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Elevated Cerebral Oxygen Extraction Fraction in Parkinson's Disease Correlates with Motor Impairment Severity

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Abstract

Parkinson's disease (PD) is the second most common neurological disorder, but its diagnosis remains challenging. Cerebral glucose metabolism has emerged as a promising biomarker for PD based on previous studies. While these studies have established a PD-related pattern of metabolic activity of glucose in the brain, cerebral oxygen metabolism is less explored, and there is no well-established PD-related pattern of cerebral oxygen metabolism. This study investigates cerebral oxygen extraction fraction (OEF) as a measure of cerebral oxygen metabolism to monitor disease progression in early-stage PD. OEF was measured noninvasively using magnetic resonance imaging using the QSM+qBOLD technique in 50 PD patients and 30 healthy controls. Whole-brain and region-of-interest analyses were conducted, focusing on key regions within the basal ganglia. Results revealed significantly elevated OEF in the basal ganglia of PD patients compared to controls. Moreover, OEF showed a positive correlation with Unified Parkinson's Disease Rating Scale Part III scores, indicating an association between increased oxygen extraction and motor impairment severity in early PD. These findings support the potential of cerebral OEF as an early biomarker of motor symptom severity. Therefore, it can enhance our understanding of metabolic dysfunction in the basal ganglia during the early stages of PD.

Keywords: Brain Metabolism; Cerebral Oxygen Metabolism; Motor Impairment; Oxygen Extraction Fraction; Parkinson's Disease

Introduction

Parkinson's disease (PD) is the second most common neurological disorder after Alzheimer's disease¹. It is typically diagnosed based on the neurological examination of its motor symptoms, such as bradykinesia, resting tremors, and rigidity¹. However, the non-specificity of these symptoms leads to a high rate of misdiagnosis, especially in the early stages of the disease², creating problems for patient management, prognosis, and patient selection for clinical trials³. To enhance early diagnostic accuracy and deepen our understanding of PD's pathophysiology, additional noninvasive biomarkers that reflect the severity of motor impairment should be explored.

Cerebral metabolic rates, measured as cerebral glucose metabolic rate (CMR_{glc}) via ¹⁸F-fluoro-deoxyglucose (FDG) PET or cerebral metabolic rate of oxygen (CMRO₂) via ¹⁵O-PET, show potential as early biomarkers of PD. PD-related metabolic pattern (PDRP)⁴⁻⁸ has been established as a characteristic glucose metabolism increase in the globus pallidus, putamen, subthalamic nucleus, thalamus, cerebellum, pons, and sensorimotor cortex, along with a decrease in the lateral frontal and parietooccipital area^{3,9,10}. PDRP-like oxygen metabolism increase in the basal ganglia has been observed using ¹⁵O-PET⁷; however, this pattern is not well established¹¹. Scarcity of ¹⁵O-PET studies is likely due to difficulties of its clinical application, which is hampered by complex logistics, patient exposure to radiation, and the requirement for an on-site cyclotron to produce the ¹⁵O isotope, which has a short half-life of 2 min¹². Alternatively, CMRO₂ may be noninvasively measured using MRI with a two-step process: first, phase-contrast MRI or arterial spin labeling¹³ measures cerebral blood flow (CBF), and second, T₂-relaxation-under-spin-tagging¹⁴, quantitative susceptibility mapping (QSM)¹⁵, or quantitative blood oxygen level dependent (qBOLD)¹⁶ imaging measures venous oxygenation¹⁷. While this combination of MRI measurements provides

a viable alternative to PET, oxygen extraction fraction (OEF), defined as the venous to arterial oxygenated hemoglobin concentration ratio, can be assessed independently of CBF measurements. As such, OEF may serve as a practical and informative biomarker for monitoring changes in cerebral oxygen metabolism as PD progresses as shown in previous studies¹⁸.

On the other hand, OEF cannot be directly interpreted as a measurement of oxygen metabolism as CMRO₂ is the product of OEF and CBF. Previous studies have reported unaltered CBF in the basal ganglia for PD patients compared to healthy controls, while a positive correlation between disease severity and CBF was observed^{19,20}. Building on these findings, this study examines changes in OEF within the basal ganglia to determine whether abnormalities in oxygen metabolism are present in this region. Consequently, routine OEF measurement may provide valuable insights into the physiological mechanisms underlying oxygen metabolism patterns associated with PD.

While most previous studies have focused on alterations in gray matter metabolic rates, recent evidence suggests that white matter (WM) may also include markers of PD²¹. WM hyperintensities in T₂-weighted images have been reported to be closely linked with motor symptoms in PD patients. WM hyperintensities are an indicator of cerebral small vessel disease, which suggests an underlying hypoperfusion in the WM²¹, which should be taken into account when interpreting OEF measurements.

