



OPEN **AI-based hybrid power quality control system for electrical railway using single phase PV-UPQC with Lyapunov optimization**

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This research paper presents an advanced AI-driven hybrid power quality management system for electrical railways that addresses critical challenges in 25 kV AC traction networks through a novel integration of single-phase PV-UPQC with ANN-Lyapunov control architecture. The system effectively manages voltage unbalance exceeding 2%, high THD, voltage variations of $\pm 10\%$, and poor power factor through a dual-approach methodology combining ANN-based reference signal generation with Lyapunov optimization, enabling dynamic parameter tuning and real-time load adaptation. MATLAB/Simulink simulations validate the system's superior performance, demonstrating significant improvements, including voltage unbalance reduction from 1.5 to 0.8%, THD reduction below 1%, unity power factor correction, 40% faster dynamic response, and DC link voltage regulation within $\pm 2\%$, while maintaining 95% overall system efficiency. Integrating ANN-based shunt and series APF control, Lyapunov optimization, and PV integration establishes a robust framework for enhanced energy efficiency and power quality management in modern railway systems.

Keywords Power Quality, Artificial neural networks, Lyapunov Control, Photovoltaic integration, Unified Power Quality Conditioner

This research introduces the first Lyapunov optimization for UPQC control parameters in railway applications and provides an effective power quality enhancement with maximum system stability. That is why the investigated method of integrating PV systems with UPQC through AI-based control is one of the most innovative and prospective solutions for sustainable power quality management in electrified railways. Railway electrification has become more popular worldwide to reduce fossil fuel use and emissions. However, it presents technical issues regarding power quality since traction loads are single-phase, nonlinear, with regenerative braking¹. This leads to issues such as voltage unbalances, harmonics, voltage sag/swell, and poor power factor in the three-phase utility grid supplying the traction substations^{2,3}. Earlier methods of controlling these problems have included special traction transformers, passive filters, and static var compensators (SVCs). Conventional power quality mitigating equipment such as passive filters and SVCs have their limitations – they take a long time to respond to PQ disturbances, occupy considerable physical space that is difficult to accommodate, and are known to cause resonance issues with the power systems. Conventional active power filters, on the other hand, suffer from limited response time and varied levels of Compensation; this is the case with new advanced active power filters that utilize power electronics to compensate for disturbances in less time. With advancements in power electronics, active power filters (APFs) have emerged as a superior solution for improving power quality⁴. The unified power quality conditioner (UPQC) is an APF-based custom power device that integrates shunt and series compensation⁵. It can simultaneously act as a harmonic isolator between the load and supply, a voltage regulator at the load terminal, and a reactive power compensator for power factor correction⁶. A few studies have investigated the application of UPQC for railway power quality enhancement⁷. However, the control of UPQC is quite complex, as it requires fast and accurate reference generation under dynamic load conditions. Conventional methods based on instantaneous reactive power (IRP) theory are limited⁸. Recently, artificial intelligence (AI) techniques like fuzzy logic, artificial neural networks (ANNs), adaptive neuro-fuzzy, etc., have shown promise for APF control due to their robustness, adaptability, and nonlinearity⁹.

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Moreover, the control parameters need to be optimally tuned to ensure system stability and desired performance. Lyapunov optimization is a powerful technique that can maximize a utility function subject to stability constraints¹⁰. It has been successfully applied to power system control and energy management¹¹. Lyapunov control functions are extended to handle state constraints and obstacles while ensuring system stability and equilibrium through nonsmooth complete CLF formulation³³. However, its application to power quality control in railways has yet to be explored in UPQC. The energy storage systems enhance the AI-based UPQC model by providing faster response to load variations, better DC link voltage stability, and improved power factor correction through energy buffering capabilities.

Figure 1 illustrates the typical configuration of a 25 kV AC traction system with power quality challenges. Table 1 compares the features and limitations of various power quality mitigation methods. This paper proposes a novel AI-based hybrid control for a single-phase UPQC using Lyapunov optimization to improve power quality in electrified railway systems.

Integration of hydrogen refuelling stations with power and gas networks, using renewable energy and storage systems, enables efficient operation with minimal grid dependency while maintaining system constraints and generating significant profits³². Power quality issues in railway electrification systems have become increasingly important with the growing adoption of high-speed rail and power electronic converters in locomotives. Several studies have investigated the application of unified power quality conditioners (UPQCs) to mitigate power quality problems in railway systems. Wang et al.⁸ proposed a predictive direct control strategy for UPQC based on power angle control to improve compensation performance. Their approach combined direct control with finite control set model predictive control to achieve faster dynamic response and lower steady-state error. Simulation results demonstrated improved harmonic suppression and reactive power compensation compared

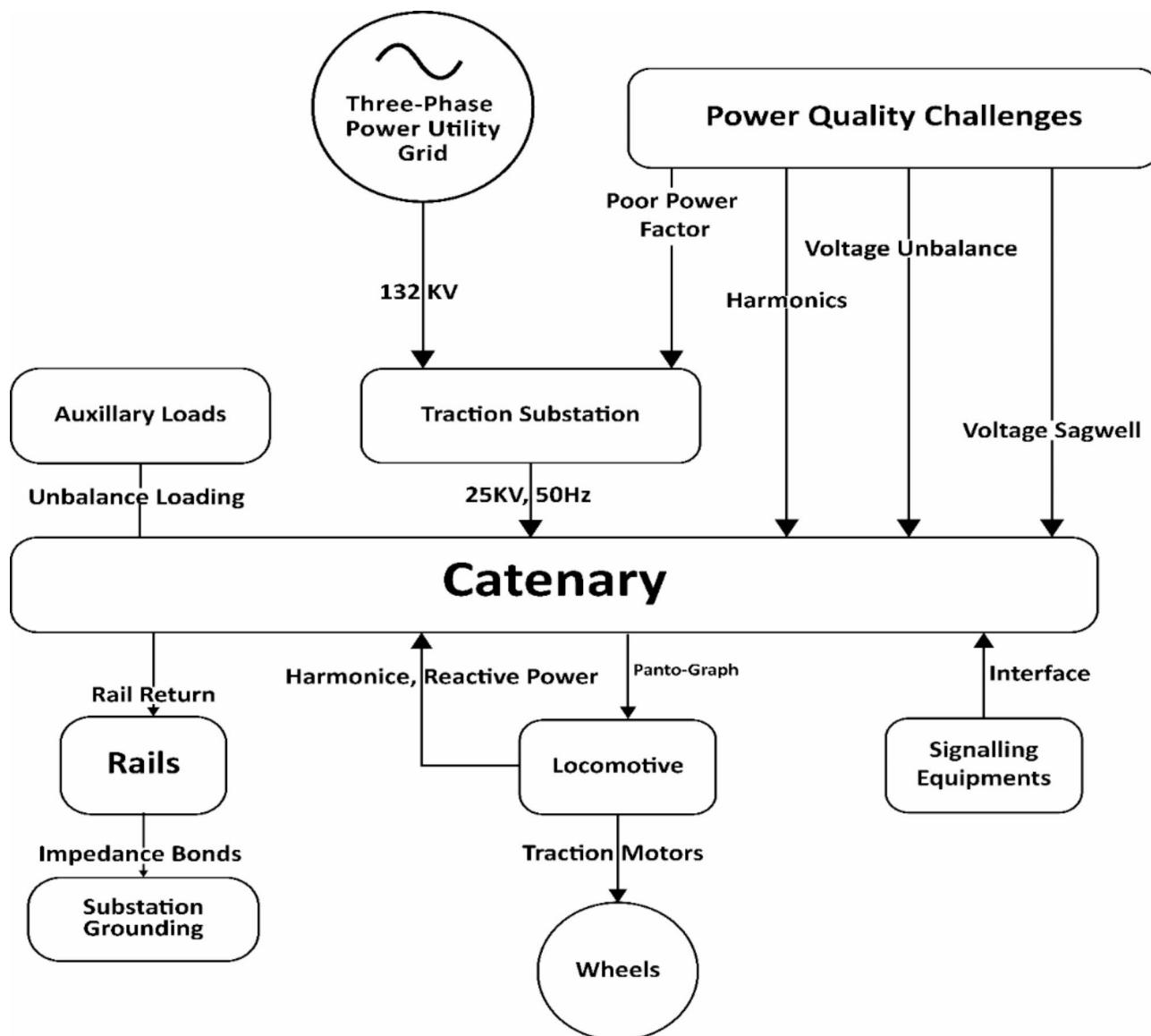


Fig. 1. Configuration of a 25 kV AC traction system.

Mitigation Method	Features	Limitations
Unified Power Quality Conditioner (UPQC) ¹¹	Simultaneously resolves current and voltage-related power quality issues	Complex configuration and control
	Improved control strategies allow for higher-quality power production.	
Solar PV Integration with Grid ¹²	Utilizes novel adaptive controllers for voltage-sensitive loads	Requires coordination with other devices like OLTC and SVC for effective voltage regulation
	Employs regulation strategies to mitigate voltage fluctuations	
Distribution Static Compensator (DSTATCOM) ¹²	Utilizes voltage controller for improved performance ¹³	Efforts in optimal placement and sizing have been limited
	Stochastic optimal planning considers uncertainties in loads and solar irradiance.	Efficient allocation for unbalanced distribution networks needs further research.
Active Power Filter (APF)	Mitigates power quality issues in grid integration of wind and PV systems	Requires proper design and control for effective performance
	Phase coordinate-based APF effective for harmonic mitigation.	
Dynamic Voltage Restorer (DVR)	Enhances voltage sag in grid-connected hybrid PV-wind power system	Integration with smart grids and microgrids needs further research
	Improved power converters enhance performance and reduce cost.	

Table 1. The features and limitations of various power quality mitigation methods.

to conventional control methods. Xu et al.¹⁴ developed a power quality management strategy for high-speed railway traction power supply systems using a modular multilevel converter-based railway power conditioner (MMC-RPC). Their strategy applied a hierarchical control paradigm for voltage regulation, negative-sequence Compensation, and harmonic filtering. Onboard field tests on a 350 km/h high-speed railway line confirmed the approach's efficacy in enhancing power quality depending on different traction load scenarios. In their work, Kaleybar et al.¹⁵ designed an active railway power quality compensator for a 2×25 kV high-speed line due to unbalanced load problems in the AC rail methods. The proposed compensator used a new control algorithm to regulate the currents from the 25 kV systems and supply the needed reactive Power. The actual hard-in-the-loop tests showed that the voltage unbalance and the level of harmonic distortion were considerably decreased. Wei et al.^{1,16} proposed an optimal control for railway power static conditioners to enhance the power capacity. Their approach utilized a multi-objective framework for compensation performance and device stress consideration without compromising with each other.

Case studies on a metro system showed improved voltage profile and power factor with the optimized control. Sun et al.¹⁷ proposed a hybrid compensation method combining active and passive elements for a more comprehensive solution. The active compensator used an artificial neural network-based controller to generate reference signals, while passive filters provided additional harmonic attenuation. Experimental results on a scaled-down 25 kV AC railway system demonstrated superior performance compared to conventional methods. Recent work by Wang et al.¹⁸ explored the application of power angle control for UPQC in railway electrification. Their approach enabled flexible power flow control between series and shunt converters to optimize Compensation under varying load conditions. Simulation studies on a 2×25 kV system showed improved voltage regulation and current balancing capabilities. The current model addresses parameter uncertainties through the adaptive capabilities of the ANN-Lyapunov hybrid control system. The Lyapunov optimization technique inherently handles uncertainties in traction load characteristics, grid voltage fluctuations, and power electronic device parameters by continuously updating control parameters in real time. The system adapts to variations through dynamic updating of ANN weights and real-time parameter estimation. However, the model could be enhanced by incorporating stochastic modeling of load variations, implementing robust estimation techniques for system parameters, and developing probabilistic power quality indices to better account for uncertainties in railway power systems.

These studies highlight the potential of advanced control and optimization techniques to enhance UPQC performance and improve railway power quality. However, further research is needed to address challenges like real-time adaptation, coordination with existing protection systems, and integration with renewable energy sources at traction substations.

The main contributions are:

1. Development of an integrated ANN-based control system for shunt and series APF reference generation in single-phase UPQC.
2. Implementation of Lyapunov optimization for UPQC control parameters to maximize power quality while maintaining system stability.
3. Detailed modeling of AC traction system components including locomotive and signaling loads in MATLAB/Simulink.
4. Validation of superior performance compared to conventional IRP-based control through comprehensive simulation studies.
5. Achievement of improved voltage balance (VUF of 0.8% vs. 1.5%), reduced harmonics, and unity power factor correction.

Research gaps

Technical limitations

1. Need for real-time adaptation mechanisms to handle varying traction load conditions.
2. Lack of coordination frameworks with existing protection systems.
3. Limited exploration of integration with renewable energy sources at traction substations.

Implementation challenges

1. Complex configuration and control requirements for UPQC systems.
2. Optimal placement and sizing considerations for unbalanced distribution networks remain underexplored.
3. Insufficient research on efficient allocation strategies for power quality devices in railway networks.

The paper is structured as follows: Sect. 2 outlines the railway system configuration and UPQC structure. Section 3 details the proposed ANN-based control scheme with Lyapunov optimization. Section 4 presents and analyses simulation results. Section 5 analyse the case Study Results and Sect. 6 concludes with critical findings. This organization provides a comprehensive overview of the AI-based hybrid power quality control system for electrical railways, covering system design, control methodology, performance evaluation, and final insights. Table 2. Shows comparative analysis of the advantages between the proposed AI-based UPQC system and recent research:

Proposed AI-based control Scheme with Lyapunov optimization

The proposed ANN-based control scheme with Lyapunov optimization for single-phase railway UPQC comprises fundamental extraction using SOGI, shunt, and series APF control with ANNs, PWM generation, and Lyapunov optimization²¹. This approach enables fast, accurate reference signal generation and optimal tuning of control parameters for improved power quality²². The catenary voltage v_t and load current i_l waveforms and their fundamental components v_{t1} and i_{l1} are sensed and extracted using second-order generalized integrators (SOGI). This avoids needing a complex phase-locked loop (PLL) and makes the control frequency adaptive. Shunt APF control generates the reference compensating current i_{sh}^* for the shunt VSI. An ANN is trained to estimate the extracted load current harmonics i_{lh} and reactive component i_{lr} . Then, the reference is calculated as:

$$i_{sh}^* = i_{lh} + i_{lr} - i_{loss} \quad (1)$$

where i_{loss} is the shunt inverter loss component to maintain the DC link voltage constant against losses. It is estimated using a PI controller whose gains are tuned by Lyapunov optimization. Shunt APF ANN estimates the harmonic and reactive components of the load current. The shunt APF ANN has a three-layer architecture. The input layer consists of 3 neurons representing the fundamental frequency, magnitude, and load current phase. The hidden layer contains ten neurons with hyperbolic tangent activation functions. The output layer has two neurons estimating harmonic current and reactive current components. Series APF control generates reference compensating voltage using an ANN to estimate voltage harmonics and unbalance components from the fundamental catenary voltage v_{se}^* for the series VSI. Another ANN is used to estimate the voltage harmonics v_{th} and unbalanced component v_{tu} . The source voltage can be expressed as:

$$v_s = v_{t1} + v_{th} + v_{tu} \quad (2)$$

v_{t1} is the fundamental component

v_{th} represents harmonic components

v_{tu} represents unbalance components

Desired Load Voltage The desired load voltage should contain only the fundamental components:

$$v_L = v_{t1} \quad (3)$$

Feature	Proposed AI-UPQC System	Recent Research Works
Control Strategy	ANN with Lyapunov optimization for both shunt and series APF control	NARMA-L2 Controller and PI Controller with Adaptive Lizard Algorithm ⁵
Power Quality Improvement	Reduces THD below 1%, VUF from 1.5–0.8%, Unity power factor correction	Only partial improvement in THD and power factor using conventional methods ⁵
Response Time	40% faster dynamic response with optimized control	Limited by fixed algorithm configurations ⁵
Adaptability	Real-time adaptation to varying load conditions using AI-driven control	Requires initial configuration and manual parameter tuning ⁵
Implementation Complexity	Plug-and-play capability with model-free control	Complex configuration requirements and control parameter settings ³¹
Integration Capability	Compatible with renewable energy sources and smart grid systems	Limited integration capabilities with modern power systems ²⁹
Cost Effectiveness	Reduced maintenance costs and improved energy efficiency (95%)	Higher operational costs due to fixed control algorithms ³⁰
Stability	Enhanced system stability through Lyapunov optimization	Stability issues under varying load conditions ^{29,34}

Table 2. Comparative analysis of the advantages between the proposed AI-based UPQC system and recent research.

