



OPEN Clinical outcomes and management strategies for moderate aortic regurgitation in patients undergoing rheumatic mitral valve surgery

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Additional exploration is required to determine how to treat patients with moderate aortic regurgitation (AR) in rheumatic mitral valve (MV) surgery. This study compared clinical outcomes in patients undergoing non-surgical treatment (NT) of aortic valve (AV), aortic valvuloplasty (AVP), and aortic valve replacement (AVR). This multicenter, retrospective observational cohort study included 338 moderate AR patients undergoing rheumatic MV surgery from January 2015 to January 2024. We followed up with patients for a median of 43.4 months. Furthermore, more-than-mild aortic valve dysfunction (AVD) in the follow-up period was our primary outcome. Our secondary outcomes were all-cause mortality and cardiac valve reoperation before discharge and during follow-up. We classified patients into three groups, namely, NT ($n=128$), AVP ($n=91$), and AVR ($n=119$) groups, respectively. Moreover, 33.0%, 30.1%, and 3.9% of patients achieved our primary outcome, while 4.2%, 5.6%, and 9.6% of them attained our secondary outcomes in the three groups, respectively. We observed that fewer patients from the AVR group had achieved the primary outcome than those in the NT [adjusted relative risk (RR), 0.41; 95% confidence interval (CI), 0.21–0.68; $p=0.002$] and AVP groups (adjusted RR, 0.14; 95% CI, 0.04–0.43; $p=0.002$), respectively. The secondary outcomes did not significantly differ among the three groups. Without increasing surgical risks, the concurrent AVR significantly improves AV status in moderate AR patients undergoing rheumatic MV surgery throughout follow-up. None or mild AVD was observed in many patients from the NT group during the follow-up, thereby warranting the delay of surgery for AV.

Keywords Rheumatic heart disease, Multi-valve disease, Aortic valvuloplasty, Aortic valve replacement

Abbreviations

ACC	Aortic cross clamp
AF	Atrial fibrillation
AR	Aortic regurgitation
AS	Aortic stenosis
AV	Aortic valve

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AVD	Aortic valve dysfunction
AVP	Aortic valvuloplasty
AVR	Aortic valve replacement
CAD	Coronary artery disease
CI	Confidence interval
COR	Class of recommendation
CPB	Cardiopulmonary bypass
HR	Hazard ratios
LA	Left atrium
LV	Left ventricular
LVEDD	Left ventricular end-diastolic dimension
LVESD: Left ventricular end-systolic dimension MR	Mitral regurgitation
MS	Mitral stenosis
MV	Mitral valve
NT	Non-surgical treatment
NYHA	New York Heart Association
OR	Odds ratio
PAH	Pulmonary artery hypertension
RHD	Rheumatic heart disease
RR	Relative risk
SD	Standard deviation
TR	Tricuspid regurgitation
TTE	Transthoracic echocardiography
VCW	Vena contracta width

Owing to substantial expenditure, rheumatic heart disease (RHD) remains a significant burden in low socio-demographic index regions¹. In China, approximately 55.1% of cases of valvular heart disease are caused by RHD². More than one-third of RHD patients exhibited combined mitral valve (MV) and aortic valve (AV) lesions³. The interdependence of the MV and AV makes surgical management of these patients a critical clinical challenge. The MV and AV demonstrate structural connections, characterized by the continuity of the anterior mitral annulus with the junction of the AV sinus, along with functional associations mediated through left atrial (LA) and left ventricular (LV) remodeling and alterations of the LA-LV pressure gradient, which collectively modulate their hemodynamic interactions^{4–6}.

During MV surgery, aortic stenosis (AS) was more likely to require treatment than AR^{7–9}. Many international guidelines uniformly recommend concurrent surgical intervention for moderate AS [Class of Recommendation (COR): IIa], but are inconsistent regarding the surgical management of moderate aortic regurgitation (AR) in conjunction with MV surgery^{10,11}. The American Heart Association guidelines advocate synchronous AV surgery (COR: IIa) with a low level of evidence, whereas the lack of uniform surgical recommendations by the European Society of Cardiology reflects the scarcity of high-level evidence. Moreover, limited research is available on patients with moderate AR during rheumatic MV surgery.

Our previous single-center, retrospective study suggested that some patients with predominant moderate AR might experience partial resolution following an isolated rheumatic MV surgery⁷. However, this study was retrospective and had numerous confounding factors. This comprised moderate AS and AR, as well as the aggregation of aortic valvuloplasty (AVP) and aortic valve replacement (AVR) into a single category. Additionally, limited studies are available on the management of moderate AR during rheumatic MV surgery. Consequently, we compared clinical outcomes among patients managed with non-surgical treatment (NT), AVP, or AVR during rheumatic MV surgery to assess the need for concurrent AV surgery and determine an optimal approach. This was a multicenter, retrospective observational cohort study, which followed the STROCCS reporting guidelines¹².

Materials and methods

Ethical approval

This study was performed as per the Declaration of Helsinki (revised in 2013). The ethics board of Beijing An Zhen Hospital approved our study protocol (Institutional Review Board document KS2022064). Our study's clinical registration was ChiCTR2200067151 (registered on December 28, 2022). Informed consent was waived because of the retrospective nature.

Patients

Inclusion criteria were adults aged ≥ 18 years undergoing surgical intervention for rheumatic mitral valve disease, with a confirmed diagnosis of moderate aortic regurgitation, either alone or in combination with mild aortic stenosis. Exclusion criteria encompassed patients with MV pathology of non-rheumatic etiologies, those requiring concomitant aortic root surgery, and individuals lacking preoperative echocardiographic assessment. We included 338 consecutive patients meeting the aforementioned inclusion and exclusion criteria at Beijing An Zhen Hospital and Guangdong Zhongshan People's Hospital, China, from January 2015 to January 2024. We classified patients into three groups, namely, the NT ($n = 128$), AVP ($n = 91$), and AVR ($n = 119$) groups, respectively (Fig. 1). These patients occupied 15.2% (338/2226) of those undergoing rheumatic MV surgery at the above institutions during this period. We used transthoracic echocardiography (TTE) before the discharge and during the follow-up. Methods involving TTE for evaluating RHD grade have been previously described¹³. Especially, the criteria for moderate AR are as follows: a vena contracta width (VCW) of 3–6 mm, a Pressure Half Time of 200–500 milliseconds, an effective regurgitant orifice area of 0.10–0.29 cm², a regurgitant volume of 30–59 ml, and a regurgitant fraction of 30–49%. The TTE images of several typical patients in the three groups were shown in Appendix 2.