In short, this study aims to investigate cerebral OEF as a potential biomarker for early to mid-stage PD. OEF was noninvasively measured using the QSM+qBOLD (QQ) method²² with a multi-gradient-echo MRI experiment. OEF measurements were interpreted alongside previously reported CBF measurements²⁰ for adequate assessment of cerebral oxygen metabolism. This

approach provides a deeper understanding of oxygen metabolism abnormalities in PD and explores the potential of OEF as an early biomarker for PD and its progression.

Methods

Participants

Participants were recruited from Pusan National University Yangsan Hospital (Yangsan, Republic of Korea). All participants provided informed consent in accordance with the Declaration of Helsinki. The study protocol was approved by the local institutional review board, and all methods were carried out in accordance with relevant guidelines and regulations. PD was diagnosed by an experienced neurologist (J.H.L., with 20 years of experience in movement disorders) using the UK Parkinson's Disease Society Brain Bank criteria²³. None of the healthy control (HC) subjects had a history of head trauma, stroke, or any neurological or psychiatric illnesses.

The study included 50 patients diagnosed with PD and 30 HC participants. For the PD group, 42 participants' UPDRS-III²⁴ scores, Hoehn and Yahr (H-Y)²⁵ stages, and the Korean version of the Mini-Mental State Examination (2nd edition) (MMSE)²⁶ scores were assessed without requiring the withdrawal of PD medications, including levodopa or other dopamine agonists.

Magnetic Resonance Imaging

All participants underwent 3-T in vivo MRI (Magnetom Skyra; Siemens). A two-dimensional multi-gradient recalled echo (mGRE) sequence with six echoes was used. The echo times (TEs) were 3.1, 8.0, 13.5, 19.9, 24.4, and 30.0 ms. The repetition time (TR) was 2.03 s, scan time 6.5 min, with a flip angle of 60 degrees. The matrix size was 192×192×60, with a resolution of 1×1×2

mm and a 0.2 mm slice gap. The imaging geometry was parallel to the anterior commissure–posterior commissure line, while covering the whole brain.

Quantitative Susceptibility Mapping and Oxygen Extraction Fraction Measurement

Quantitative susceptibility mapping (QSM) was performed in the native mGRE space using the morphology-enabled dipole inversion with the automatic uniform cerebrospinal fluid zero reference (MEDI+0) algorithm²⁷. Phase unwrapping was performed with Laplacian unwrapping, and background removal was performed using the projection onto dipole fields method²⁸ (Figure 1). QSM+qBOLD or QQ method²⁹ was used for OEF mapping, which has been previously validated by comparison with ¹⁵O-PET and calibrated BOLD²² results, and has also been clinically applied to neurologic diseases²⁹. With this method, OEF can be mapped without vascular challenges by utilizing both the magnitude and phase of mGRE data, a common sequence in any MRI system, making it well-suited for clinical use. This method combines QSM and qBOLD signal equations according to maximum likelihood under Gaussian noise approximation. One drawback, though, is that QQ results are highly sensitive to noise. To address this, various machine learning and deep learning algorithms have been developed³⁰⁻³², among which the latest machine learning algorithm, termed temporal clustering, tissue composition, and total variation (CCTV), was utilized in this study. CCTV first employs the cluster analysis of time evolution (CAT) method, which creates clusters based on the signal characteristics (i.e., R_2^* decay rate) and tissue type of voxels (i.e., gray or white matter). Averaging over these clusters increases the signal-to-noise ratio (SNR) and mitigates noise sensitivity. Then, CCTV applies total variation regularization to alleviate the propagation of measurement noise into the parameter map³² (Figure 1).

