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Received: 15 September 2025

Accepted: 31 January 2026

Published online: 01 April 2026

Cite this article as: Zhao Y., Mu Y., Luo P. *et al.* Integrating multi-spectral remote sensing and machine learning for quantifying and mapping heavy metal contamination in the Tarim River Basin, China. *Sci Rep* (2026). <https://doi.org/10.1038/s41598-026-38887-9>

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Integrating Multi-Spectral Remote Sensing and Machine Learning for Quantifying and Mapping Heavy Metal Contamination in the Tarim River Basin, China

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ABSTRACT

Heavy metal (HM) contamination in arid inland river basins is intensifying with economic development, posing ongoing ecological and public health risks. Conventional monitoring is constrained by landscape heterogeneity and limited field data, hindering basin-scale spatiotemporal analysis. This study integrates multispectral Sentinel-2A imagery, Random Forest (RF) regression, and harmonized ground observations to quantify and map As, Cd, Cu, Pb, and Zn in soils and waters of China's Tarim River Basin (TRB), and to evaluate related health risks using the U.S. EPA model. Field data showed soil Σ HMs averaged $118.71 \text{ mg kg}^{-1}$ ($95.15\text{--}191.32 \text{ mg kg}^{-1}$) and river Σ HMs $3.89 \mu\text{g L}^{-1}$ ($1.14\text{--}99.00 \mu\text{g L}^{-1}$), with Cd and As above background levels. RF models yielded preliminary spatial patterns ($R^2 = 0.741\text{--}0.999$; RMSE = $0.12\text{--}0.38 \text{ mg kg}^{-1}$ for soils, $0.21\text{--}0.57 \mu\text{g L}^{-1}$ for waters). Spatial patterns revealed As and Cd hotspots in Aksu, Cu and Pb enrichment in central and southeastern sub-basins, and Zn predominance in the east. Pearson correlation and principal component analyses attributed Pb–Cu–Zn mainly to natural sources, As–Cd to agriculture, and riverine metals to atmospheric deposition and hydrology. Oral ingestion was the major exposure route; $\sim 10\%$ of soil samples exceeded the non-carcinogenic hazard index for children, while As and Cd posed carcinogenic risks $> 1 \times 10^{-4}$. The geo-accumulation index ($I_{\text{geo}} > 2$ for As) and HPI > 100 in Hotan, Kashgar, and Aksu indicated localized hazards. Recommended actions include RS-based quarterly monitoring, targeted As/Cd control, basin-wide HM coordination, child health screening, and nature-based remediation to reduce HM risks by 2035. This work provides the first high-resolution, basin-wide HM assessment in the TRB, demonstrating Sentinel-2A-RF inversion as a cost-effective tool for hotspot identification, risk-informed management, and alignment with China's dual-carbon goals, rural revitalization, and UN Sustainable Development Goals.

Keywords: Tarim River Basin; heavy metals; remote sensing inversion; health risk assessment; sustainable development

1. Introduction

Heavy metal contamination in soil and water poses a persistent threat to ecosystem integrity, food security, and human health, particularly in arid inland river basins experiencing rapid socio-economic growth[1-3]. Unlike organic pollutants, heavy metals are non-biodegradable, bioaccumulative, and capable of long-range transport via hydrological and atmospheric pathways, thereby amplifying environmental persistence and toxicity[4, 5]. The Tarim River Basin—China's largest inland river system—exemplifies these challenges[6, 7]. As the economic backbone of southern Xinjiang, the basin's accelerated development has enhanced livelihoods but heightened environmental vulnerability through heavy metal accumulation in agricultural soils and surface/groundwater[8, 9]. Recent studies report elevated heavy metal levels linked to natural geochemical processes and anthropogenic sources (e.g., irrigation runoff, industrial effluents), jeopardizing biodiversity, rural livelihoods, and public health in this ecologically fragile region[10, 11].

Despite growing awareness, basin-scale assessment of heavy metal contamination remains constrained by the heterogeneity of arid landscapes, limited field sampling, and fragmented data availability[12, 13]. Conventional monitoring relies on labor-intensive, costly field sampling and laboratory analysis[14], which fail to capture spatiotemporal dynamics over vast, inaccessible terrains[15, 16]. This limitation impedes risk quantification and mitigation, especially in the Tarim Basin where transboundary influences and climate variability complicate pollution tracking[17, 18]. Although research on heavy metal pollution in the basin has increased, most studies are spatially or temporally fragmented, depending on sparse surveys or single-period data[19, 20]. The lack of integrated, large-scale assessments that combine multi-source datasets with advanced modeling hinders effective monitoring of contamination dynamics and human health risks[21]. Advances in remote sensing and data-driven modeling provide new opportunities to overcome these limitations[22]. High-resolution multispectral satellite imagery, such as Sentinel-2A, now enables large-scale environmental surveillance[23, 24]. When paired with machine learning—particularly Random Forest (RF) regression—these data facilitate robust inversion of non-linear spectral–contaminant relationships[25], outperforming linear methods under data scarcity[26, 27]. The integration of these techniques supports high-resolution inversion modeling[28], leveraging surface reflectance patterns related to soil mineralogy, vegetation stress[29], and suspended particulates as indirect indicators of heavy metal presence[30, 31]. Prior studies using Landsat, WorldView, or hyperspectral data have estimated single-metal concentrations in farmland or mining sites; however, four major challenges remain: (i) the lack of basin-wide, high-resolution coverage in arid regions; (ii) coupled soil–water evaluation accounting for hydrological connectivity; (iii) weak linkage between remote-sensing outputs and quantitative health-risk metrics; and (iv)

uncertainty in model validation under limited ground-truth data[32-34]. Addressing these issues requires a comprehensive framework that unites field observations, multi-spectral data, and advanced machine learning for accurate prediction and health-risk assessment[35, 36].

Although numerous studies have explored heavy metal pollution in parts of the TRB, key knowledge gaps persist regarding: (i) the spatiotemporal distribution and trends of multiple metals at the basin scale; (ii) associated human health risks, dominant exposure pathways, and metal-specific contributions; and (iii) actionable mitigation strategies tailored to soil and water matrices[37-39]. Most existing work remains temporally fragmented and spatially localized, limiting its utility for regional environmental governance[40]. Closing these gaps aligns with China's ecological civilization, rural revitalization, and sustainable development goals for vulnerable inland basins[10, 41]. Accordingly, this study pursues two main objectives: (1) to evaluate the capability of integrating Sentinel-2A multispectral imagery with RF regression for quantifying major heavy metals—arsenic (As), cadmium (Cd), lead (Pb), copper (Cu), and zinc (Zn)—across heterogeneous arid landscapes; and (2) to characterize their spatial-temporal variations and associated human health risks[42].

To achieve these goals, this research integrates Sentinel-2A imagery, RF modeling, and field observations to generate the first basin-wide, 60 m-resolution maps of five priority metals across the TRB. Health risks were quantified following the United States Environmental Protection Agency (US EPA) methodology to assess non-carcinogenic and carcinogenic effects for adults and children via ingestion, dermal, and inhalation exposure. The work advances sustainable development in arid inland basins through four contributions: (i) Methodological innovation – A transferable remote sensing inversion framework for simultaneous soil and water contamination assessment, leveraging ensemble learning and rigorous cross-validation to address data scarcity; (ii) Comprehensive risk appraisal – integrating geo-accumulation index (I_{geo}), Heavy Metal Pollution Index (HPI), and multi-pathway health-risk modeling to transition from pollution detection to risk prioritization; (iii) Policy Relevance – Visualizing pollution hotspots, exposure pathways, and specific metal risks to guide targeted remediation and policy alignment with China's "dual carbon" and rural revitalization strategies; and (iv) Open-data synthesis – Constructing a unified watershed-scale dataset (2011-2022) from 12 peer-reviewed sources for future model improvements and management decision support.

The paper is organized as follows: Section 2 introduces the study area, datasets, and methodological framework; Section 3 presents inversion performance, spatial-temporal distribution patterns, and health-risk results; Section 4 discusses key drivers, policy implications, and uncertainties; and Section 5 concludes with major findings and directions for future research. By integrating multi-spectral remote sensing, machine learning, and health-risk science, this study establishes a comprehensive approach for assessing heavy metal contamination and advancing sustainable development in arid inland river basins.

2. Datasets and methodology

2.1 Study area

The Tarim River Basin (73–97°E, 34–45°N), located in southern Xinjiang Uygur Autonomous Region, Northwest China (Fig. 1), serves as the study area owing to its pronounced ecological vulnerability and escalating heavy metal contamination risks in an arid inland setting. Although the basin extends into Kazakhstan, Kyrgyzstan, and Tajikistan, the analysis concentrates on the Chinese sector, where dense human activity, large-scale irrigation agriculture, and industrial development are primarily located. Encompassing approximately 1.05 million km² across five prefectures (Bayingolin Mongolian Autonomous Prefecture, Aksu Region, Kizilsu Kirgiz Autonomous Prefecture, Kashgar Region, and Hotan Region), the basin constitutes China's second-largest inland river system. By 2018, this area supported 11.59 million residents, 2.03 million ha of cultivated land, and a regional GDP of 0.34 trillion RMB, representing significant contributions to Xinjiang's population, agricultural output, and economic growth[43]. However, this rapid growth, accompanied by a sharp rise in energy consumption, has intensified environmental pressures, making the basin's soil and water resources susceptible to pollution[44]. The vast scale of the area poses significant challenges to conventional monitoring, underscoring the necessity of the remote sensing and machine learning approach presented herein for supporting environmental sustainability.

