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Carbon neutral spatial zoning and optimization based on land use carbon emission in the qinba mountain region, China

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Amid global climate change, the pursuit of low-carbon development has become a unified international goal. The Qinba Mountain region plays an important role in maintaining China's ecological security, making spatial zoning tailored for carbon neutrality vital for local sustainable development. Using land use and socioeconomic data from 2000 to 2020 for 81 county-level units, a carbon neutral spatial zoning framework was developed, considering natural, economic, ecological and land resource factors. This study further integrated spatiotemporal dynamics of carbon index and multi-scenario predictions of future carbon emission (CE) for spatial zoning. The results revealed that the region had an overall positive net-carbon emission trend without significant ecological deficits, the central region faced increased CE and the northern region had weak ecological carrying capacity. The predicted future CE continued to decrease under low-carbon scenario and reached 30.55 million t by 2060, with only nine units failing to reach their carbon peaking by 2030. Five different zones were identified: carbon sink functional zone, low-carbon development zone, net-carbon stabilization zone, high-carbon control zone and carbon source optimization zone. Tailored optimization strategies for each zone were proposed to enhance regional ecological environment and contribute to green development. These findings offer insights into achieving carbon neutrality and sustainable development for regions or cities.

Keywords Carbon neutrality, Land use, Carbon prediction, STIRPAT model, Spatial zoning, Qinba mountain region

Climate change is a major global challenge that poses significant risks to human society, with greenhouse gas (GHG) emissions from fossil fuel use damaging natural ecosystems¹. In response, the *Paris Agreement* adopted in December 2015, set a global goal to limit the increase in global surface temperature to 2 °C by the end of the 21st century compared to preindustrial levels, with efforts to contain it within 1.5 °C. Given these circumstances, the Chinese government announced in September 2020 its commitment to “reach the peak of CO₂ emissions by 2030 and attain carbon neutrality by 2060” (*Responding to climate change: China's policies and actions*, accessed 7 February 2023). Land is a key carrier of carbon sources and sinks, serving as a fundamental spatial element for realizing the carbon peaking and carbon neutrality (“dual carbon”) targets^{2,3}. By prioritizing carbon effects in optimizing spatial pattern, low-carbon land use can help realize China’s “dual carbon” targets, with significant implications for sustainable development^{4,5}.

Carbon neutrality refers to the balance between carbon emission (CE) from anthropogenic activities and those offset through mitigation measures, also known as net-carbon emission (NCE)⁶. In China, achieving carbon neutrality involves decreasing GHG emission to near-zero level through low-carbon industrial practices, advanced urban development and improvements to human settlement quality, while balancing economic growth with environmental preservation^{7,8}. Territorial spatial planning is the guiding principle for various construction and development endeavors in China, which directly impacts land use, ecology and energy distribution⁹. Of particular importance is the delineation and optimization of land use space, which offers substantial strategic advantages in addressing climate change and achieving carbon neutrality¹⁰. Territorial spatial zoning is also widely acknowledged as an effective tool for harmonizing and regulating CE and carbon sequestration (CS).

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Land use and carbon cycle are strongly interconnected, with changes in land resource distribution and use often leading to shifts in carbon sources and sinks in ecosystems^{11,12}. In recent years, land use change has contributed 27% of global GHG emissions, attracting extensive scholarly attention to their feedback relationships¹³. Many studies evaluated carbon baseline¹⁴, calculated CE¹⁵, analyzed impacting factor¹⁶ and proposed mitigation measure¹⁷ based on land use/cover and energy data, with a focus on carbon balance¹⁸, carbon offset¹⁹, carbon footprint²⁰ and low-carbon strategy²¹. These studies have identified that the main sources of CE are concentrated in industry²², agriculture²³ and animal husbandry²⁴, and highlighted the importance of energy consumption²⁵, crop production²⁶, economic development²⁷ and population growth²⁸ in driving CE. Common methods include field measurement²⁹, remote sensing³⁰ and coefficient estimation³¹. Carbon sink primarily comes from vegetation³², such as forest³³, grassland³⁴ and cropland³⁵ with double carbon (CE and CS) attributes. Large-scale ecosystem management is considered a key pathway to achieving carbon neutrality in many studies, which have taken into account ecosystem service trade-offs³⁶, eco-efficiency³⁷, habitat quality³⁸ and economic-environmental coordination³⁹. Additionally, when integrating land use dynamics, local variations⁴⁰, spillover effects⁴¹ and spatial zoning⁴² can be explored to explain spatial homogeneity and heterogeneity in achieving carbon neutrality.

Future CE prediction directly reflects the realization of “dual carbon” targets and evaluates a region’s carbon emission potential (CEP). Many scholars have studied the various driving factors involved in the prediction process and revealed their positive and negative impacts on CE, such as industrial structure⁴³, population size⁴⁴, wealth⁴⁵ and urbanization⁴⁶. Common prediction methods include Regression Analysis⁴⁷ and Gray Correlation⁴⁸. In recent years, there has been a growing focus on machine learning models, such as Multi-objective Grey Wolf Optimization⁴⁹, Artificial Neural Networks⁵⁰ and Particle Swarm Optimization-BP neural network model⁵¹, which offer high prediction accuracy. However, these models have limitations, including weak interpretability, unclear variable relationships and high data requirements, which restrict their application in multi-scale CE prediction. Furthermore, Cellular Automata models (e.g., CLUE-s, FLUS and PLUS) are frequently used for predicting land use CE under various scenarios⁵²⁻⁵⁴. These models primarily focus on spatiotemporal variations in land use patterns that carry carbon sources and sinks, with limited attention to the actual CE or CS processes. Notably, the STIRPAT (Stochastic Impacts by Regression on Population, Affluence and Technology) model⁵⁵ remains the most widely used in future CE prediction studies, particularly at the national and provincial levels. The results of future CE prediction serve as a key quantitative index for evaluating whether a region can achieve its “dual carbon” targets.

Since the 1990s, the role of urban areas in decreasing CE has gradually attracted academic attention. Relevant studies have primarily been conducted within two analytical frameworks: one based on the CE impact mechanism of “anthropogenic activities”⁵⁶, and the other focused on the environmental effect evaluation derived from “microclimate observations”⁵⁷. However, these studies often focused on local CE within urban areas and overlooked the spatial relationship and interaction with surrounding areas. With the proposal of “dual carbon” targets, academic focus has shifted to global carbon consumption trends, which might have overlooked regional evaluation of CE and tailored strategies for realizing the “dual carbon” targets. Moreover, studies on the establishment of regional carbon accounting systems and the implementation of regional spatial zoning for carbon neutrality remain insufficient and require further exploration. It is noteworthy that mountain areas, as typical representatives of multi-level administrative divisions, show significant economic gradients, population changes and homogeneous ecological conditions⁵⁸. These characteristics provide a unique spatial context for regional land use CE studies. Mountain areas have both internal differences and systematic commonalities that make combining “anthropogenic activities” and “climate observations” in land use CE evaluation theoretically feasible, with carbon neutrality spatial zoning based on it practically valuable. However, the complex nonlinear interaction between climate change and anthropogenic activity causes uncertainty in achieving carbon neutrality^{56,57}. Therefore, targeting mountain areas to analyze the spatiotemporal evolution of carbon index, predict future CE and incorporate carbon neutrality into spatial zoning and optimization strategies can further enrich related studies.

Accordingly, an evaluation system was established based on land use and energy data from 2000 to 2020, incorporating key indices such as CE, CS, economy contributive coefficient (ECC), ecological support coefficient (ESC) and carbon footprint (Cf). The system aimed to evaluate the spatiotemporal variation of land use CE in the Qinba mountain region (a typical ecological conservation area in China). The STIRPAT model was used to predict future CE under various scenarios and evaluate CEP. Moreover, the comprehensive land use index (CLI) was also introduced to set criteria for carbon neutral spatial zoning. Spatial differences and potential challenges of zoning with different carbon contributions and optimization pathways were revealed. It is anticipated to provide scientific insights for carbon neutral spatial governance and low-carbon development strategies.

This article begins with a literature review on land use CE and identifies existing research gaps. It then details the study area, data sources, evaluation indices and selected methods (Fig. 1 shows an overview of analytic methods). Subsequently, the spatiotemporal variation of land use and carbon indices, various scenario predictions of future CE, spatial zoning and optimization strategies for carbon neutrality are presented. Next, the reasons and some extensions that impacted study results, as well as policy recommendations, contributions and limitations are discussed. Finally, the main findings are summarized.

Materials and methods

Study area

The Qinba Mountain region ($103^{\circ}74' - 113^{\circ}23'$ E, $30^{\circ}48' - 34^{\circ}62'$ N) is located in central mainland China (Fig. 2). Its administrative scope includes Gansu, Henan, Hubei, Shaanxi, Sichuan and Chongqing (involving 81 county-level administrative units), covering an area of 22.45×10^4 km². Its location spans the Yangtze, Yellow, and Huai River Basins, resulting in highly developed water systems and abundant runoff resources. With a forest