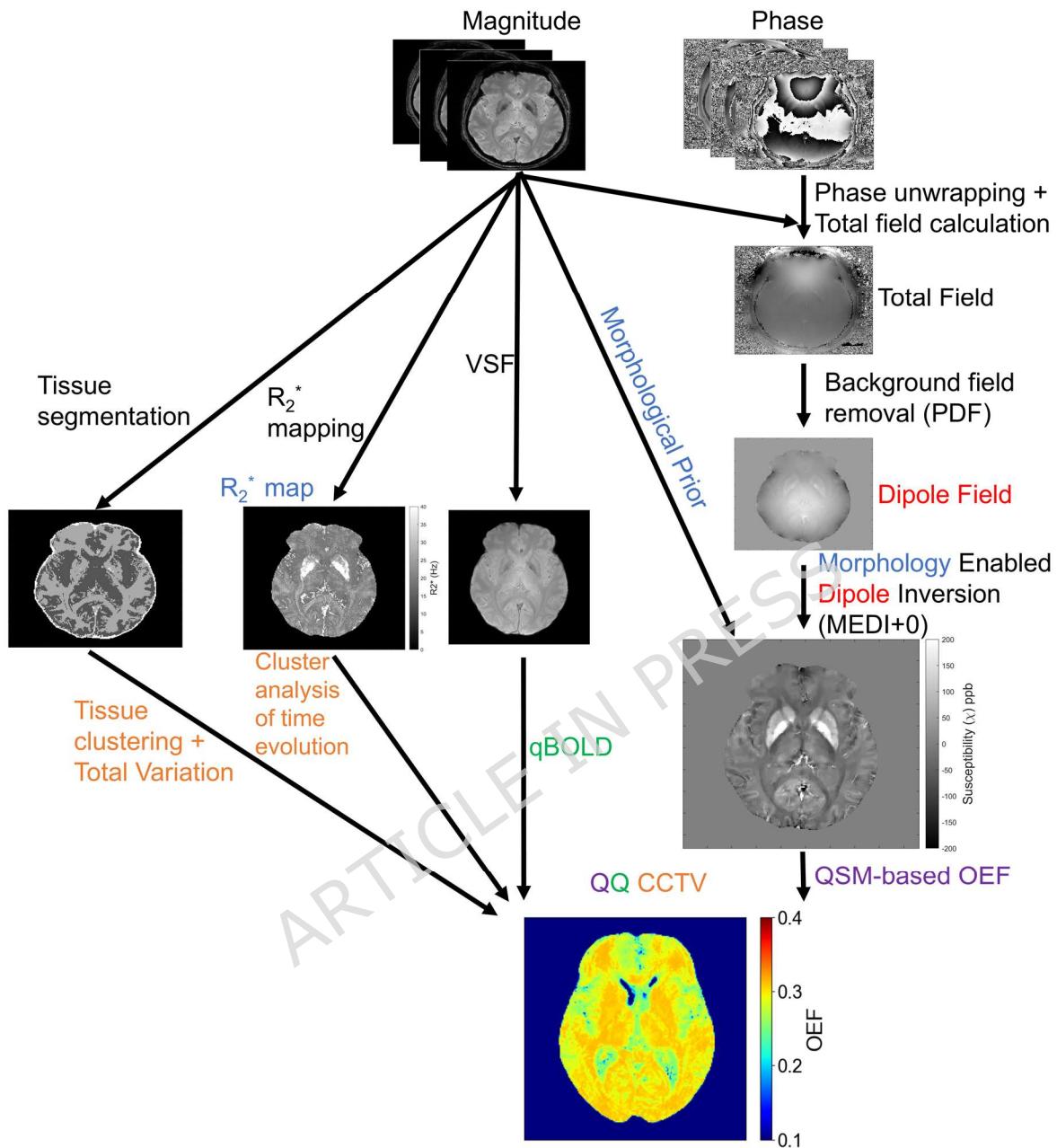


Figure 1. Summary of the QSM and OEF mapping process. The arrows represent the flow of processing. Color coding indicates the use of that information in a particular process (e.g., the R_2^* map and magnitude images used as morphological priors in MEDI+0.) QSM, quantitative susceptibility mapping; OEF, oxygen extraction fraction; MEDI+0, morphology-enabled dipole inversion with an automatic uniform cerebrospinal fluid zero reference algorithm.

Subject Registration and Segmentation

T_2^* -weighted images acquired by averaging the last three echoes of mGRE images were used for the registration process. First, a preliminary linear registration was performed to create an average image using FMRIB's Linear Image Registration Tool (FLIRT) (version 6.0.7.10)³³. Once the average image is created, all subjects' images are registered to it with affine and nonlinear registration using FLIRT and FNIRT tools of FSL. Transformation matrices from this process were used to register OEF maps to the average image space. For the region of interest analyses, each subject's QSM was manually segmented using ITK-SNAP software (version 3.8.0)³⁴ as the substantia nigra (SN), red nucleus (RN), putamen, globus pallidus (GP), and caudate nucleus (CN). The same rater segmented the regions after a six-month gap, and the Dice coefficients between the segmentations were 0.8691, 0.8597, 0.8628, 0.8119, and 0.8072 for the SN, RN, putamen, GP, and CN, respectively. White matter (WM) segmentation was performed using FSL's FAST tool.

Statistical Analysis

Statistical analyses were performed with MATLAB software (version 2023a; MathWorks) and Python (version 3.11, Python Software Foundation). Demographic data were compared between the HC and PD patient groups using the χ^2 test for sex and the Mann-Whitney U test for age. For the whole-brain analysis, the General Linear Model (GLM) framework in FSL was performed on the registered OEF maps. Two analyses were conducted. First, the OEF difference between the PD and HC groups was investigated. Second, the correlation between UPDRS-III scores and OEF was investigated. Age and sex were included as nuisance variables for these analyses. To reduce false positives arising from noise, voxel-wise significance maps were subjected to cluster-based

thresholding where clusters smaller than 100 voxels were excluded to remove isolated voxels likely occurring by chance.