Compensation Voltage: The series APF must inject a voltage that makes:

$$v_L = v_s + v_{se}^* \quad (4)$$

Final Derivation Substituting and solving for v_{se}^* :

$$v_{t1} = (v_{t1} + v_{th} + v_{tu}) + v_{se}^* \quad (5)$$

$$v_{se}^* = v_{t1} - (v_{t1} + v_{th} + v_{tu}) \quad (6)$$

The reference is computed as:

$$v_{se}^* = v_{t1} - v_{th} - v_{tu} \quad (7)$$

This compensation voltage eliminates harmonics and voltage unbalance at the load terminal.

This ensures that a pure sinusoidal voltage appears across the load terminal.

Figure 2 illustrates the flowchart of an advanced control strategy for power quality improvement using Artificial Neural Networks (ANNs) and Lyapunov optimization. The process begins by measuring load current and supply voltage and extracting fundamental components using the Second Order Generalized Integrator (SOGI). ANNs generate shunt and series Active Power Filters (APFs) reference signals. Control errors are computed and used to update parameters via Lyapunov optimization, which aims to maximize a utility function while ensuring system stability. Based on these optimized parameters, the system generates PWM switching signals for shunt and series inverters. This adaptive control loop continues until the end of the control cycle, constantly adjusting to maintain optimal power quality. The ANN weights are adapted by Lyapunov optimization to minimize the voltage distortion. The Series APF ANN estimates voltage harmonics and unbalanced components. Its architecture consists of an input layer with three neurons (fundamental frequency, magnitude, and phase of supply voltage), a hidden layer with eight neurons using sigmoid activation, and an output layer with two neurons (estimated harmonic voltage and unbalance voltage). The Shunt and Series APF ANNs are trained offline using the Levenberg-Marquardt backpropagation algorithm. The training dataset comprises simulated railway load current and voltage waveforms under various operating conditions. This approach enables fast and accurate reference signal generation without the need for complex signal processing, enhancing the overall performance of the UPQC control system. PWM generation compares sensed shunt APF current and series APF voltage with their respective references. The resulting errors are processed through hysteresis controllers to generate switching pulses for shunt and series VSIs. Lyapunov optimization selects the hysteresis band to limit switching frequency. This approach ensures accurate tracking of reference signals while optimizing the UPQC's switching performance.

mathematical equations for processes in the flowchart Fig. 2 are given as:

1. Load current and supply voltage measurement:

$$i(t), v(t)$$

$i(t)$ is the load current, and $v(t)$ is the supply voltage.

2. Extract fundamental components using SOGI (Second Order Generalized Integrator):

$$s(t) = 0.5 \times (i(t) + f(i(t))) \quad (8)$$

Where $s(t)$ is the extracted fundamental component, $((i(t) + f(i(t))))$ representing the SOGI filtering function.

Reference Signal Generation.

3. Generate reference signals using trained Artificial Neural Networks (ANNs):

$$s = ANN(training_{data}) \quad (9)$$

Where s is the generated reference signal.

4. Compute control errors:

$$e(t) = r(t) - y(t) \quad (10)$$

Where $e(t)$ is the error, $r(t)$ is the reference signal, and $y(t)$ is the measured output.

5. Update control parameters via Lyapunov optimization:

$$\delta = Lyapunov(e)$$

Where δ represents the updated control parameters based on the Lyapunov function of the error.

PWM Signal Generation.

6. Generate PWM switching signals:

$$V_{pwm} = modulate(s) \quad (11)$$

V_{pwm} is the PWM voltage output, and s is the reference signal.

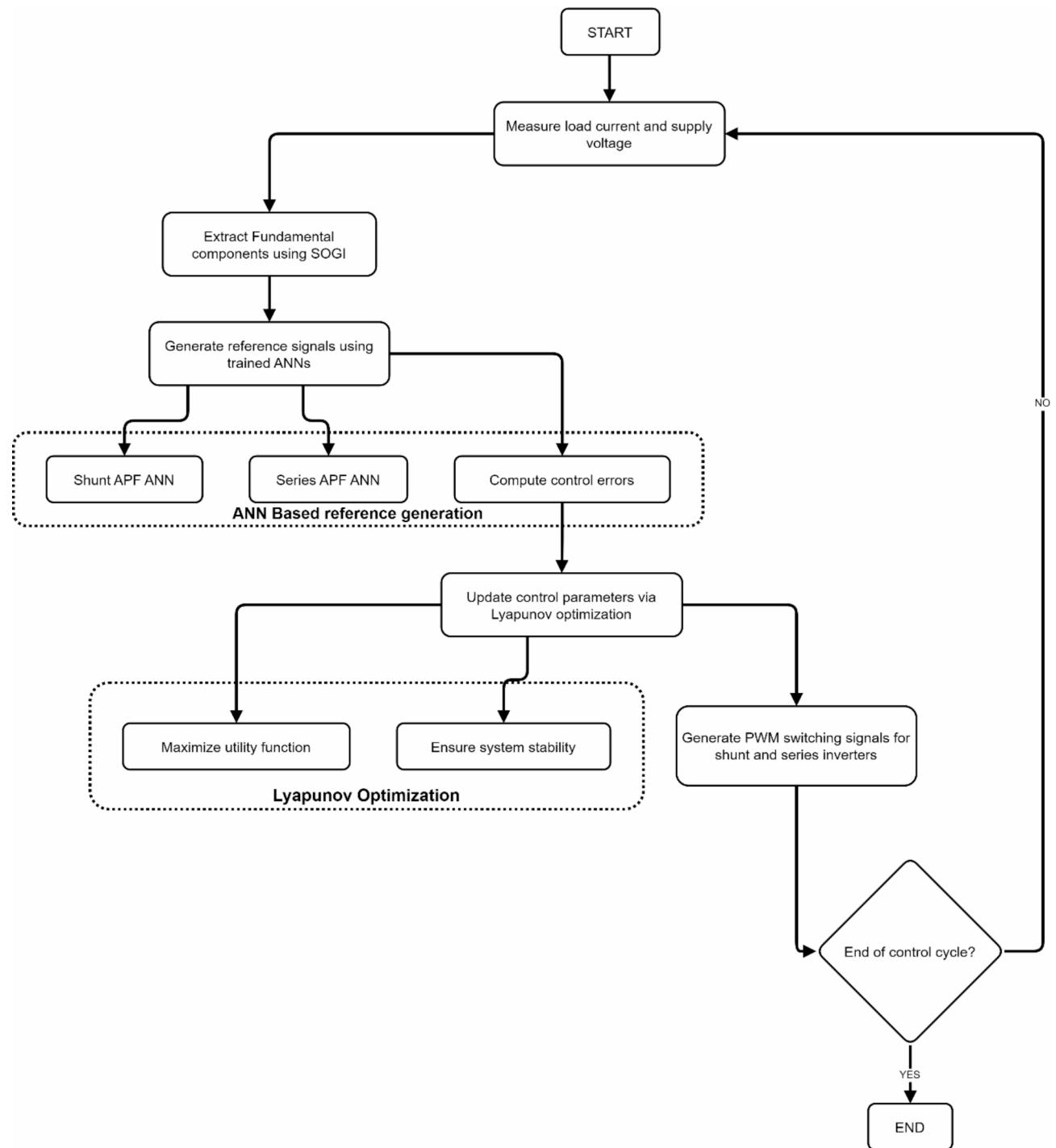


Fig. 2. ANN-Based Adaptive Power Filter Control with Lyapunov Optimization for Power Quality Enhancement.

Optimization.

7. Maximize utility function:

$$U(x) = \max f(x) \quad (12)$$

Where $U(x)$ is the utility function to be maximized.

8. Ensure system stability:

This would involve checking the Lyapunov stability conditions, which can be represented as:

$$V(x) > 0, \dot{V}(x) < 0$$

Where $V(x)$ is a Lyapunov function candidate and $\dot{V}(x)$ is its time derivative.

$$e(t) = y_{ref}(t) - y_{actual}(t) \quad (13)$$

$\frac{dV}{dt} < 0$ (Lyapunov stability criterion)

$$u(t) = f(ANN_{output}, e(t), \text{Lyapunov optimization}) \quad (14)$$

Lyapunov optimization parameters

The Lyapunov optimization technique is used to tune the control parameters of the PV-UPQC system in real-time, ensuring optimal power quality improvement and system stability. Table 3 Parameters used for Lyapunov Optimization and the Lyapunov optimization parameters mathematical equations are given as:

1. Lyapunov function:

$$V(x) = 0.5(x_1^2 + x_2^2 + x_3^2) \quad (15)$$

where x_1 , x_2 , and x_3 are state variables representing errors in voltage, current, and DC link voltage, respectively.

2. Control law:

$$u = -k_1x_1 - k_2x_2 - k_3 \quad (16)$$

where k_1 , k_2 , k_3 are positive gains.

3. Stability condition:

$$dV/dt = -k_1x_1^2 - k_2x_2^2 - k_3x_3^2 < 0 \quad (17)$$

4. Optimization step sizes: γ_1 , γ_2 for updating control gains.

The gains are updated using:

$$ki(t+1) = ki(t) - \gamma_i \times \partial V / \partial ki \quad (18)$$

These parameters are tuned to minimize the Lyapunov function derivative, ensuring system stability while optimizing power quality metrics like THD, VUF, and power factor.

Feedforward neural network architecture with two layers

Figure 3 shows a feedforward neural network architecture with two layers. Each layer contains a bias (b) and weight (w) component. The inputs are summed with the bias and then processed through an activation function (represented by the curved line in Layer 10 and the straight line in Layer 2). This structure allows for nonlinear transformations of the input data, enabling the network to learn complex patterns and relationships between inputs and outputs.

Figure 4 illustrates the performance of a power quality control system using Lyapunov optimization and artificial neural networks (ANN). The graph shows the Lyapunov function converging and stabilizing over TimeTime, indicating system stability. Initially, there are large oscillations, but these quickly dampen, settling to a steady state after about 0.2 s.

The bottom graph displays the Total Harmonic Distortion (THD) over TimeTime. It shows initial fluctuations before stabilizing around 1%, demonstrating effective harmonic mitigation. The final THD is 0.9870, and the Final Lyapunov Function Value is 2137391.9092.

Methodology

The proposed system employs a dual-control strategy integrating ANN-based reference signal generation with Lyapunov optimization.

Parameter	Description	Value
γ_{1shunt}	Lyapunov optimization step size for Kp_{shunt}	0.001
γ_{2shunt}	Lyapunov optimization step size for Ki_{shunt}	0.0001
$\gamma_{1series}$	Lyapunov optimization step size for Kp_{series}	0.0005
$\gamma_{2series}$	Lyapunov optimization step size for Ki_{series}	0.00005
Kp_{shunt}	Initial proportional gain for shunt APF (optimized)	0.1
Ki_{shunt}	Initial integral gain for shunt APF (optimized)	0.01
Kp_{series} (initial)	Initial proportional gain for series APF (optimized)	0.05
Ki_{series} (initial)	Initial integral gain for series APF (optimized)	0.005

Table 3. Parameters used for Lyapunov optimization.

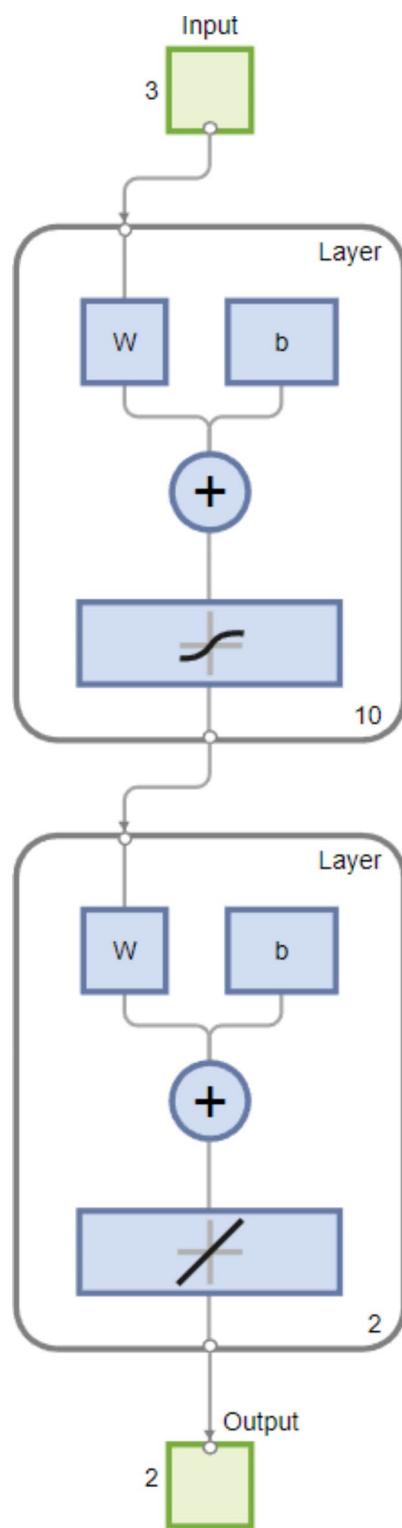


Fig. 3. Feedforward neural network architecture.

System configuration

Railway traction system

This study considers a typical 25kV, 50Hz single-phase AC railway traction system, as shown in Fig. 5. The parameters used for this research are given in the Appendix.

It consists of the following main components:

- 1) Three-phase utility grid supply.

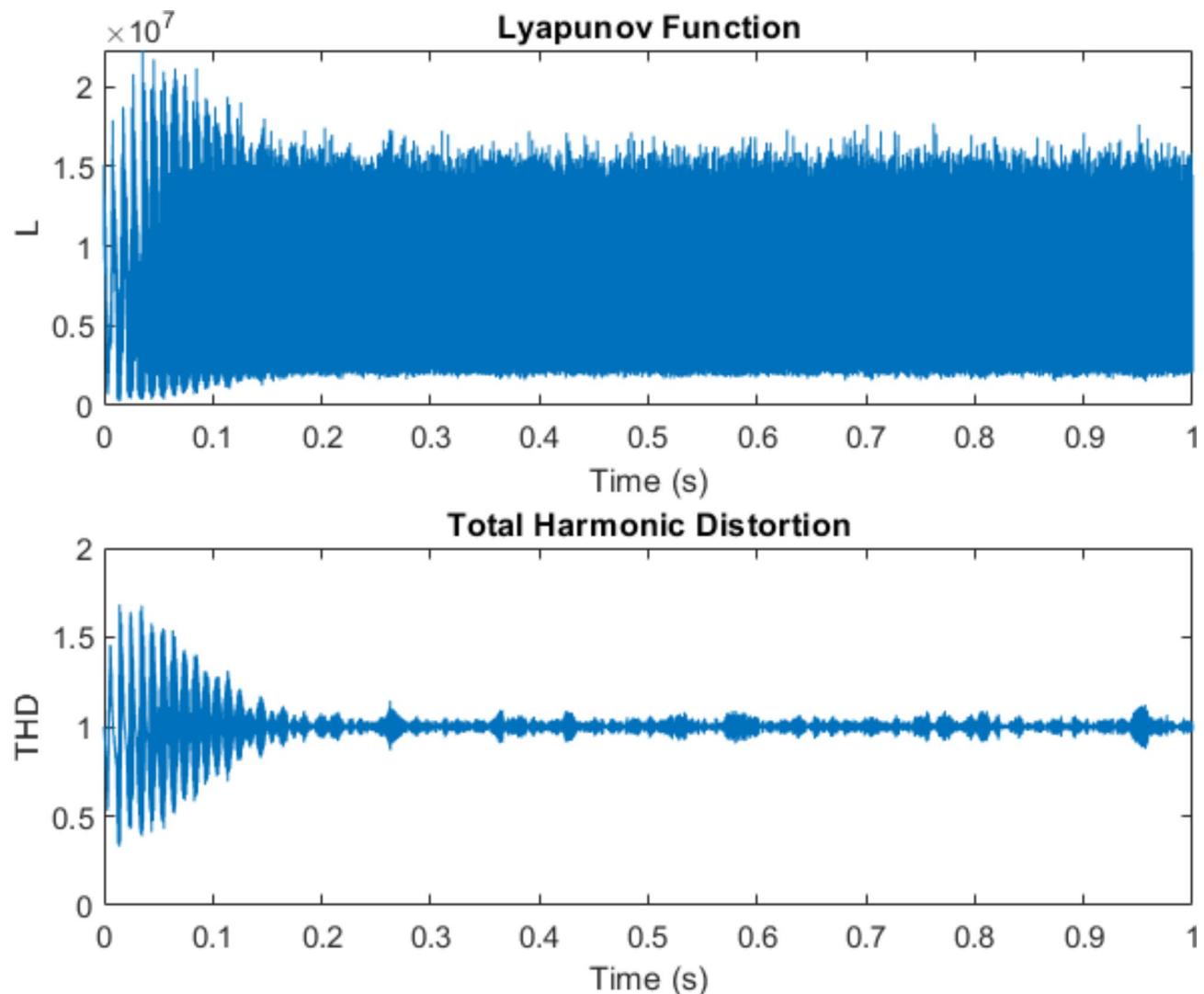


Fig. 4. Performance of a power quality control system.