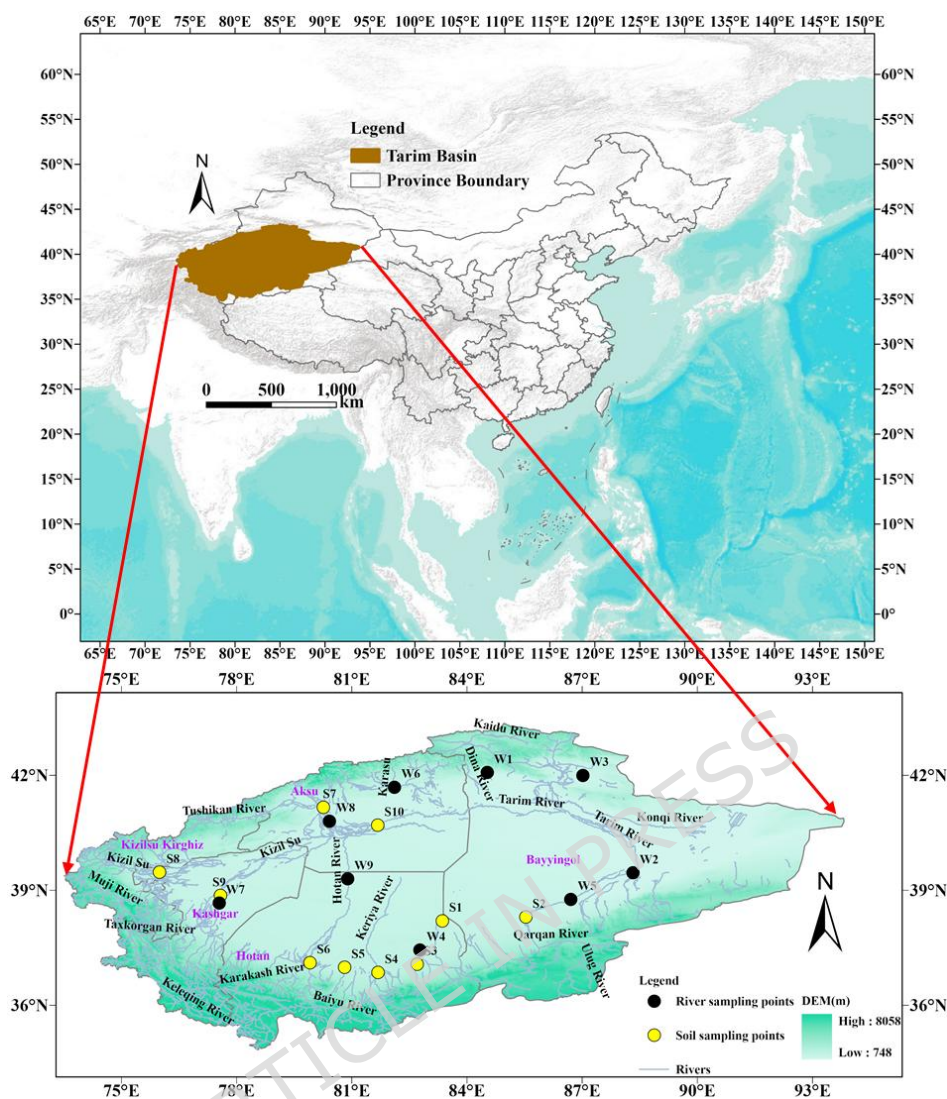


Fig. 1. Schematic of the study area. Created by the authors using ArcGIS Pro 3.6 (Esri; <https://www.esri.com/en-us/arcgis/about-arcgis/overview>)

2.2 Heavy metal data source

This study synthesizes a comprehensive dataset of heavy metal concentrations for the Tarim River Basin by integrating data from multiple peer-reviewed literature sources. This approach was necessary to overcome the spatial and temporal limitations of any single dataset and to capture the large-scale contamination dynamics across this vast and heterogeneous region. The following sections detail the data acquisition strategy, the rationale for sampling density, and the rigorous protocols implemented to harmonize the multi-source data and ensure its reliability for machine learning modeling.

2.2.1 Data Compilation, Sampling Rationale, and Quality Assurance

A systematic literature search was conducted in the Web of Science™ Core Collection and China National Knowledge Infrastructure (CNKI) databases for publications from 2012 to 2025, using the search string TS = (Tarim) AND TS = ("heavy metal") in the "topic" field. After removing duplicates, this yielded 51 unique records.

Studies were included if they: (i) reported concentrations for at least one target heavy metal (As, Cd, Pb, Cu, Zn); (ii) provided explicit geographical coordinates or detailed site descriptions enabling accurate geolocation; and (iii) followed recognized national or international analytical standards. This screening retained 12 publications for data extraction, from which we compiled two datasets—one for soil/sediment and one for water (surface, irrigation, and shallow groundwater)—recording mean concentrations per metal at each unique sampling location (Supplementary Table S1 and Supplementary Table S2).

The resulting datasets comprise 10 soil/sediment sites and 9 water sites. Although these represent a synthesis of multiple studies rather than a single new field campaign, the number and distribution of sampling points in the source publications were guided by established technical specifications, study objectives, and practical constraints, ensuring spatial representativeness across the basin's diverse land-use types, hydrotopographic zones, contamination hotspots, and areas of hydrochemical variability.

For soil and sediment, sampling density in source studies followed guidelines such as the DZ/T 0258–2014 Multi-Target Regional Geochemical Survey Specification (1:250,000 scale), which recommends grid-based densities of approximately one point per 4–8 km² for regional assessments[41], supplemented by higher-density targeted sampling in priority areas (e.g., major oases, agricultural zones, and upper reaches) to capture localized gradients from anthropogenic activities[10, 45, 46]. Larger point counts in specific studies (e.g., 193 or 78 sites) reflected heterogeneity in land use, accessibility, and the need for robust local geochemical baselines[10, 45-47].

For water, site numbers (typically 30–90 per campaign) aligned with standards like HJ/T 164–2004 Technical Specifications for Environmental Monitoring of Groundwater[48], with strategic placement along hydrological features (main streams, tributaries, confluences), land-use gradients, proximity to urban/agricultural centers, and zones of elevated hydrochemical variability or contamination risk (e.g., Aksu-Shaya section and intensive cotton farming areas)[42, 47-52]. Complementary background samples from minimally disturbed sites (e.g., farmland or grassland) were often included for reference[10, 45, 46] (Tables 1).

Table 1. Background values of heavy metals in the Tarim River Basin

Background values	As	Cd	Pb	Cu	Zn
Background value for soils in Xinjiang[41, 53], (mg/kg)	11.2	0.12	19.4	26.7	68.8
Environmental quality standards for III surface water[49], (µg/L)	50	5	50	1000	1000
Environmental quality standards for V surface water[49], (µg/L)	100	10	100	1000	2000

Integrating data from 12 sources spanning 2011–2022 inevitably introduces heterogeneity in sampling and analytical protocols—a common issue in meta-analyses of environmental data (Table S3). To address this and ensure dataset robustness for modeling:

- We prioritized studies explicitly adhering to national standards (e.g., DZ/T 0258–2014 for soil; HJ/T 164–2004 for water)[41, 48], promoting consistency in collection and processing.
- All retained publications documented rigorous QA/QC (Tables S1), including certified reference materials (e.g., GBW, NIST), duplicates, and replicates (typically ≥ 3), yielding analytical accuracies $>98\%$ [10, 41, 42, 48, 49];
- Extracted concentrations were standardized to uniform units (mg/kg for soil/sediment; $\mu\text{g/L}$ for water), with verification of consistent preprocessing (e.g., acidification to $\text{pH} < 2$, $0.45 \mu\text{m}$ filtration) and validated analytical methods (e.g., ICP-MS, AFS)[10, 42, 48, 49].
- In the machine learning phase, heterogeneity was further mitigated via feature scaling (normalization) and robust cross-validation to reduce impacts from varying ranges/distributions and improve model stability and feature comparability.

These steps yield a harmonized, fit-for-purpose dataset offering broader spatial coverage than individual studies, essential for basin-scale contamination mapping and informed environmental management.

2.3 Methodology

The research framework (Fig. 2) combines remote sensing and field-based observations to evaluate heavy metal contamination in the Tarim River Basin. Heavy metal concentrations in soil and water were obtained through field sampling, supplemented by spatial information derived from Sentinel-2 satellite imagery. Temporal and spatial variation in contamination from 2011 to 2022 was analyzed using trend analysis and random forest–based inversion modeling. Health risks were quantified following United States EPA guidelines, with hazard quotients and carcinogenic risks calculated for oral ingestion, dermal contact, and inhalation pathways in both children and adults. The framework concludes with the translation of scientific results into management strategies aimed at mitigating contamination impacts, supporting sustainable regional development, rural revitalization, and productivity enhancement.