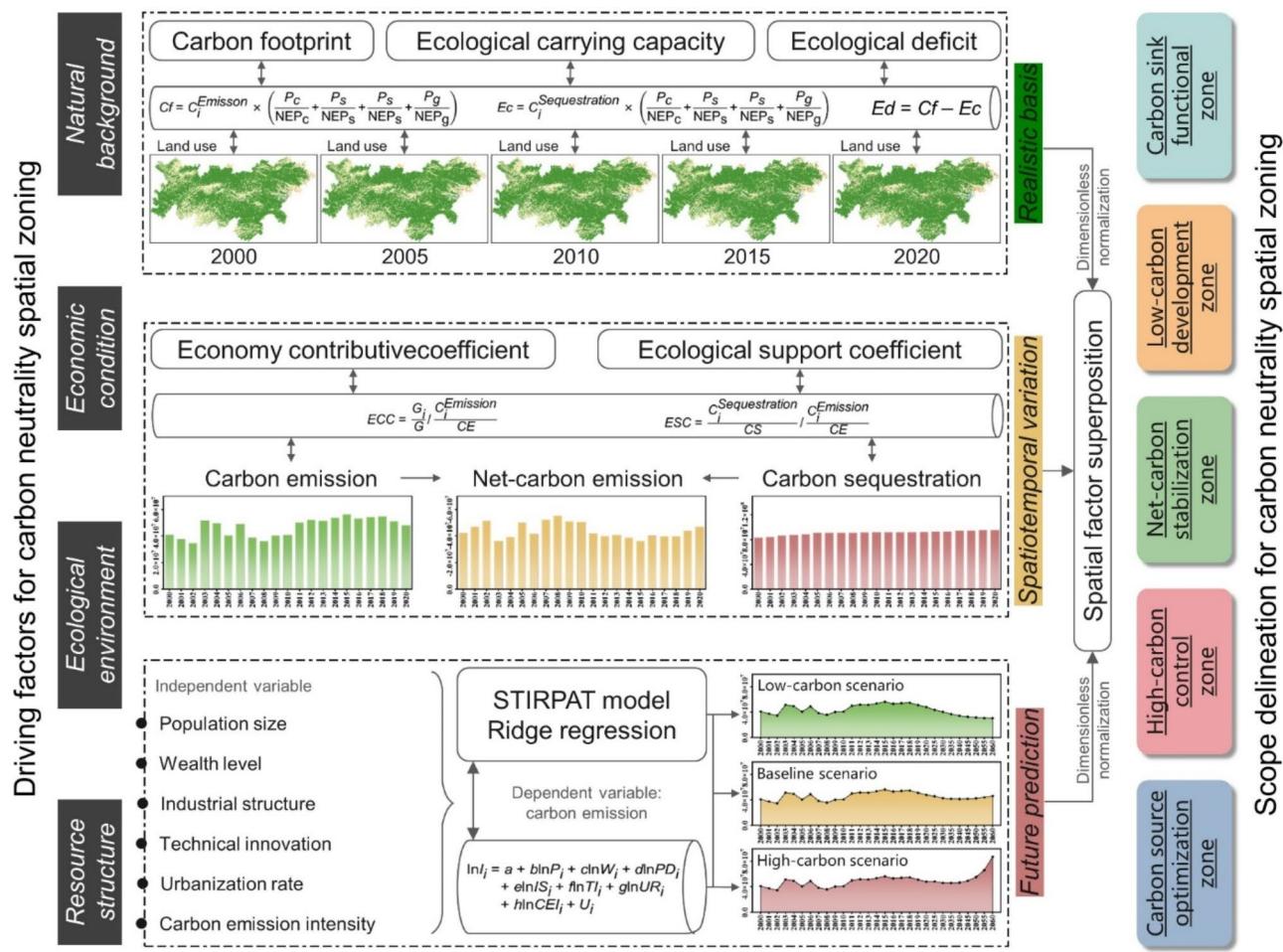
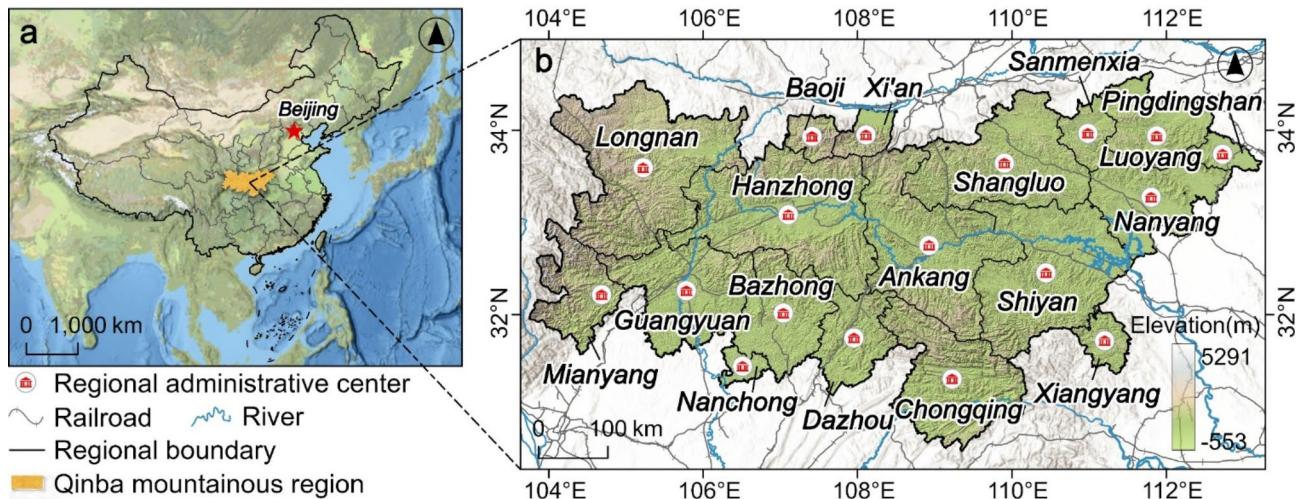


Fig. 1. The flow chart depicting study process.

Fig. 2. The location of study area (a map of China obtained from <http://bzdt.ch.mnr.gov.cn>, accessed on 3 March 2023).

coverage rate of 53%, it is an important ecological and biodiversity conservation area in China. In 2020, the GDP totaled USD 177.89 billion and the resident population was 30.03 million. However, the overall economy lags behind. Compared with highly industrialized urban agglomerations, the Qinba Mountain region faces more pronounced conflicts between ecological protection and economic development. As local demands for economic and social development grow, anthropogenic activities are expected to increase the consumption of land and energy resources, which may lead to high *CE* and ecosystem degradation. Therefore, selecting the Qinba Mountain region, which is rich in carbon storage and has urgent development demands, as a case study for carbon neutral spatial zoning provides valuable empirical insights for low-carbon transformation strategies in mountain areas worldwide.

Data sources and processing

The fundamental data are described in Table 1, with the coordinate system of geographic element data standardized to WGS 1984 and Gauss-Krüger projection. Special notes: The land use types of raw data were reclassified into cropland, shrubland, forest, grassland, water area, urban land and unused land (L_1 – L_7) to align with the objectives of this study. In certain units, due to the absence of specific data on energy consumption and agricultural plastic film usage, it was necessary to refer to the *municipal-level energy balance table*, and proportional conversions were performed based on the gross value of energy industry and the sown area of crops to ascertain the numerical values of relevant indices. Residual or biased data in the time series were reassigned using cubic spline interpolation.

Carbon index evaluation

Land is the primary carrier of carbon source and sinks⁵⁹. The *CE* primarily results from agricultural production on cropland and energy consumption on urban land²², while the *CS* mainly occurs through photosynthesis in cropland, shrubland, forest, grassland and water area^{23,24}. The specific equations are shown in Table 2.

In agricultural production activities, fertilizer, pesticide, agricultural plastic film, irrigation and crop cover all contribute to *CE* from cropland²². The *CE* from livestock in other cropland is primarily due to GHGs emitted through breathing, gastrointestinal fermentation and manure management during their growth²³. The *CS* from urban land is generated by fossil energy consumption and human breathing²⁴. Ecosystems, particularly forest, are rich in vegetation that functions as carbon sinks, effectively sequestering CO₂ from the atmosphere through photosynthesis and storing it as organic matter in plant tissues⁶⁰. The *NCE* refers to the difference between *CE* and *CS* within a specified system or activity⁶¹.

The *ECC* evaluates the disparity in *CE* across various economic production levels within different regions, thereby reflecting the use efficiency of carbon⁶². An *ECC* greater than 1 indicates that the region's economic contribution surpasses its *CE*, reflecting higher energy efficiency and carbon productivity³⁴. Conversely, an *ECC* less than 1 indicates lower energy efficiency and carbon productivity. The *ESC* evaluates the equity of carbon ecological capacity distribution, emphasizing its *CS* potential⁶³. An *ESC* greater than 1 indicates that the ecological contribution to *CS* exceeds *CE*, demonstrating a robust capacity for carbon offsetting³⁵. Conversely, an *ESC* less than 1 indicates that local *CS* is insufficient to balance *CE*, leading to a lower carbon offsetting rate.

The *Cf* is commonly regarded as the ecological footprint associated with *CE*, representing the area of biologically productive land required to offset *CE* resulting from anthropogenic production activity⁶⁴. It effectively reflects the ecological carrying capacity (*Ec*) and ecological deficit (*Ed*) status of a region⁵⁹. The net ecosystem production (NEP) quantifies the *CS* potential of various vegetation types, enabling the calculation of land area needed to offset *CE* from cropland, shrubland, forest and grassland⁶⁵.

Type	Content	Source	Year	Resolution/Scale
Land use	Land cover remote sensing monitoring data	The 30 m annual land cover datasets and its dynamics in China from 2000–2020 (https://doi.org/10.5281/zenodo.4417809)	2000–2000 (5-year interval)	30 m × 30 m
Digital Elevation Model (DEM)	ASTER GDEM v2 DEM	Geospatial data cloud (https://www.gscloud.cn)	2010	30 m × 30 m
Socioeconomic	Resident population, GDP per capita, secondary GDP, investment in fixed assets and urbanization rate, etc.	Statistical yearbooks of relevant regions	2000–2000 (5-year interval)	County-level
Agricultural production	Fertilizer, pesticide, agricultural plastic film usage, irrigation, sown area to crops and total food production, etc.	Statistical yearbooks of relevant regions	2000–2000 (5-year interval)	County-level
Livestock value	Stocks of pigs, cattle, sheep and poultry	Statistical yearbooks of relevant regions	2000–2000 (5-year interval)	County-level
Energy industry	Major energy consumption in common industries	Statistical yearbooks and environmental annual reports of relevant regions and the <i>China Energy Statistical Yearbook</i>	2000–2000 (5-year interval)	County-level
Road traffic	Railroads, highways and major roads, etc.	Open Street Map (https://www.openstreetmap.org)	2010 and 2020	1:4 million
Aquatic river	Vector data of river position, length, etc.	National Earth System Science Data Center (https://www.geodata.cn)	2010 and 2020	1:1 million

Table 1. Data sources and description.