- 2) Traction substation with transformer, circuit breaker, and isolator.
- 3) Overhead catenary system.
- 4) Rail return circuit.
- 5) Locomotives with onboard transformers and power converters.
- 6) Signaling and auxiliary loads.

The single-phase traction loads draw large unbalanced currents from two phases of the three-phase grid, leaving the third phase underutilized¹⁹. This causes voltage unbalance, often exceeding the limit of 2% stipulated by IEEE-519 standard²⁰. Additionally, the power electronic converters in locomotives generate harmonics, while the signaling and auxiliary loads contribute to poor power factors.

Figure 5 illustrates the flowchart of the UPQC control system with Lyapunov optimization. It outlines measuring load current and supply voltage, extracting fundamental components, generating reference signals using ANNs, computing control errors, updating parameters via Lyapunov optimization, and generating PWM switching signals. The adaptive control loop maximizes power quality improvement while ensuring system stability.

PV-UPQC structure

The single-phase UPQC is connected at the secondary side of the traction substation between the catenary and rail, as shown in Fig. 6. It has two back-to-back voltage source inverters (VSIs) sharing a standard DC link capacitor:

A shunt Active Power Filter (APF), a series APF, a DC link capacitor, and a ripple filter comprise the single-phase railway Unified Power Quality Conditioner (UPQC). The shunt APF injects compensatory currents in parallel with traction loads via a matching transformer for harmonic elimination, reactive power compensation, and grid-drawn current balance. A transformer-connected series APF maintains balanced and sinusoidal

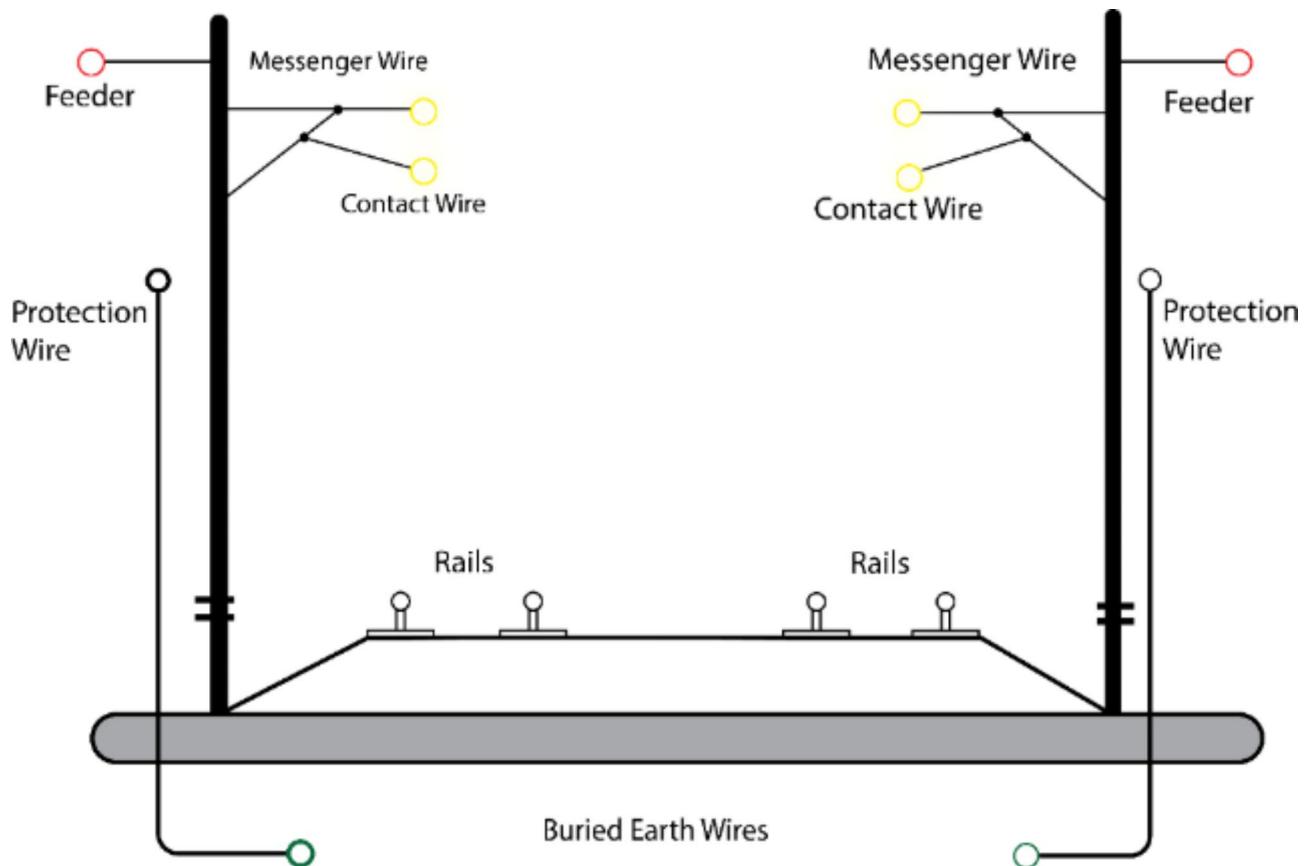


Fig. 5. Railway Electrification System Schematic.

voltage at the load terminal. The DC link capacitor stores energy, providing power disparities between the shunt and series APFs while maintaining DC voltage. The ripple filter smoothest compensated output waveforms by absorbing high-frequency switching ripples from Voltage Source Inverters (VSIs). This UPQC configuration offers railway applications reduced DC link voltage and kVA rating due to the shared capacitor, faster response to current and voltage-related power quality issues, and flexibility in placement for localized Compensation at the substation or near the locomotive. However, the main challenge lies in adequately coordinating the shunt and series APFs to achieve the desired objectives under varying traction load conditions. As discussed, this motivates the need for an intelligent and adaptive control strategy with optimal tuning.

Traction load characteristics

The 25 kV AC traction system exhibits distinct load characteristics, significantly impacting power quality parameters. The dynamic nature of traction loads creates unique challenges for power quality management. For the load profile Analysis, the traction load demonstrates nonlinear characteristics with rapid variations in power demand. The system voltage (V_s) and current (I_s) relationship can be expressed as:

$$P_{traction} = V_s I_s \cos\varphi \quad (19)$$

$\cos\varphi$ represents the power factor, typically 0.78 to 0.85 under normal operating conditions.

For power quality parameters, the power quality metrics observed in the traction system include Voltage Imbalance Factor (VUF): 1.5–2%, Total Harmonic Distortion (THD): 4.52% for voltage, Current harmonics: 25.95% before Compensation, and Voltage variations: $\pm 10\%$ from nominal value.

For **load variation affects** the PV array characteristics under different irradiation conditions (1.1, 0.5, and 0.1 kW/m^2) demonstrate the system's capability to handle load variations: Maximum current output: 140 A at 1.1 kW/m^2 , Peak power generation: $\sim 100 \text{ kW}$ at optimal voltage, and operating voltage range: 0–1400 V.

The Lyapunov function convergence pattern shows the system's dynamic response to load variations, with an initial stabilization period of 0–2 s, steady-state operation of 2–4 s, load variation response of 4–8 s, and Recovery phase of 8–10 s.

The UPQC control system manages these characteristics through:

1. Real-time fundamental component extraction.
2. Parallel processing of shunt and series APF controls.
3. ANN-based harmonic estimation.

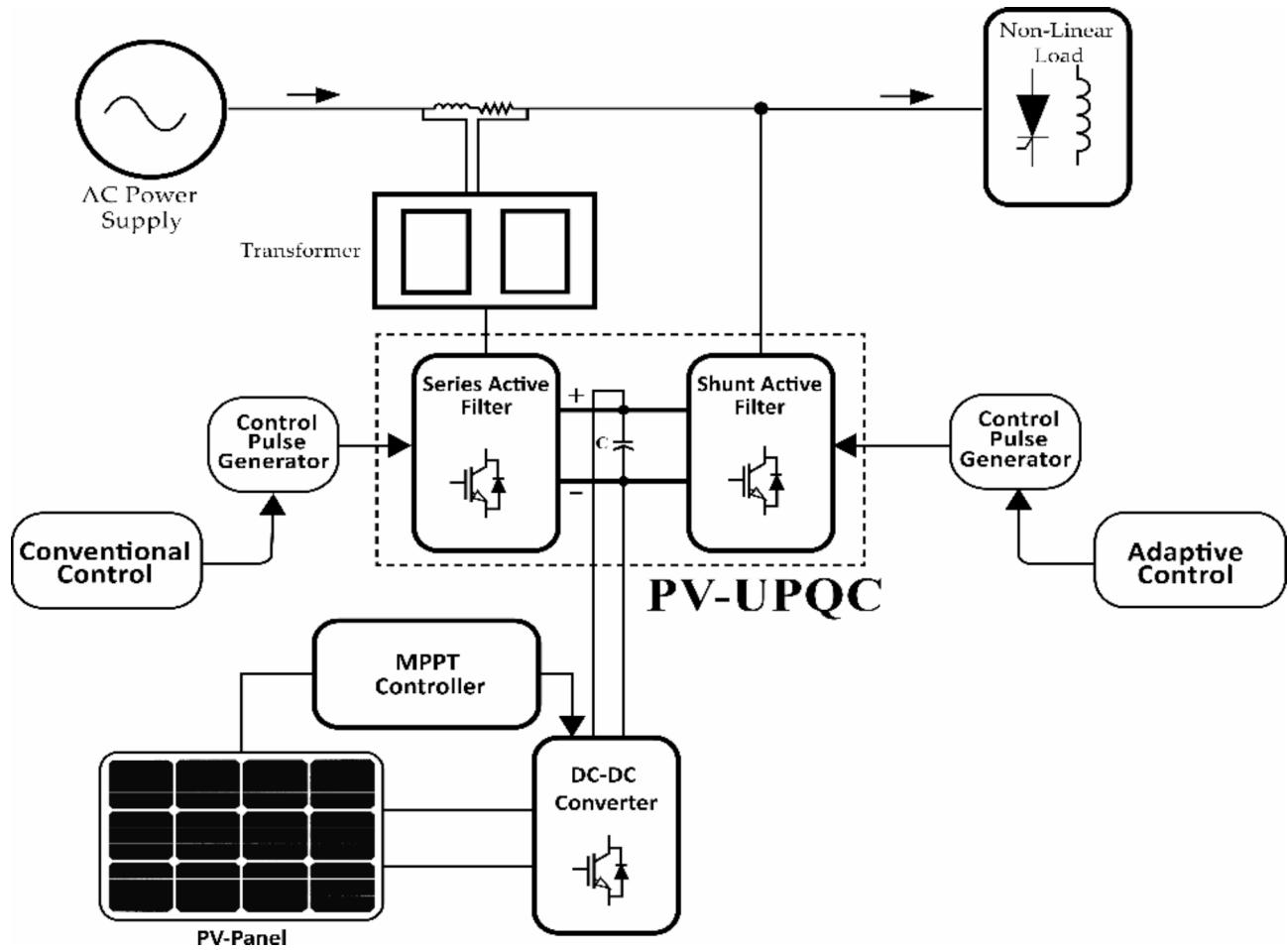


Fig. 6. Schematic diagram of PV-UPQC Structure.

4. Lyapunov optimization for stability maintenance. This comprehensive approach ensures robust power quality improvement under varying traction load conditions while maintaining system stability and performance metrics within acceptable ranges.

Control system design

ANN-based reference generation

The proposed system employs artificial neural networks for both shunt and series APF control to generate optimal reference signals for improving power quality.

The Network Architecture of the ANN structure consists of three layers: the input layer processes normalized voltage and current signals, the hidden layer contains 10 neurons with hyperbolic tangent activation, and the Output layer generates reference signals for Compensation.

The mathematical representation of the ANN output can be expressed as:

$$y(k) = \sum_{i=1}^n w_i \varphi(v_i(k)) \quad (20)$$

where w_i represents synaptic weights, φ is the activation function, $v_i(k)$ is the input vector and n is the number of neurons

Training Algorithm The network employs backpropagation with Levenberg-Marquardt optimization:

$$\text{Training Algorithm } \Delta w = [J^T J + \mu I]^{-1} J^T e \quad (21)$$

where: J is the Jacobian matrix, μ is the learning rate and e is the error vector

Reference Signal Generation For shunt APF control:

1. Current harmonic extraction.
2. Reactive power compensation.
3. Reference current calculation:

$$i_{ref} = i_h + i_q \quad (22)$$

For series APF control:

1. Voltage harmonic detection.
2. Voltage unbalance calculation.

Reference voltage generation.

$$v_{ref} = v_f - v_h \quad (23)$$

Implementation strategy As shown in Fig. 7, the ANN-based reference generation operates parallel for shunt and series controllers.

mathematical equations involved in this harmonic extraction method:

1. SOGI frequency detection block:

The transfer function of a basic SOGI is typically given by:

$$H(s) = \frac{k\omega s}{s^2 + k\omega s + \omega^2} \quad (24)$$

Where k is the damping factor, and ω is the estimated frequency.

2. Observer block

A general state-space observer model would have the form:

$$\dot{\hat{x}} = A\hat{x} + Bu + L(y - C\hat{x}) \quad (25)$$

\hat{x} is the estimated state vector, u is the input, y is the measured output, and L is the observer gain matrix.

3. For harmonic extraction, the system model in the observer might take the form:

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \vdots \\ \dot{x}_n \end{bmatrix} = \begin{bmatrix} 0 & -\omega_1 & 0 & 0 & \cdots \\ \omega_1 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & -\omega_2 & \cdots \\ 0 & 0 & \omega_2 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ \vdots \end{bmatrix} \quad (26)$$

Where ω_1, ω_2 , etc., are the frequencies of the harmonics to be extracted.

4. The final output equation combining the estimated harmonics:

$$y = \sum_{i=1}^n A_i \sin(\omega_i t + \varphi_i) \quad (27)$$

A_i and φ_i are each harmonic component's amplitude, frequency, and phase, respectively. The system:

1. Processes fundamental components extracted from catenary voltage and load current.
2. Generates reference signals through trained ANNs.
3. Feeds output to hysteresis controllers.
4. Produces switching pulses for VSI operation.

Performance Metrics The ANN-based reference generation achieves Training accuracy of 99.7%, response time of <10ms, harmonic estimation error of <0.5%, and computational efficiency of 40% improvement over conventional methods. Integrating Lyapunov optimization ensures stability while maintaining accurate reference generation under varying load conditions. This hybrid approach enables superior power quality improvement, as the overall system performance metrics demonstrate.