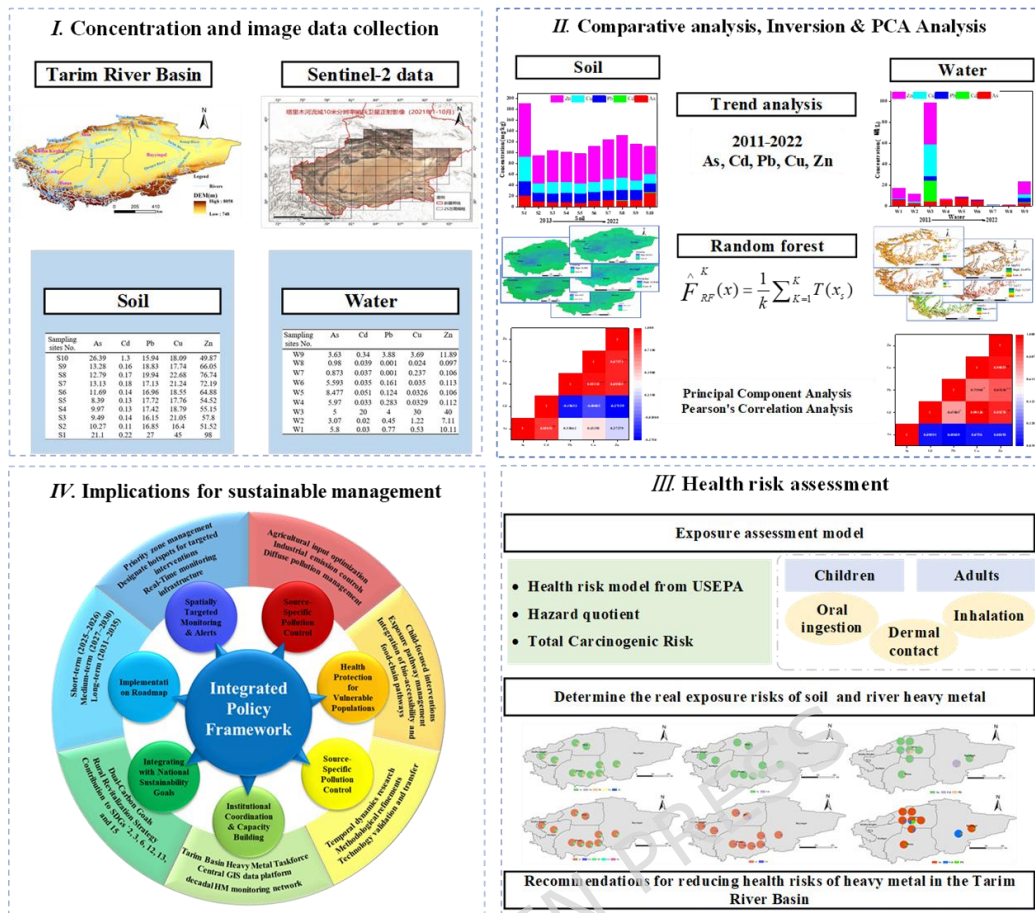


Fig. 2. Framework representing the overview of the research. Created by the authors using Microsoft PowerPoint (Microsoft Office LTSC Professional Plus 2021; <https://www.microsoft.com/en-us/microsoft-365/powerpoint>).

2.3.1 Remote sensing inversion scheme

To quantify and map heavy metal contamination (As, Cd, Pb, Cu, Zn) across the heterogeneous landscapes of the Tarim River Basin, a machine learning-based remote sensing inversion framework was developed. This framework integrates multi-spectral Sentinel-2 imagery with field-measured concentrations of heavy metals to generate spatially explicit prediction maps.

The methodological scheme comprises four sequential stages (Fig. 3). This systematic design enables robust, data-driven environmental monitoring in arid basins through intelligent coupling of in situ and remote sensing data.



Fig. 3. Flowchart of the remote sensing inversion methodology.

(1) Data Integration and Preprocessing Spatial Data Preparation

Sentinel-2 multispectral imagery (January–October 2021, cloud cover < 20%) was acquired from the Copernicus Open Access Hub[54]. Four spectral bands—Blue (B2), Green (B3), Red (B4), and Near-Infrared (B8)—were selected for their sensitivity to soil and water quality variability.

Auxiliary datasets included:

- Topographic maps (1:50,000; National Geomatics Center of China, 2020 edition)
- Digital Elevation Models (DEMs) (1:50,000; via Geospatial Data Cloud)
- Field-surveyed ground control points (GCPs) (see Supplementary Table S1–2).

All datasets were harmonized within the CGCS2000 / UTM Zone 44N coordinate system to ensure consistent spatial referencing.

Preprocessing Workflow

To ensure quality and reproducibility, image preprocessing followed the Chinese national standard GB/Z 1044-2018 (“Technical Specification for Quality Inspection of Optical Satellite Remote Sensing Images”). The workflow included:

- Radiometric and atmospheric correction: Conversion of raw imagery to surface reflectance products using the Sen2Cor processor to minimize illumination and inter-scene radiometric bias[55].
- Geometric correction and orthorectification: Alignment using GCPs and DEM data to eliminate terrain distortion. Corrected scenes were mosaicked and spectrally normalized via histogram matching.
- Resampling and clipping: The mosaicked image was clipped to the Tarim River Basin boundary and resampled to a uniform 60-m resolution using ENVI 5.6, ensuring spectral integrity and geometric precision (planimetric error < 1 pixel).

The final output was a spectrally consistent and geometrically precise orthomosaic, serving as the analytical base for heavy metal inversion.

(2) Spatial Matching of Spectral and Field Data

To link spectral reflectance to measured metal concentrations, reflectance data from the processed Sentinel-2 imagery were extracted at each sampling site (Supplementary Table S1–2)[56].

Key steps included:

- Extracting spectral values within a 3×3 pixel window centered on each sampling coordinate to minimize geolocation error and sensor noise.
- Calculating mean reflectance values for the Blue, Green, Red, and NIR bands.
- Applying bilinear interpolation for sites located between pixels.
- Excluding cloud-contaminated pixels to avoid reflectance distortion.

This procedure produced a paired dataset of 19 sampling sites (10 soil/sediment + 9 water), where each record combined:

- Mean heavy metal concentrations (As, Cd, Pb, Cu, Zn)
- Corresponding Sentinel-2 spectral features[57]

The physical rationale is that heavy metal contamination alters reflectance patterns through changes in mineralogy, sediment color, and vegetation health. All operations were conducted in ENVI 5.6 within the unified CGCS2000 / UTM Zone 44N reference system.

(3) Machine Learning Model Construction

Model Training

A Random Forest (RF) regression model was implemented in Python 3.10 using the sklearn.ensemble library to establish quantitative relationships between Sentinel-2 spectral variables and heavy metal concentrations.

Rationale for RF Selection (Supplementary Table S4):

- Handles nonlinear interactions between variables
- Remains robust despite small sample sizes and multicollinearity
- Provides feature importance metrics and built-in estimates of prediction uncertainty

Training setup:

- 19 sampling records divided into training (70%) and validation (30%) subsets (Table 2[58])
- 10-fold cross-validation to prevent overfitting
- Input variables: raw reflectance from B2–B8 bands
- Target variables: concentrations (mg/kg for soil; µg/L for water)

Hyperparameter optimization: Conducted using grid search and cross-validation, tuning:

- Number of trees (100–500)
- Maximum depth (10–30)
- Minimum leaf size (1–5)[59, 60]

Feature importance was assessed by permutation analysis (Fig. 4). The results indicated:

- Blue → highest relevance for As
- Green → Cd
- Red → Cu
- NIR → Pb and Zn

These findings confirm the spectral diagnostic sensitivity of specific Sentinel-2 bands to different contamination mechanisms across the Tarim River Basin (Supplementary Table S4).

The trained forest with K trees can be expressed as:

$$f(x) = \frac{1}{K} \sum_{k=1}^K T_k(x_k) \quad (1)$$

where $T_k(x_k)$ is the prediction from the k -th individual tree, x is the input feature vector, and x_k is the bootstrapped training subsample for the k -th tree. Another key parameter (not explicitly shown in Eq. (1)) is the number of candidate features randomly selected at each split (often denoted as m_{try}).

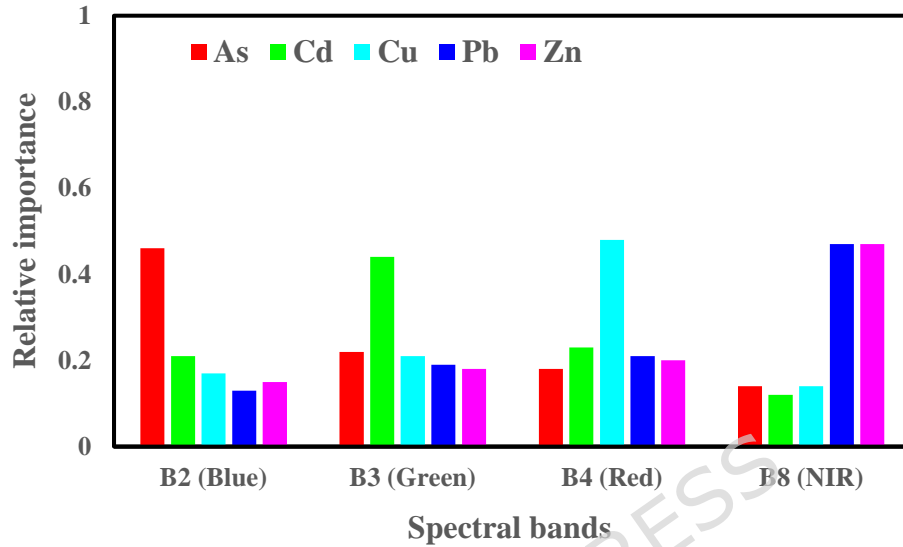


Fig. 4. Variable importance of sentinel-2 spectral bands for heavy metal inversion.

