrum Screening Questionnaire. ^bAttention-Deficit/Hyperactivity Disorder Rating Scale. ^c“Effortful control” subscale of Early Adolescent Temperament Questionnaire-Revised Self-Report.

questionnaires, the classroom teachers informed the students about the study content, including that participation was voluntary and that students had the right to decline participation in part or all of the questionnaires without any disadvantage. Students were given the opportunity to ask questions, and those who chose not to participate were allowed to engage in self-study during the session. Moreover, both students and their parents/guardians retained the right to withdraw consent at any time, even after initially agreeing to participate. This study adhered to the principles of the Declaration of Helsinki.

Results

Sample characteristics

Sample characteristics and demographic data for all variables are presented in Table 1. In general, the sample was equally distributed across sexes (49.37% male in Cohort 1 and 50.04% in Cohort 2). The mean ASSQ score was 4.26 (SD = 5.48) in Cohort 1 and 4.46 (SD = 5.60) in Cohort 2. For ADHD-RS, the mean scores were 5.64 (SD = 6.55) in Cohort 1 and 6.52 (SD = 7.05) in Cohort 2. EC scores averaged 62.65 (SD = 11.66) in Cohort 1 and 62.82 (SD = 11.55) in Cohort 2. In 6th grade, the mean SDQ total score was 7.34 (SD = 4.92) in Cohort 1 and 7.30 (SD = 4.66) in Cohort 2, while in 7th grade, it was 7.26 (SD = 4.78) and 6.87 (SD = 4.51), respectively. Moreover, the sample characteristics were comparable between the overall and analyzed samples.

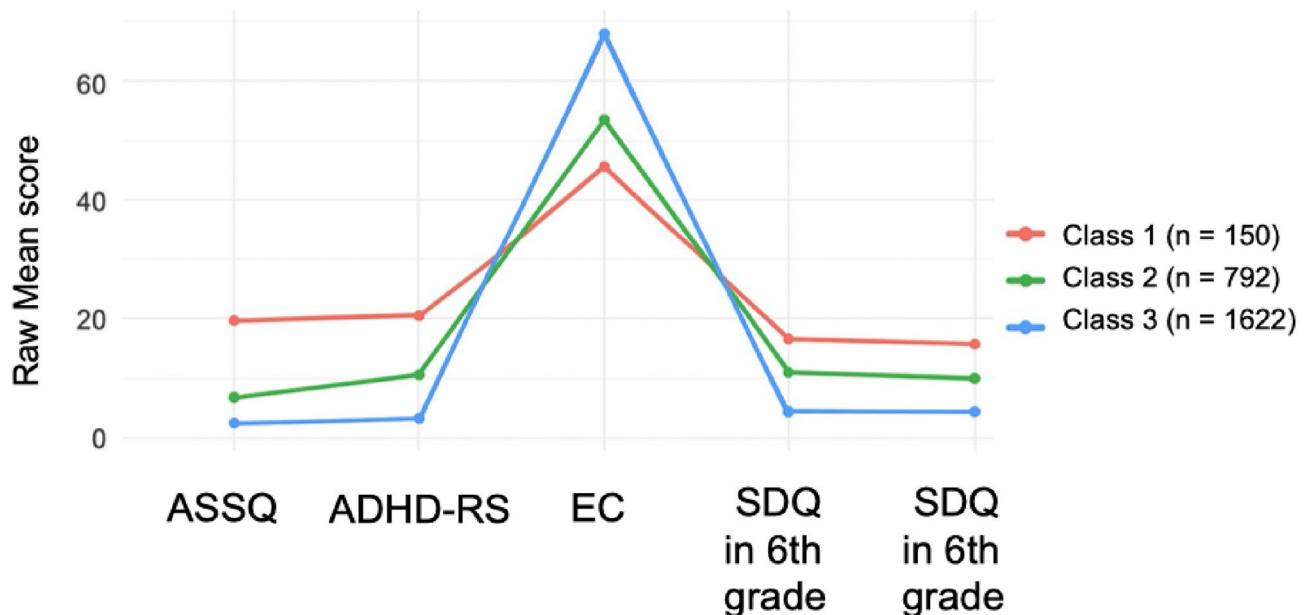
Results of GEE

The pooled results of the GEE are summarized in Table 2. The GEE revealed that time (transition from elementary to junior high school) was not significantly associated with SDQ total difficulty scores ($\beta = -0.161, p = 0.082$). EC in 6th grade was negatively associated with SDQ total difficulty scores ($\beta = -1.823, p < 0.001$), whereas autism and ADHD traits were positively associated with SDQ total difficulty scores ($\beta = 1.214, p < 0.001$; $\beta = 0.955, p < 0.001$, respectively). These results suggest that students with higher autism and ADHD traits and lower EC experience more mental health problems across the transition period, regardless of the overall change over time.