Surgical technique

During MV and AV surgeries, a median sternotomy was performed utilizing the routine aorto-bicaval cannulation technique under the conditions of cold cardioplegic arrest and moderate hypothermia. More detailed information on MV repair has been provided¹⁴. As for the treatment of AV, the decision on performing NT, AVP, or AVR was made according to valvular disease severity evaluated previously, the method of cardioplegia perfusion, and the discretion of cardiac surgeons. Patients could choose the prosthetic valve type for usage after providing full informed consent. We routinely performed surgical ablation for permanent atrial fibrillation (AF) and tricuspid valve repair for mild or greater tricuspid regurgitation (TR).

The surgeons perform AVP on patients with relatively well-preserved valve conditions. AVP retains native AV tissue compared to AVR. However, its current clinical application remains limited to MVP¹⁵. Unlike degenerative AV lesions, rheumatic AR starts at the leaflet edges¹³, and primarily manifests as fibrotic proliferation. Valve body and annular fibrosis/calcification might occur later. In moderate rheumatic AR, fibrotic nodules at the coaptation margin induce distortion and stiffness. Therefore, partial fibrotic nodule resection could restore leaflet coaptation and improve AR¹⁶. However, the progression of the disease might cause AV thickening, fibrosis, commissural fusion, and calcification, thereby inducing complications in AVP by shrunken cusps⁹. Our AVP surgical approaches encompassed several repair techniques. These predominantly included shaving (58/89, 65.2%), along with commissurotomy (36/89, 40.4%), decalcification (7/89, 7.9%), commissural suspension (23/89, 25.8%), and AV neocuspidization (AVneo) (3/89, 3.4%). The first four methods are respectively employed

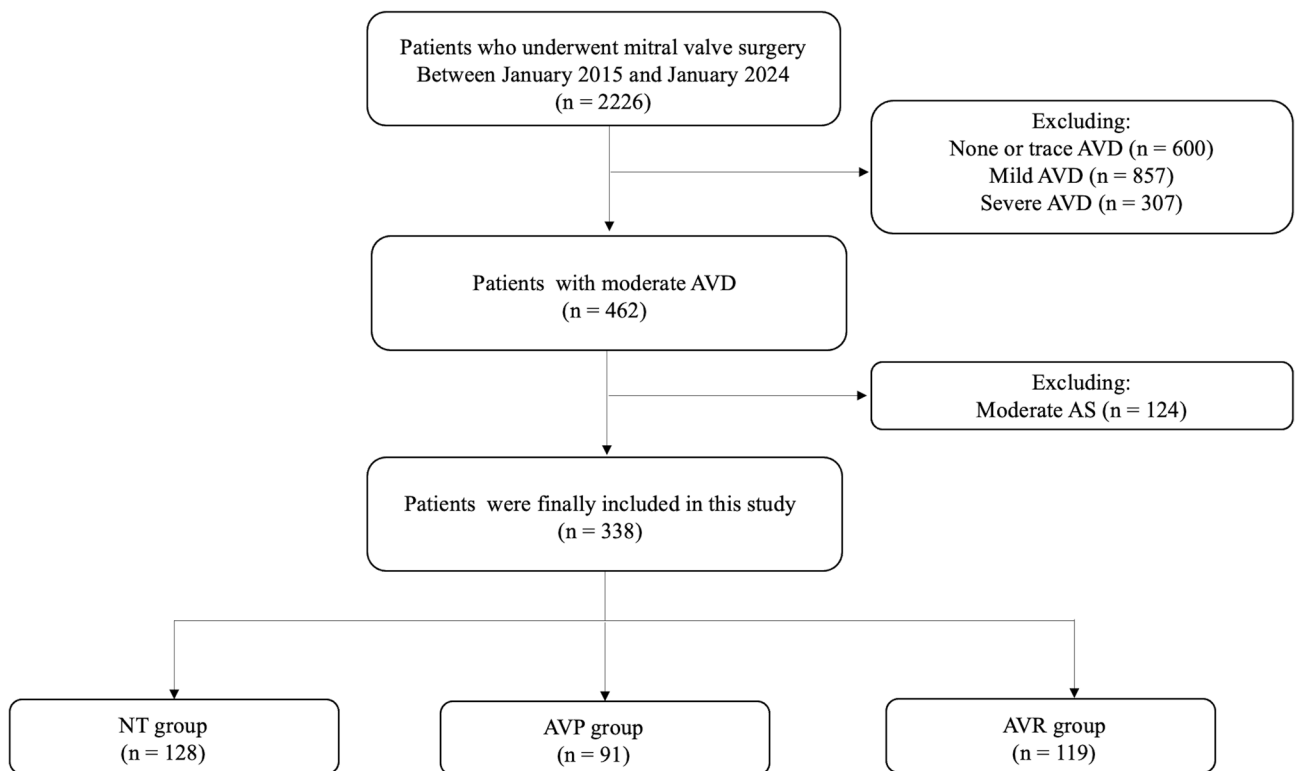


Fig. 1. Study design and patients included. AVD, aortic valve dysfunction; NT, non-surgical treatment; NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement.

to address thickened leaflets and margins, commissure adhesion, mild calcifications, and commissure avulsion. They can be applied individually or in combination, depending on the patient's pathological conditions. Previous studies have also confirmed that the AVneo technique yields favorable mid-term outcomes^{17,18}. The details of the AV repair approach for two patients in the AVP group were unavailable.