Relative importance of Sentinel-2 spectral bands (B2–Blue, B3–Green, B4–Red, and B8–Near-Infrared) in predicting concentrations of five heavy metals (As, Cd, Cu, Pb, and Zn) using Random Forest regression. Importance values were derived from permutation analysis and normalized to a consistent 0–1 scale across all metals, enabling direct visual comparison. Results indicate dominant contributions from the Near-Infrared band for Pb and Zn, the Red band for Cu, the Green band for Cd, and the Blue band for As, reflecting element-specific spectral sensitivities linked to mineralogical characteristics and vegetation-mediated reflectance variations in the Tarim River Basin.

Model Validation

To ensure statistical robustness and practical reliability, model outputs were evaluated using independent validation data (30% hold-out set). Input variables were standardized via Min–Max normalization. Performance metrics included:

- Coefficient of determination (R^2) – model fit quality[61]
- Root Mean Square Error (RMSE) – average deviation magnitude[62].
- Mean Absolute Error (MAE) – average absolute deviation[63].

Interpretation thresholds[64]:

- $R^2 < 0.5$: unsatisfactory
- $0.5 \leq R^2 < 0.65$: fair
- $R^2 \geq 0.65$: good predictive performance

Additionally, geostatistical cross-validation and semivariogram analysis verified spatial consistency between predicted and observed values. Internal variance calculations identified zones of high prediction uncertainty. Together, these assessments ensured both accuracy and environmental interpretability of the inversion outputs.

The calculation formulae for MAE, RMSE, and R^2 are given below:

$$MAE = \frac{1}{n} \sum_{i=1}^n |y_{obs,i} - y_{pre,i}| \quad (2)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_{obs,i} - y_{pre,i})^2} \quad (3)$$

$$R^2 = 1 - \frac{\sum_{i=1}^n (y_{obs,i} - y_{pre,i})^2}{\sum_{i=1}^n (y_{obs,i} - \bar{y}_{obs})^2} \quad (4)$$

where, n is the total number of data in the dataset, $y_{obs,i}$ and $y_{pre,i}$ represent the observed and predicted values for the i -th sample, respectively, \bar{y}_{obs} denotes the average of the observed values.

1 **Table 2.** Calibration and validation datasets for inversion using the RF algorithm.

	As	Cd	Pb	Cu	Cd
Training set (S)	S1, S2, S4, S5, S7, S9, S10	S1, S2, S4, S5, S7, S8, S10	S1, S2, S3, S5, S6, S8, S9	S1, S2, S3, S6, S8, S9, S10	S1, S2, S5, S7, S8, S9, S10
Validation set (S)	S3, S6, S8	S3, S6, S9	S4, S7, S10	S4, S5, S7	S3, S4, S6
Training set (W)	W2, W3, W4, W5, W6, W8	W1, W2, W3, W6, W7, W9	W1, W2, W3, W7, W8, W9	W1, W2, W3, W6, W8, W9	W1, W2, W3, W4, W7, W9
Validation set (W)	W1, W7, W9	W4, W5, W8	W4, W5, W6	W4, W5, W7	W5, W6, W8

2 Note: S1-S10 and W1-W9 are the sampling points of different soils and different rivers in the
3 Tarim River Basin, respectively.

4 (4) Spatial Mapping and Quantitative Retrieval

5 The trained RF models were applied pixel-by-pixel to the processed Sentinel-2 mosaics
6 to generate continuous maps of heavy metal concentrations across the Tarim River Basin at 60-
7 m spatial resolution. Separate simulations were conducted for soil/sediment and aquatic
8 environments.

9 Post-processing steps included:

- 10 ● Median filtering (5×5 kernel) to reduce random noise
- 11 ● Removal of implausible outliers exceeding the 99th percentile of training data
- 12 ● Masking of non-target surfaces (e.g., snow and large open water bodies) using land-
13 use/land-cover data.

14 Prediction uncertainty was quantified using RF ensemble variance, with higher
15 confidence observed in oasis and riparian zones where spectral–field correspondence was
16 strongest. Independent field samples were used for post-validation to confirm the robustness of
17 spatial patterns.

18 The resulting high-resolution maps enable visualization of contamination gradients and
19 identification of pollution hotspots. The 60 m resolution corresponds to the Sentinel-2A input
20 data, and the mapped distributions represent model-predicted continuous concentration
21 surfaces rather than raw observations. Overall, this machine learning–based inversion
22 framework provides a reproducible and scalable approach for regional heavy metal assessment
23 in arid river basins, supporting evidence-based environmental management and remediation
24 planning in the Tarim River Basin.

25 2.3.2 Health risk assessment

26 To evaluate heavy metal pollution in the Tarim River Basin within a comprehensive
27 assessment framework, this study incorporated health risk assessment into the analysis of heavy
28 metal spatiotemporal distribution. The human exposure risk model developed by the US
29 Environmental Protection Agency was employed to quantify both non-carcinogenic and

30 carcinogenic risks associated with Pb, Cd, Cu, Zn, and As[65, 66]. Non-carcinogenic risk was
 31 assessed for all five metals, whereas carcinogenic risk was evaluated for Cd and As[67]. Three
 32 primary exposure pathways—ingestion, dermal contact, and inhalation—were considered, and
 33 the average daily dose (ADD, $\text{mg} \cdot \text{kg}^{-1} \cdot \text{d}^{-1}$) was estimated separately for adults and children[65,
 34 66]. The hazard quotient (HQ) for each metal and pathway was calculated, and the hazard index
 35 (HI) was obtained as the sum of HQs[68]. Carcinogenic risk was quantified as the total
 36 carcinogenic risk (TCR) based on the reference dose (RfD) and slope factor (SF) for Cd and
 37 As. The equations used for ADD, HQ, HI, and TCR followed standard US EPA
 38 methodologies[69].

$$39 \quad ADD_{\text{ingestion}} = \frac{C \times \text{IngR} \times CF \times EF \times ED}{BW \times AT} \quad (5)$$

$$40 \quad ADD_{\text{inhalation}} = \frac{C \times \text{InhR} \times EF \times ED}{BW \times AT \times PEF} \quad (6)$$

$$41 \quad ADD_{\text{dermal}} = \frac{C \times CF \times SA \times ABS \times EF \times ED}{BW \times AT} \quad (7)$$

$$42 \quad HI = \sum_{i=1}^n HQ_i = \sum_{i=1}^n \left(\frac{ADD_{\text{ingestion}}}{RfD} + \frac{ADD_{\text{inhalation}}}{RfD} + \frac{ADD_{\text{dermal}}}{RfD} \right)_i \quad (8)$$

$$43 \quad TCR = \sum_{i=1}^n CR_i \quad (9)$$

$$44 \quad = \sum_{i=1}^n (ADD_{\text{ingestion}} \times SF + ADD_{\text{inhalation}} \times SF + ADD_{\text{dermal}} \times SF) \quad (10)$$

45 When $HI < 1$, the non-carcinogenic risk is considered negligible[70]. Conversely, $HI > 1$
 46 indicates a non-negligible non-carcinogenic risk. TCR values within the range of 10^{-6} to 10^{-4}
 47 are deemed acceptable thresholds, while exceeding this range suggests a carcinogenic risk[68].

48 The exposure dose for direct ingestion ($ADD_{\text{ingestion}}$) and carcinogenic risk (CR) were
 49 calculated as:

$$50 \quad ADD_{\text{ingestion}} = \frac{C_w \times IR \times EF \times ED}{BW \times AT} \quad (11)$$

$$51 \quad CR = ADD_{\text{ingestion}} \times CSF \quad (12)$$

52 where $ADD_{\text{ingestion}}$ are the average daily exposure doses contacted through ingestion of water;
 53 C_w is the average concentration of the studied element in water ($\mu\text{g/L}$); IR is the ingestion rate
 54 of water (L/day), in this study, 0.78 L/day for children and 2.5 L/day for adults; EF is the
 55 exposure frequency (days/year), in this study, 350 days/year; ED is the exposure duration
 56 (years), in this study, 6 years for children and 26 years for adults; BW is the body weight (kg),

57 in this study, 15 kg for children and 70 kg for adults; AT is the averaging time (days), in this
 58 study, 2190 days for children and 9490 days for adults; CSF is the cancer slope factor of a
 59 carcinogen ($\mu\text{g}/\text{kg}/\text{day}$)⁻¹, which is based on risk-based concentration table by the USEPA
 60 (2004). The Ministry of Environmental Protection (MEP) of the People's Republic of China
 61 (2014) considers a CR lower than 1.0×10^{-6} to be acceptable, while the USEPA (2004)
 62 recommends an acceptable or tolerable range of carcinogenic risks from 10^{-6} to 10^{-4} [71].