Results of LPA

The three-class model demonstrated a favorable balance between model fit and interpretability ($BIC = 30,560$, Entropy = 0.87) and was therefore selected for further analysis (Table 3). The classification certainty was high across all three classes, with mean posterior probabilities ranging from 0.912 to 0.953. Notably, over 90% of individuals in Classes 2 and 3 had probabilities > 0.8, indicating robust separation. LPA identified three distinct classes characterized by high, moderate, and low SDQ total difficulty scores as the best-fitting model for the data (Fig. 1). Class 1 (n = 150, 5.85%), labeled “High Risk”, was distinguished by the lowest EC scores and highest scores across other measures. Class 3 (n = 1622, 63.26%), labeled “Low Risk”, was characterized by the highest

Number of profiles	AIC (SD)	BIC (SD)	Entropy (SD)	LogLikelihood (SD)
1	36,397 (<0.01)	36,455 (<0.01)	1.00 (<0.01)	-18,188 (<0.01)
2	32,123 (43.7)	32,217 (43.7)	0.89 (<0.01)	-16,046 (21.9)
3	30,431 (73.8)	30,560 (73.8)	0.87 (0.01)	-15,194 (36.9)
4	29,833 (64.6)	29,997 (64.6)	0.79 (<0.01)	-14,889 (32.3)
5	29,451 (66.9)	29,650 (66.9)	0.81 (0.02)	-14,692 (33.5)
6	29,158 (86.1)	29,391 (86.1)	0.80 (0.01)	-14,539 (43.1)

Table 3. Latent profile analysis fit indices for models 1 to 6.**Fig. 1.** Estimated mean plots for the three-class latent profile analysis.

	Class 1		Class 2		Class 3		ANOVA	Post hoc (Turkey)		
	n = 150		n = 792		n = 1622					
	Mean	(SD)	Mean	(SD)	Mean	(SD)				
ASSQ ^a	19.29	(7.12)	6.31	(4.36)	2.07	(2.42)	17,909***	3 < 2 < 1		
ADHD-RS ^b	21.90	(8.57)	10.02	(5.10)	2.98	(3.17)	17,491***	3 < 2 < 1		
Effortful control ^c	45.92	(9.22)	53.85	(7.93)	68.38	(8.78)	10,707***	1 < 2 < 3		
SDQ ^d in 6th grade	16.58	(4.71)	11.05	(3.69)	4.73	(2.59)	17,848***	3 < 2 < 1		
SDQ in 7th grade	15.96	(5.52)	10.09	(4.00)	5.00	(2.89)	10,833***	3 < 2 < 1		

Table 4. ANOVA among 3 classes: Comparison of ASSQ, ADHD-RS, Effortful control, and SDQ in 6th and 7th grades. ^aAutism Spectrum Screening Questionnaire. ^bAttention-Deficit/Hyperactivity Disorder Rating Scale. ^c“Effortful control” Subscale of the Early Adolescent Temperament Questionnaire-Revised Self-Report. ^dStrengths and Difficulties Questionnaire. *** p <0.001.

EC scores and low scores across the other measures. Class 2 (n=792, 30.89%), labeled “Moderate”, exhibited intermediate scores across all measures, falling between those of the other two clusters.

The ANOVA revealed significant differences across all measures (Table 4). Post-hoc analyses indicated that the three groups differed in the severity of autism and ADHD traits, EC, and mental health problems pre- and post-transition. Similar to the GEE findings, students with higher autism and ADHD traits and lower EC exhibited more severe mental health problems during the transition. Furthermore, these results suggest that the transition to junior high school may exacerbate pre-existing difficulties. To further examine the variability in mental health changes during the transition period for each class, we plotted the individual score changes (Fig. 2). Moderate (Classes 2) and low risk (class 3) showed relatively consistent patterns, with most students experiencing minimal changes in their mental health problems. Although high risk (Class 1) also showed stable