Double-valve surgery is far more complex than single-valve surgery. The conventional approach for double-valve replacement surgery in our center is as follows: following an incision in the aorta and the direct perfusion of the cardioplegia solution into the coronary ostia to induce cardiac arrest, the myocardial surface is cooled by applying ice chips. The mitral valve is then accessed through an approach involving the right atrium and the interatrial septum. The mitral valve leaflets, subvalvular apparatus, and the aortic valve leaflets are subsequently resected. Initially, a mitral valve prosthesis is implanted and tested, followed by the suturing of the interatrial septum. After that, an aortic valve prosthesis is implanted and tested, followed by the suturing of the aortic incision. If the patient has concomitant TR, a tricuspid valve annuloplasty may also be carried out, followed by the suturing of the right atrial incision.

Study endpoints

Our primary endpoint was more-than-mild aortic valve dysfunction (AVD), demonstrated using TTE in follow-up. Our secondary endpoints included all-cause mortality and cardiac reoperation before discharge and during the follow-up. The more-than-mild AVD was classified as native AVD in the NT and AVP groups, and as prosthetic AVD in the AVR group. The valve-related complications included major bleeding, new-onset stroke, valvular thrombosis, infective endocarditis, as well as severe arrhythmias requiring electrical cardioversion or ablation therapy.

Follow-up

Patients were followed up by telephone or outpatient services. The mean and median follow-up periods were 48.4 and 43.4 [Interquartile Range (IQR), 19.5–72.0] months, respectively. Subsequently, we achieved a follow-up rate of 95.0% (321/338).

Statistical analysis

Normally distributed continuous variables were summarized as mean \pm SD and analyzed using Student's t-test. Non-normally distributed continuous variables were summarized as median (IQR) and analyzed using the Mann-Whitney U-test. Categorical data were presented as frequencies and proportions and compared using the Chi-square test or Fisher's exact test as appropriate. All missing data were left blank.

Poisson regression and Cox proportional hazard models helped to calculate relative risks (RR) and hazard ratios (HR), respectively. Multivariable Poisson regression and Cox regression analyses were conducted by adjusting for age, gender, AS, AV calcification, preoperative left ventricular end-diastolic dimension (LVEDD), and MV repair.

The survival curve was plotted by the Kaplan-Meier approach, while the groups' survival rate was compared by the log-rank test. Statistical analysis was completed with R software version 4.2.2. All two-sided values of $p < 0.05$ denoted statistical significance.

Results

Patient features

We included 338 consecutive patients with moderate AR undergoing rheumatic MV surgery. Their average age at the time of surgery was 55.20 ± 10.71 years, with female patients occupying 66.6% of the total cohort ($n = 225$). The NT and AVP groups had a mild New York Heart Association (NYHA) functional class ($p < 0.001$), mild AS ($p < 0.001$), lower AV peak velocity ($p < 0.001$), lower AV calcification ($p < 0.001$), and enhanced MV repair rate ($p < 0.001$) than in AVR group, respectively. Moreover, the NT group showed a decreased regurgitation area of AV ($p < 0.001$), a decreased VCW of AV ($p < 0.001$), lower LVEDD value ($p = 0.003$), lower left ventricular end-systolic dimension (LVESD) value ($p = 0.002$) compared with AVP and the AVR group, respectively (Tables 1 and S1-1). VCW is a semi-quantitative echocardiographic parameter for assessing the severity of AR. There were only 7 (5.5%), 18 (19.8%), and 23 (19.3%) patients from the NT, AVP, and AVR groups with VCW ≥ 5 mm. The remaining basic characteristics did not significantly differ among the three groups. Additionally, AS grade was significantly related to AV calcification ($\chi^2 = 48.3, p < 0.001$). Table 1 shows the three groups' basic characteristics, encompassing their demographic and clinical characteristics. Table S1-1 displays the pairwise comparison of the preoperative outcomes within the three groups. In the AVR group, 63.9% (76/119) of patients underwent mechanical valve replacement, while 36.1% (43/119) opted for biological valves. The average age of patients in the biological valve group was higher than that in the mechanical valve group, and the remaining baseline characteristics were comparable between the two groups.

Perioperative outcomes

Tables 2 and S1-2 display the perioperative data and pairwise comparisons, respectively. In our study, there was no in-hospital mortality. Adverse postoperative events, like cardiac reoperation in hospital ($p = 0.75$) and new-onset stroke ($p = 0.79$), did not significantly differ. The NT and AVP groups displayed an enhanced postoperative AR severity relative to the AVR group ($p < 0.001$, Table S1-2, Fig. 2). There was no severe patient-prosthesis mismatch in the AVR group. During cardiopulmonary bypass (CPB), most patients (119/128) in the NT group underwent aortic root cardioplegia perfusion, while the rate for direct perfusion of cardioplegia was only 7.0% (9/128). The median CPB time and aortic cross clamp (ACC) time were 118.0 (IQR, 98.3–137.8) min and 86.5 (IQR, 72.0–100.8) min, respectively. All patients in the AVP and AVR groups underwent direct perfusion of cardioplegia.