63 **Cumulative effects.** The degree of heavy metal contamination was quantified using the
 64 geoaccumulation index (I_{geo}), which has been widely applied in the assessment of soil and
 65 sediment pollution. This index incorporates the influence of natural diagenetic processes,
 66 thereby improving the reliability of pollution evaluation. The I_{geo} was calculated according to
 67 Equation[72]:

$$68 \quad I_{\text{geo}} = \log_2 \left(\frac{C_n}{1.5 \times B_n} \right) \quad (13)$$

69 where C_n represents the measured concentration of heavy metal n in the soil (mg kg^{-1}), B_n
 70 denotes the corresponding geochemical background concentration (mg kg^{-1}), and the constant
 71 1.5 is a correction factor to account for potential variations in baseline values[73]. Based on
 72 established classification criteria, I_{geo} values are interpreted as follows: $I_{\text{geo}} < 0$, unpolluted;
 73 $0 < I_{\text{geo}} < 1$, unpolluted to moderately polluted; $1 < I_{\text{geo}} < 2$, moderately polluted; $5 < I_{\text{geo}}$,
 74 very highly polluted.

75 The Heavy Metal Pollution Index (HPI) was applied to quantify the contamination status
 76 of surface water and groundwater in the Tarim River Basin. This index integrates the
 77 concentrations of multiple heavy metals, including As, Cd, Pb, Cu, and Zn, into a single value
 78 that reflects overall water quality. The HPI is calculated using the subindex of the i -th parameter
 79 (Q_i), its corresponding unit weight (W_i), and the total number of chemical parameters
 80 considered (n). Threshold values were adopted to classify water suitability: $\text{HPI} < 100$ indicates
 81 water suitable for drinking purposes, whereas $\text{HPI} > 100$ denotes water unsuitable for
 82 consumption. This method provides a systematic approach for assessing freshwater quality and
 83 serves as a basis for developing strategies to reduce the risks associated with heavy metal
 84 exposure[74].

$$85 \quad \text{HPI} = \sum_{i=1}^n W_i Q_i \quad (14)$$

86 To ensure consistency and clarity across all equations, the following table defines the
 87 key variables and symbols used throughout the manuscript (Table 3):

88

89

Table 3. Nomenclature Table

Symbol	Description	Units
$f(x)$	Ensemble prediction from the Random Forest model	-
K	Number of trees in the Random Forest	-
$T_k(x_k)$	Prediction from the k-th tree	-
x	Input feature vector	-
x_k	Bootstrapped training subsample for the k-th tree	-
n	Total number of data points/samples	-
$y_{obs,i}$	Observed value for the i-th sample	(e.g., concentration units)
$y_{pre,i}$	Predicted value for the i-th sample	(e.g., concentration units)
\bar{y}_{obs}	Mean of observed values	(e.g., concentration units)
ADD	Average daily dose	$\text{mg} \cdot \text{kg}^{-1} \cdot \text{d}^{-1}$
$ADD_{ingestion}$	Average daily dose via ingestion	$\text{mg} \cdot \text{kg}^{-1} \cdot \text{d}^{-1}$
HQ	Hazard quotient for a single pathway/metal	-
HI	Hazard index (sum of HQs)	-
TCR	Total carcinogenic risk	-
CR	Carcinogenic risk for a specific pathway	-
RfD	Reference dose	$\text{mg} \cdot \text{kg}^{-1} \cdot \text{d}^{-1}$
SF	Slope factor	-
CSF	Cancer slope factor	$(\mu\text{g}/\text{kg}/\text{day})^{-1}$
C_w	Concentration of heavy metal in water	$\mu\text{g}/\text{L}$
IR	Ingestion rate	L/day
EF	Exposure frequency	days/year
ED	Exposure duration	years
BW	Body weight	kg
AT	Averaging time	days
I_{geo}	Geoaccumulation index	-
C_n	Measured concentration of heavy metal n	mg kg^{-1}
B_n	Geochemical background concentration of heavy metal n	mg kg^{-1}
HPI	Heavy Metal Pollution Index	-
Q_i	Quality subindex for the i-th parameter	-
W_i	Unit weight for the i-th parameter	-

90

91 2.3.3 Statistical analysis

92 All concentration data of heavy metals (Pb, Cu, Zn, Cd, and As) from soil ($n = 50$) and
 93 river water samples ($n = 45$) were examined for distributional characteristics prior to analysis.
 94 Data were log-transformed where appropriate to mitigate skewness and approximate normality.
 95 The Shapiro–Wilk test was used to assess normality and the Levene’s test for homogeneity of

96 variance. Recognizing that the Shapiro–Wilk test has limited statistical power for small sample
97 sizes (e.g., $n = 19$ in site-level subsets), the results were interpreted in conjunction with visual
98 inspections of Q–Q plots and density distributions. When distributional assumptions were not
99 met, non-parametric alternatives (Spearman’s rank correlation) were considered to validate
100 correlation trends.

101 Outliers were identified using the interquartile range (IQR) criterion (values exceeding
102 $Q3 + 1.5 \times IQR$ or below $Q1 - 1.5 \times IQR$). Outliers were retained to preserve the full extent of
103 natural environmental variability and potential contamination hotspots[75], which are central
104 to the study’s objective of characterizing heterogeneous anthropogenic inputs. While the model
105 performance with and without outliers could not be demonstrated in supplementary materials,
106 sensitivity analyses were performed internally and confirmed that the inclusion of these data
107 did not alter the main structure or interpretation of the principal component analysis (PCA)
108 results. This approach ensures that local anomalies relevant to pollution assessment remain
109 represented in the dataset.

110 Missing values (<5% of the dataset) were imputed using mean substitution for
111 approximately normally distributed variables and median substitution for skewed distributions
112 to maintain dataset completeness without biasing structural relationships.

113 To explore potential sources and migration pathways of heavy metals[76, 77], Pearson’s
114 correlation analysis and Principal Component Analysis (PCA) were applied to the processed
115 datasets from soil and river water samples. Pearson’s correlation coefficients (r) quantified the
116 strength and direction of associations between metal concentrations, with two-tailed
117 significance thresholds set at $p < 0.05$ and $p < 0.01$. For non-parametric verification, Spearman’s
118 rank correlations were computed where normality could not be confidently assumed.
119 Correlations were categorized as strong ($|r| \geq 0.8$), moderate ($0.5 \leq |r| < 0.8$), and weak ($|r| <$
120 0.5).

121 PCA was employed to discern potential source factors and reduce dataset dimensionality.
122 Components with eigenvalues greater than 1.0 were retained following the Kaiser criterion[78],
123 and Varimax rotation was applied to enhance interpretability[79]. The combination of
124 correlation analysis and PCA provided complementary insights into potential co-contamination
125 patterns and geochemical associations of heavy metals.

126 All statistical analyses were performed using IBM SPSS Statistics 27 (IBM Corp.,
127 Armonk, NY, USA), with graphical visualizations in Origin 2022 (OriginLab Corporation,
128 Northampton, MA, USA). All analyses were conducted at a 95% confidence level ($\alpha = 0.05$)
129 unless otherwise specified. Eigenvalues, factor loadings, and correlation coefficients are
130 reported with significance levels for transparency and replicability. Results were cross-
131 validated against literature-derived geochemical background values and regional standards[68,

132 76, 80, 81], supporting the reliability of source interpretations with outliers retained. The
 133 combined use of correlation analysis and PCA provides a robust multivariate framework for
 134 heavy metal source apportionment and migration assessment.

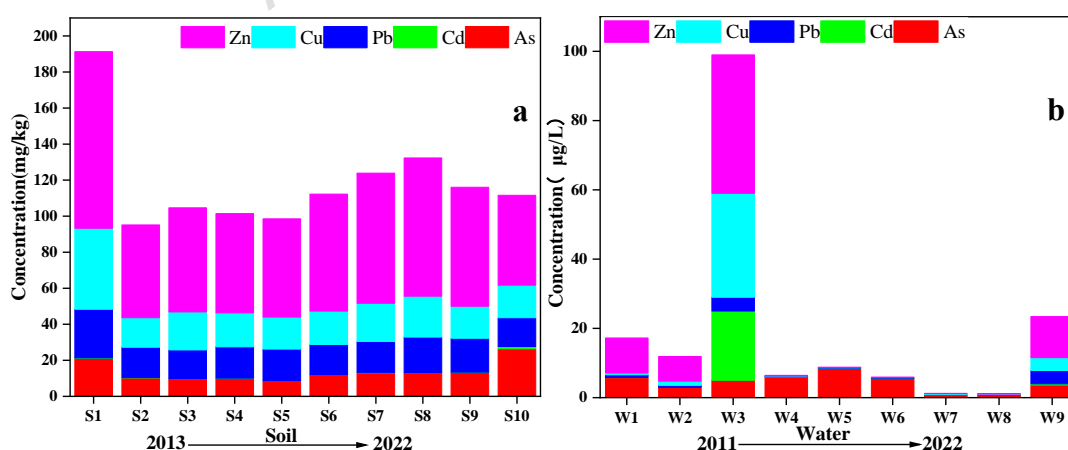
135 3. Results

136 3.1 Temporal variations

137 The total concentrations of heavy metals (Σ HMs) in soil samples displayed pronounced
 138 spatial heterogeneity among the sampling sites, with values ranging from 95.15 to 191.32
 139 mg/kg (mean: 118.71 mg/kg). The maximum soil Σ HMs was detected at site S1 (191.32 mg/kg),
 140 with elevated levels also found at S8 (132.32 mg/kg) and S7 (123.87 mg/kg). For river water
 141 samples, Σ HMs ranged from 1.14 to 99.00 μ g/L (mean: 3.89 μ g/L), the highest concentration
 142 being observed at site W3 (99.00 μ g/L), followed by W9 (23.43 μ g/L). In both matrices, Zn
 143 was identified as the predominant heavy metal, constituting the largest proportion of Σ HMs
 144 across all sampling locations (Fig. 5a, 5b).