For the ROI analysis, the mean intensity of the ROIs was calculated, and then the distribution of the mean intensities in the HC and PD groups was compared using the two-tailed t-test. Normality of the distribution of the mean OEF values in the ROIs was assessed with the Anderson-Darling test. Group differences were quantified using Cohen's d, calculated as the difference in group means divided by the pooled standard deviation. For the PD group, Spearman correlation was calculated between UPDRS-III, H-Y stage, age, MMSE, and the mean OEF of the ROIs. To ensure that correlations between OEF and UPDRS-III scores were not due to the mediation of age, partial Spearman correlations were calculated with age as a control variable.

To calculate an interpretable effect size, the linear relationship between UPDRS-III and OEF was calculated with linear regression with age and sex as control variables, and the amount of OEF increase per 10 points of UPDRS-III score increase is reported. No major violations of linear regression assumptions were observed based on residual diagnostics. CBF measurements from a prior study²⁰ were visualized along with the OEF measurements (under CC BY-NC 4.0 license for Pelizzari et al.²⁰).

Finally, the predictive power of the mean OEF of the ROIs for classifying PD was tested using binary logistic regression. Two models were tested: first with the mean OEF values and non-blood susceptibility, and second with mean OEF values alone. The two models were tested for all participants and participants with an average of OEF < 0.3 (HC = 17, PD = 17). Model performance was evaluated with receiver operating characteristic curve (ROC) analysis. These models were validated using leave-one-out cross validation, and the overall AUC scores are reported.

Results

Demographic and Clinical Characteristics

The HC group included 15 male and 15 female participants, while the PD group had 27 male and 23 female participants. There were no significant differences in gender distribution between the two groups ($\chi^2(1, N = 71) = 0.1156, p = 0.851$) (Table 1). The PD group was 5.4 years older than the control group on average ($z = -2.366, p = 0.018$). The mean scores of UPDRS-III (19.9 ± 6.64 , mean \pm std), and H-Y stage (2.08 ± 0.44 , mean \pm std) suggest that the enrolled PD patients were in the early to mid-stages of the disease. All participants were non-demented at the time of the study, with a mean MMSE score of 28.1 ± 1.79 (mean \pm std) for the PD group.

Widespread Increase of OEF in PD group correlates with Motor Impairment

Severity

OEF was significantly elevated in the key regions of the basal ganglia for the PD group (Figure 2 and Supplementary Figure 1 for all slices). Similarly, we have observed a correlation between UPDRS-III scores and the OEF values in widespread regions in the brain (Figure 2). These effects were observed in the white matter and deep gray matter regions with modest effect sizes. To minimize the influence of spurious findings, only clusters exceeding a minimum size threshold of 100 voxels were retained, excluding isolated voxels likely due to chance. Notably, the spatial distribution of these clusters was not random, suggesting a systematic pattern of increased OEF associated with motor impairment severity. Therefore, we have further investigated these correlations through ROI based analysis.