Characteristic	Total (N=338)	NT (N=128)	AVP (N=91)	AVR (N=119)	P value
Age (y)	55.20 ± 10.71	55.14 ± 11.97	54.20 ± 10.61	56.03 ± 9.26	0.47
Female	225 (66.6)	87 (68.0)	64 (70.3)	74 (62.2)	0.42
BMI (kg/m ²)	23.73 ± 3.08	23.81 ± 3.30	23.59 ± 2.90	23.75 ± 2.98	0.87
BSA (m ²)	1.66 ± 0.17	1.66 ± 0.17	1.64 ± 0.17	1.67 ± 0.17	0.49
Hypertension	59 (17.5)	24 (18.8)	17 (18.7)	18 (15.1)	0.71
Diabetes mellitus	30 (8.9)	7 (5.5)	8 (8.8)	15 (12.6)	0.14
Coronary artery disease	38 (11.2)	15 (11.7)	10 (11.0)	13 (10.9)	0.98
Stroke	34 (10.1)	11 (8.6)	6 (6.6)	17 (14.3)	0.15
Chronic pulmonary disease	16 (4.7)	6 (4.7)	4 (4.4)	6 (5.0)	0.98
Atrial fibrillation	226 (66.9)	85 (65.6)	62 (68.1)	80 (67.2)	0.92
Cardiac surgery history	16 (4.8)	8 (6.2)	2 (2.2)	6 (5.1)	0.38
NYHA functional class					<0.001 ^{†‡}
I-II	206 (60.9)	85 (66.4)	65 (71.4)	56 (41.1)	
III-IV	132 (39.1)	43 (33.6)	26 (28.6)	63 (52.9)	
PAH	157 (46.7)	59 (46.1)	40 (44.0)	59 (49.6)	0.71
Mitral stenosis					0.13
None or trace	8 (2.4)	4 (3.1)	1 (1.1)	3 (2.5)	
Mild	49 (14.5)	15 (11.7)	18 (19.8)	16 (13.4)	
Moderate	82 (24.3)	28 (21.9)	16 (17.6)	38 (31.9)	
Severe	199 (58.9)	81 (63.3)	56 (61.5)	62 (52.1)	
Mitral valve area (cm ²)	1.20 (0.90, 1.50)	1.10 (0.90, 1.49)	1.28 (1.00, 1.63)	1.18 (0.90, 1.50)	0.21
Mitral regurgitation					0.18
None or trace	47 (13.9)	25 (19.5)	10 (11.0)	12 (10.1)	
Mild	100 (29.6)	30 (23.4)	28 (30.8)	42 (35.3)	
Moderate	74 (21.9)	28 (21.9)	18 (19.8)	28 (23.5)	
Severe	117 (34.6)	45 (35.2)	35 (38.5)	37 (31.1)	
Aortic stenosis					<0.001 ^{†‡}
None or trace	244 (72.2)	107 (83.6)	71 (78.0)	66 (55.5)	
Mild	94 (27.8)	21 (16.4)	20 (22.0)	53 (44.5)	
Aortic valve peak velocity (cm/s)	193.12 ± 50.72	174.93 ± 47.63	195.29 ± 46.92	212.23 ± 50.02	<0.001 ^{†‡‡}
Aortic valve calcification	131 (39.5)	33 (25.8)	31 (34.1)	67 (59.3)	<0.001 ^{†‡}
Regurgitation area of aortic valve (cm ²)	5.44 ± 1.27	4.96 ± 1.21	5.43 ± 1.29	5.84 ± 1.19	0.001 ^{†‡}
VCW of aortic valve (mm)	4.16 ± 0.81	3.85 ± 0.66	4.24 ± 0.85	4.46 ± 0.81	<0.001 ^{†‡}
Tricuspid regurgitation					0.83
None or trace	23 (6.8)	7 (5.5)	6 (6.6)	10 (8.4)	
Mild	141 (41.7)	56 (43.8)	36 (39.6)	49 (41.2)	
Moderate	104 (30.8)	35 (27.3)	32 (35.2)	37 (31.1)	
Severe	70 (20.7)	30 (23.4)	17 (18.7)	23 (19.3)	
LVEDD (mm)	49.34 ± 5.78	48.08 ± 5.25	49.57 ± 5.66	50.56 ± 6.19	0.003 ^{†‡}
LVEDS (mm)	33.30 ± 5.46	32.30 ± 4.77	33.74 ± 5.70	34.10 ± 5.84	0.02 ^{†‡}
LVEF (%)	59.72 ± 7.10	59.60 ± 7.03	59.91 ± 6.84	59.71 ± 7.41	0.95
Left atrial (mm)	50.38 ± 8.52	50.51 ± 7.85	50.30 ± 9.36	50.29 ± 8.63	0.98
Combined surgery					
Ablation	224 (66.3)	84 (65.6)	63 (69.2)	77 (64.7)	0.78
Thrombectomy	25 (7.4)	6 (4.7)	10 (11.0)	9 (7.6)	0.21
CABG	18 (5.3)	6 (4.7)	6 (6.6)	6 (5.0)	0.81
Continued					

Characteristic	Total (N=338)	NT (N=128)	AVP (N=91)	AVR (N=119)	P value
Tricuspid surgery	315 (93.2)	121 (94.5)	85 (93.4)	109 (91.6)	0.66
Mitral valve surgery					
Mitral valve repair	223 (66.0)	96 (75.0)	76 (83.5)	51 (42.9)	<0.001 ^{††}
Mechanical valve	72 (21.3)	16 (12.5)	11 (12.1)	45 (37.8)	<0.001 ^{††}
Biological valve	43 (12.7)	16 (12.5)	4 (4.4)	23 (19.3)	0.01 [‡]

Table 1. Preoperative characteristics of patients combined with moderate aortic regurgitation. Values are mean \pm standard deviation; n (%); and median (interquartile range). NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement; BMI, body mass index; BSA, body surface area; NYHA, New York Heart Association; PAH, pulmonary artery hypertension; VCW, vena contracta width; LVEDD, left ventricular end-diastolic dimension; LVESD, left ventricular end-systolic dimension; LVEF, left ventricular ejection fraction; ^{*}. Indicates a statistically significant difference between the NT and AVP groups; [†]. Indicates a statistically significant difference between the NT and AVR groups; [‡]. Indicates a statistically significant difference between the AVP and AVR groups.