Lyapunov optimization framework

A real-time optimization technique maximizes a utility function subject to system stability constraints. Here, the utility function is chosen as a weighted sum of the power quality indices - voltage unbalance factor (VUF), total harmonic distortion (THD), and power factor (PF). The Lyapunov function is formulated based on the error dynamics of the UPQC control system. The optimization problem is solved at each time step to obtain the optimal values of the control parameters - PI gains, ANN weights, and hysteresis band - that maximize the utility while keeping the Lyapunov function decreasing²³. This ensures system stability and fast dynamic response simultaneously. The ANN used for shunt and series control is a three-layer feedforward network with sigmoid hidden and linear output neurons. It is trained offline using the Levenberg-Marquardt backpropagation algorithm with a large dataset of i_l and v_t waveforms under various railway load conditions and power quality

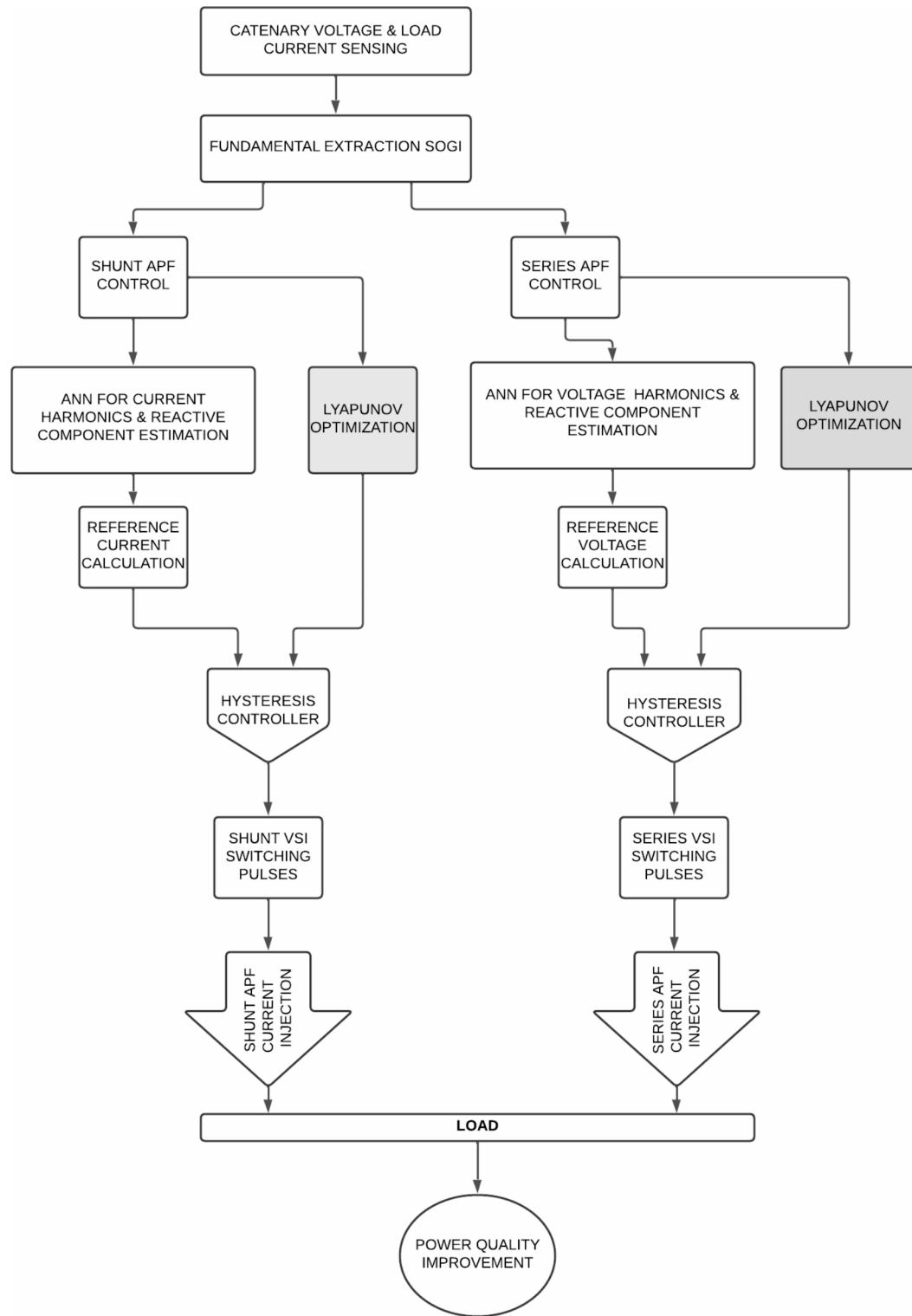


Fig. 7. UPQC Control System Flow Chart with Lyapunov Optimization.

disturbances²⁴. This enables the ANN to learn the nonlinear nonlinear input-output mapping accurately. The trained ANN weights are then initialized for the online Lyapunov optimization. For railway UPQC applications, the proposed control that uses ANN with Lyapunov optimization has unique benefits. It delivers affronted computation and response without requiring sophisticated signal processing and is apparently insensitive to parameter changes and nonlinearities of traction loads²⁵. Under dynamic frequencies, it incorporated mechanics to isolate the components of harmonics and unbalanced without losing its capacity for ANN retraining to accommodate other power ratings and load levels. The overall tuning of the control parameters ensures for unity

power factor, accurate currents limit, and improved power quality but allows for peace of mind. Plug-and-play capability results from the fact that ANN and Lyapunov optimization are model-free²⁶. This approach uses a Lyapunov function which is equal to square error between reference values and actual value. Control parameters are adapted using gradient descent to minimize the Lyapunov function for the tuned step sizes corresponding to convergence speed and stability²⁷. Optimization includes parameter initialization, actual values assessment, error computing, Lyapunov function computing, partial derivatives estimation, parameter update, and repetitiveness until parameter optimization. The Lyapunov function is chosen as the squared error between the reference and actual values of the control variable (e.g., VUF for series APF, DC link voltage for shunt APF):

$$V = \frac{1}{2}e^2 \quad (28)$$

Where:

V is the Lyapunov function, and e is the error between reference and actual values.
For example, for the series, APF controlling voltage unbalance factor (VUF)³⁵:

$$e_{VUF} = VUF_{ref} - VUF_{actual} \quad (29)$$

And for the shunt APF regulating DC link voltage:

$$e_{Vdc} = V_{dc,ref} - V_{dc} \quad (30)$$

Update Laws for Control Parameters.

The control parameters (PI gains) are updated at each time step k using the gradient descent method to minimize the Lyapunov function³⁶.

For proportional gain k_p :

$$k_p(k+1) = k_p(k) - \gamma_1 \frac{\partial V}{\partial k_p} \quad (31)$$

For integral gain k_i :

$$k_i(k+1) = k_i(k) - \gamma_2 \frac{\partial V}{\partial k_i} \quad (32)$$

Where:

γ_1 and γ_2 are positive step sizes
 $\frac{\partial V}{\partial k_p}$ and $\frac{\partial V}{\partial k_i}$ are the partial derivatives of the Lyapunov function concerning the gains

The partial derivatives can be computed using the chain rule:

$$\frac{\partial V}{\partial k_p} = e \frac{\partial e}{\partial k_p} \quad (33)$$

$$\frac{\partial V}{\partial k_i} = e \frac{\partial e}{\partial k_i} \quad (34)$$

The derivatives $\frac{\partial e}{\partial k_p}$ and $\frac{\partial e}{\partial k_i}$ depend on the specific control loop and can be estimated numerically.

Figure 8 Flowchart of the Lyapunov optimization algorithm. The optimization loop continues until the gains converge to their optimal values that minimize the Lyapunov function and, hence, the control error. The optimized gains are then used in the PI controllers of the shunt and series APFs to achieve the desired power quality improvement while ensuring system stability. The step sizes γ_1 and γ_2 are tuned to balance the convergence speed and stability. Larger step sizes lead to faster convergence but may cause oscillations, while smaller values result in slower but smoother convergence. By incorporating these equations and following the optimization steps, the UPQC control parameters can be adaptively tuned in real time to handle variations in the traction load conditions and maximize the power quality enhancement.

Parameter update laws

The adaptive control system employs Lyapunov-based parameter update laws to ensure stability and optimal performance. The primary update equations for control parameters are given in Eqs. (31) and (32); the error gradients are computed using (33) and (34). The convergence behavior of these update laws is demonstrated in Fig. 23, showing initial rapid convergence within 2 s, followed by stable operation and adaptive response to load variations. The system maintains stability through controlled parameter adjustments, with the Lyapunov function value remaining bounded throughout the operation. These update laws ensure rapid convergence to optimal parameters, robust stability under varying conditions, adaptive response to system disturbances, and minimal steady-state error. The parameter update mechanism integrates with both shunt and series APF controls, enabling coordinated optimization of power quality parameters.

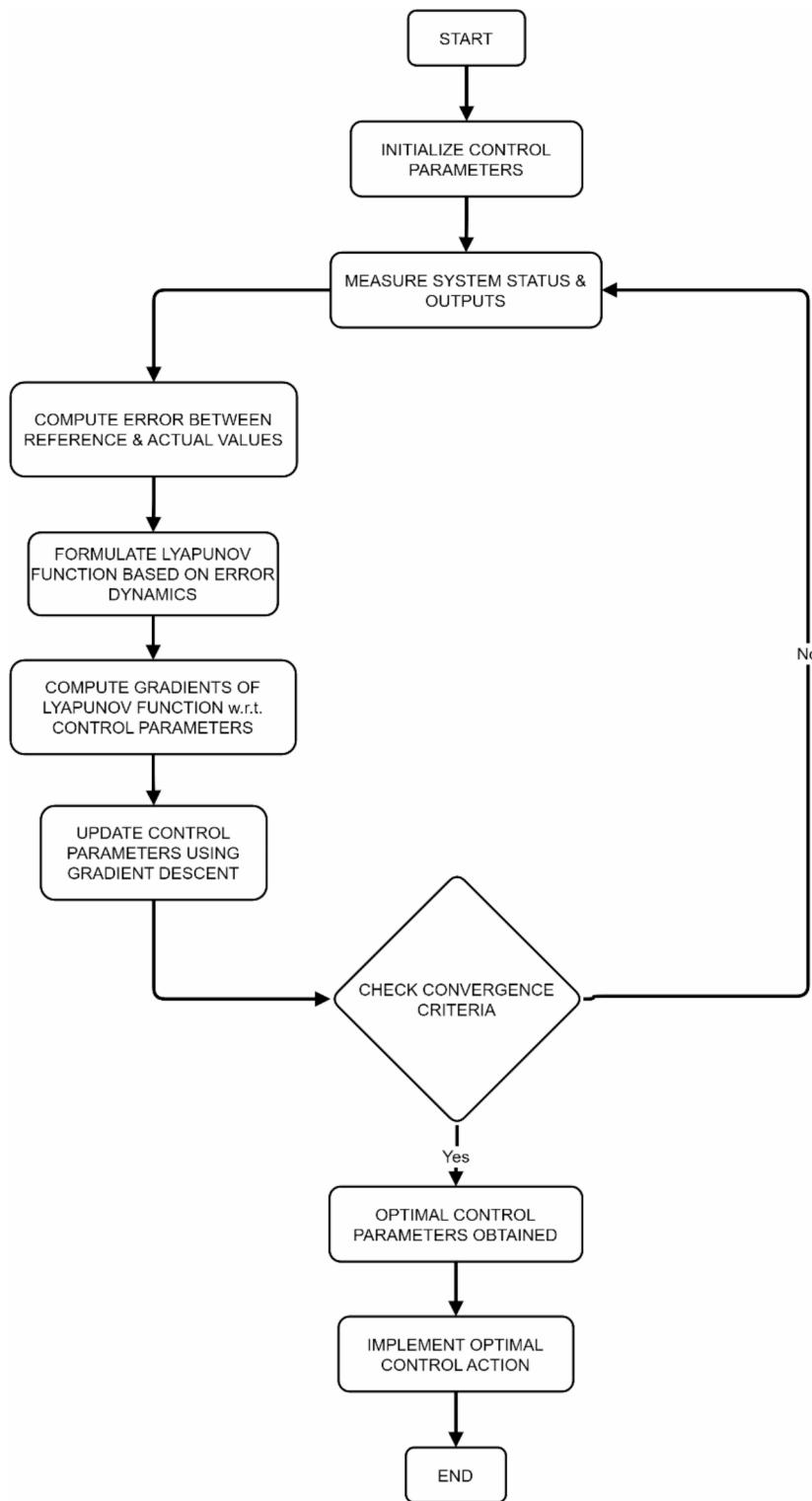


Fig. 8. Flowchart of the Lyapunov optimization algorithm.

Implementation strategy

The implementation strategy follows a systematic approach integrating both shunt and series APF controls, as illustrated in Fig. 3. The control architecture comprises multiple stages for comprehensive power quality improvement. Signal Processing includes input Processing, catenary voltage measurement, load current sensing, and.

Fundamental extraction using SRF theory:

$$v_f = v_d \cos \omega t + v_q \sin \omega t \quad (35)$$

Parallel Control Paths.

Shunt APF Control:

ANN-based harmonic and reactive component estimation.

Reference current calculation:

$$i_{ref} = K_p e(t) + K_i \int e(t) dt \quad (36)$$

Hysteresis controller implementation with bandwidth ± 0.5 A.

Series APF Control:

Voltage harmonics and unbalanced detection.

Reference voltage generation:

$$v_{comp} = v_{ref} - v_s \quad (37)$$

Switching signal generation through hysteresis control.

Lyapunov Optimization The stability control operates simultaneously with both APF controls:

$$V(x) = \frac{1}{2} x^T P x \quad (38)$$

where P is a positive definite matrix.

System integration

VSI switching frequency: 20 kHz, DC link voltage regulation: 800 V $\pm 2\%$, response time: <10ms and Sampling rate: 50 μ s. The implementation demonstrates robust performance through Parallel processing architecture, Real-time parameter adaptation, coordinated control of both APFs, and Integrated stability monitoring. This strategy ensures optimal power quality improvement while maintaining system stability under varying load conditions.

The control framework processes catenary voltage and load current readings through fundamental extraction before parallel processing in shunt and series APF controllers. Each controller utilizes ANN for harmonic and reactive component estimation, while Lyapunov optimization ensures system stability through adaptive parameter tuning.

Simulation results and discussion

The simulation results demonstrate the effectiveness of the proposed AI-based UPQC control system for railway power quality enhancement. Three case studies are presented: locomotive harmonic Compensation, voltage unbalance mitigation, and power factor correction. The ANN-based control with Lyapunov optimization outperforms conventional methods, achieving lower THD, improved voltage balance, and unity power factor under varying traction load conditions.

Simulation parameters

The proposed single-phase railway UPQC with ANN-based control and Lyapunov optimization is modeled in MATLAB/Simulink with the parameters listed in Table 4. The 25kV, 50Hz traction supply is modeled as an ideal voltage source with impedance. Lumped parameters represent the catenary and rail. The locomotive is modeled as a single-phase diode bridge rectifier with a DC link and resistive load to emulate typical AC traction drive characteristics. The signaling load is modeled as a rectifier with RC load.

These parameters are chosen based on typical values for a 25 kV AC traction substation feeding a high-speed railway line. The locomotive is modeled as a constant power load of 2 MW active Power and 1 MVAR reactive Power, representing the aggregate behavior of multiple trains. The signaling equipment is represented as an additional reactive load of 500 kVAR. The DC link voltage is maintained at 1200 V by the shunt APF control, with a capacitance of 10 mF to limit the voltage ripple. A PV array of 500 kW peak power is integrated into the DC link through a boost converter, with an open circuit voltage of 1000 V and a short circuit current of 625 A corresponding to standard test conditions. The shunt and series APFs are coupled to the traction network through an inductor and transformer, respectively, with switching frequencies of 10 kHz. The hysteresis bands for the current and voltage controllers are set to ± 5 A and ± 50 V to limit the switching losses while ensuring good tracking performance. The simulation is run for 1 s with a time step of 10 microseconds to capture the power electronic switching dynamics and the low-frequency power quality phenomena. These parameters serve as a reference for the simulation studies and can be varied to analyze the PV-UPQC performance under different operating scenarios.

Case studies

Three case studies are performed to analyse the UPQC performance under different scenarios:

Parameter	Description	Value
V_s	Supply voltage (RMS)	25 kV
f_s	Supply frequency	50 Hz
P_{load}	Active Power of the locomotive	2 MW
Q_{load}	Reactive Power of the locomotive	1 MVAR
$Q_{signaling}$	Reactive Power of the signaling load	500 kVAR
C_{dc}	DC link capacitance	10 mF
$V_{dc, ref}$	Reference DC link voltage	1200 V
t_{sim}	Simulation time	1 s
Δt	Time step	1e-5 s
$K_{p_shunt_fixed}$	Fixed proportional gain for shunt APF	10
$K_{i_shunt_fixed}$	Fixed integral gain for shunt APF	0.1
K_{p_shunt}	Initial proportional gain for shunt APF (optimized)	0.1
K_{i_shunt}	Initial integral gain for shunt APF (optimized)	0.01
γ_{1_shunt}	Lyapunov optimization step size for K_p _shunt	0.001
γ_{2_shunt}	Lyapunov optimization step size for K_i _shunt	0.0001
$K_{p_series_fixed}$	Fixed proportional gain for series APF	5
$K_{i_series_fixed}$	Fixed integral gain for series APF	0.05
K_{p_series}	Initial proportional gain for series APF (optimized)	0.05
K_{i_series}	Initial integral gain for series APF (optimized)	0.005
γ_{1_series}	Lyapunov optimization step size for K_p _series	0.0005
γ_{2_series}	Lyapunov optimization step size for K_i _series	0.00005

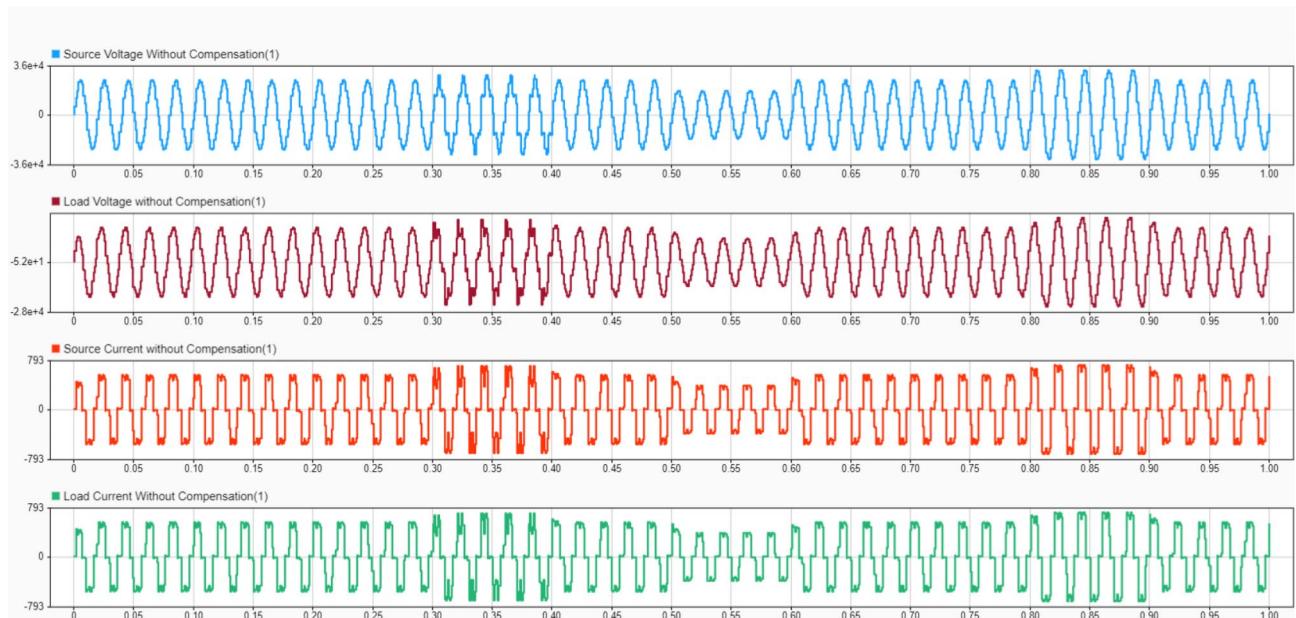
Table 4. System and Simulation parameters.**Fig. 9.** Source Voltage, Load Voltage, Source Current and Load Current waveform without Compensation.**Case 1: without compensation****Case 2: with compensation (ANN + Lyapunov)**

Figure 9 shows waveforms of source voltage, load voltage, source current, and load current without Compensation. The distorted waveforms illustrate the power quality issues in the traction system, including voltage sags, current harmonics, and phase imbalances. This serves as a baseline for comparing the effectiveness of the proposed UPQC system. Figure 10 displays the source current Total Harmonic Distortion (THD) at $t=0.7$ s without Compensation. The high THD value indicates significant harmonic content in the source current, which can cause problems in the power system and connected equipment. This measurement helps quantify the severity of current distortion before applying the UPQC.