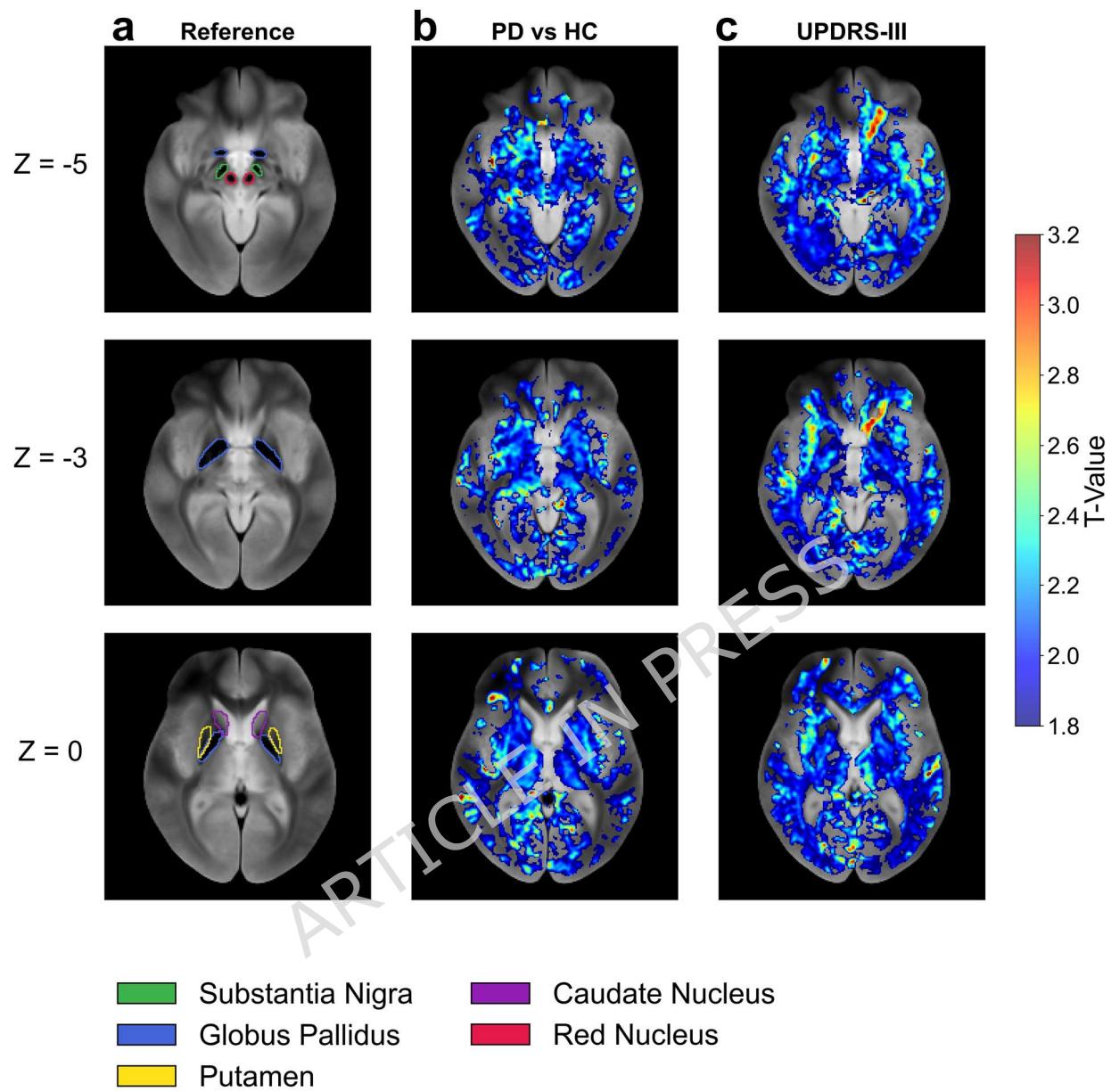


Figure 2. Results of whole-brain analysis. A) Anatomical reference is shown with regions of interest in colored outlines. Three slices are shown at positions $Z = -5, -3, 0$ in reference to the average subject origin. B) Regional differences in OEF between PD and HC groups where $T > 0$ indicates $PD > HC$. Age and sex have been included as nuisance variables. C) OEF of regions that are correlated with UPDRS-III scores where $T > 0$ indicates positive correlation between UPDRS-III scores and OEF. Age and sex have been included as nuisance variables. Only $p < 0.05$ is shown.

Only clusters exceeding a minimum size threshold of 100 voxels were retained. OEF, oxygen extraction fraction; PD, Parkinson's disease; HC, healthy control.

Significantly Higher OEF in Basal Ganglia and White Matter

The PD group showed, on average, ~8% elevated OEF in the target ROIs compared to the HC group (Figure 3). The ROIs were selected as key areas of the brain associated with PD, including the SN, where the difference was statistically significant (Two-tailed t-test: $t(78) = 2.07$, $p = 0.042$, $d = 0.48$). Similar significant increases in OEF were observed in the RN ($p = 0.048$, $t(78) = 2.01$, $d = 0.46$), GP ($p = 0.044$, $t(78) = 2.02$, $d = 0.47$) and putamen ($p = 0.048$, $t(78) = 2.01$, $d = 0.46$) with CN ($p = 0.07$, $t(78) = 2.03$, $d = 0.42$) and WM ($p = 0.10$, $t(78) = 1.66$, $d = 0.38$) showing marginal significance, although effect sizes were moderate (Supplementary Table 1). The findings suggest that these critical regions show higher metabolic activity or altered oxygen utilization in PD patients.