Characteristic	Total (N=336)	NT (N=127)	AVP (N=90)	AVR (N=119)	P value
In-hospital mortality	0 (0)	0 (0)	0 (0)	0 (0)	
In-hospital reoperation	8 (2.4)	4 (3.1)	2 (2.2)	2 (1.7)	0.75
New-onset stroke	6 (1.8)	3 (2.3)	1 (1.1)	2 (1.7)	0.79
ICU stay (h)	21 (17–26)	20 (17–24)	21 (17–28)	21 (17–26)	0.75
Hospital stay (d)	13 (11–15)	13 (10–15)	13 (11–15)	13 (11–16)	0.23
Post mitral stenosis					0.53
None or mild	334 (99.4)	127 (100)	89 (98.9)	118 (99.2)	
Moderate	2 (0.6)	0 (0)	1 (1.1)	1 (0.8)	
Post mitral regurgitation					0.006 ^{††}
None or mild	315 (93.8)	118 (92.9)	79 (87.8)	118 (99.2)	
Moderate	17 (5.1)	6 (4.7)	10 (11.1)	1 (0.8)	
Severe	4 (1.2)	3 (2.4)	1 (1.1)	0 (0)	
Post aortic stenosis					0.69
None or mild	334 (99.4)	126 (100)	90 (100)	118 (99.2)	
Moderate	2 (0.6)	1 (0.8)	0 (0)	1 (0.8)	
Post aortic valve peak velocity (cm/s)	197.38 \pm 48.93	175.35 \pm 47.46	190.42 \pm 46.78	225.30 \pm 37.28	<0.001 ^{††}
Post aortic regurgitation					<0.001 ^{††}
None or Mild	254 (75.6)	71 (55.9)	64 (71.1)	119 (100)	
Moderate	81 (24.1)	55 (43.3)	26 (28.9)	0 (0)	
Severe	1 (0.3)	1 (0.8)	0 (0)	0 (0)	

Table 2. Perioperative characteristics of patients combined with moderate aortic regurgitation. Values are mean \pm standard deviation; median (interquartile range); n (%). NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement; CPB, cardiopulmonary bypass; ACC, aortic cross clamp; ICU, intensive care unit; ^{*}. Indicates a statistically significant difference between the NT and AVP groups; [†]. Indicates a statistically significant difference between the NT and AVR groups; [‡]. Indicates a statistically significant difference between the AVP and AVR groups.

Predictors for postoperative AR and AVR

After adjustment through multivariable Poisson regression analyses, compared to NT group, undergoing AVP procedure was identified as an independent protective factor against a higher postoperative AR severity [relative risk (RR), 0.67; 95% confidence interval, 0.47–0.93; $p=0.02$, Table S2-1]. Besides, a multivariable Poisson regression suggested that the severe NYHA functional class, AS, AV calcification, large LVEDD and VCW, and concurrent MV replacement were associated with a higher AVR feasibility, compared with NT and AVP groups (Table S2-2).

Follow-up of clinical outcomes

Although the total follow-up rate was 95.0% (321/338), 5.0% of patients could not be contacted again. Premature all-cause death during follow-up was reported in 2 (1.7%), 2 (2.2%), and 8 (7.0%) cases from the NT, AVP, and AVR groups, respectively. However, the survival rate was not significantly different (log rank $p=0.37$, Figure

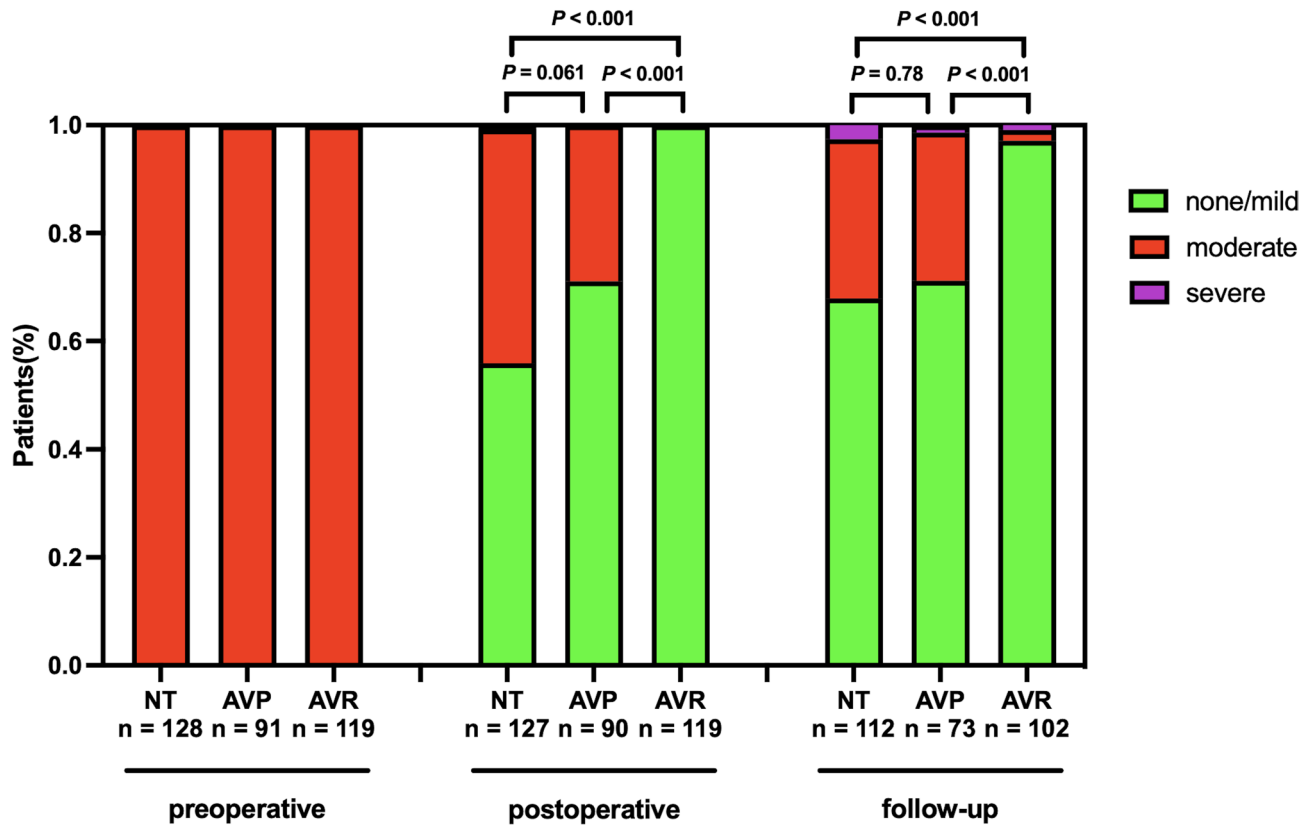


Fig. 2. Severity of aortic regurgitation before operation, after operation and during follow-up for different surgical groups. NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement.