Carbon index	Composition	Formula	Number	Explanation	Reference
	The <i>CE</i> from cropland (C_C^E), fertilizer ($C_{F_e}^E$), pesticide ($C_{P_e}^E$), agricultural plastic film ($C_{P_f}^E$), irrigation ($C_{I_r}^E$) and crop cover (C_{Co}^E)	$C_C^E = C_{F_e} + C_{P_e} + C_{I_r} + C_C$ $= \alpha F_e + \beta P_e + \gamma P_f + \delta I_r + (\epsilon C_o + \zeta M_p)$	(1)	$\alpha, \beta, \gamma, \delta$ and ϵ are <i>CE</i> coefficients (0.86 t/coal , 4.93 t/coal , $5.18 \times 10^{-3} \text{ t/thm}^2$, 0.266 t/hm^2 and 0.02 t/thm^2) of corresponding factors, respectively; F_e, P_e, P_f, I_r and C are consumption of corresponding factors, respectively; ζ is <i>CE</i> coefficient ($1.81 \times 10^{-4} \text{ t/kW}$) of machinery power; M_p is total machinery power.	
Carbon emission: <i>CE</i>	The <i>CE</i> from urban land ($C_{U_r}^E$), fossil energy consumption (C_{En}^E) and human breathing (C_B^E)	$C_U = C_{En} + C_B^{Human}$ $= \sum_{i=1}^n (E_i \times M_B \times \theta_i) + b P_i$	(2)	E_i is <i>i</i> -th fossil energy consumption; M_i is <i>i</i> -th fossil energy coal coefficient; b is human breathing <i>CE</i> coefficient: $0.079 \text{ t/(person-a)}$; P_i is the population.	²²
	The <i>CE</i> from livestock (C_L^E), livestock respiration ($C_R^{Livestock}$), gastrointestinal fermentation (C_{Fer}) and manure management (C_{Ma})	$C_L = C_R^{Livestock} + C_{Fer} + C_{Ma}$ $= \sum_{i=1}^n Num_i \times (\eta_i + \lambda_i + \mu_i)$	(3)	Num_i is the number of stocks of <i>i</i> -th livestock species; η_i, λ_i and μ_i are <i>CE</i> coefficients in breathing, enteric fermentation and manure management of <i>i</i> -th livestock species, respectively, which are defined as $0.08, 0.001$ and 0.005 for pig, $0.79, 0.005$ and 0.003 for cattle, $0.02, 0.005$ and 5×10^{-4} for sheep, $1 \times 10^{-5}, 0.001$ and 2×10^{-5} for chicken, unit: t/(head-a) .	
Carbon sequestration: <i>CS</i>	The <i>CS</i> from crop (C_C^S)	$C_C^S = \sum_{i=1}^n \frac{Y_{Crop\ i} (1 - P_{Water\ i})}{\rho_{Crop\ i}} \times V_i$	(4)	$Y_{Crop\ i}$ is economic yield of crops such as rice, wheat, maize, cereal, sorghum and peanut; $P_{Water\ i}$ is water content of <i>i</i> -th crop; $\rho_{Crop\ i}$ is economic coefficient of <i>i</i> -th crop; V_i is <i>i</i> -th coefficient of <i>i</i> -th crop.	²³
	The <i>CS</i> from shrubland (C_S^S), forest (C_F^S), grassland (C_G^S) and water area (C_W^S)	$CS + CF + CG + CW = \sum_{i=1}^n S_i \times \xi_i$	(5)	S_i is land area; ξ_i is <i>CS</i> coefficient of <i>i</i> -th land, which is $3.98, 5.77, 0.021$ and 0.298 , respectively, unit: t/thm^2 .	²⁴
Net-carbon emission: <i>NCE</i>	$CE - CS$	$(C_U + C_C^E + C_L) - (C_C^S + C_S + C_F + C_G + C_W)$	(6)	—	⁶¹
Economy contributive coefficient: <i>ECC</i>	—	$\frac{G_i^S - C_i^E}{G_i^S} \times 100\%$	(7)	G_i and G are total GDP (in current prices) of <i>i</i> -th unit and Qinba Mountain region, respectively; C_i^E is the total <i>CE</i> of <i>i</i> -th region.	³⁴
Ecological support coefficient: <i>ESC</i>	—	$\frac{C_i^S - C_i^E}{C_i^S} \times 100\%$	(8)	C_i^S is total <i>CS</i> of <i>i</i> -th region.	³⁵
Carbon footprint: <i>Cf</i>	—	$C_i^E \times \left(\frac{P_c}{NEP_c} + \frac{P_s}{NEP_s} + \frac{P_f}{NEP_f} + \frac{P_g}{NEP_g} \right)$	(9)	P_c, P_s, P_f and P_g are the proportion of <i>CS</i> from cropland, shrubland, forest and grassland in total amount of the Qinba Mountain region, respectively; NEP_c , NEP_s , NEP_f and NEP_g are the <i>NEP</i> of cropland (4.05), shrubland (2.93), forest (3.81) and grassland (0.95) of <i>NEP</i> , respectively, unit: t/thm^2 .	⁵⁹
Ecological carrying capacity: E_C	—	$C_i^S \times \left(\frac{P_c}{NEP_c} + \frac{P_s}{NEP_s} + \frac{P_f}{NEP_f} + \frac{P_g}{NEP_g} \right)$	(10)	—	
Ecological deficit: Ed	$C_f - E_C$	—	(11)	—	

Table 2. Carbon index evaluation and corresponding calculation formula.

Type	Discount standard coal coefficient	CE coefficient
Raw coal	0.7143 t/coal	0.7260 t/coal
Coke	0.9714 t/coal	0.8550 t/coal
Gasoline	1.4714 t/coal	0.5538 t/coal
Kerosene	1.4714 t/coal	0.5714 t/coal
Diesel fuel	1.4571 t/coal	0.5921 t/coal
Combustion oil	1.4286 t/coal	0.6185 t/coal
Natural gas	1.2143 t/coal	0.4483 t/coal
Liquefied natural gas	1.7572 kg Coal/m ³	0.3548 t/coal
Liquefied petroleum gas	1.7143 Coal/m ³	0.5042 t/coal
Electricity	0.1229 kg Coal/kW·h	0.7935 t/coal
Heat	0.0341 kg Coal/million J	0.2600 t/coal

Table 3. The CE coefficient of fossil energy. Note: It is important to distinguish whether CE of energy originates from the combustion process or the conversion process. Primary energy sources, such as raw coal and coke, are directly extracted from nature, and their CE primarily originate from combustion⁶⁶. Therefore, their CE are calculated using CE coefficients (as provided by *Intergovernmental Panel on Climate Change*) for energy burning. Contrarily, the CE from secondary energy sources, such as electricity and heat, is caused by conversion process involving the burning of primary energy⁶⁷. In this case, the production of secondary energy sources rather than their consumption should be included in their CE calculations. Additionally, regional variability exists in the CE coefficient for electricity⁶⁸. The calculation is performed as follows: the consumption of various energy sources in electricity production is multiplied by their respective CE coefficients to determine CE generated in the electricity production process. The ratio of CE from these energy sources to total electricity production gives the CE coefficient for electricity.

Sen-estimated value	Z-value	Trend type
0 > Sen-estimated value	-1.66 > Z-value	Marked decrease
0 > Sen-estimated value	0 > Z-value ≥ -1.66	Moderated decrease
Sen-estimated value = 0	Z-value = 0	Unchanged
Sen-estimated value > 0	1.66 \geq Z-value > 0	Moderated increase
Sen-estimated value > 0	Z-value > 1.66	Marked increase

Table 4. Classification of carbon index change trend.

Carbon potential analysis

Theil-Sen median trend and Mann-Kendall test

The Theil-Sen Median, also known as Sen slope estimation, is a robust nonparametric statistical method for trend analysis, particularly well-suited for evaluating long-term temporal datasets⁶⁹. The Mann-Kendall test evaluates the significance of trends in datasets by examining the correlation among data points⁷⁰. This study integrated them to quantitatively evaluate temporal trends in carbon indices. A Sen-estimated value greater than 0 indicates an increasing trend, a value of 0 indicates stability and a value less than 0 indicates a decreasing trend (Table 4). The Mann-Kendall test results were obtained at a 5% confidence level and classified into five different trends based on Z-value (test statistic).

STIRPAT model

The STIRPAT model, an extension of the IPAT equation (Environmental Impact = Population \times Affluence \times Technology), is an improved nonlinear model that can conduct quantitative analyses of anthropogenic impacts on environmental stress⁵⁵. In this study, population size (P), wealth level (W), industrial structure (IS), technological innovation (TI), urbanization rate (UR) and carbon emission intensity (CEI) identified as independent variables and CE as dependent variable. To address potential multicollinearity among independent variables, ridge regression was used to calculate coefficients and derive prediction equation (Eq. 12). Regression parameter (K -value) was identified based on ridge trace. The model's validity was verified through an independent sample t-test between ridge regression results and actual CE based on annual data.

$$\ln I_i = a + b \ln P_i + c \ln W_i + d \ln IS_i + e \ln TI_i + f \ln UR_i + g \ln CEI_i + U_i \quad (12)$$

Where a is model coefficient; b, c, d, e, f and g are coefficients of corresponding independent variables, respectively; P_i is the number of population in i -th region (person); W_i is per capita GDP in i -th region (CNY 10,000); IS_i is value added of secondary industry in i -th region / GDP in i -th region (%); TI_i is GDP in i -th region

/ energy consumption in i -th region (CNY 10,000/coal); UR_i is urban population in i -th region / total population in i -th region (%); CEI_i is total CE in i -th region / secondary industry GDP in i -th region (coal/CNY 10,000); U_i is error term of model.

Scenario setting

The STIRPAT model was used to establish three scenarios: low-carbon, baseline and high-carbon, aimed at predicting CE of 81 units in the Qinba Mountain region from 2020 to 2060 (with 5-year intervals). The growth rate or threshold for independent variable in each scenario was set based on the provincial *14th Five-Year Plan*, greenhouse gas control strategies and socio-economic development. To achieve the targets for 2030 (carbon peaking year) and 2060 (carbon neutral year), differentiated independent variable changes were set accordingly.

According to the *National Population Development Plan*, China's population is projected to peak in 2030 and then enter a slowly decreasing period. Therefore, the P was set as follows: the minimum, average and maximum annual growth rates from 2000 to 2020 corresponded to low-carbon, baseline and high-carbon scenarios before 2030⁴⁴. After 2030, the growth rates of P were adjusted to positive values across all scenarios (unchanged if originally positive and the same applies below). Specifically, the minimum (a further 20% increase), average and maximum (a further 20% decrease) annual growth rates corresponded to low-carbon, baseline and high-carbon scenarios, respectively. The W and TI typically show decreasing trends and have opposite effects on CE : increased W leads to increased CE , while increased TI leads to decreased CE ⁴⁵. For this reason, the growth rates for W and TI were adjusted to positive values across all scenarios. Different growth rates were used before and after 2030 based on specific scenarios. Given the positive correlation between IS and CE , the minimum, average and maximum annual values from 2000 to 2020 were set as the thresholds for low-carbon, baseline and high-carbon scenarios before 2030⁴³. After 2030, the thresholds of IS were adjusted as follows: a 5% decrease for low-carbon scenario, no change for baseline scenario and a 5% increase for high-carbon scenario. The thresholds of UR were set according to the provincial 2030 target in the *14th Five-Year Plan*, which was directly used for the baseline scenario and adjusted by $\pm 5\%$ for other scenarios and time periods⁴⁶. Given that a low CEI is typically referred to as generating low CE for equal economic input, the increase rates of CEI were adjusted to positive values across all scenarios⁴³. The minimum, average and maximum annual growth rates from 2000 to 2020 corresponded to low-carbon, baseline and high-carbon scenarios before 2030. After 2030, the growth rates of CEI were adjusted as follows: a 1% decrease for low-carbon scenario, no change for baseline scenario and a 1% increase for high-carbon scenario. As an illustrative example, the scenario settings for independent variables in units within Shaanxi Province are shown in Table 5.