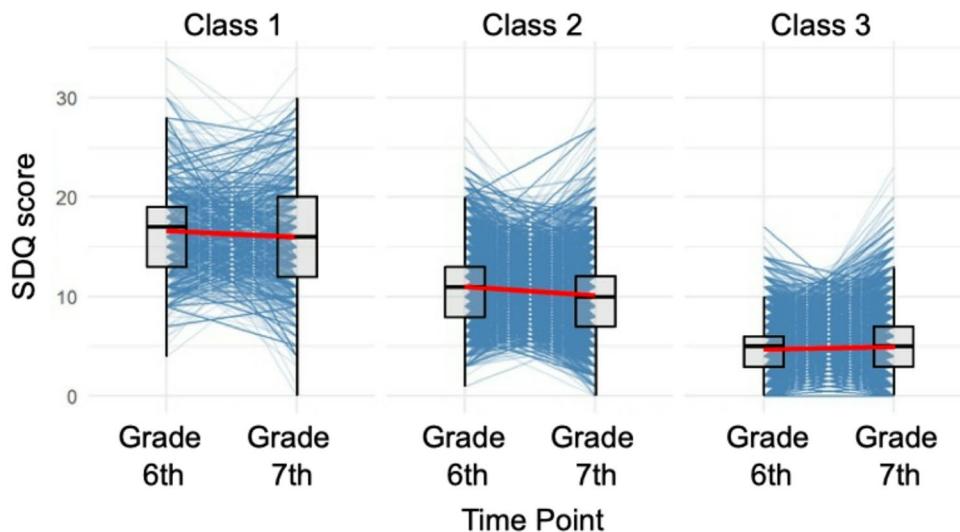


Fig. 2. Individual trajectories of SDQ from grade 6 to grade 7 by latent profile class. SDQ Strengths and Difficulties Questionnaire. The blue lines show individual student trajectories. The red lines indicate the mean SDQ score at each time point. The box plots show the distribution of scores (median, quartiles, and range) within each class at each time point.

average scores before and after the transition, it demonstrated significantly greater variability in individual change scores than the other classes, as reflected by the wider boxplots at both time points (Fig. 2). In high risk (Class 1), most students showed moderate changes, whereas a notable minority demonstrated either significant improvement or deterioration in their mental health.

Discussion

This study aimed to clarify how autism, ADHD traits, and EC are associated with mental health during the transition from elementary to junior high school in a community sample of Japanese students. The GEE revealed that higher EC in 6th grade were associated with lower levels of mental health problems, whereas autism and ADHD traits were associated with higher levels of mental health problems. The LPA identified three distinct classes characterized by high, moderate, and low levels of mental health problems. ANOVA results indicated that students with high autism and ADHD traits and low EC had high levels of mental health problems pre-and post-transition.

Risk factors for mental health problem during school transition

This study showed that higher neurodevelopmental traits and lower EC were associated with more severe mental health problems during the school transition period. Both autism and ADHD traits were positively associated with mental health difficulties during the transition period, consistent with previous research indicating increased school adjustment problems among students with elevated neurodevelopmental traits^{68–70}. The results of this study also support research showing that higher ASD traits are associated with lower QoL during the transition to junior high school in a general population sample⁴². Notably, the GEE results in this study showed that autism traits had stronger associations than ADHD traits, supporting previous findings of heightened vulnerability to transitional changes and difficulties establishing complex peer relationships in secondary school among individuals with autism traits^{44,71,72}. One possible explanation is that, although both autism and ADHD traits are known to be associated with peer problems, the underlying causes differ, which may lead to differences in the strength of these associations⁷³. Autism traits involve fundamental difficulties in social cognition and implicit social processing^{73,74}, which may lead to more persistent peer problems. By contrast, ADHD traits, which affect attention and impulse control, may cause situational peer difficulties^{73,75}. As our model controlled for effortful control (EC), which overlaps conceptually with attentional control, the unique contribution of ADHD traits may have been partially accounted for by EC. In addition, the relationship between ADHD traits and adjustment during school transition appears to be more complex. Environmental changes associated with the transition to middle school⁷⁶ temporarily disrupt the natural age-related decline in ADHD traits³². However, this declining trend resumes after the transition, suggesting that an adjustment process follows the temporary disruption³². Although this decline generally resumes after adjustment, our findings indicate that higher ADHD traits were independently associated with greater mental health difficulties, even after controlling for autism traits and EC. This supports prior research linking ADHD symptoms to academic challenges and maladaptive strategies during the transition^{33,34}. While ADHD and autism traits often co-occur^{36,37}, the current results highlight the independent contribution of ADHD traits to student outcomes, emphasizing the need for tailored support strategies that address both overlapping and distinct profiles of neurodevelopmental risk. Additionally, lower EC was associated with more severe mental health problems. Compared to elementary schools, junior high schools not only have more subjects and mobile classrooms but also have a subject-teacher system instead