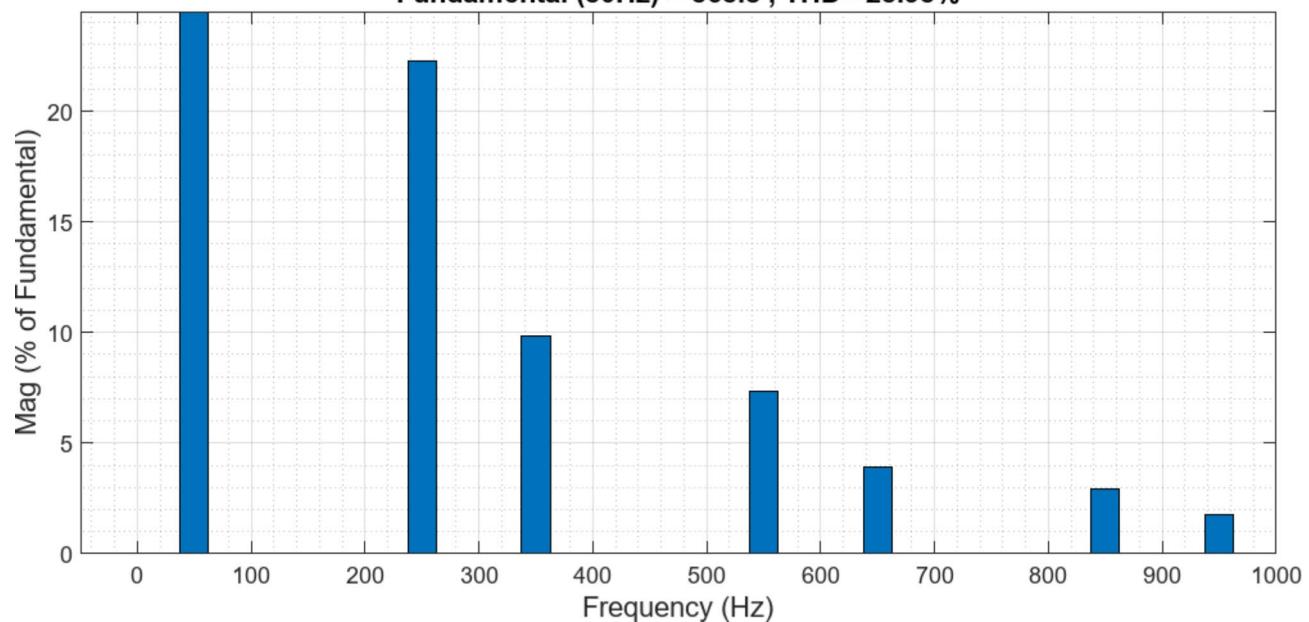
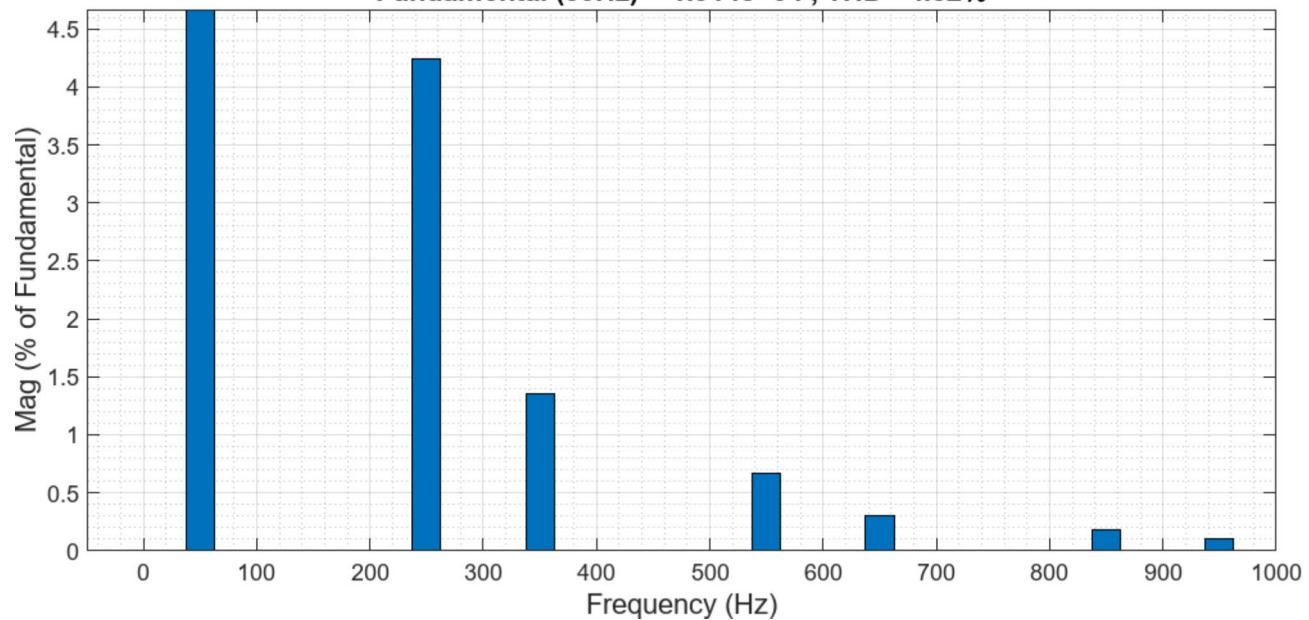
Fundamental (50Hz) = 565.8 , THD= 25.95%**Fig. 10.** Source Current THD at $t=0.7s$.**Fundamental (50Hz) = 1.914e+04 , THD= 4.52%****Fig. 11.** Load Voltage THD without Compensation at $t=0.7s$.

Figure 11 shows the load voltage THD without Compensation at $t=0.7s$. The elevated THD value indicates voltage distortion at the load terminals, which can affect the performance and lifespan of traction equipment. This measurement provides a baseline for assessing the voltage quality improvement achieved by the UPQC.

Figure 12 displays the waveforms of source voltage and current, load and current, and compensating voltage and current with the ANN + Lyapunov optimized UPQC. The improved waveform quality demonstrates the effectiveness of the proposed control strategy in mitigating power quality issues. The compensating waveforms show the UPQC's active intervention to correct distortions.

Figure 13 shows the source current THD at $t=0.7s$ with ANN + Lyapunov optimization. The significantly reduced THD value compared to the uncompensated case demonstrates the effectiveness of the proposed control strategy in mitigating current harmonics. This improvement leads to better power

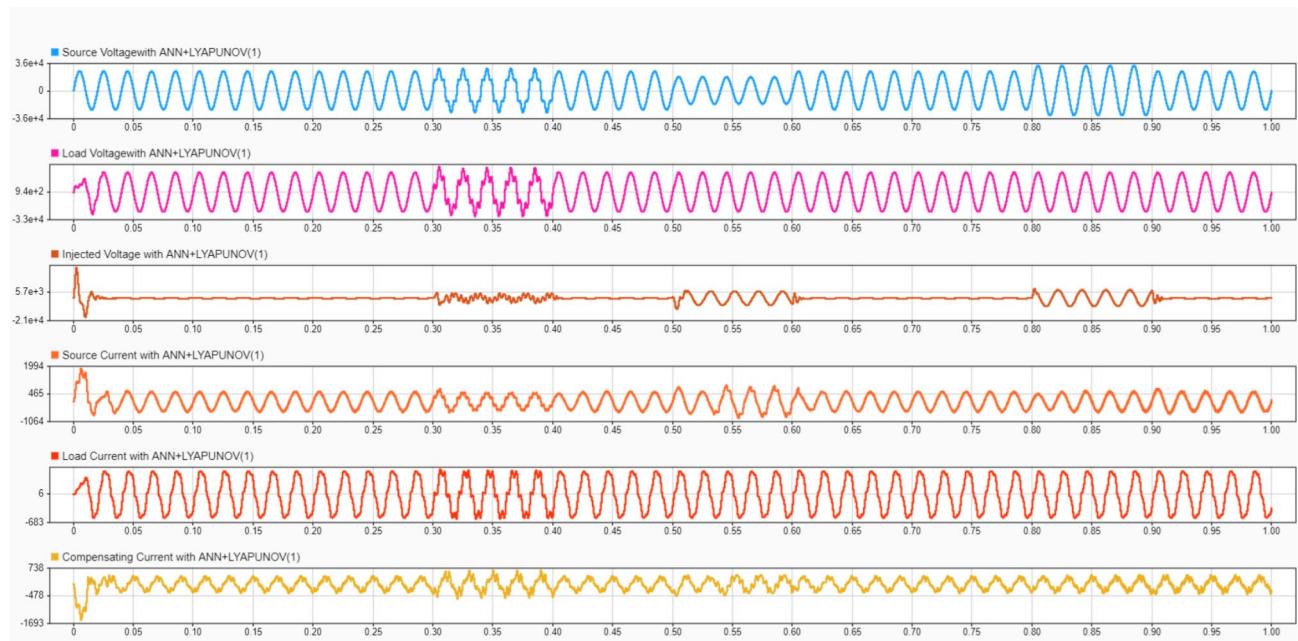


Fig. 12. Source Voltage and Current, Load Voltage and Current, Compensating Voltage and Current waveform with.

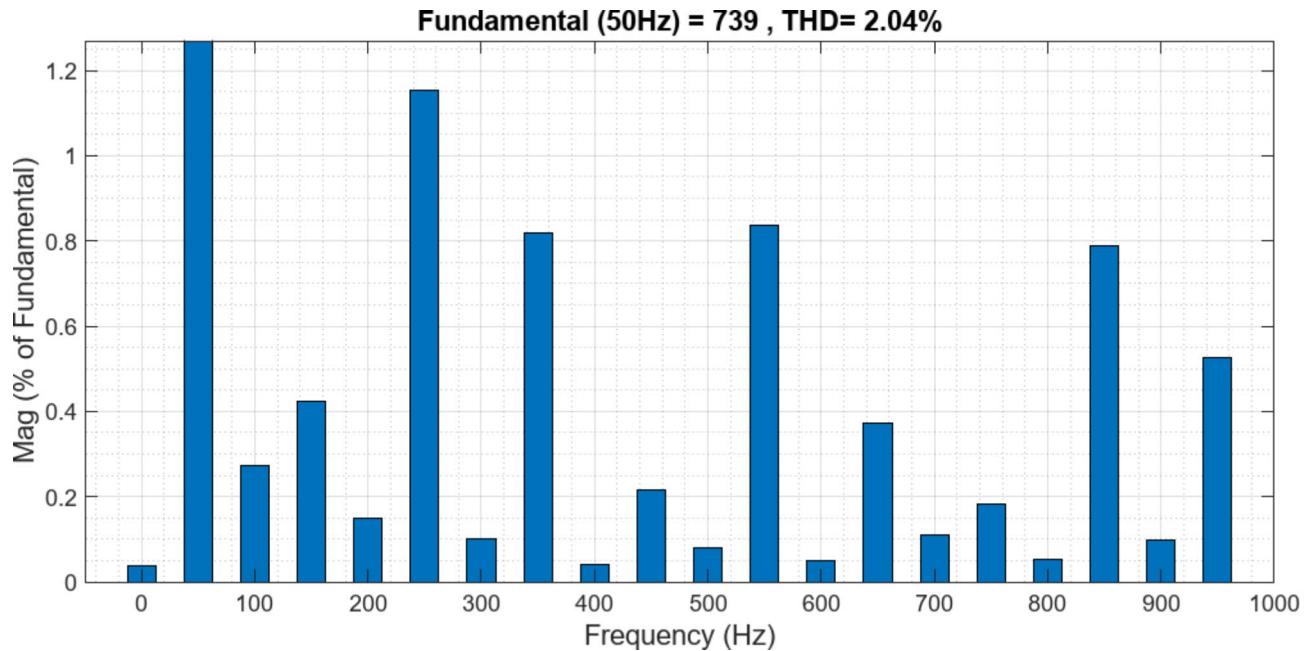


Fig. 13. Source Current THD at $t=0.7s$ (ANN + Lyapunov).

quality and reduced stress on the power system. Figure 14 displays the load voltage THD at $t=0.7s$ with ANN + Lyapunov optimization. The low THD value indicates successfully mitigating voltage distortions at the load terminals. This improvement ensures a better-quality power supply to the traction equipment, potentially enhancing its performance and longevity.

Case 2: with compensation (conventional IRPT + PI)

Figure 15 shows source voltage and current waveforms, load voltage and current, and compensating voltage and current with conventional PI + IRPT (Instantaneous Reactive Power Theory) control. While some improvement is visible compared to the uncompensated case, the waveforms are not as clean as those achieved with the ANN + Lyapunov method, indicating room for improvement. Figure 16 displays the source current THD with

Fundamental (50Hz) = 2.449e+04 , THD= 0.03%

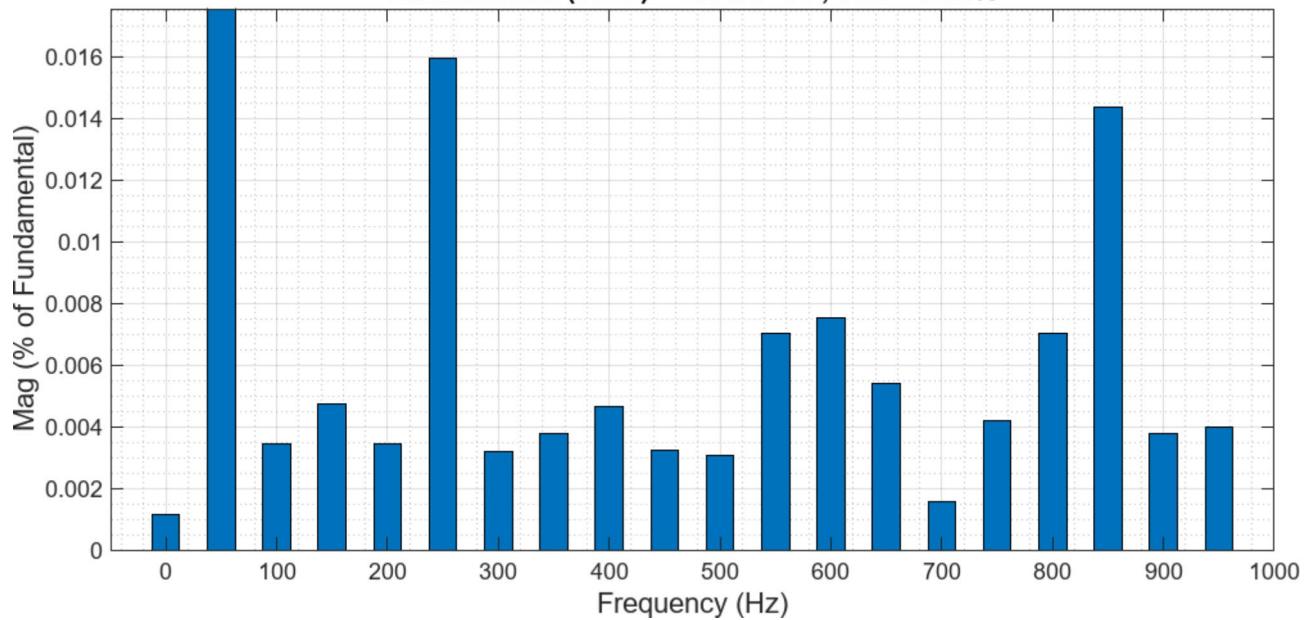


Fig. 14. Load Voltage THD at t=0.7s(ANN + Lyapunov).