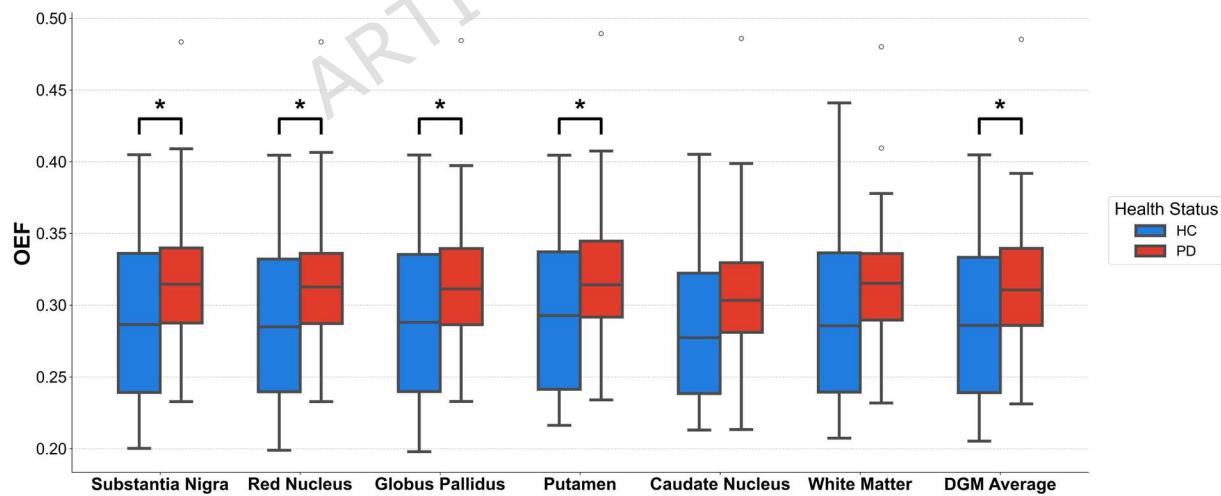


Figure 3. Group level comparison with the two-tailed t-test results of OEF in each ROI ($n = 50$ for PD, and $n = 30$ for HC). Asterisk “*” indicates $p < 0.05$. OEF, oxygen extraction fraction; PD, Parkinson’s disease; ROI, region of interest; HC, healthy control; DGM, deep gray matter.

Positive Correlation Between PD Metrics and OEF

The OEF values in the SN, RN, GP, and WM positively correlated with UPDRS-III motor scores ($p < 0.05$), with Spearman correlation coefficients ranging from 0.330 to 0.341 (Figure 4A-B).

These relationships remained significant after controlling for age (Table 2). OEF increased by an average of 1.6% in RN, SN, GP, and WM per 10 points increase in UPDRS-III scores. OEF in the putamen also showed a positive trend with UPDRS-III ($\rho = 0.288$), but this did not reach statistical significance, indicating a marginal relationship ($p < 0.1$). Similarly, CN also showed a positive trend with no statistical significance. Additionally, a consistent pattern of positive correlation was noted between OEF and H-Y stage, although only the RN and WM regions reached significance (asterisk-marked, Figure 4A), suggesting that OEF elevations in the basal ganglia may track disease progression.

To assess the hemodynamic relevance of these metabolic findings, we have compared the relationship between UPDRS-III scores and CBF values in regions using previously published data from Pelizzari et al.²⁰ (Figure 4C). Their analysis shows similar positive correlations between CBF and UPDRS-III in SN, RN, and GP ($\rho = 0.35\text{--}0.55$), reinforcing the link between regional metabolic demand and clinical severity in PD. The converging patterns between increased OEF and CBF with worsening motor symptoms support the hypothesis of region-specific metabolic compensation or vascular dysregulation in the basal ganglia circuitry. Collectively, these findings

underscore the clinical relevance of OEF alterations in PD and suggest that OEF, particularly in SN, RN, and GP, may serve as a sensitive biomarker for motor symptom severity.