Characteristic	Total (N=321)	NT (N=118)	AVP (N=89)	AVR (N=114)	P value
Follow-up duration (month)	43.4 (19.5, 72.0)	43.8 (17.5, 67.5)	40.2 (14.0, 72.0)	46.5 (26.0, 83.2)	0.15
Follow-up mortality	12 (3.7)	2 (1.7)	2 (2.2)	8 (7.0)	0.07
Follow-up cardiac valve reoperation	7 (2.2)	2 (1.7)	2 (2.2)	3 (2.6)	0.89
Mitral valve reoperation	6 (1.9)	2 (1.7)	2 (2.2)	2 (1.8)	0.95
Aortic valve reoperation	3 (0.9)	0 (0)	1 (1.1)	2 (1.8)	0.37
Secondary outcomes	21 (6.5)	5 (4.2)	5 (5.6)	11 (9.6)	0.23
Valve-related complications	46 (14.9)	16 (14.2)	10 (11.2)	20 (18.7)	0.33

Table 3. Follow-up characteristics of patients combined with moderate aortic regurgitation. Values are median (interquartile range); n (%). NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement; secondary endpoint events include all-cause mortality and cardiac reoperation both before discharge and during follow-up.

S1-2). Furthermore, MV reoperation in follow-up was performed in 2 (1.7%), 2 (2.2%), 2 (1.8%) cases from the NT, AVP, and AVR groups, respectively. One patient (1.1%) from the AVP group underwent AV reoperation, whereas 2 (0.9%) from the AVR group had to undergo AV reoperation due to AV perivalvular leakage and aortic bioprosthetic valve destruction, respectively. Detailed information on valve reoperations during follow-up can be found in Table S3. The freedom from cardiac valve reoperation rate was also not significantly different among the three groups (log rank $p=0.94$, Figure S1-3). Although the valve-related complications in the AVR group (20/114, 18.7%) was the highest, the rate of freedom from valve-related complications was not significantly different among the three groups (log rank $p=0.57$, Figure S1-4). The follow-up duration of the three groups were comparable ($p=0.15$, Tables 3 and S1-3).

Follow-up of echocardiographic outcomes

Furthermore, 287 (84.9%) patients had echocardiographic follow-up data. No significant difference was observed in MV or tricuspid valve dysfunction during the follow-up ($p=0.09$, $p=0.77$, respectively); the NT and AVP

groups displayed more severe AR than the AVR group ($p < 0.001$, Table 4 and S1-3). Three (2.7%) and one (1.4%) cases from the NT and AVP groups developed severe AVD, respectively, whereas one (1.0%) case from the AVR group developed severe aortic paravalvular leak. Follow-up severe AVD did not significantly differ ($p = 0.85$). Besides, there were only 37 (33.0%), 22 (30.1%), and 4 (3.9%) patients from the NT, AVP, and AVR groups with more-than-mild AVD in follow-up, respectively. To sum up, the AVR group demonstrated significantly superior outcomes in terms of follow-up AR compared to both the NT and AVP groups ($p < 0.001$), while no statistically significant difference was observed between the AVP and NT groups ($p = 0.78$, Fig. 2, Table S1-3). Moreover, AVD conditions during follow-up in each group showed improvements relative to those observed preoperatively (Fig. 2).

Predictors for study endpoints

For primary endpoint, the AVR group displayed a reduced follow-up more-than-mild AVD risk relative to the NT (RR, 0.34; 95%CI, 0.19–0.54; $p < 0.001$) and AVP (RR, 0.13; 95% CI, 0.04–0.34; $p < 0.001$) groups, respectively; however, the AVP group was not significantly different from the NT group (RR, 0.91; 95% CI, 0.53–1.53; $p = 0.73$). After age, gender, AS, AV calcification, LVEDD, and MV repair adjustments, multiple Poisson regression analyses demonstrated that the AVR group showed a reduced follow-up more-than-mild AVD risk compared with the NT (adjusted RR, 0.41; 95%CI, 0.21–0.68; $p = 0.002$) and AVP (adjusted RR, 0.14; 95% CI, 0.04–0.43; $p = 0.002$) groups, while the AVP group did not significantly differ from the NT group (adjusted RR, 0.87; 95% CI, 0.49–1.50; $p = 0.62$).

Moreover, among patients who did not undergo AVR, using multivariable Poisson regression, we found that CAD served as the independent risk factor (RR, 2.13; 95% CI, 1.01–4.08; $p = 0.032$) of more-than-mild AVD in follow-up for NT and AVP groups (Table S2-3). As for the MV, multivariable Poisson regression showed that MV repair was an independent risk factor of the follow-up mitral regurgitation (MR) severity (RR, 6.29; 95% CI, 2.13–21.95; $p = 0.003$, Table S2-4).

However, secondary endpoints did not exhibit any significant difference among the three groups, as suggested by Cox proportional hazards regression analysis (Table 5). We obtained the same findings using the log-rank test. Event-free survival was not significantly different among the three groups during the ten-year follow-up (log-rank $p = 0.62$, Figure S1-1).