Zoning criteria

Carbon neutral spatial zoning was conducted in the Qinba Mountain region based on increasing or decreasing trends (Z -value) of carbon indices. Additionally, the CLI was introduced as a key index in evaluating carbon-land interactions, where a low CLI indicates that land resources are minimally disturbed and have robust carbon sink capacities⁷¹. The CEP , an expression of future CE prediction, was included in the zoning considerations because it directly indicates the feasibility of realizing the “dual carbon” targets. All carbon indices were classified into four groups. The attributes (positive or negative) of carbon indices were defined and classified as 1 to 5 (dimensionless). Each unit in the Qinba Mountain region was assigned values based on the criteria shown in Table 6, with a high total value indicating a favorable foundation for achieving carbon neutrality. Spatial overlay calculations and natural fracture hierarchies were executed in ArcGIS, and five zones were delineated: carbon sink functional zone, low-carbon development zone, net-carbon stabilization zone, high-carbon control zone and carbon source optimization zone.

Results

Land use evolutionary trajectory

The unique hilly and valley topography of the Qinba Mountain region greatly impacts local land use pattern, thereby determining the distribution and scale of carbon sources and sinks. Land use changes from 2000 to 2020 showed significant spatial differences (Fig. 3). Notably, cropland was decreasing significantly in the northwestern region, which is characterized by steep topography and low soil fertility. Comparatively, urban land and cropland showed significant and synchronized increases in the southeastern region, which is characterized by gentle slopes and sufficient rainfall. Among ecological lands, shrubland and grassland are more vulnerable to anthropogenic

Scenario	Time period	P	W	IS	TI	UR	CEI
		Growth gate	Growth gate	Threshold	Growth gate	Threshold	Growth gate
Low-carbon	2020–2030	Min Δ	Min Δ	\leq Min Δ	Max Δ	$\leq 65\%$	Min Δ
	2030–2060	$-\Delta \times (1 + 0.2)$	$\Delta \times (1 + 0.1)$	\leq Min $\Delta - 5\%$	$\Delta \times (1 + 0.2)$	$\leq 70\%$	Min $\Delta - 1\%$
Baseline	2020–2030	Δ	Δ	$\leq \Delta$	Δ	$\leq 70\%$	Δ
	2030–2060	$-\Delta \times (1 + 0.2)$	$\Delta \times (1 + 0.15)$	$\leq \Delta$	$\Delta \times (1 + 0.15)$	$\leq 75\%$	Δ
High-carbon	2020–2030	Max Δ	Max Δ	\leq Max Δ	Min Δ	$\leq 75\%$	Max Δ
	2030–2060	$-\Delta \times (1 - 0.2)$	$\Delta \times (1 + 0.2)$	\leq Max $\Delta + 5\%$	$\Delta \times (1 + 0.1)$	$\leq 80\%$	Max $\Delta + 1\%$

Table 5. Growth rate and threshold of independent variable in each scenario. Note: is the average annual growth rate or annual threshold.

Type	Carbon Index	Attribution	Information	Classification				
				5	4	3	2	1
Natural background	CS	–	Z-value	Z-value > 1.66	1.66 ≥ Z-value > 0	Z-value = 0	0 > Z-value ≥ -1.66	-1.66 > Z-value
	NCE	–	Z-value	-1.66 > Z-value	0 > Z-value ≥ -1.66	Z-value = 0	1.66 ≥ Z-value > 0	Z-value > 1.66
Economic condition	CE	+	Z-value	-1.66 > Z-value	0 > Z-value ≥ -1.66	Z-value = 0	1.66 ≥ Z-value > 0	Z-value > 1.66
	ECC	+	Z-value	Z-value > 1.66	1.66 ≥ Z-value > 0	Z-value = 0	0 > Z-value ≥ -1.66	-1.66 > Z-value
Ecological environment	ESC	+	Z-value	Z-value > 1.66	1.66 ≥ Z-value > 0	Z-value = 0	0 > Z-value ≥ -1.66	-1.66 > Z-value
	Cf	–	Z-value	-1.66 > Z-value	0 > Z-value ≥ -1.66	Z-value = 0	1.66 ≥ Z-value > 0	Z-value > 1.66
	Ec	+	Z-value	Z-value > 1.66	1.66 ≥ Z-value > 0	Z-value = 0	0 > Z-value ≥ -1.66	-1.66 > Z-value
	Ed	–	Z-value	-1.66 > Z-value	0 > Z-value ≥ -1.66	Z-value = 0	1.66 ≥ Z-value > 0	Z-value > 1.66
	CEP	+	Realization of the “dual carbon” targets	Significant	Slightly significant	Stable	Slightly non-significant	Non-significant
Resource structure	CLI	+	Min-max scaling and equal interval classification	1 ≥ CLI ≥ 0.8	0.8 > CLI ≥ 0.6	0.6 > CLI ≥ 0.4	0.4 > CLI ≥ 0.2	0.2 ≥ CLI ≥ 0

Table 6. Criteria for carbon neutral Spatial zoning.

activities than forest. From 2000 to 2005, shrubland and grassland were decreasing significantly, while forest was increasing significantly. However, improved ecological awareness and planting policies slowed down the decreasing rate of shrubland and grassland since 2005. Even shrubland increased in the western region after 2005. The standard deviation ellipse width and azimuthal angle of forests were decreasing since 2005, forming an east-west distributed spatial pattern in 2020. Additionally, grassland was decreasing significantly and water area was increasing significantly in the southeastern region after 2005, especially near the Han, Dan and Jialing Rivers. It may be related to regional soil erosion and water project construction. Urban land was increasing significantly in the eastern region after 2005, while it increased slowly in the central and western regions. Unused land, primarily distributed in the southwestern region, changed little from 2000 to 2020, which may be due to low intensity of anthropogenic activities in the region.

Spatiotemporal variation in carbon index

Temporal characteristics

The economic and social demand were increasing from 2000 to 2020 in the Qinba Mountain region. It led to accelerating industrialization and widespread burning of fossil fuels, which significantly increased CE. As shown in Fig. 4, CE was increasing from 41.99 to 540.16 million t, with the lowest in 2002 (34.71 million t) and the highest in 2015 (56.42 million t). However, the Qinba Mountain region with its ecological self-restoration capacity can carry out carbon sequestration through natural processes even in the absence of anthropogenic intervention. Additionally, local measures such as reforestation and planting trees can further increase vegetation cover and enhance carbon sink capacity. Therefore, the CS was increasing from 2000 to 2020. It consistently exceeded 90.09 million t since 2005 and reached 95.16 million t in 2020. The NCE showed stable fluctuations over the 20-year period, ranging from 4.13 to 43.84 million t. Its lowest (20.63 million t) occurred in 2014 due to increased CS and its highest (55.12 million t) occurred in 2018 due to increased CE. These fluctuations indicate that the Qinba Mountain region still possess robust carbon balance capability.

The increased economic output and clean technology application are typically reflected in changes of ECC. It reached the highest value in 2003 (153.2%) and then decreased over the following four years. However, it was increasing since 2008 and reached 138.4% in 2020. The ESC was decreasing from 2000 to 2020, but it consistently remained above 100%. Additionally, it reached the highest value (273.8%) in 2004 and then decreased sharply to the lowest value (156%) in 2007, and finally increased gradually to 179.6% in 2020. The Cf was increasing since 2008 and reached 145.7 km² in 2020. The Ec was fluctuating between 219,400 and 228,200 km² from 2000 to 2020. The negative impacts of ecological resource consumption beyond ecological self-restoration capacity were not significant because Ec was consistently greater than Cf, resulting in Ed being less than 0 from 2000 to 2020. From the above, it can be inferred that pressure on ecological environment from increasing land use intensity in the Qinba Mountain region still exists, but the carbon offset effect produced by biologically productive land is significant over a long period.

Spatial characteristics

According to the Theil-Sen Median trend and Mann-Kendall test, the CE of 55 units showed increasing trends in the Qinba Mountain region (Figs. 5 and 6). The industrial factories concentrated in Hanbin, Hantai, Pingli, Shiquan, Baihe and Ziyang have high energy consumption, and CE of these units showed marked increasing trends. The CE of 24 units showed decreasing trends, with marked decreases observed in units with abundant carbon sinks, such as Nan Zhao, Lushi, Enyang, Luoning, and Zhenping. The units with decreasing trends in CE were primarily distributed in the western and southern regions. The CS of most units showed increasing trends, except in densely populated units such as Foping, Shiquan, Maowei, Zhangwan, Enyang, Yilong and Wushan. These units may be impacted by overdevelopment and pollution. The NCE of Zhouzhi in the northern region showed an unchanged trend, while NCE of most units in the central region showed decreasing trends.

The ECC of most units showed increasing trends, with Z-values ranging from 0.39 to 4.92. The ECC of 33 units showed marked increasing trends. However, units distributed along the Han and Jialing Rivers have