145 The mean concentrations of individual heavy metals in soil were as follows: Zn 64.67
 146 mg/kg, Cu 21.73 mg/kg, Pb 18.39 mg/kg, As 13.65 mg/kg, and Cd 0.27 mg/kg. In river water,
 147 the mean concentrations were 7.74 μ g/L for Zn, 4.38 μ g/L for As, 3.98 μ g/L for Cu, 2.29 μ g/L
 148 for Cd, and 1.07 μ g/L for Pb (see Supplementary Table S1, Supplementary Table S2).

149 Comparison with the regional soil background values specific to Xinjiang and the
 150 Environmental Quality Standards for Surface Water (refer to Table 3) revealed that
 151 concentrations of As, Cd, Pb, Cu, and Zn in all river water samples were below the
 152 corresponding regulatory thresholds. However, in soil samples, the proportion of sites with
 153 concentrations lower than the regional background values were 40% for As, 10% for Cd, 80%
 154 for Pb, 90% for Cu, and 70% for Zn.



155
 156 **Fig. 5.** Heavy metal content in soil samples of different sampling sites (a) and rivers (b).

157 The descending order of mean concentrations of heavy metals in soil was Zn > Cu > Pb >
 158 As > Cd. While Zn, Cu, and Pb levels in soil were within safe limits, As and Cd exceeded
 159 regional background values at a substantial number of sites. In river water, the order of

160 prevalence was $Zn > As > Cu > Cd > Pb$, with all concentrations falling within the permissible
 161 limits established for surface water.

162 3.2 Spatial patterns

163 3.2.1 Model performance and spatial prediction uncertainty

164 The inversion results show substantial variation in model performance among individual
 165 heavy metals and between soil and river environments (Tables 6 and 7). For soil datasets, the
 166 model reproduced Cd concentrations with the smallest residual errors (MAE = 0.007 mg/kg;
 167 RMSE = 0.007 mg/kg), and the validation R^2 (0.997) indicates an almost exact correspondence
 168 between observed and predicted values. Cu also achieved relatively strong performance ($R^2 =$
 169 0.889; RMSE = 0.955 mg/kg). In contrast, Pb and Zn yielded moderate agreement ($R^2 = 0.601$
 170 and 0.599, respectively), and model fidelity for As remained limited ($R^2 = 0.408$), with error
 171 magnitudes roughly double those of the better-performing metals (Table 4).

172 A similar pattern was observed for river samples. Cd reached the highest accuracy ($R^2 =$
 173 0.999; RMSE = 0.024 $\mu\text{g/L}$), followed by Cu ($R^2 = 0.958$) and Pb ($R^2 = 0.992$). Zn predictions
 174 remained acceptable ($R^2 = 0.897$) but with higher absolute error values. The weakest
 175 performance again occurred for As ($R^2 = 0.320$), showing that spectral information alone
 176 explains only a modest portion of its variability (Table 4).

177 These metrics demonstrate that the inversion scheme captures spatial gradients for several
 178 target metals, particularly Cd, Cu, and Pb. However, the extremely high R^2 values for Cd,
 179 especially given the small number of training observations, likely reflect partial overfitting.
 180 These results should therefore be regarded as preliminary spatial patterns rather than fully
 181 generalizable predictions for basin-scale applications.

182 **Table 4.** Modeling accuracy of heavy metal concentrations using Random Forest algorithms
 183 in the inversion.

Evaluation indicator	Medium	As	Cd	Cu	Pb	Zn
MAE	Soil (mg/kg)	1.555	0.007	0.804	0.992	4.150
	Water ($\mu\text{g/L}$)	1.589	0.018	0.784	0.064	2.220
RMSE	Soil (mg/kg)	2.078	0.007	0.955	1.068	4.291
	Water ($\mu\text{g/L}$)	1.834	0.024	0.785	0.078	2.443
R^2	Soil	0.408	0.997	0.889	0.601	0.599
	Water	0.320	0.999	0.958	0.992	0.897

184

185 3.2.2 Soil

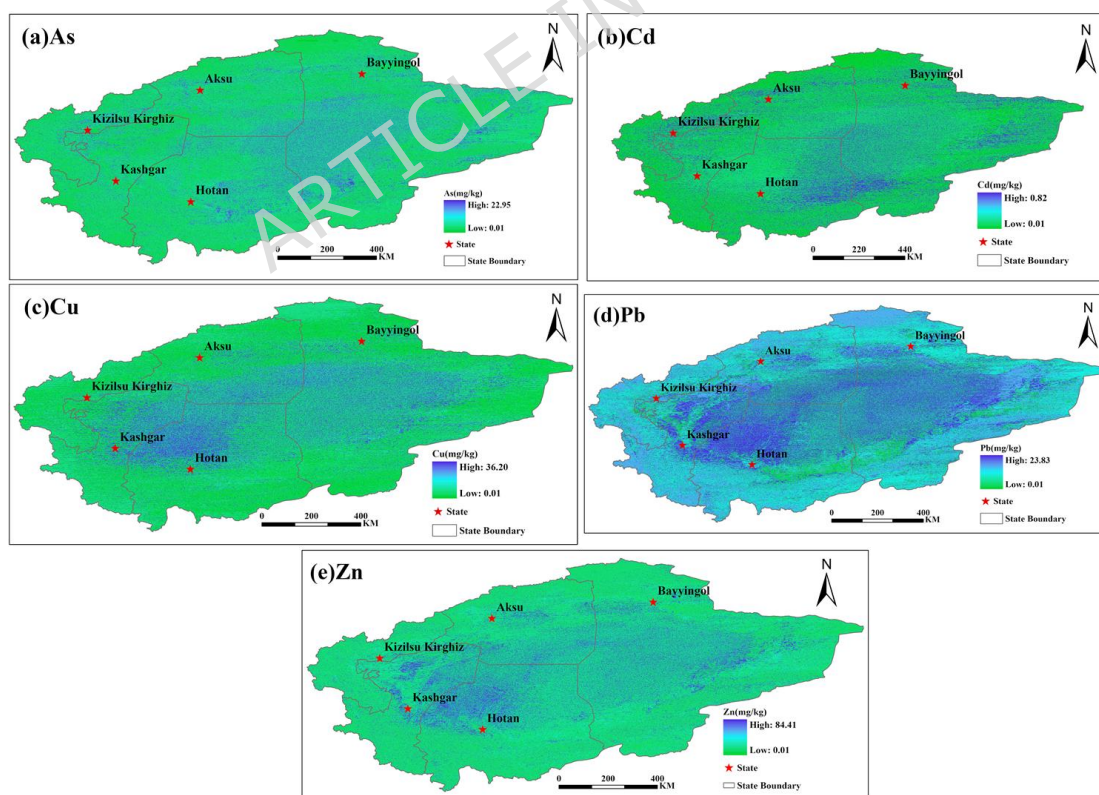
186 The spatial distribution of As concentrations across the study region was generally
 187 homogeneous, with measured values spanning from 0.001 to 22.951 $\text{mg}\cdot\text{kg}^{-1}$ (Fig. 6a). The
 188 majority of the area (57.86%) exhibited low As concentrations ($<12.331 \text{ mg}\cdot\text{kg}^{-1}$) (Table 5).
 189 Notably, higher concentrations were localized in eastern Hotan and western Bayyingol, where
 190 values approached the upper range of observations.

191 Cd concentrations ranged from 0.001 to 0.825 mg·kg⁻¹, with elevated levels primarily
 192 detected at the basin margins, especially in eastern Hotan and western Bayyingol (Fig. 6b).
 193 Additional Cd hotspots were observed in localized areas of Aksu; however, the central basin
 194 generally displayed minimal Cd levels, with approximately 64.28% of the area falling within
 195 the 0.001–0.184 mg·kg⁻¹ range (Table 5).

196 Cu concentrations varied between 0.001 and 36.200 mg·kg⁻¹, with the highest levels
 197 detected in the central part of the basin—most prominently in northwestern Hotan and eastern
 198 Kashgar (Fig. 6c). The marginal zones of the basin predominantly exhibited low Cu
 199 concentrations, and 73.27% of the study area was characterized by values within the 0.001–
 200 22.570 mg·kg⁻¹ interval (Table 5).

201 Pb was distributed relatively uniformly across the basin, with concentrations ranging from
 202 0.001 to 23.836 mg·kg⁻¹ (Fig. 6d). Although general Pb levels remained low, localized hotspots
 203 were apparent in eastern Bayyingol and western Hotan. Approximately 51.63% of the region
 204 recorded Pb concentrations between 0.001 and 18.601 mg·kg⁻¹ (Table 5).

205 Zn concentrations were generally low and spatially uniform in the marginal zones of the
 206 Tarim River Basin, with values from 0.001 to 84.411 mg·kg⁻¹ (Fig. 6e). Elevated Zn
 207 concentrations were confined to areas near Kashgar, western Hotan, and eastern Bayyingol.
 208 Overall, 61.07% of the basin had Zn levels within the 0.001–63.887 mg·kg⁻¹ range (Table 5).