of a classroom-teacher system. This shift greatly increases students' autonomy, including the management of homework and submissions, and students are also expected to respond to these needs^{4,5}. Numerous studies have reported a link between school adjustment and EC^{21,22}. Our findings suggest that students with stronger EC capabilities are better equipped to meet these demands. Furthermore, sex was also significantly associated with changes in SDQ scores, with higher scores observed in females. This finding is consistent with previous studies reporting higher rates of internalizing problems among adolescent girls⁷⁷. These results reflect well-established gender differences in adolescent mental health.

LPA identified distinct groups of students characterized by varying combinations of autism and ADHD traits and EC levels. Notably, mental health problems were stable during the transition among all groups, indicating that sixth-grade mental health status tended to persist in the first year of junior high school. This suggests that students with high autism and ADHD traits and low EC do not necessarily experience worsening mental health problems during the transition period; rather, pre-existing mental health challenges persist throughout the transition period. However, although the group with high autism and ADHD traits and lower EC (Class 1; high risk) showed stability in mental health problems during the transition period (Table 4), they demonstrated the largest variability in both scores and their changes compared with the other groups (Fig. 2). This group included many students who showed either remarkable improvement or significant deterioration. These results provide an important insight: Although autism and ADHD traits and EC were associated with post-transition adaptation, which largely manifests as a continuation of previous problems, students with high autism and ADHD traits and low EC demonstrate highly diverse adaptation patterns after entering junior high school, with considerable individual variation. This supports previous inconsistent results from clinical sample studies on autism students and transition, which have reported various outcomes, including improvement^{45,78,79}, deterioration⁴⁴, and no change^{46,80}. These findings suggest that the association between autism traits and transition is not deterministic. Students with high autism traits do not necessarily experience transition failure or deterioration in post-transition mental health. Although autistic students may face an increased risk of bullying victimization⁸¹ and school adaptation difficulties due to sensory sensitivities^{44,82}, some successfully establish appropriate peer relationships, as demonstrated by Kasari and colleagues^{83,84}. Additionally, individual factors such as autism traits alone do not determine mental health problems during the transition, as social contextual factors may also play a role. For instance, research has shown that social contextual factors mediate the relationship between autism traits and mental health outcomes⁷⁰. Moreover, previous studies have suggested that individual characteristics (e.g., coping skills, resilience, sleep quality), familial functioning, and school-related aspects (e.g., physical learning environment, peer understanding) are associated with psychosocial adjustment in autistic students^{43,85,86}. These factors could help explain the diverse adaptation trajectories observed in students with elevated autism traits. Given the diverse individual adaptation patterns, it may be necessary to pay attention to students who exceed a certain threshold for autism traits or who meet the diagnostic criteria. These students may require attention not only to individual factors, such as sensory features, social skills, and self-regulation, but also to their social context and environmental support for interventions aimed at improving both initial and post-transition school adjustment.

The timing of the assessment may explain these results. In particular, the timing of post-transition evaluation may have overlooked the acute transition effect. For instance, Dillon and Underwood⁷¹ found that parents of autistic students reported significant challenges primarily during the immediate transition phase (pre-transition and first semester). Similarly, studies with general population samples have shown improvements in QoL, mental health, and a sense of school belonging by the second semester of the first year after transition. Given that the impact of transition on students begins up to two years before school transition⁸⁷, our findings highlight the importance of early intervention and support for mental health problems. To better understand transition dynamics, future studies should examine a longer time period—two years before and after the transition—with particular attention paid to the periods immediately before and after the transition. Such extended longitudinal data would provide more comprehensive insights into the trajectory of adjustment and the optimal timing for support interventions.

Changes in mental health during the transition

GEE analysis revealed that mental health problems did not change significantly during the transition period, even though school transitions are often considered challenging owing to the need to adjust for important changes such as school structure. This result is consistent with the previous studies suggesting that certain aspects of psychological adjustment, such as self-esteem and QoL, may improve during early adolescence. For example, Wigfield et al.⁸⁸ reported an increase in self-esteem during the first year of junior high school after transition, and Whelan et al.⁴² found improved QoL following school transition in a general population sample. However, studies have shown that behavioral problems increase during adolescence^{89,90}. These contradictory results may stem from parents' assessment of mental health problems in this study. Given that adolescents are transitioning from parental to peer dependence⁹¹, parents may underestimate their mental health issues⁹². These contradictions highlight the importance of incorporating multiple perspectives when assessing adolescents' mental health. Future research should include parent and teacher reports as well as self-reports to capture a more comprehensive view of adjustment during this critical transition period.