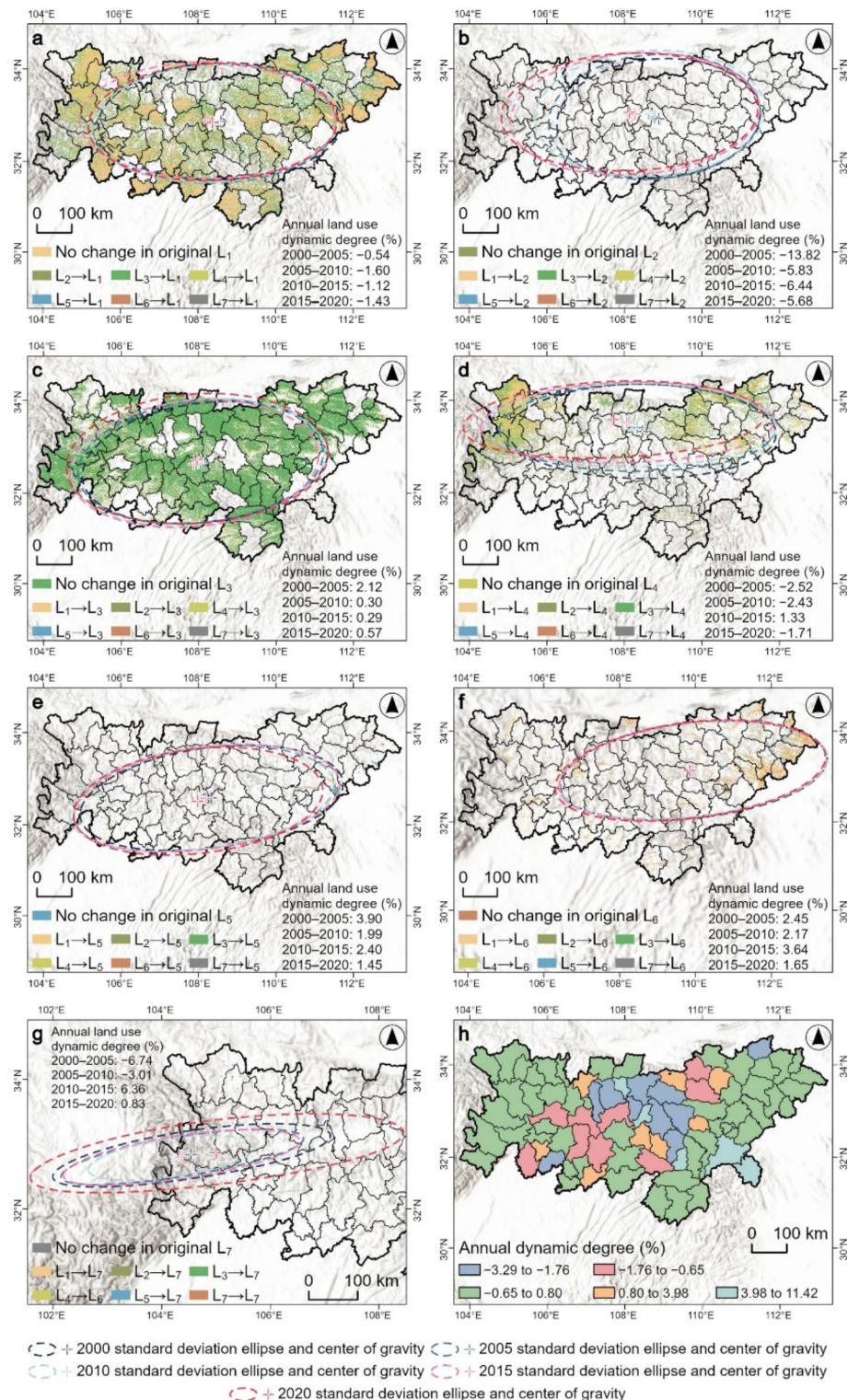


Fig. 3. Spatial differences in land use standard deviation ellipse and *CLI*. (a–g are the standard deviation ellipses and transition changes in L_1 – L_7 from 2000 to 2020, respectively; h is the annual dynamic degree of *CLI* in spatial pattern from 2000 to 2020).

traditional industries and low energy efficiency, and their *ECC* showed decreasing trends. Among them, the *ECC* of Hanbin and Chenggu showed marked decreasing trends. The *ESC* of 45 units showed decreasing trends, while *ESC* of 36 units showed increasing trends. Moreover, 39 units had *Z*-values of *ECC* and *ESC* greater than 0, indicating trends of high economic yield and *CS*. 10 units had *Z*-values of *ECC* greater than 0 but *ESC* less than 0, indicating trends of high economic yield and *CE*. Four units had *Z*-values of *ECC* less than 0 but *ESC* greater than 0, indicating trends of low economic yield and *CE*.

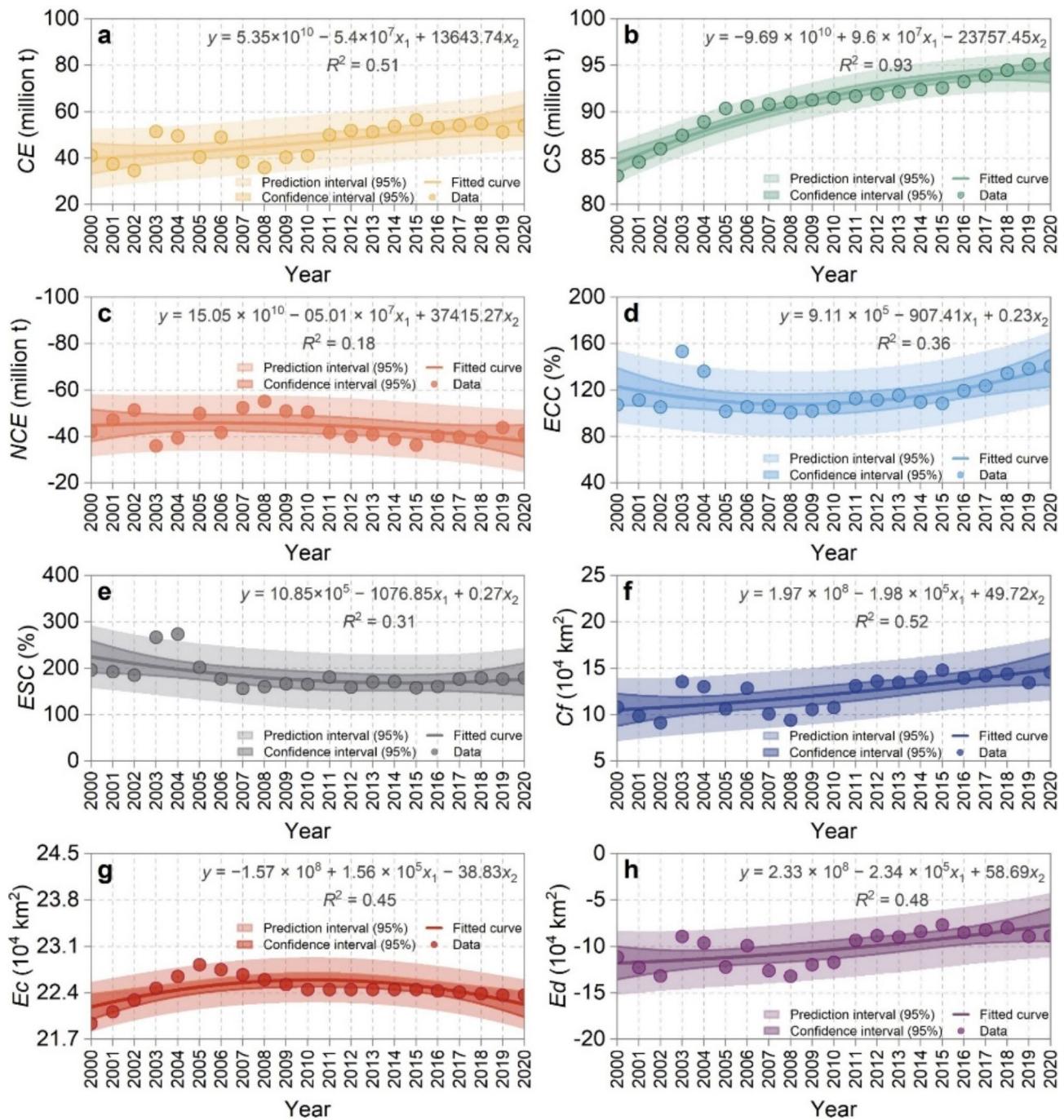


Fig. 4. Temporal variation in carbon index. (a-h are the changes in carbon index from 2000 to 2020, respectively. The fitted curve shows its trend, and the confidence and prediction intervals show its variability).

0, indicating trends of low economic yield and CE. 24 units had Z-values of ECC and ESC less than 0, indicating trends of low economic yield and CS. The Cf of 39 and 15 units showed marked increasing and decreasing trends, respectively. The Ec of four and 59 units showed marked increasing and decreasing trends, respectively. The Ed of 55 units distributed in the central and northern regions showed increasing trends. Among them, the Ed in Hantai and Pingwu showed marked increasing and decreasing trends, which were more pronounced than those in other units.

Future carbon emission prediction

The multivariate regression analysis showed that the variance inflation factors (VIFs) for variables, except $\ln P$ and $\ln IS$, were greater than 10 (Table 7). Especially, the VIFs for $\ln W$ and $\ln UR$ were 213.31 and 160.75, respectively, indicating severe multicollinearity among the independent variables. Therefore, ridge regression was used to reanalyze the data. Generally, the smaller K -value, the less information is lost and the higher model

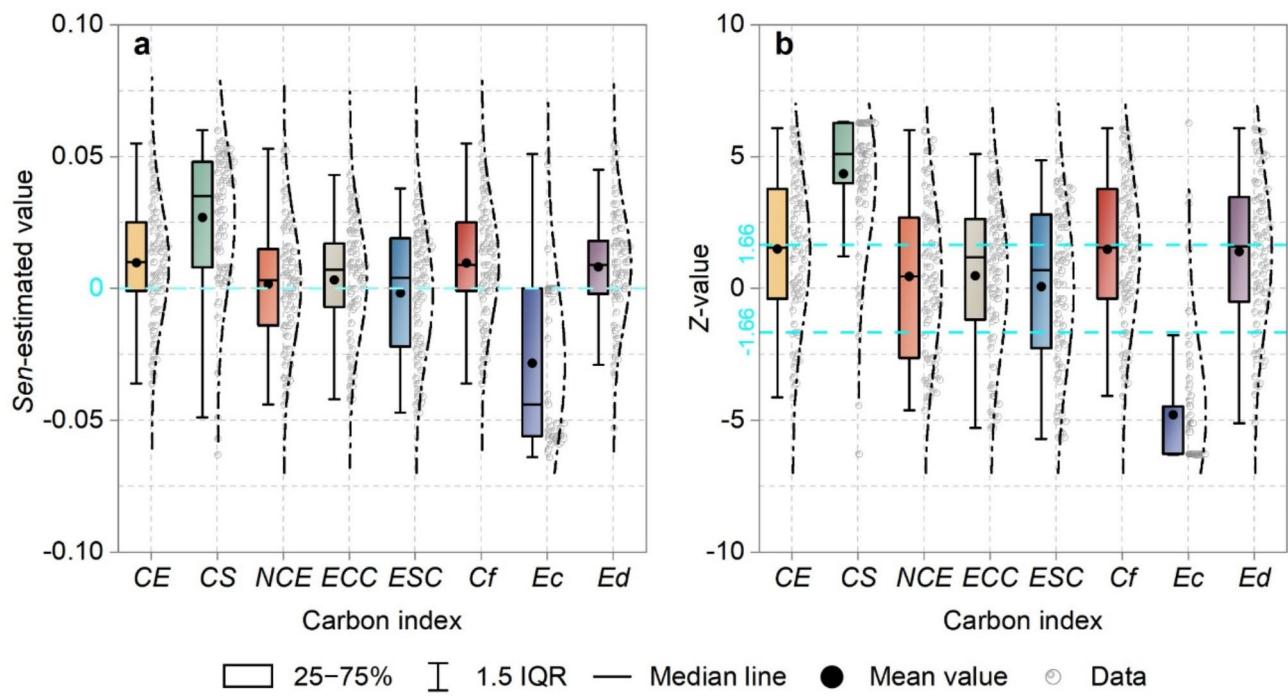


Fig. 5. Theil-Sen Median trend analysis and Mann-Kendall test of carbon index. **(a**) is the distribution of *Sen*-estimated value for carbon index around 0 to distinguish whether it shows an increasing or decreasing trend; **b** is the distribution of Z-value for carbon index to identify the trend type it shows).

accuracy. Based on the trend of ridge trace, coefficients gradually stabilized at K -value of 0.02 (Fig. 7a), the VIFs for variables remained below 10 and R^2 reached 0.825, indicating a good model fit. The t-test showed p -value of 0.898, which is greater than the significance level of 0.05, indicating that there is no significant difference between predicted CE and actual data. Therefore, the ridge regression equation with K -value of 0.02 and unstandardized coefficients (Eq. 13) can be used as the linear form of STIRPAT model.

$$\ln I_i = (0.016)\ln P_i + (0.274)\ln W_i + (0.001)\ln IS_i + (-0.079)\ln TI_i + (0.377)\ln UR_i + (0.304)\ln CEI_i + 15.421 \quad (13)$$

By comparing the coefficients of variables in STIRPAT model, it can be inferred that UR had the most significant impact on CE . A 1% increase in UR resulted in a 0.377% increase in CE . Expanding infrastructure, increasing energy consumption and escalating transport demand during the urbanization process may lead to high CE during urbanization process⁷². A 1% increase in CEI resulted in a 0.304% increase in CE . The CEI reflects the CE per unit of economic yield. A high CEI indicates that economic growth is heavily dependent on carbon-intensive energy and technologies, which can lead to an increase in CE ⁷³. Additionally, the TI had negative impact on CE . Typically, the driving force behind TI is competitive pressure driven by market demand and population growth⁷⁴. However, the actual impact of TI on decreasing CE may experience time lags due to constraints in funding and time, as well as barriers in market and policies⁷⁵.