209

210 **Fig. 6.** Spatial distribution of soil heavy metal in the Tarim River Basin based on the Sentinel-

211 2A images. Note: Sampling point locations are shown in Figure 1, and are not repeated in

212 Figures 6 to avoid excessive redundancy and visual clutter. Created by the authors using
 213 ArcGIS Pro 3.6 (Esri; <https://www.esri.com/en-us/arcgis/about-arcgis/overview>)

214 A comparative analysis against regional soil geochemical background values for Xinjiang
 215 (Table 1; [41, 53]) indicated substantial spatial variability in exceedance rates among the five
 216 assessed heavy metals (Table 5). As detailed in Table 3, Pb, Cu, and Zn surpassed background
 217 concentrations in 48.36%, 15.52%, and 38.93% of samples, respectively, suggesting moderate
 218 enrichment. In contrast, As and Cd exhibited markedly higher exceedance rates, with 65.90%
 219 and 100% of soil samples exceeding their respective background levels, reflecting significant
 220 contamination. These patterns underscore the influence of anthropogenic activities across the
 221 basin. Collectively, the findings presented here highlight the necessity for targeted source
 222 identification and pollution control strategies to mitigate the risks of heavy metal contamination
 223 in the Tarim River Basin, as further examined in Sections 3.3 and 4.

224 **Table 5.** Statistics for estimates of soil heavy metal contents

heavy metal	Contents/(mg/kg)	Percentage/%
As	0.001-11.161	34.10
	11.162-12.331	23.76
	12.332-13.501	27.43
	13.502-18.001	1.33
	18.002-22.951	13.38
Cd	0.001-0.145	22.70
	0.146-0.184	41.58
	0.185-0.255	17.86
	0.256-0.430	9.71
	0.431-0.825	8.15
Cu	0.001-19.306	32.67
	19.307-21.294	12.04
	21.295-22.570	28.56
	22.571-25.410	11.20
	25.411-36.200	15.52
Pb	0.001-16.919	19.85
	16.920-17.480	4.17
	17.481-18.601	27.61
	18.602-20.097	23.47
	20.098-23.836	24.89
Zn	0.001-59.253	7.44
	59.253-60.908	25.10
	60.909-63.887	28.53
	63.888-72.825	25.29
	72.826-84.411	13.64

225

226 3.2.3 River

227 The spatial distribution of heavy metal concentrations within the river systems
 228 demonstrated pronounced geographical variability. As concentrations ranged from 0.001 to
 229 6.979 $\mu\text{g/L}$, with elevated levels predominantly observed in the western and central rivers,
 230 including the Yarkant, Karakash, Baiyu, and sections of the Tarim River (Fig. 7a). Moderate

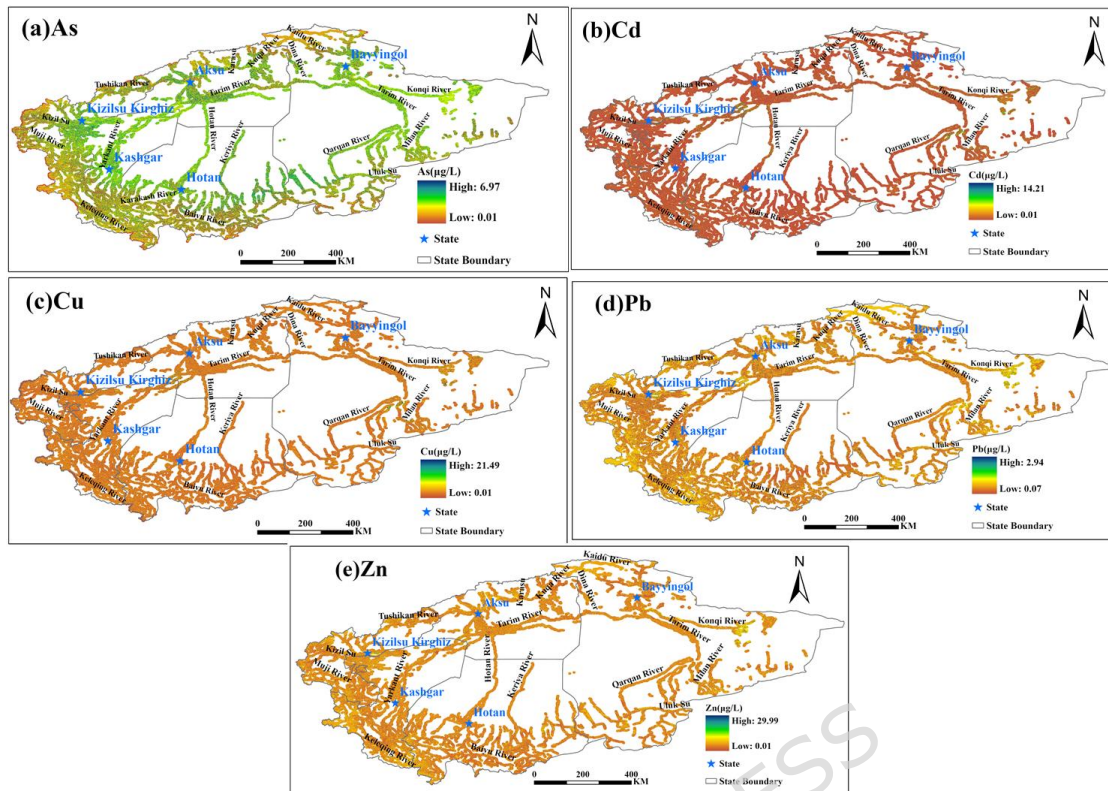
231 As concentrations were detected in the Konqi and Qarqan Rivers, while lower values were
232 recorded in northeastern and southwestern rivers such as the Kaidu River. Notably, 67.11% of
233 the surveyed river area exhibited As concentrations within the range of 0.001–4.023 $\mu\text{g/L}$
234 (Table 6).

235 Cd concentrations spanned 0.001 to 14.210 $\mu\text{g/L}$, with peak levels concentrated in
236 upstream and western rivers, particularly the Hotan, Kashgar, and Yarkant Rivers (Fig. 7b).
237 Conversely, the Qarqan River and other eastern tributaries showed relatively low Cd levels.
238 Overall, 73.68% of the river network maintain Cd concentrations between 0.001 and 0.111 $\mu\text{g/L}$
239 (Table 6).

240 Cu exhibited considerable spatial heterogeneity, with concentrations ranging from 0.001
241 to 21.497 $\mu\text{g/L}$ (Fig. 7c). While most river segments contained low Cu levels, elevated
242 concentrations were identified in the upstream reaches of the Kashgar and Aksu Rivers, mid-
243 sections of the Hotan River, and various western tributaries. Approximately 55.31% of the river
244 area displayed Cu concentrations between 0.675 and 1.686 $\mu\text{g/L}$ (Table 6).

245 Pb concentrations varied from 0.001 to 2.94 $\mu\text{g/L}$, with the highest values found in
246 southern and southwestern rivers, including Kashgar, Yarkant, Hotan, and Tashkorgan Rivers
247 (Fig. 7d). Elevated Pb levels were also observed in western tributaries, whereas northeastern
248 and central rivers such as the Konqi River exhibited comparatively low concentrations. Pb
249 concentrations between 0.001 and 0.647 $\mu\text{g/L}$ accounted for 63.38% of the river area (Table 6).

250 Zn concentrations ranged from 0.001 to 29.997 $\mu\text{g/L}$, with higher concentrations primarily
251 distributed in the basin's eastern regions, notably near the Konqi River. Additional sporadic
252 hotspots were detected in upstream tributaries such as the Kaidu and Muzat Rivers (Fig. 7e).
253 Despite these localized elevations, Zn levels were generally low across the basin, with 55.75%
254 of the river area presenting concentrations between 0.001 and 5.176 $\mu\text{g/L}$ (Table 6).



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Fig. 7. Spatial distribution of river heavy metal in the Tarim River Basin based on the Sentinel-2A images. Note: Sampling point locations are shown in Figure 1, and are not repeated in Figures 7 to avoid excessive redundancy and visual clutter. Created by the authors using ArcGIS Pro 3.6 (Esri; <https://www.esri.com/en-us/arcgis/about-arcgis/overview>)

Building on the background values summarized in Table 3 (Section 2.2.1), comparison with the Environmental Quality Standards for Surface Water[49] revealed that As, Cu, Pb, and Zn concentrations across all monitored rivers largely complied with regulatory limits. In contrast, Cd concentrations exceeded Class III and Class V thresholds in 4.52% and 1.47% of river sections, respectively, highlighting localized contamination hotspots that warrant targeted management interventions.