Strengths and limitations

This study has certain strengths. First, this study examined the association between autism traits and transition in a general population sample, and between autism and ADHD traits and EC deficits in a large community sample. The association between autism traits and transition has only been examined in a few studies with limited sample sizes^{42,43}. Additionally, this study revealed that students with high autism and ADHD traits and low EC exhibited diverse adaptations during the transition period. Second, early identification and support

(e.g., sharing information about individuals with difficulties from elementary to junior high school) of students with high autism and ADHD traits and EC deficits is critical for preventing the persistence of mental health problems throughout this critical educational transition. In this study, post-transition outcomes were obtained in the second semester after the summer vacation period in Japan. This period is considered a time when truancy and suicide are likely to increase, and maladjusted conditions at school are likely to become apparent^{93,94}. In this regard, the identification of the relationship between neurodevelopmental traits and mental health at a time when problems are likely to become apparent after the transition will be very important for follow-up and support before and after the transition to middle school in the recent situation in which many students with developmental disability traits are enrolled in mainstream schools. Therefore, this study has important implications for follow-up and support before and after the transition to junior high school.

This study also has a number of limitations. First, mental health was the only outcome variable collected. Previous studies on the transition in samples with autism traits have examined multiple domains, including academic achievement, mental health, and relationships with teachers, as indicators of a successful transition^{42,95}. Thus, although our findings suggest that autism traits can worsen mental health during a transition, these results are insufficient to determine whether the transition was unsuccessful. Second, our data did not include self-reported mental health measures. Self-reporting provides crucial information about mental health in adolescents^{92,96}. Third, we cannot rule out the possibility that unmeasured variables influenced the mental health outcomes observed in this study. Factors such as socioeconomic status, availability of school-based support, and relationships between teachers and parents may have played a role in shaping students' experiences during the transition period^{95,97}. Fourth, our sample was limited to public schools following the standard Japanese educational system. Private schools and integrated schools, which may provide different transition experiences, were not included. Additionally, students with chronic absenteeism could not be assessed, potentially excluding those with the most severe adjustment difficulties. Future research should consider alternative data collection methods to include these vulnerable populations. Finally, although this study utilized a large community-based sample, it was limited to a specific region of Japan. As we could not examine whether the data in this study are representative of Japanese adolescents, the study has limitations regarding the generalizability of our findings to other countries and regions in Japan. Future research should address these limitations by incorporating diverse outcomes, self- and multi-informant data and data from broader regions to better understand transitions in adolescents with neurodevelopmental traits.

Conclusion

This study aimed to clarify how autism and ADHD traits and EC are associated with mental health during the transition from elementary to junior high school. The results revealed that higher EC in 6th grade were associated with fewer mental health problems, whereas autism and ADHD traits were associated with more mental health problems across the transition period, regardless of the overall change over time. Our findings suggest that pre-existing mental health problems tend to persist during the transition period. Furthermore, students with higher autism and ADHD traits and lower EC showed highly diverse adaptation patterns after entering junior high school with considerable individual variation. These findings suggest that students with high autism traits do not necessarily experience transition failure or deterioration in their post-transition mental health.

Data availability

The dataset used and/or analysed during the present study is available from the corresponding author upon reasonable request.

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Author contributions

The study conception and design were performed by Hiroyuki Mori, Michio Takahashi, Masaki Adachi, Hiroki Shinkawa, Makoto Osada, Minami Adachi, and Kazuhiko Nakamura. Material preparation and data collection were performed by Hiroyuki Mori, Michio Takahashi, Masaki Adachi, and Hiroki Shinkawa. Data analyses were performed by Rei Monden. The first draft of the manuscript was written by Hiroyuki Mori. Michio Takahashi, Masaki Adachi, Hiroki Shinkawa, and Tomoya Hirota critically reviewed the manuscript. All authors commented on previous versions of the manuscript. All authors have read and approved the final manuscript.

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Declarations

Competing interests

The authors declare no competing interests.

Ethics approval

Informed consent was obtained from legal guardians. Informed assent was obtained from the children participating in the study. The study was approved by the Medical Ethics Committee of Hirosaki University Graduate School of Medicine (IRB# 2015–055).

Consent for publication

Consent for publication was obtained from the participants.

Additional information

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