Additional analysis

Given the pathophysiological interdependence between MV and AV, a stratified analysis was conducted to examine the impact of distinct MV lesion subtypes—specifically, predominant mitral stenosis (MS), predominant MR,

Characteristic	Total (N=287)	NT (N=112)	AVP (N=73)	AVR (N=102)	P value
More-than-mild mitral valve dysfunction	41 (14.3)	14 (12.6)	16 (21.9)	11 (10.8)	0.09
Mitral stenosis					0.48
None or mild	280 (97.6)	108 (96.4)	71 (97.3)	101 (99.0)	
Moderate	5 (1.7)	3 (2.7)	2 (2.7)	0 (0)	
Severe	2 (0.7)	1 (0.9)	0 (0)	1 (1.0)	
Mitral regurgitation					0.09 [‡]
None or mild	251 (87.5)	101 (90.2)	58 (79.5)	92 (90.2)	
Moderate	30 (10.5)	8 (7.1)	12 (16.4)	10 (9.8)	
Severe	6 (2.1)	3 (2.7)	3 (4.1)	0 (0)	
More-than-mild aortic valve dysfunction	63 (22.0)	37 (33.0)	22 (30.1)	4 (3.9)	<0.001 ^{†‡}
Aortic stenosis					0.29
None or mild	284 (99.0)	110 (98.2)	73 (100)	101 (99.0)	
Moderate	2 (0.7)	2 (1.8)	0 (0)	0 (0)	
Severe	1 (0.3)	0 (0)	0 (0)	1 (1.0)	
Aortic regurgitation					<0.001 ^{†‡}
None or mild	227 (79.1)	76 (67.9)	52 (71.2)	99 (97.1)	
Moderate	55 (19.2)	33 (29.5)	20 (27.4)	2 (2.0)	
Severe	5 (1.7)	3 (2.7)	1 (1.4)	1 (1.0)	
More-than-mild tricuspid valve dysfunction	17 (5.9)	8 (7.1)	4 (5.5)	5 (4.9)	0.77
Tricuspid regurgitation					0.50
None or mild	270 (94.1)	105 (93.8)	68 (93.2)	97 (95.1)	
Moderate	14 (4.9)	7 (6.2)	4 (5.5)	3 (2.9)	
Severe	3 (1.0)	0 (0)	1 (1.4)	2 (2.0)	

Table 4. Follow-up characteristics of patients combined with moderate aortic regurgitation. Values are n (%). NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement; [†]. Indicates a statistically significant difference between the NT and AVR groups; [‡]. Indicates a statistically significant difference between the AVP and AVR groups.

Groups	More-than-mild AVD during follow-up				Death or cardiac reoperation after surgery			
	RR (95%CI)	P value	Adjusted RR (95%CI)	P value	HR (95%CI)	P value	Adjusted HR (95%CI)	P value
AVP vs. NT	0.91 (0.53–1.53)	0.73	0.87 (0.49–1.50)	0.62	1.31 (0.38–4.54)	0.67	1.38 (0.38–4.91)	0.62
AVR vs. NT	0.34 (0.19–0.54)	<0.001	0.41 (0.21–0.68)	0.002	1.13 (0.64–1.99)	0.67	1.59 (0.82–3.08)	0.17
AVR vs. AVP	0.13 (0.04–0.34)	<0.001	0.14 (0.04–0.43)	0.002	1.12 (0.38–3.36)	0.84	2.03 (0.53–7.72)	0.30

Table 5. The impact of aortic valve treatment on clinical outcomes. NT, non-surgical treatment; AVP, aortic valvuloplasty; AVR, aortic valve replacement; RR, relative risk; HR, hazard ratio; AVD, aortic valve dysfunction. Adjusted RR or HR was adjusted for age, gender, aortic stenosis, aortic valve calcification, preoperative left ventricular end-diastolic dimension, and mitral valve repair.

and mixed MV lesions—on postoperative and follow-up AR in the NT group. Preoperative echocardiographic data revealed that patients in the MR-predominant subgroup demonstrated the largest LVEDD ($p < 0.001$); the mixed-lesion subgroup exhibited the lowest left ventricular ejection fraction ($p = 0.01$). The severity of AR was not significantly different among the three MV lesion subgroups in terms of postoperative and follow-up echocardiography, respectively ($p = 0.71$, $p = 0.28$, Table S4).

The subgroup analyses stratified by age, gender, AF, NYHA functional class, pulmonary artery hypertension (PAH), MV dysfunction, mild AS, and MV surgery suggested that the AVR group consistently displayed a decreased AVD risk (Figures S2-1–S2-3), without any interactions (Table S5).

To investigate whether different approaches of AVP had an impact on the echocardiographic outcomes, we conducted a univariable Poisson regression analysis. The results revealed that different surgical approaches of AVP had no significant effect on postoperative AR or follow-up more-than-mild AVD (Tables S6-1 and S6-2). Additionally, there were no significant differences in all-cause mortality (8.3% vs. 4.8%, $p = 0.73$) and the incidence of more-than-mild prosthetic AVD (4.8% vs. 2.6%, $p = 0.98$) during follow-up between patients undergoing mechanical AVR and those undergoing biological AVR. During the follow-up period, one patient in each group underwent reoperation for aortic valve due to paravalvular leakage of the mechanical valve and structural deterioration of the biological valve, respectively. The patient outcomes showed no significant differences based on the type of prosthesis used or the repair approach employed.

Discussion

In cardiac surgery, rheumatic MV disease with concomitant AVD represents a prevalent clinical scenario. Current guidelines recommend synchronous surgical intervention for severe AVD cases when other cardiac surgery is performed [Class of Recommendation (COR): I]^{10,11}. However, for patients undergoing MV surgery with concomitant mild AVD, undertaking prophylactic AVR for mild AVD during MV surgery cannot be justified based on preventing delayed reoperations in some of those patients¹⁹. On one hand, mild AVD during rheumatic MV surgery exhibits an indolent natural history; a study has demonstrated a low 10-year risk of progression to severe AVD or need for AVR in untreated cases²⁰. On the other hand, patients who underwent conservative treatment for mild AVD demonstrated comparable long-term clinical outcomes and echocardiographic parameters to those undergoing AVP or AVR modalities³.

Focusing on the issue of moderate AR during rheumatic MV surgery, we obtained the following primary results: (1) The AVR group outperformed the NT and AVP groups regarding the follow-up AVD; (2) Many patients from the NT group showed absence of or had mild AVD in the follow-up, comparable to those from the AVP group, and (3) All-cause mortality, cardiac valve reoperation rates, and valve-related complications did not significantly differ within the three groups.