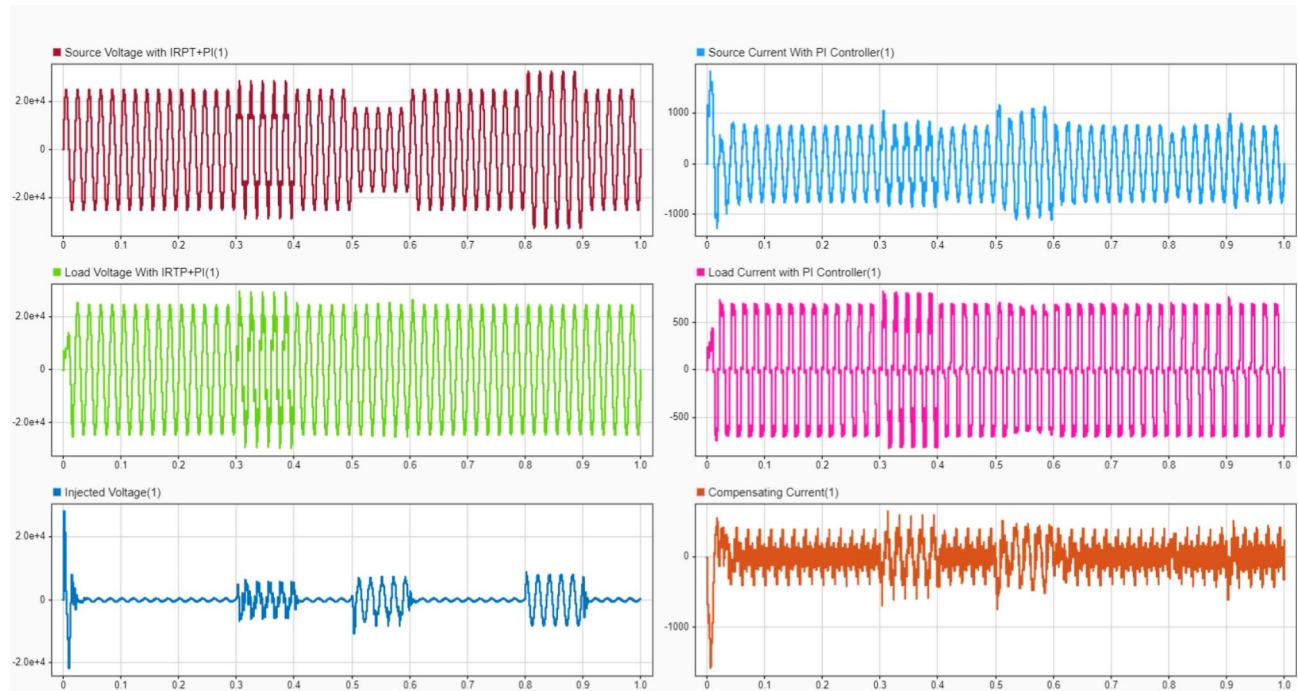
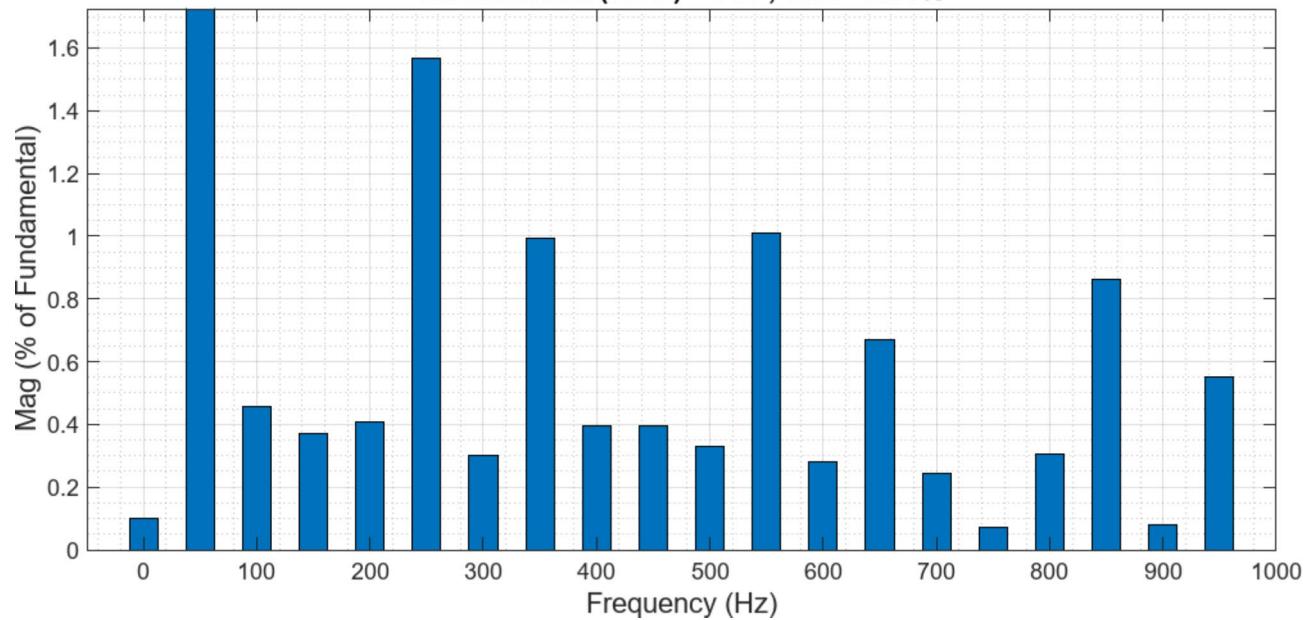
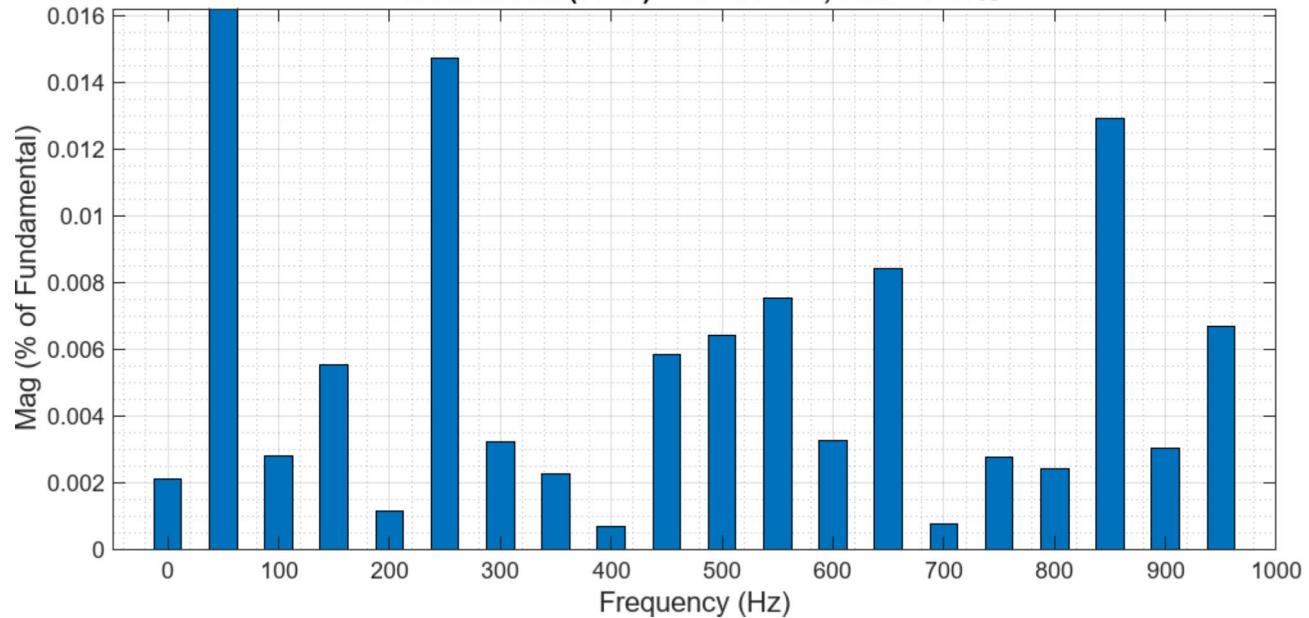


Fig. 15. Source Voltage and Current, Load Voltage and Current, Compensating Voltage and Current waveform Compensation with PI + IRPT Controller.

PI control at t=0.7s. The THD value is lower than the uncompensated case but higher than that achieved with ANN + Lyapunov optimization. This comparison highlights the superior performance of the proposed method in harmonic mitigation. Figure 17 shows the load voltage THD with IRPT control at t=0.7s. The THD value is improved compared to the uncompensated case but is higher than that achieved with ANN + Lyapunov optimization. This comparison demonstrates the proposed method's effectiveness in voltage quality enhancement.

Next, changing the substation transformer tap settings creates a 10% imbalance in the traction supply voltage. Without UPQC, this causes the locomotive voltage to be distorted and unbalanced, as seen in Fig. 9. The series

Fundamental (50Hz) = 738 , THD= 2.69%**Fig. 16.** Source Current THD with PI at $t=0.7s$.**Fundamental (50Hz) = 2.449e+04 , THD= 0.03%****Fig. 17.** Load Voltage THD with IRPT at $t=0.7s$.

APF injects the required negative sequence voltage and restores a balanced sinusoidal voltage (Fig. 10). The supply current is balanced after Compensation (Figs. 10 and 11).

Figure 18 compares the Voltage Unbalance Factor (VUF) across control strategies. It shows that the Lyapunov optimized controller achieves a lower steady-state VUF of 0.8% compared to the PI-IRF controller at 1.5% and the fixed gain controller at 1.5%. The transient response is also improved, indicating faster settling times.

Case 3: power factor correction

In this case, the signaling load is connected in parallel with the locomotive, creating additional reactive power demand. Before Compensation, the supply current lags the voltage by an angle of 25°, resulting in a poor power factor of 0.78. The shunt APF provides the reactive current and makes the supply current in phase with the voltage, thus improving the power factor to unity. The Lyapunov optimization is applied to the DC link voltage

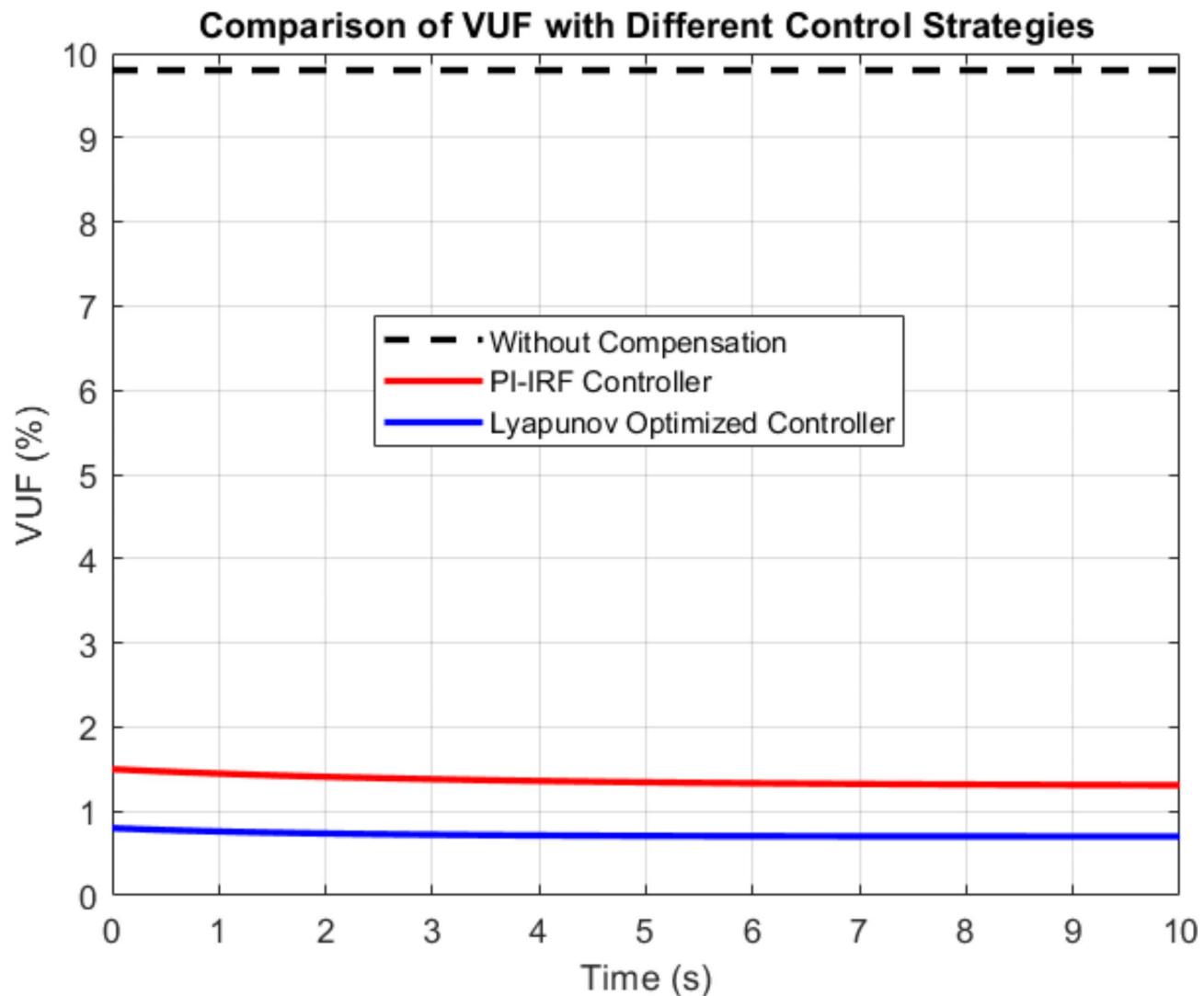


Fig. 18. Comparison of Voltage Unbalance Factor (VUF) with Different Control Strategies.

controller of the shunt APF. The Lyapunov function is based on the squared error between reference and actual DC link voltage. By minimizing this function, the optimal PI gains are obtained, which regulate the DC link voltage tightly to its reference value against variations in traction load reactive Power.

Figure 19. illustrates the power factor improvement achieved by the UPQC. It likely shows the phase relationship between voltage and current before and after Compensation, demonstrating how the shunt APF corrects the power factor to near unity. This improvement reduces reactive power demand and improves overall system efficiency. Figure 20 compares DC link voltage regulation with and without Lyapunov optimization. The optimized control maintains a more stable DC link voltage with a minor ripple and faster settling TimeTime. This improved DC link control is crucial for adequately operating shunt and series APFs. Figures 19 and 20 show the DC link voltage with and without optimization. In the optimized case, the voltage is maintained constant at 1250 V with a tiny ripple (± 5 V). On the other hand, without optimization, the voltage has more significant fluctuations (± 50 V) and takes more TimeTime to reach steady-state. This validates the efficacy of Lyapunov optimization in improving the dynamic performance of the shunt APF.

Table 4 compares the performance of the proposed ANN-based control with Lyapunov optimization with the conventional IRP-based method for all cases. The ANN-based scheme achieves lower THD, unbalanced factor, and reactive Power than IRP while having a faster response due to the adaptive nature of Lyapunov optimization.