The predicted future CE of 81 units in the Qinba Mountain region were analyzed under three scenarios: low-carbon, baseline, and high-carbon (Fig. 7b, c). Under low-carbon scenario, a total of 9 units, primarily distributed around Hankan and Hanzhong, were projected not to reach their carbon peaking before 2030. Under baseline scenario, 30 units, including Luoyang, Zhenba, and Xixiang, were projected to reach their carbon peaking before 2030. However, 15 of these units were projected not to achieve carbon neutrality by 2060. Under high-carbon scenario, 22 units were projected not to reach their carbon peaking before 2030. Comprehensive predictions for three scenarios showed CEP of these units. They were classified into highly significant, moderately significant, stable, slightly insignificant and insignificant in terms of realizing the “dual carbon” targets. 20 units would reach their carbon peaking before 2030 and achieve carbon neutrality by 2060. 23 units would reach their carbon peaking before 2030, with a significant downward trend in subsequent CE , but would not achieve carbon neutrality by 2060. 11 units would reach their carbon peaking before 2030 under both baseline and low-carbon scenarios but still would not achieve carbon neutrality by 2060. Four units, despite moderate increasing trends in CE , would not reach their carbon peaking or achieve carbon neutrality by 2060. Furthermore, the CE of 13 units, particularly those of Shiquan, Foping, and Pinchang, would continue to increase significantly.

Carbon neutral control zoning and optimization

- Carbon sink functional zone, primarily distributed in the eastern region, shows superior habitat quality and robust capacities for CS when compared to other zones (Fig. 8). Key units such as Nanzhao, Xichuan,

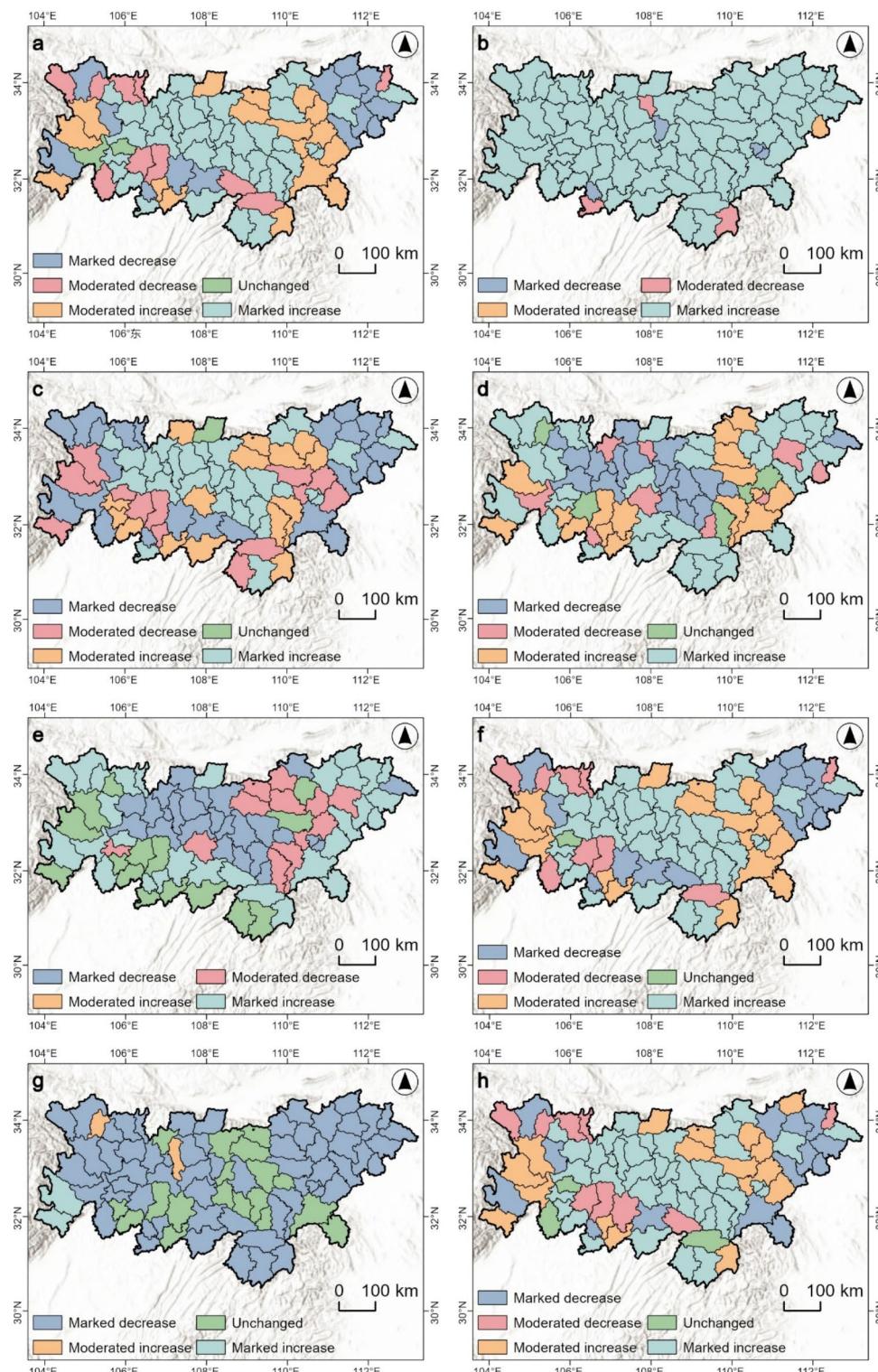
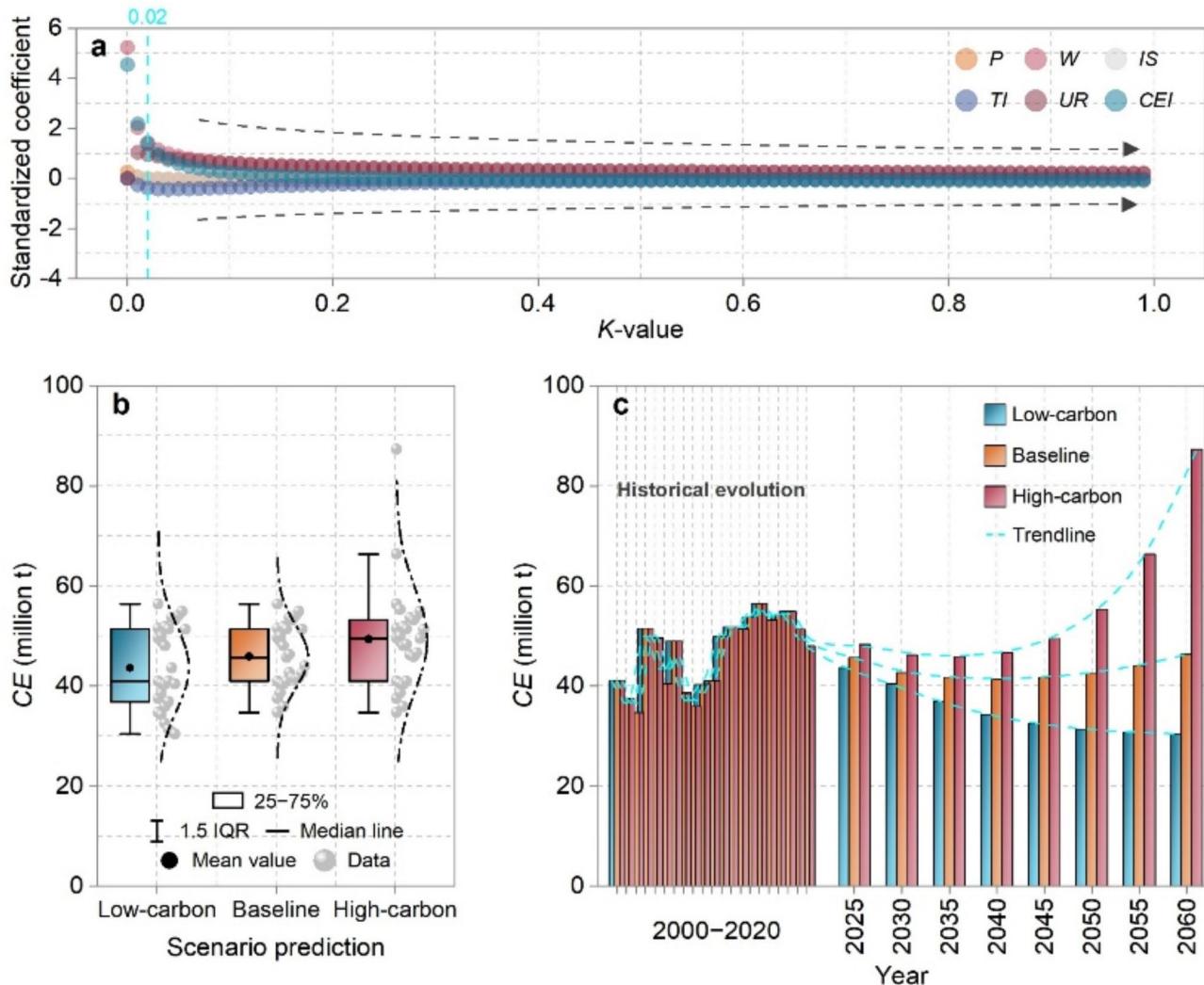


Fig. 6. Spatial pattern of trend in carbon index (a–h are the characteristics of *CE*, *CS*, *NCE*, *ECC*, *ESC*, *Cf*, *Ec* and *Ed*, respectively).