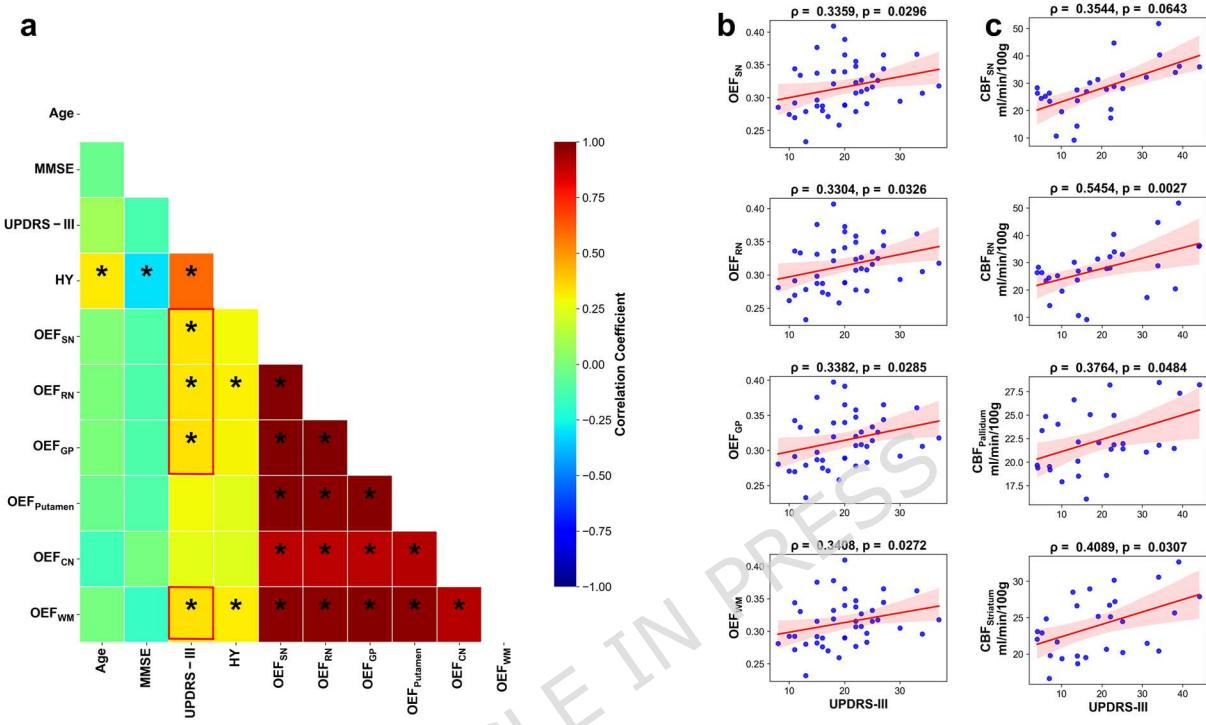


Figure 4. Results of correlation analysis. (A) Correlation table showing the Spearman correlation between patient metrics and OEF values in the selected ROIs. The asterisk represents $p < 0.05$, and the red outline highlights the significant and marginally significant correlations between OEF measures and UPDRS-III. (B) Highlighted correlations with the red rectangle in panel A are presented as scatter plots. ($n = 42$ for PD) (C) CBF vs UPDRS-III relationship based on the data from (Pelizzari, Laganà et al.)²⁰. Cerebral blood flow (CBF) measurements from a prior study by Pelizzari et al. ²⁰, available under a CC BY-NC 4.0 license, were plotted for comparisons. OEF, oxygen extraction fraction; PD, Parkinson's disease; ROI, region of interest; CBF, cerebral blood flow.

Predictive Power of OEF for Classification

ROC analysis for the binary logistic regression models revealed low predictive power with an area under the ROC curve (AUC) values of around 0.65 (cross-validation results around 0.58) (Supplementary Figure 2). While adding non-blood susceptibility as a variable marginally increased the model's predictive power, this is likely due to overfitting with the two variable model based on leave-one-out cross validation results (Supplementary Table 2). Overall, this suggests that even though OEF is elevated in the PD group, it is insufficient to classify PD. However, these models worked relatively well when classifying the PD and HC groups for subjects with average OEF less than 0.3, with AUC values of 0.78 (cross-validation AUC = 0.75) in the SN. Possibly due to the wider distribution of OEF values for the HC group.

Discussion

We have investigated OEF as an early biomarker for PD. Results show that OEF is not only elevated in early to mid-stage PD patients (UPDRS-III = 19.9 ± 6.64 , durations = 5.4 ± 3.8) but also correlates with UPDRS-III scores, showing its ability to reflect the severity of motor impairment. Therefore, OEF can provide insight into the onset and progression of PD. To the best of our knowledge, this is the first observation of a positive correlation between OEF and movement symptom severity in early-stage PD.

When analyzed in tandem with previously reported CBF measurements, our OEF measurements support the presence of a PDRP-like pattern^{9,35,36} manifesting as oxygen hypermetabolism in the basal ganglia of early PD patients, in agreement with previous papers^{7,18}. This metabolic pattern may reflect the characteristic changes in brain oxygen metabolism that occur due to the loss of

dopaminergic neurons and the resulting disruption of neural circuits in PD. Though hypermetabolism in the basal ganglia was well established for glucose metabolism, there are conflicting studies for oxygen metabolism^{11,37}. Our results, along with previous evidence reporting unaltered perfusion in the basal ganglia^{19,20} in PD patients compared to healthy controls, favor the idea that oxygen metabolism, like glucose metabolism, increases in the early stages of PD in the basal ganglia. Moreover, current evidence shows that motor impairment severity correlates with both perfusion²⁰ and OEF, suggesting a positive correlation between oxygen metabolic rates and motor impairment severity in the early stages of the disease. The coupled increase in glucose and oxygen metabolism may signify an aerobic respiration anomaly, possibly an inefficiency in the mitochondria due to oxidative stress.