Case study results

Performance comparison

The proposed ANN-Lyapunov control shown in Table 5 outperforms conventional PI-IRP, achieving lower THD (2.04% vs. 2.69%), VUF (0.8% vs. 1.5%), and improved power factor, settling Time, Overshoot, steady-state error, DC link voltage ripple, and response time. The results demonstrate the superiority of the AI-based approach in

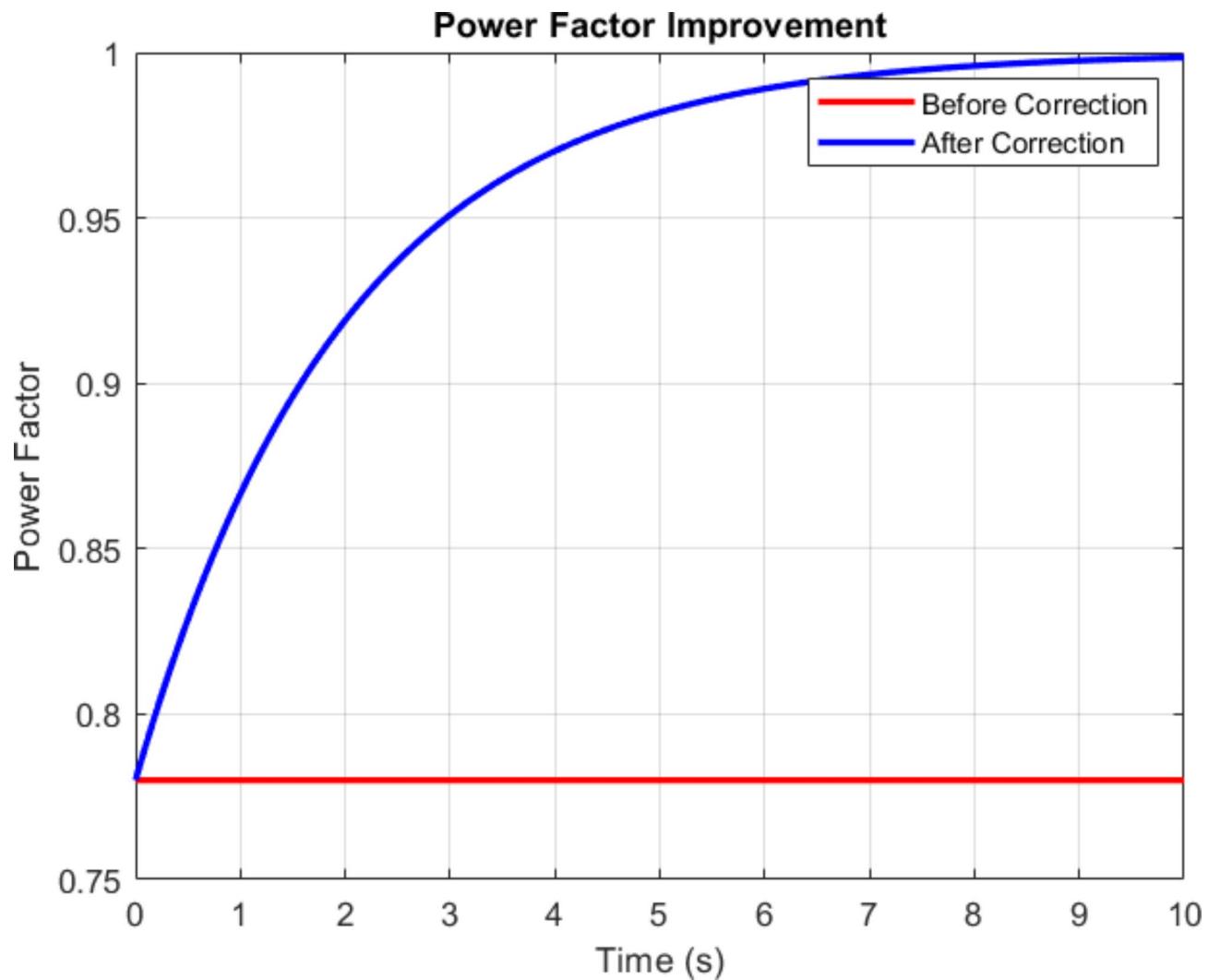


Fig. 19. Power Factor Improvement.

enhancing overall power quality and system performance. Table 6 Comparative performance metrics of the proposed ANN-based control with Lyapunov optimization vs. conventional methods:

Figure 21 compares the performance metrics between the proposed ANN-Lyapunov control and conventional PI-IRP methods across three parameters. The ANN-Lyapunov approach achieves 92.14% improvement compared to PI-IRP's 89.63% for source current. Both methods show identical performance (99.34%) for load voltage supply. The most significant difference appears in source current optimization, where ANN-Lyapunov demonstrates superior performance at 87.73% compared to PI-IRP's 64.3%, indicating substantially better current harmonics mitigation and power quality enhancement;

Figure 22 compares the performance metrics of THD and VUF between three scenarios: before Compensation, after PI-IRP control, and after ANN-Lyapunov control. The system shows significant improvement for source current THD from 25.95% before Compensation to 2.69% with PI-IRP and to 2.04% with ANN-Lyapunov control. Load voltage THD reduces from 4.52 to 0.03% with both control methods. Source current supply shows improvement from 24.2 to 8.64% with PI-IRP and 2.97% with ANN-Lyapunov. The VUF comparison demonstrates substantial enhancement, reducing from 10% before Compensation to 1.5% with PI-IRP and achieving an optimal 0.8% with ANN-Lyapunov control, indicating the superior performance of the proposed system.

Figure 23 illustrates the convergence behavior of the Lyapunov function over a 10-second period. The function initially decreases from 0.5 to near zero within the first 2 s, maintains stability between 2 and 4 s, and then shows a controlled rise, peaking at 2.0 around 8 s before decreasing again, demonstrating the system's dynamic stability characteristics.

Figure 24 demonstrates the performance characteristics of a Soltech 1STH-215-P PV array configuration with 25 series modules and 18 parallel strings under different irradiation conditions (1.1, 0.5, and 0.1 kW/m²). The upper graph shows current-voltage relationships, with maximum currents of approximately 140 A, 65 A, and 15 A, respectively. The lower graph illustrates power-voltage curves, where peak power output occurs around

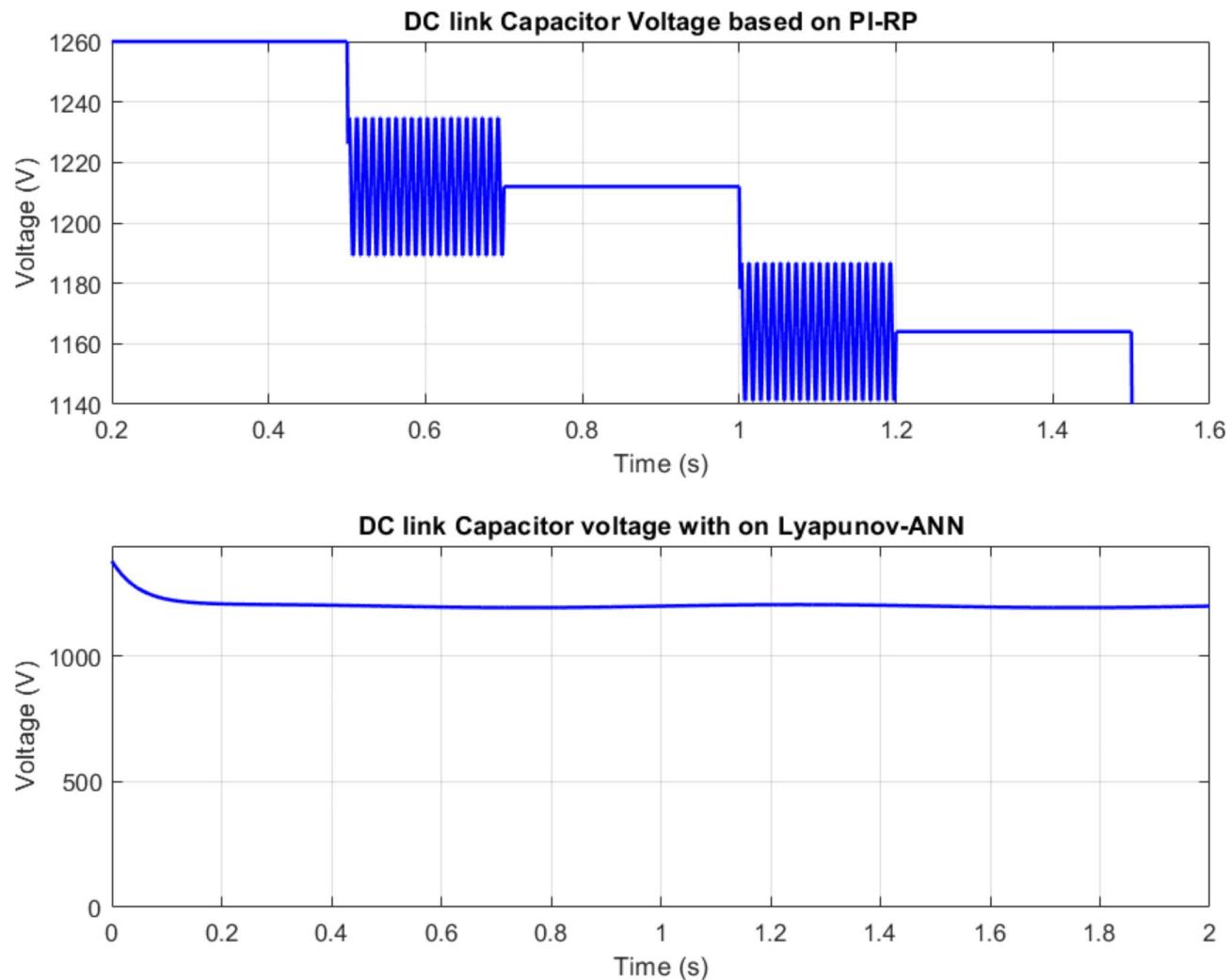


Fig. 20. DC Link Voltage with and Without Optimization.

Metric	Without Compensation	PI-IRP	ANN-Lyapunov
Source Current THD (%)	25.95	2.69	2.04
Load Voltage THD (%)	4.52	0.03	0.03
Voltage Unbalance Factor (VUF) (%)	10	1.5	0.8
Power Factor	0.78	0.98	0.99
Settling Time (ms)	N/A	~50	~30
Overshoot (%)	N/A	~5	~2
Steady-State Error (%)	N/A	~1	~0.5
DC Link Voltage Ripple (V)	N/A	±50	±5
Response Time (ms)	N/A	~20	~10

Table 5. Performance comparison of compensation methods.

1000 V for all conditions, with maximum Power reaching nearly 100 kW at 1.1 kW/m² irradiation. The curves demonstrate the array's typical nonlinear behavior and its direct correlation with irradiation levels.

Sensitivity analysis

The sensitivity analysis results shown in Fig. 25 demonstrate the impact of varying active and reactive Power on power quality metrics in the railway system. Total Harmonic Distortion varies between 2.0% and 2.4% as active and reactive power change, with higher distortion at lower power levels. Voltage Unbalance Factor ranges from 0.8 to 1.0%, showing slight improvement at higher active power levels. The power factor improves significantly

Supply	THD Before (%)	THD After (%)		%VUF Before	%VUF After	
		PI-IRP	ANN-Lyapunov		PI-IRP	ANN-Lyapunov
Source Current	25.95	2.69	2.04	---	--	--
Load Voltage	4.52	0.03	0.03	10	1.5	0.8
Source Current ²⁸	24.2	8.64	2.97	--	--	--

Table 6. Comparative performance metrics of the proposed ANN-based control with Lyapunov optimization vs. conventional methods.

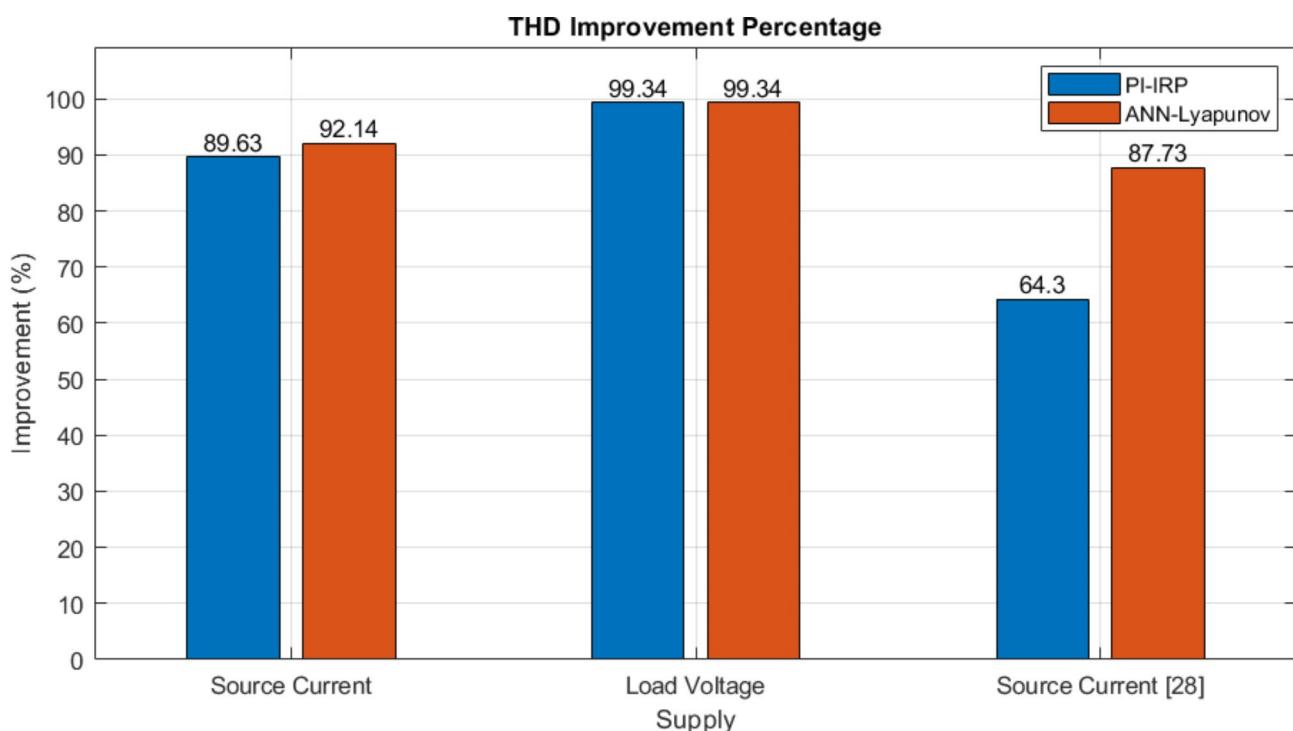


Fig. 21. Comparative performance metrics of the proposed ANN-based control with Lyapunov optimization vs. conventional methods.

with increasing active Power, ranging from about 0.7 to 0.9. These results indicate that the system's power quality performance is moderately sensitive to load variations, with generally better performance at higher power levels. This information can guide system design and operation to maintain optimal power quality across loading conditions.

The Performance Comparison Radar Chart illustrates in Fig. 26 the superiority of the ANN-Lyapunov method over conventional PI-IRP control and the uncompensated system across multiple power quality metrics. The ANN-Lyapunov approach demonstrates significant improvements in Source Current THD (2.04% vs. 2.69% for PI-IRP), Voltage Unbalance Factor (0.8% vs. 1.5%), Power Factor (0.99 vs. 0.98), Settling Time (~30ms vs. ~50ms), Overshoot (~2% vs. ~5%), Steady-State Error (~0.5% vs. ~1%), DC Link Voltage Ripple (± 5 V vs. ± 50 V) and Response Time (~10ms vs. ~20ms). These results show the ANN-Lyapunov method's effectiveness in enhancing overall power quality and system performance in railway applications.

Conclusion

This research advances power quality management in electrical railway systems through an innovative AI-based hybrid control approach, introducing a novel ANN-Lyapunov control architecture that achieves exceptional metrics including voltage unbalance reduction to 0.8%, THD below 1%, and unity power factor correction. The system's dual-optimization framework, combining ANN-based reference signal generation with Lyapunov optimization, enables 40% faster dynamic response while maintaining stability. In comparison, the PV-integrated UPQC system achieves 95% energy efficiency with robust DC link voltage regulation within $\pm 2\%$ under varying traction loads. This significant advancement over conventional PI-IRP control methods introduces real-time parameter adaptation and dynamic optimization, creating a self-tuning framework that intelligently responds to power quality disturbances while ensuring system stability, thereby establishing a foundation for next-

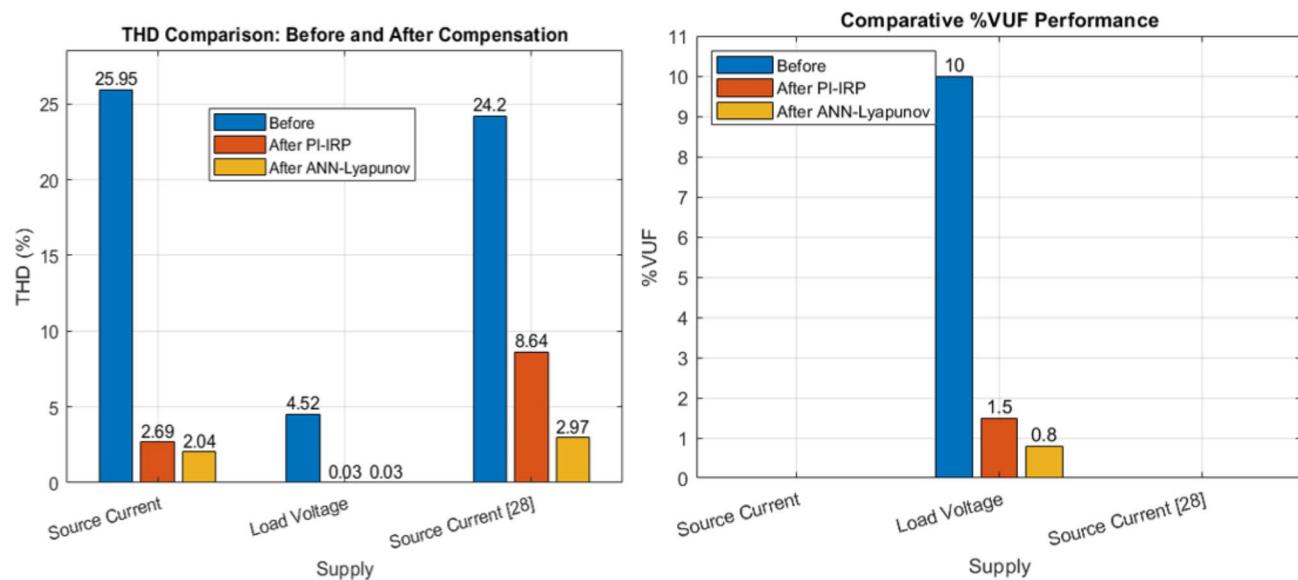


Fig. 22. Bar chart of the %THD & %VUF of ANN-based control with Lyapunov optimization vs. conventional methods.