Zhenping and Lushi have high proportions of cropland, forest and water area, which are characterized by efficient energy utilization. This efficiency is important for sequestering local *CE*, increasing local biodiversity and fostering economic development. To maintain this sustainability, it is necessary to implement strict controls on urban land expansion rate. Units such as Luanchuan, Fangxian, Baokang, Wanyuan, Pingwu, and Chengkou, which are distributed across the eastern, southeastern, southern and western regions, are primarily forested and possess robust capabilities for *CS* and *ESC* management. These units contribute to

Variable	Unstandardized coefficient		Standardized coefficient Beta	t	Sig.	Collinearity statistics	
	B	Std. Error				Tolerance	VIF
Constant	-4.20×10^{-8}	1.64×10^{-6}	-	-0.03	0.98	-	-
lnP	1	1.27×10^{-7}	0.26	7860515.79	6.42×10^{-90}	0.48	2.08
lnW	1	6.42×10^{-8}	5.24	15579356.35	4.45×10^{-94}	0.01	213.31
lnIS	-7.35×10^{-9}	3.83×10^{-8}	-7.41×10^{-9}	-0.19	0.851	0.36	2.82
lnTI	-5.43×10^{-9}	1.98×10^{-8}	-2.59×10^{-8}	-0.27	0.788	0.06	16.84
lnUR	-2.20×10^{-8}	1.15×10^{-7}	-5.60×10^{-8}	-0.19	0.85	0.01	160.75
lnCEI	1	4.39×10^{-8}	4.54	22770941.26	2.19×10^{-96}	0.01	75.12

Table 7. Estimate result by ordinary least square.**Fig. 7.** Future CE prediction under different scenarios. (a is the ridge trace about relationship between K-value and standardized coefficient of independent variable; b is the distribution of total CE under different scenarios from 2000 to 2060; c is the temporal variation in total CE under different scenarios from 2000 to 2060).

decreasing local NCE and increasing CS for the entire Qinba Mountain region. To broaden the impact of CS, carbon sink functional zone should prioritize enhancing endogenous dynamics and improving quality. (ii) Low-carbon development zone, with superior ecological resources and ECC, has successfully achieved a harmonious balance between habitat quality and economic benefits. This zone is primarily distributed in the eastern and western regions. The eastern segment, comprising 16 units, is predominantly covered by cropland and forest, showing robust coordination in CE and CS. These units have higher ECC compared to

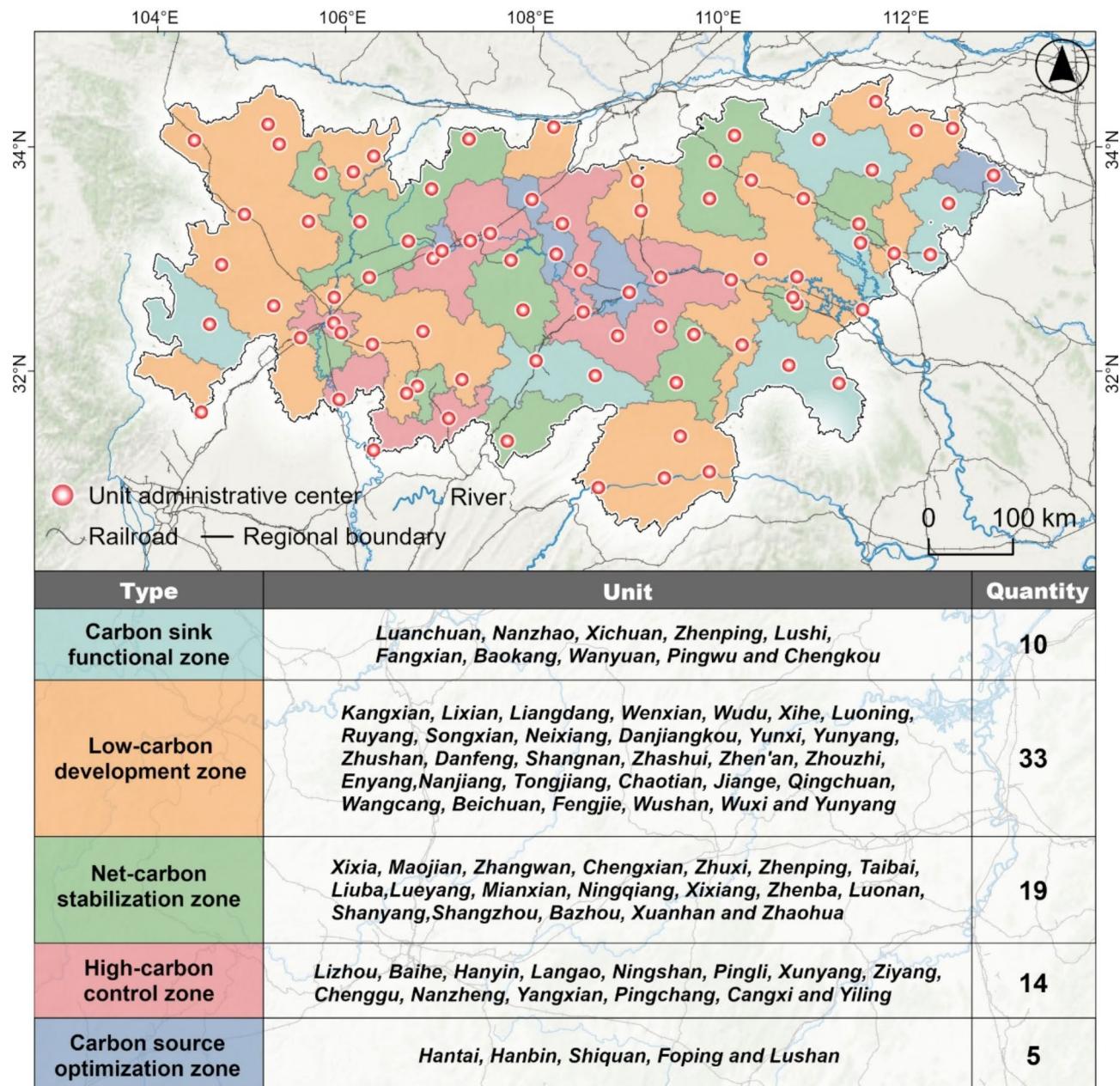


Fig. 8. Carbon neutral spatial zoning.

others, thereby establishing solid foundations for the development of eco-agricultural zones. In the future, it is important to improve agricultural production capacity and safeguard cropland, thereby promoting the development of integrated cropland and forest. Conversely, the western segment, comprising 17 units, is characterized by a greater area of forest than cropland. These units possess low *Cf* but offer substantial biologically productive land for CS both locally and across the Qinba Mountain region. Moving forward, it is important to effectively manage conflicts in production and ecological spaces.

(iii) Net-carbon stabilization zone shows balanced interactions between *CE* and *CS*, despite it being slow economic growth. This zone is dispersed in its overall distribution but is significantly concentrated in the northwestern region. Notably, units such as Maoyuan, Zhangwan, Shangzhou, Bazhou and Zhaohua can properly control *CE* and efficiently use land resources, despite them having low *ESC*. These units should accelerate urbanization, increase economic benefits and improve development quality of secondary industries. Additionally, other units that maintain balanced ecological and agricultural development despite low *CE* and slow economic growth should focus on spatial pattern of carbon balance. They should leverage their ecological advantages to improve the conversion of land resources into economic benefits in the future.

- (iv) High-carbon control zone, characterized by higher *CE* than the average level in the Qinba Mountain region, is primarily distributed in the southwestern (4 units) and central (10 units) regions. These units are widely covered by cropland and urban land, with concentrations of energy-intensive industries. The southwestern zone contains numerous carbon sources and low *Ec*, resulting in limited level of *CS*. Therefore, future measures should prioritize expanding ecological land and decreasing *Cf* of neighboring economic and industrial clusters to optimize cropland allocation and enhance carbon sink capacity. Conversely, the central region, adjacent to carbon source optimization zone, faces significant ecological risks caused by own high *CE* and external carbon overflow. Therefore, future development should focus on the implementation of carbon offset practices and the full exploitation of local carbon sinks to alleviate both internal and external ecological impacts.
- (v) Carbon source optimization zone consists of four units distributed in the north-central region and one unit distributed in the northeastern region. These units are highly susceptible to anthropogenic intervention due to their low *Ec* and land use efficiency. Specifically, *CLI* in the north-central units of Hantai, Hanbin, Shiquan and Foping are lower than the average level. They show increasing trends in *Ed*, which seriously impacts the local ecological and environmental quality. It is necessary to prioritize developing low-carbon economy and decreasing carbon emissions from energy-intensive industries in the future. Additionally, the northeast units all over 10% of urban land in 2020 and they have low *ECC* and *Ec*. The current carbon sink capacity is insufficient to counterbalance increasing *CE* from various carbon sources. Therefore, increasing forest and enhancing carbon cycling within cropland are urgently necessary to balance *CE* and *CS*.

Discussion and policy recommendations

Dynamic relationship between land use and *CE*

Between 2000 and 2020, the Qinba Mountain region experienced continuous decreases in the areas of cropland, shrubland, grassland and unused land, while the areas of forest, water area and urban land showed increasing trends. These changes in land use pattern significantly impact the region's carbon source and sink capacities, thereby reflecting the progress toward achieving carbon neutrality. Notably, cropland, as a land use type with double carbon attributes, plays an important role in regulating soil carbon storage through various agricultural practices. Many studies have demonstrated that enhancements in cropland management, such as decreasing fertilizer application⁷⁶ and incorporating manure recycling⁷⁷, can substantially decrease *CE*. Importantly, in mountainous area like the Qinba Mountain region, characterized by low population density and complex topography, the effects of planting structure and crop types on carbon neutrality may differ from those observed in plain and coastal areas^{78,79}. In mountainous area, where significant elevation changes result in fragmented and dispersed cropland, the implementation of large-scale mechanized operations is challenging and traditional intensive farming practices remain predominant⁸⁰.

Furthermore, forest serves as the primary contributor of *CS*. The finding is robustly supported by this study and is consistent with other related studies that emphasize the important role of forest ecosystem in the global carbon cycle⁸¹. We found that *CS* in the Qinba Mountain region showed an increasing trend and consistently surpassed *CE* from 2000 to 2020, resulting in a negative *NCE*. The forest ecosystem, characterized by substantial and diverse biomass, sequesters CO_2 through photosynthesis, thereby significantly increasing its *CS*⁸². Additionally, we found that shrubland, grassland and water area, despite their low spatial aggregation, contribute significantly to enhancing local carbon sink capacity. It is different some studies that have concluded that carbon sink capacities of shrubland and grassland are limited^{83,84}. The distinctive geographic and climatic conditions of mountainous area may be contributing factors to these findings⁸⁵. The Qinba Mountain region is in transitional zone between subtropical and warm temperate zones, where significant humid climate and vertical climatic variations offer a variety of ecological niches that are beneficial for increasing shrubland and grassland⁸⁶. They are the dominant vegetation especially at high elevation areas with poor soil⁸⁷. The vegetation plays an important role in stabilizing soil carbon stocks and absorbing CO_2 through its extensive root system during the growing season⁶⁰. Meanwhile, the transport and deposition of water currents across widely dispersed water area contribute to carbon storage by aquatic plants, thereby enhancing carbon sink capacity⁸⁸.