Unlike our findings in the deep gray matter, the observed increase in OEF within WM may reflect hypoperfusion, increased oxygen metabolism, or a combination of both, given the prior evidences^{21,38}. According to these studies, it is likely that hypoperfusion is the primary cause of the correlation between UPDRS-III scores and OEF in the WM, since markers of hypoperfusion were reported to be highly correlated with motor impairment severity²¹. However, WM is not as extensively investigated as gray matter and is only recently gaining attention²¹, and whether the observed effect is due to hypoperfusion or increased oxygen metabolism requires perfusion measurements. Therefore, a complete understanding of oxygen metabolism in WM in PD requires further research.

There are limitations to this study. First, the PD group was on average 4.5 years older than the HC group. To account for this, we have included age as a covariate during the whole-brain GLM analyses and calculated the partial correlation coefficients for ROI analyses to control for age. Our

results show that the observed relationship between UPDRS-III scores and OEF was not mediated by age. However, the age difference between groups represents a limitation, as residual confounding may remain. Second, the uniform nature of OEF³⁹ across the brain complicates distinguishing metabolic rate differences in the ROIs, because an overall increase in cerebral OEF could mask regional variations. However, given the prior evidence showing increased CBF in basal ganglia regions, our results signify an increased oxygen metabolism in these regions for early PD patients. Third, the lack of perfusion measurements makes it difficult to interpret the relationship between OEF measurements and true metabolic changes. Moreover, the observed correlation between OEF and motor impairment severity was modest, and OEF alone showed low diagnostic classification, limiting its strength as a standalone biomarker. Fourth, participants in the study were not withdrawn from PD medications and levodopa equivalent daily dose (LEDD) was not calculated. Given that there is previous evidence suggesting a relationship between dopamine agonists and cerebral oxygen metabolism⁴⁰, this should be controlled in future studies.

In summary, although further research with perfusion measurements and longitudinal designs is necessary to fully understand the biological mechanisms responsible for the observed increase in cerebral OEF in PD patients, this study demonstrates the potential of cerebral OEF as a noninvasive and convenient biomarker for PD, which reflects motor impairment severity. Based on these findings, OEF may offer valuable insights into the oxygen metabolism in PD and its relationship with motor impairment severity.

Table 1. Participant Demographics

	<i>Healthy Control (n = 30)</i>	<i>Parkinson's Patient (n = 50)</i>
Gender (Male/Female)	15/15	27/23
Age (mean \pm std)	56.4 \pm 10.9	61.4 \pm 4.2*
Disease duration (year) (mean \pm std)		5.4 \pm 3.8
H-Y Stage		2.08 \pm 0.46
UPDRS-3 (Motor Eval)		19.7 \pm 6.9
MMSE		27.9 \pm 1.97

Table 1. Summary of participant demographics. H-Y stage, UPDRS-3 and MMSE scores are available for 42 patients. *: Two-tailed t-test $p < 0.05$.

Table 2. Correlations between PD metrics and OEF values

Metric		Age	MMSE	UPDRS-III	H-Y Stage
OEF	SN	0.014, p = 0.931	-0.109, p = 0.494	0.336 (0.336), p = 0.030* (0.032*)	0.282, p = 0.070
	RN	-0.0004, p = 0.998	-0.110, p = 0.486	0.330 (0.332), p = 0.033* (0.034*)	0.309, p = 0.046*
	GP	-0.001, p = 0.994	-0.117, p = 0.460	0.338 (0.340), p = 0.028* (0.030*)	0.298, p = 0.049*
	Putamen	-0.044, p = 0.781	-0.104, p = 0.511	0.288 (0.294), p = 0.064 (0.062)	0.244, p = 0.119
	CN	-0.143, p = 0.365	-0.015, p = 0.924	0.250 (0.268), p = 0.110 (0.091)	0.235, p = 0.134
	White Matter	-0.011, p = 0.947	-0.165, p = 0.295	0.341 (0.343), p = 0.027* (0.028*)	0.313, p = 0.044*

Table 2. Spearman correlations between OEF values in selected ROIs and patient Age, MMSE, UPDRS-3, and H-Y stage for the PD group. Partial correlations (with age and MMSE as control variables) are shown in parenthesis. *: $p < 0.05$.

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Authors' Roles

H.E.C. processed and conducted statistical analysis on the MRI data and wrote the first draft of the manuscript. D.L. performed the registration of MR images. H.L. and J.L. were responsible for acquiring MRI data and UPDRS-III scores. J.C. implemented the QQ-CCTV code. H.C. conceptualized the study and interpreted the results. All authors contributed to writing the manuscript and approved the final manuscript.

Ethical Considerations

This study protocol was approved by the institutional review board of the Pusan National University Yangsan Hospital (Yangsan, Republic of Korea).

Competing interests

The authors declare no competing interests.

Data availability

The datasets used and/or analyzed during the current study available from the corresponding author on reasonable request.

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