Many studies have demonstrated that double-valve surgery carries higher risks compared to single-valve procedures^{21–23}. However, the mortality and cardiac reoperation rates did not significantly differ postoperatively and during the follow-up period among the three groups (Table 3, Figure S1-1). Besides, with the development of surgical techniques, the in-hospital mortality of patients who underwent double-valve or triple-valve procedures was null in our cohort, which was lower than that reported before^{21–23}.

Although there were no significant differences in clinical outcomes among the three groups, the AVR group outperformed the NT and AVP groups regarding the follow-up AVD. Following that, we investigated the influencing factors of follow-up AVD in the NT and AVP groups. Multiple Poisson regression demonstrated that CAD might predict the occurrence of more-than-mild AVD during the follow-up period in the NT and AVP groups (Table S2-3). These findings suggested that a comprehensive follow-up was required for CAD patients postoperatively. Besides, stratified analysis was performed to evaluate the impact of MV lesions on follow-up AVD in the NT group. The types of MV lesions can influence the hemodynamic effects and clinical manifestations of AR. The coexistence of MR and AR might impose significant hemodynamic burdens^{24,25}, while MS mitigates the increased AR-induced LV volume overload. Theoretically, isolated intervention for MS alone might augment LV volume loading²⁶. However, stratified analysis demonstrated that the type of preoperative MV pathology showed no significant impact on follow-up AR in the NT group (Table S4).

The long-term durability and indications of AVP remain controversial^{27,28}. Moreover, many studies lack comparative data between NT and AVP groups in rheumatic moderate AR cases, we found that AVP ameliorated AVD to some extent and that AVP was an independent protective factor against more severe postoperative AR

compared to NT (Table S2-1). But it showed no significant superiority over NT cases in terms of follow-up more-than-mild AVD (Table 5). However, in this study, AVP still demonstrated potential benefits. Firstly, its early and follow-up clinical outcomes showed no significant differences compared to the NT and AVR groups. Secondly, compared to AVR, AVP exhibited a slightly lower rate of valve-related complications during the follow-up period and could avoid the long-term risks of anticoagulation therapy and the disadvantage of bioprosthetic valve deterioration in the distant future²⁹. Thirdly, compared to the NT group, the preoperative VCW in the AVP group was significantly higher, indicating more severe valve pathology in the AVP group. Moreover, AVP was an independent protective factor for postoperative AR. Thus, AVP is a reasonable option for patients with suitable AV anatomy, whose AV need concurrent surgical intervention as assessed by surgeons, and who underwent MVP. However, the long-term durability of AVP warrants further investigation, and further studies are required to validate the efficacy of AVP.

Our study's conclusions support the guideline recommendation that for patients with concomitant moderate AR, AVR therapy should be considered, as it can more definitively improve the patients' AR condition. However, our research also indicates that NT and AVP are also viable approaches for some patients with moderate AR. The selection among the three methods primarily depends on the surgeon's clinical experience. Based on the aforementioned results, we have also summarized the experience of our center as followed: (1) on the premise of ensuring adequate myocardial protection, aortic root cardioplegia perfusion might be initiated without AV intervention. (2) In cases where the perfusion of cardioplegia was inadequate, characterized by low cardioplegia infusion pump pressure, LV distension, incomplete cardiac arrest, or poor reflux of solution at the coronary sinus ostia, it was important to promptly perform an incision in the ascending aorta to expose the coronary artery ostia and switch to direct coronary perfusion of the cardioplegia solution. Following the thorough evaluation of the AV, most of the patients underwent AVP or AVR based on the degree of AV lesions, while only a small portion of patients did not undergo any AV intervention. (3) patients who had worse cardiac function, underwent mitral valve replacement, exhibit AS or AV calcification, and especially those with VCW ≥ 5 mm were more likely to receive AV surgery. The aforementioned conclusions should be considered more cautiously for these patients.

In summary, AR conditions were slightly alleviated in most of the patients of the NT group. During mid-term follow-up, the risk of progression to severe AR remained low at 2.7% in the NT group. This holds greater clinical significance in the era of transcatheter aortic valve implantation. Besides, asymptomatic severe AVD also typically does not require AVR^{10,11,30}. However, the AVP group could not show superior clinical outcomes compared with the NT group during follow-up. This suggested that the AVP procedure, which primarily focused on leaflet thinning and commissurotomy, required additional improvement and research in the management of moderate rheumatic AR. Overall, AR improved to different degrees post-treatment of rheumatic MV disease. This might be attributed to LV remodeling and the use of diuretics⁷.

Limitations

Our study had a few limitations. Firstly, our follow-up period was insufficient. Given the slow progression of AVD, further follow-up is necessary to assess the long-term prognosis of all three groups. Moreover, we included only the patients' recent TTE findings. Additional TTE data at multiple time points during follow-up were needed to evaluate the progression of AVD. Secondly, while rheumatic alterations constitute the primary etiology underlying concurrent AR, degenerative and functional pathological elements nonetheless also play a small role in the development of AR in certain patients. Notably, there exists a deficiency in precise analysis concerning the causative factors of moderate AR. Thirdly, although all patients included in this study had moderate AR, the severity of moderate AR in the NT group was generally mild overall. Despite conducting multivariable regression analysis to adjust for baseline conditions, there may still be a risk of selection bias, which could lead us to overestimate the clinical prognosis of the NT group.

Conclusions

For moderate AR patients in rheumatic MV surgery, concurrent AVR significantly improves AV conditions during follow-up without increasing the surgical risks. Most patients from the NT group had none or mild AVD in the follow-up period. Therefore, delaying surgical management for AV and undergoing regular follow-up examinations can be a safe and reasonable strategy.

Data availability

The anonymous raw data that support the findings of this study are available from corresponding author (Wenjian Jiang, email: jiangwenjian@ccmu.edu.cn) but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are available from the authors upon reasonable request and with permission of Beijing An Zhen Hospital.

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Author contributions

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Declarations

Consent for publication

Informed consent was waived because of the retrospective nature.

Ethical approval and consent to participate

The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or

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Competing interests

The authors declare no competing interests.

Additional information

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