This study identified significant correlations between urban land expansion and increased *CE* in the Qinba Mountain region, which is consistent with the general trends in global urbanization⁴⁶. Based on the actual context, we concluded that the extensive development model characterized by infrastructure and real estate construction during urbanization may contribute to increased *CLI*, which directly weakens carbon sink capacity. However, most units showed downward trends in *CLI*, indicating that their carbon sink potentials remain significant. The prediction and analysis of future *CE* under low-carbon, baseline and high-carbon scenarios showed that 30 units are projected to reach their carbon peaking, followed by decreases in *CE*. These trends may be attributed to local policy guidance that typically emphasize the modernization of industrial structures and the gradual elimination of energy-intensive industries to decrease *CE*¹⁷. Many studies have demonstrated the positive impact of industrial restructuring on decreasing *CE*^{22,25}. However, the *CE* of 13 units continues to increase, especially in units with concentrated secondary industries, such as Shiquan, Foping and Pinchang. It highlights the significant challenges faced by areas reliant on energy-intensive industries in decreasing *CE*, especially given the delays in the promotion and adoption of clean energy technologies⁴⁵.

Under low-carbon scenario, only 9 units are projected not to reach their carbon peaking before 2030, but their *CE* growth rates slow down significantly. Therefore, the implementation of low-carbon development model is beneficial for achieving carbon neutrality. Under baseline scenario, 30 units are projected to reach their carbon peaking before 2030, with 15 of these units are projected not to achieve carbon neutrality by 2060. This regional disparity may be attributed to differences in economic development levels and industrial structures²⁷. The *CEP* is significantly higher in areas experiencing rapid industrialization and characterized by energy-intensive industries compared to regions where agriculture or services predominate. Under high-carbon scenario, 22

units are projected not to reach their carbon peaking before 2030. It may be attributed to the challenge of fully offsetting increased *CE* from long term reliance on traditional energy sources, despite local governments' efforts to promote low-carbon development strategies²¹. Furthermore, the time lag effects related to adoption and utilization of clean energy technologies exacerbate this challenge⁸⁹. Therefore, the synergy between technological transformation and policy implementation is important for achieving carbon neutrality.

Diverse land use types and their *CE* differences

Land serves as a crucial platform for ecosystems, and its diverse utilization patterns contribute to complex and dynamic interactions between carbon sources and sinks^{11,12}. Different land use types show significant variations in ecological functions, anthropogenic activities and material cycling, leading to diverse characteristics of *CEP* and impact factors. For example, forest generally possesses robust carbon sink capacity, while urbanization and agricultural practices tend to increase carbon sources. These differences become more pronounced when land use classifications are examined in greater detail.

Taking terrace field as an example, this unique land use type is prevalent in mountainous area. Beyond traditional practices such as fertilizer application, farm machinery operations and irrigation, the maintenance and management of terrace field impact significantly *CE*⁹⁰. The stability of terrace field is directly related to soil moisture retention and runoff control⁹¹. Damaged terrace field can easily result in soil erosion and accelerated decomposition of soil organic matter, thereby leading to increased *CE*⁹². According to an empirical study⁹³, terrace field that is not maintained promptly may experience 10–15% increases in carbon loss from surrounding soils during a single heavy rainfall event. Furthermore, terrace field show distinct local microclimates due to topographic variations. Terrace field on sunny slopes with ample sunlight and high temperatures experiences active fertilizer decomposition, frequent microbial activity and rapid crop growth, all of which contribute to increased *CE*⁹⁴.

Additionally, the *CE* of primary forest, which is stable ecosystem with minimal anthropogenic intervention, primarily result from the decomposition of senescent trees and soil microbial activities in the understory²⁴. Trees within primary forest generally show robust carbon sink capacities due to their slow growth rates and large biomass⁶⁵. Compared to artificial forest, primary forest shows more robust carbon sink capacity, with *CEP* per unit area potentially being 30–50% higher⁹⁵. As suggested by an study⁹⁶, preserving the integrity of primary forest and alleviating the effects of edge disturbances are important for maintaining stable carbon sink capacity and ensuring regional carbon balance. The high integrity of ecosystem also results in large biomass few disturbances from pests and diseases⁹⁷.

Besides energy-intensive industrial and mining areas, commercial area shows extremely diverse carbon sources due to the high concentration of economic activities⁹⁸. Large commercial buildings consume substantial amounts of electricity to operate lighting, air conditioning and other equipment over extended periods to satisfy indoor environmental requirements⁹⁹. The daily traffic flow on commercial streets is considerable, especially during peak hours, when frequent vehicle idling significantly increases exhaust emissions¹⁰⁰. Moreover, the substantial volume of packaging waste generated by commercial operations releases CO₂ during its disposal process¹⁰¹. According to several studies^{102,103}, the *CEI* per unit area in commercial area is two to three times that of residential area. It highlights the significant differences in *CE* across different functional areas within urban land, which are closely related to land use intensity and anthropogenic activities.

Study contributions and policy recommendations

This study aimed to develop a spatial zoning framework to effectively address land use *CE* challenges and contribute to achieving carbon neutrality, taking the Qinba mountain region as a case. In the process, carbon indices related to natural background, economic condition, ecological environment and resource structure were comprehensively evaluated. These indices are interwoven and collectively impact the pattern and trend of land use *CE*. Importantly, the *CEP*, a key index directly related to realizing the “dual carbon” targets, was integrated into zoning framework. It leads to more dynamic and predictive zoning results and overcomes the limitations of traditional frameworks that rely solely on historical data. Ultimately, we identified five zones that offer detailed and differentiated spatial guidance for achieving carbon neutrality.

Furthermore, numerous countries worldwide are investigating carbon neutrality pathways tailored to their specific contexts as part of the global response to climate change. By implementing the carbon neutral spatial zoning framework, zones that should prioritize *CE* decrease measures and those that should focus on enhancing carbon sink capacities can be identified. This zoning results not only aids in the development of targeted and operational policies for local governments but also provides the public with clear guidelines for carbon management, thereby raising social awareness and concern regarding carbon neutrality.

Based on the findings of this study, the following policy recommendations are proposed:

- (i) Future *CE* predictions for the Qinba Mountain region suggest that a long-term low-carbon development plan can realize the “dual-carbon” targets in most units, with key factors including *IS* and *CEI*. Given their cyclical nature, it is important to gradually phase out energy-intensive industries and guide enterprises toward low-carbon transformation through policy measures and regulation. Additionally, establishing industrial funds for financial support and tax incentives, along with land and electricity subsidies, can attract clean energy investments. Moreover, collaborative efforts between academia, research, and industry should focus on deploying zero-carbon technologies, with the aim of completely decarbonizing low *CE* industries. Technology transfer platforms should be developed to accelerate the conversion of scientific research outcomes into practical productivity.
- (ii) Priority should be given to protecting areas with high ecological value through strict management and restoration of ecosystems such as shrubland, forest, grassland and water area. Urban expansion should be

prohibited in areas with significant ecological degradation. Ecological protection areas should be clearly defined and penalties for illegal land occupation should be increased. Additionally, carbon sink compensation mechanisms should be established to address increases in carbon sources or decreases in carbon sinks, using measures such as afforestation funded by compensation payments. Moreover, urban growth boundary, permanent basic farmland and ecological protection redline should be used to regulate and balance urban, agricultural and ecological land areas, ensuring a balanced spatial relationship between carbon sources and sinks.

(iii) In spatial zoning, areas with high *CE* are often surrounded by carbon sinks. To manage these areas, limiting the growth of energy-intensive industries and focusing on balancing economic benefits with decreasing *CE* are essential. Additionally, improving energy efficiency by optimizing industrial clusters and centralizing energy facilities can be effective strategies. Areas with carbon sinks should absorb a proportion of carbon overflow from neighboring areas. Joint management strategies can be implemented, such as creating large forest belts at boundaries and decreasing land use intensity on the outskirts. Moreover, local governments should set clear development standards, promote compact cities and protect ecological land, thereby ensuring sustainable development.

Limitations

In this study, carbon emission predictions for 2030 and 2060 are used to evaluate CEP and serve as variables within carbon neutral spatial zoning framework. It enhances the applicability and specificity of zoning results by integrating future temporal considerations. Nevertheless, land use changes frequently have time lag effects on *CE*. For example, deforestation results in immediate *CE*, whereas it requires time for newly planted trees to grow and sequester an equivalent amount of carbon. Capturing these dynamic changes remains challenging, even with extended observation periods and meticulous record-keeping. This study faced limitations in this context as well. Future studies can incorporate spatial and temporal fixed effects to refine the conversion mechanism between land use and *CE*, thereby decreeing errors related to time lag effects. It may offer clearer insights into the distributional disparities and driving factors of spatial zoning.

Conclusion

This study analyzed the spatiotemporal dynamics of carbon indices resulting from land use pattern changes in the Qinba Mountain region, provided a spatial zoning framework for carbon neutrality and proposed optimization strategies. From 2000 to 2020, *CE* showed a slow increasing trend, while *CS* rapidly increased. *NCE* remained consistently negative, and both *ECC* and *ESC* exceeded 100% throughout this period. Although *Ed* was consistently greater than 0, *Ec* was still slightly damaged, especially in the central-northern region where *Cf* showed an increasing trend. Under both baseline and high-carbon scenarios, predicted *CE* initially decreased and then increased, with high-carbon scenario showing a more significant increasing trend. Under low-carbon scenario, predicted *CE* consistently decreased, with only nine units failing to reach their carbon peaking by 2030 and total *CE* projected to be 30.55 million t by 2060. The region was classified into five zones: carbon sink functional zone, low-carbon development zone, net-carbon stabilization zone, high-carbon control zone and carbon source optimization zone, encompassing 10, 33, 19, 14 and 5 units, respectively. Targeted regulatory and optimization strategies were proposed from the perspectives of upgrading agricultural carbon cycling systems, rationally planning ecological spaces, and configuring carbon sources and sinks. These strategies are expected to promote regional carbon neutrality and sustainable development.

Data availability

The datasets generated and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Declarations

Competing interests

The authors declare no competing interests.

Additional information

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