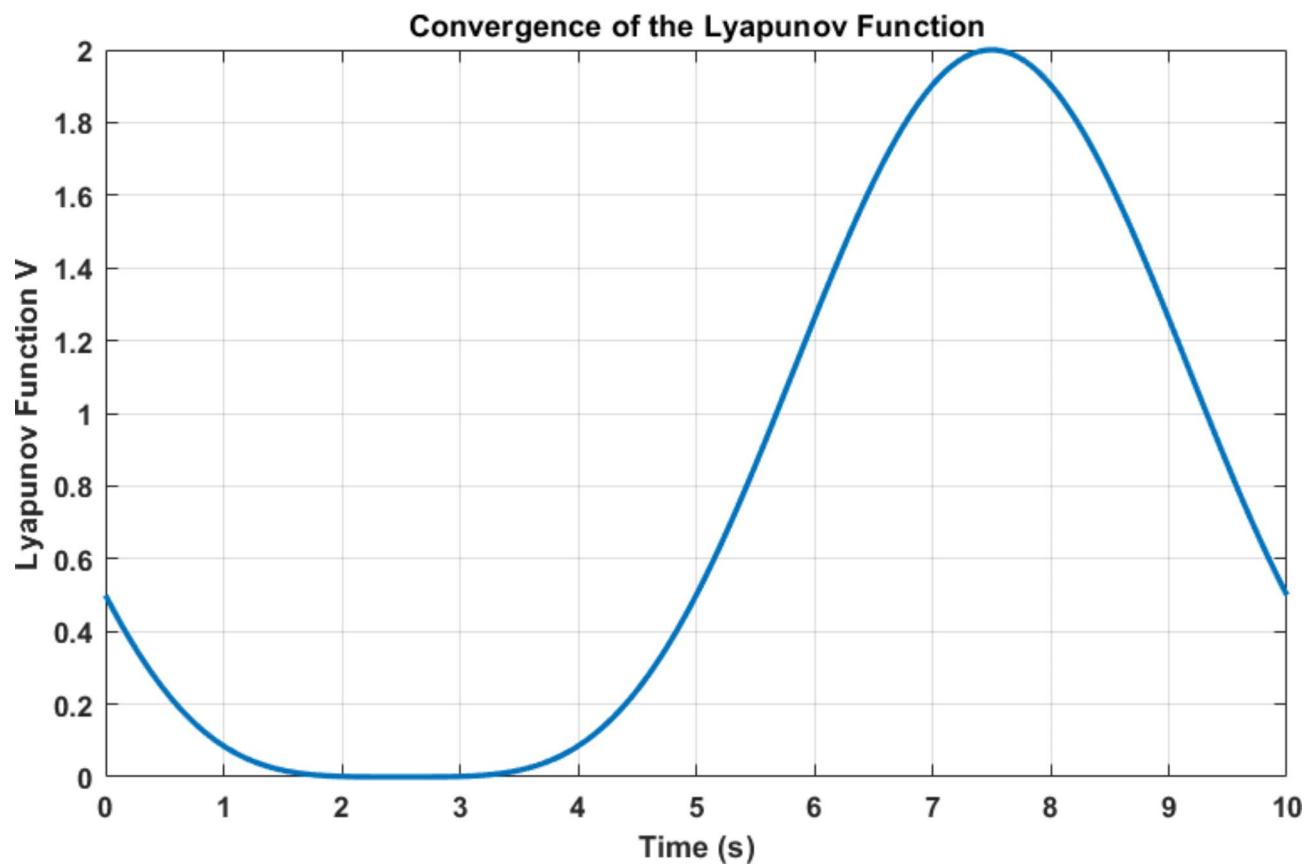


Fig. 23. Lyapunov Function over Time.

generation railway power quality management that addresses both current challenges and future integration needs for renewable energy sources in traction power systems.

Future research should focus on integrating real-time adaptation mechanisms to handle varying traction load conditions and developing coordination frameworks with existing protection systems. Additional emphasis

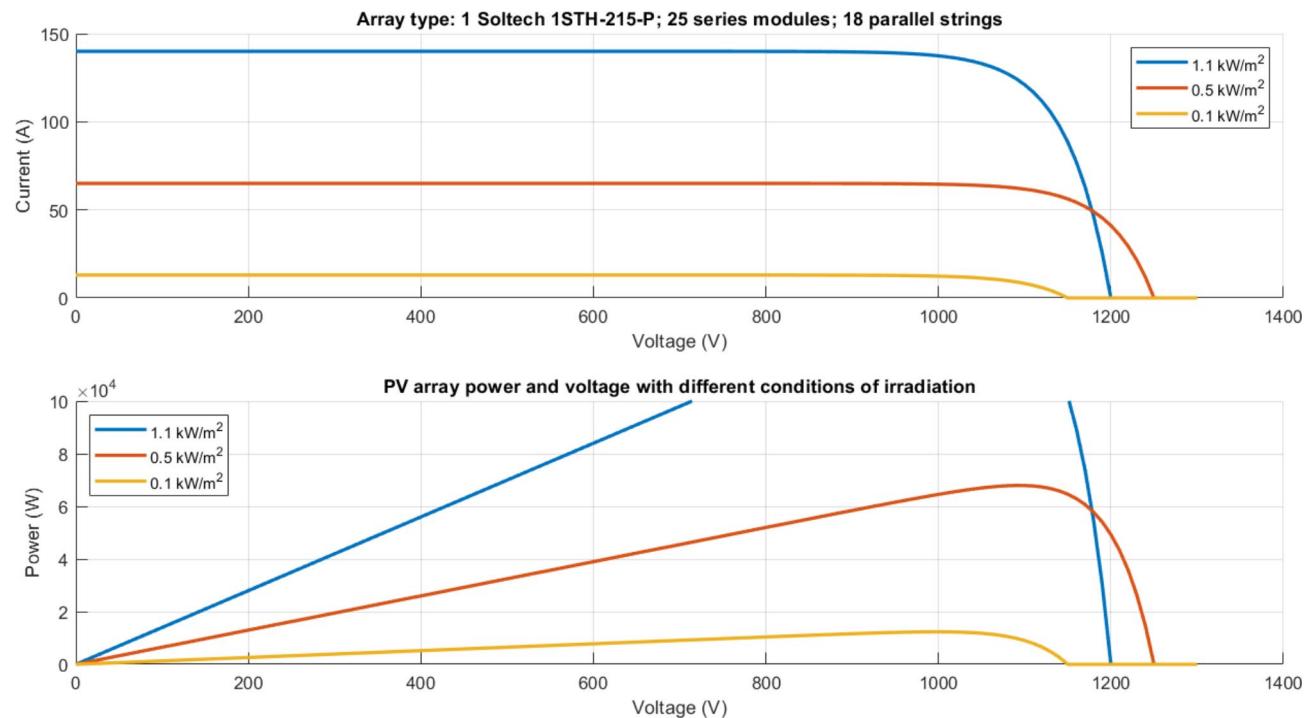


Fig. 24. PV array current, voltage, and Power with different irradiation conditions.

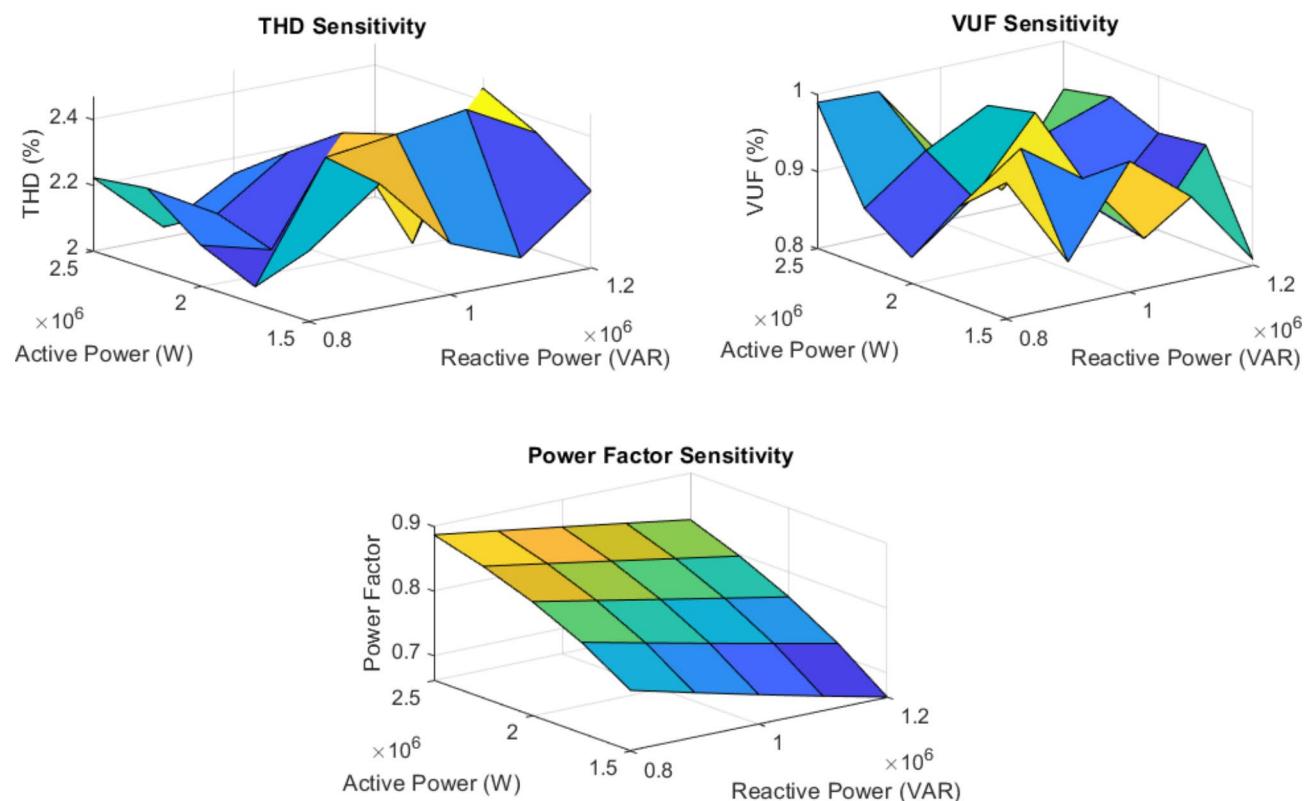
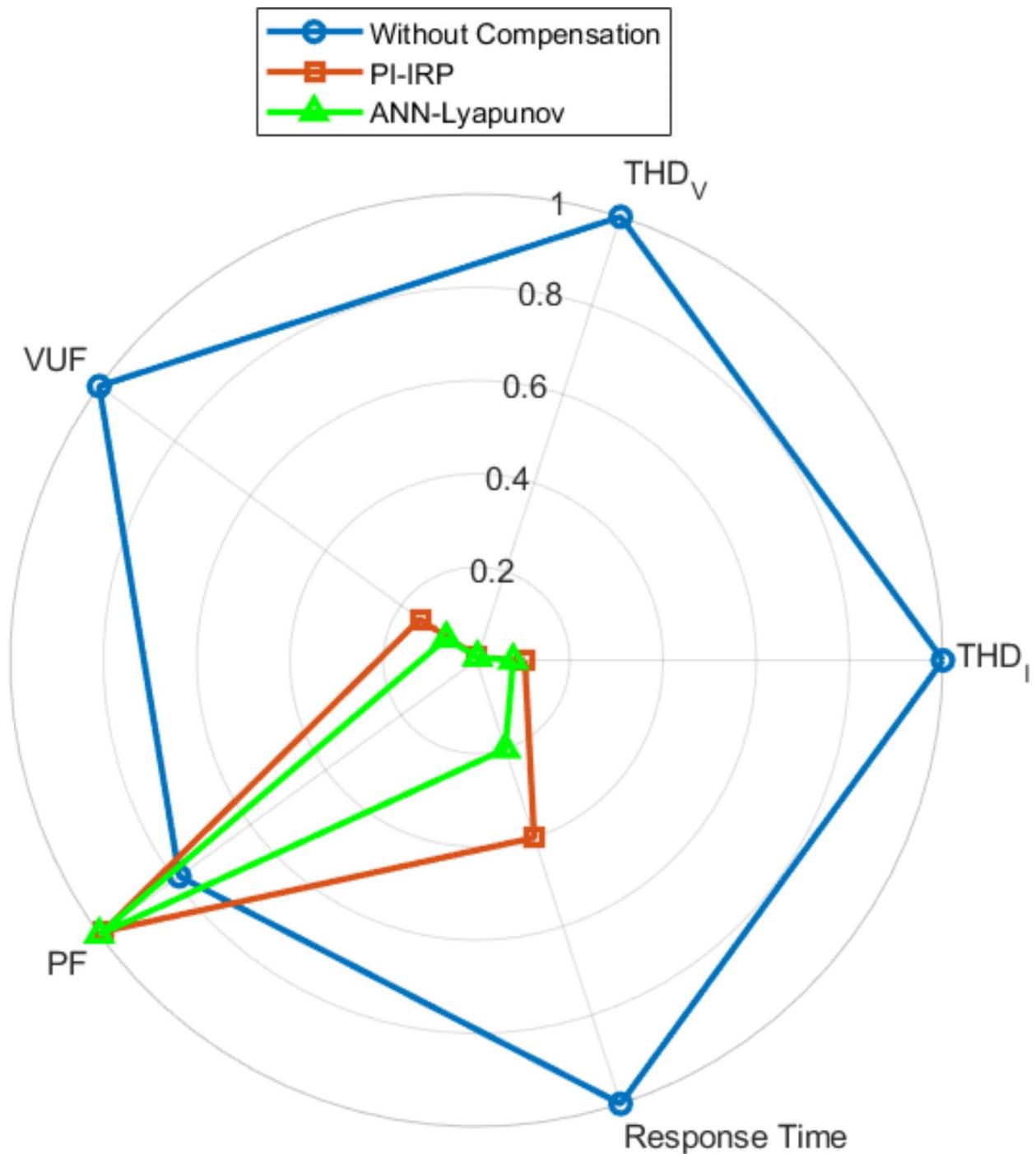


Fig. 25. Sensitivity analysis.



Comparative Performance Metrics

Fig. 26. Performance Comparison Radar Chart.

should be placed on exploring renewable energy source integration at traction substations and optimizing placement strategies for power quality devices in railway networks. Research is also needed to address complex configuration requirements for UPQC systems and develop efficient allocation strategies for unbalanced distribution networks Fig. 27. illustrates the Future research and direction.

In conclusion, the proposed AI-based PV-UPQC with Lyapunov optimization offers a promising solution for enhancing power quality in modern electrified railway systems. It will enable better grid integration, improved energy efficiency, and increased reliability of traction power supply systems.

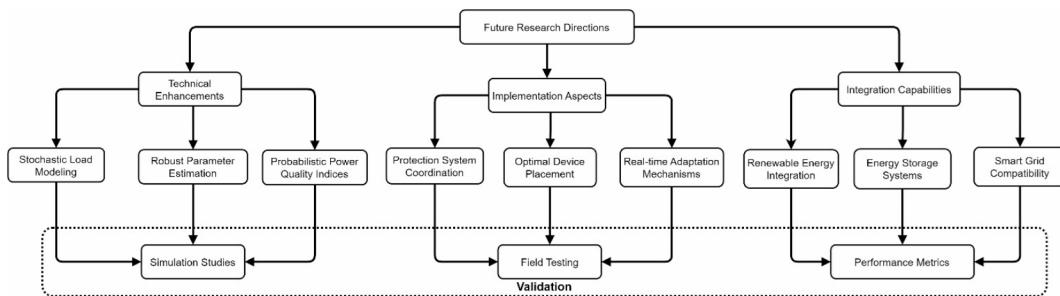


Fig. 27. Future research and direction

Data availability

The datasets used and/or analyzed during the current study available from the corresponding author on reasonable request.

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Declarations

Competing interests

The authors declare no competing interests.

Additional information

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