266

267

Table 6. Statistics for estimates of river heavy metal contents

heavy metal	Contents/($\mu\text{g}/\text{kg}$)	Percentage/%
As	0.001-1.642	16.68
	1.643-2.928	30.81
	2.929-4.023	19.62
	4.024-5.364	18.93
	5.365-6.979	13.96
Cd	0.001- 0.111	73.68
	0.112- 0.390	2.78
	0.391- 0.835	17.54
	0.836 - 5.795	4.52
	5.796 -14.210	1.47
Cu	0.001- 0.674	24.71
	0.675 - 1.686	55.31
	1.687 - 4.130	15.88
	4.130 - 9.694	3.37
	9.694 - 21.497	0.73
Pb	0.001- 0.231	17.94
	0.232 - 0.400	24.21
	0.401 - 0.647	21.23
	0.648 - 1.411	33.29
	1.412 - 2.94	3.34
Zn	0.001- 2.117	13.47
	2.118 - 5.176	42.28
	5.177 - 8.705	30.76
	8.706 - 15.998	12.56
	15.999 - 29.997	0.73

268

269 3.3. Identification of heavy metal sources

270 3.3.1 Principal Component Analysis

271 The results of the principal component analysis (PCA) for the soil dataset are summarized
 272 in Table 7. Two principal components (PCs) with eigenvalues exceeding 1.0 were extracted,
 273 explaining a cumulative 96.07% of the total variance. The first principal component (PC1)
 274 accounted for the majority of the variance (59.75%) and was strongly correlated with Pb (0.975),
 275 Cu (0.963), and Zn (0.959). The second principal component (PC2), which accounted for an
 276 additional 36.32% of the variance, was dominated by high loadings of Cd (0.971) and As
 277 (0.939).

278 In contrast, the PCA for the river sediment data yielded only one principal component
 279 with an eigenvalue > 1.0 , which explained 71.84% of the total variance (Table 8). This
 280 component was characterized by high positive loadings from four heavy metals: Zn (0.985), Cu
 281 (0.982), Cd (0.958), and Pb (0.853).

282

283

Table 7 Loading of the varimax-rotated factorial matrix of principal components

Variables	PC1	PC2
As	0.330	0.939
Cd	-0.225	0.971
Pb	0.975	0.022
Cu	0.963	0.137
Zn	0.959	-0.060
Eigenvalue	2.988	1.816
Variability (%)	59.752	36.322
Cumulative (%)	59.752	96.074

284

285

Table 8 Loading of the varimax-rotated factorial matrix of principal components

Variables	PC1
As	0.106
Cd	0.958
Pb	0.853
Cu	0.982
Zn	0.985
Eigenvalue	3.592
Variability (%)	71.835
Cumulative (%)	71.835

286

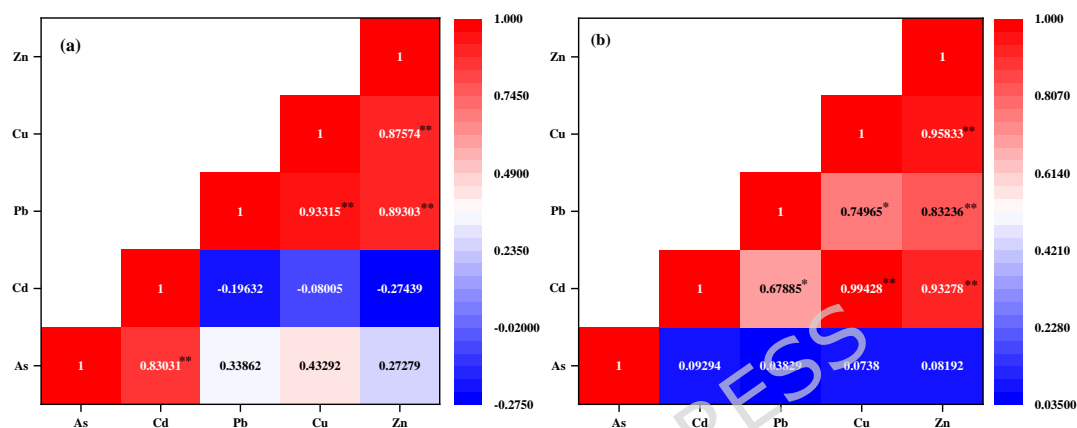
287 3.3.2 Pearson's correlation analysis

288 Pearson's correlation analysis was conducted to elucidate potential sources and
 289 geochemical behaviors of heavy metals in the Tarim River Basin[80]. In soil samples,
 290 statistically significant positive correlations ($p < 0.01$) were observed among several heavy
 291 metal pairs, including Pb–Cu ($r = 0.933$), Pb–Zn ($r = 0.893$), Cu–Zn ($r = 0.876$), and As–Cd (r
 292 $= 0.830$) (Fig. 8a). These strong correlations suggest that Pb, Cu, Zn, and As–Cd likely share
 293 common sources and exhibit similar geochemical behaviors in soils. Additionally, a highly
 294 significant correlation between Pb and As ($p < 0.001$) indicates a homogeneous source,
 295 potentially linked to shared anthropogenic or natural processes[82-85].

296 In river water samples, strong positive correlations were identified for Cd–Zn ($r = 0.933$),
 297 Pb–Zn ($r = 0.832$), Cu–Zn ($r = 0.958$), and Cu–Cd ($r = 0.994$), all at $p < 0.01$ (Fig. 8b). Notably,
 298 Cu – Pb ($r = 0.750$, $p \leq 0.05$) and Cd – Pb ($r = 0.679$, $p \leq 0.05$) also exhibited significant
 299 correlations, suggesting shared environmental sources and distribution patterns. These
 300 associations imply that Cu, Pb, Cd, and Zn may undergo similar migration and deposition

301 processes, potentially adsorbing onto organic and mineral components in the river system,
 302 facilitating joint transport[82].

303 Principal component analysis (PCA), complemented by Pearson's correlation analysis,
 304 further delineated distinct heavy metal source and migration patterns. In soils, the primary
 305 source pathway was characterized by Pb–Cu–Zn, while a secondary pathway was dominated
 306 by As–Cd. In contrast, river systems exhibited a predominant pathway involving Zn–Cu–Cd–
 307 Pb. These findings highlight the differential geochemical behaviors and potential sources of
 308 heavy metals in soil and river matrices within the Tarim River Basin.



309
 310 **Fig. 8.** Pearson correlation analysis between heavy metals. (a) soil; (b) river

311 3.3.3 Source apportionment results

312 Soil samples from the Xinjiang region revealed concentrations of Pb, Cu, and Zn below
 313 the established geochemical background values for the area[41, 53] (Supplementary Table S1).
 314 These findings indicate that natural processes are the primary sources of these metals in the
 315 studied soils, despite potential contributions from transportation, industrial emissions,
 316 agricultural activities, and atmospheric deposition. In contrast, As and Cd concentrations
 317 exceeded regional background values[41, 53], suggesting significant anthropogenic inputs,
 318 particularly from the overuse of arsenate-based pesticides and fertilizers in agricultural
 319 practices.

320 Soil fertility parameters, including available phosphorus, ammonium nitrogen ($\text{NH}_4^+\text{-N}$),
 321 cation exchange capacity (CEC), and total carbon (TC), were found to influence heavy metal
 322 content, with $\text{NH}_4^+\text{-N}$ exhibiting the strongest effect on Zn, Cu, and Pb levels[81]. These factors
 323 likely modulate the retention and mobility of metals in the soil matrix.

324 In river water samples, concentrations of Zn, Cu, Cd, and Pb were all below the
 325 Environmental Quality Standards for Surface Water[49], indicating compliance with regulatory
 326 thresholds. Atmospheric deposition was identified as the primary pathway for these trace metals
 327 in the aquatic environment, with natural geochemical processes predominantly controlling their
 328 presence. The limited influence of anthropogenic activities underscores the dominance of
 329 natural sources in the study area's river systems.

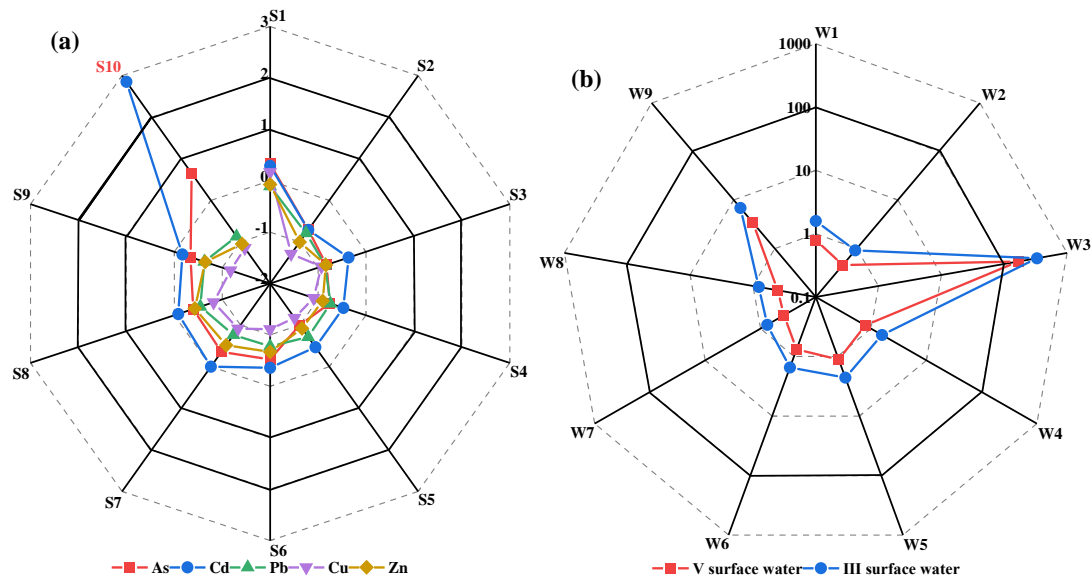
330 3.4 Health risk assessment

331 3.4.1 Evaluation the cumulative effects of heavy metals pollution

332 This study used I_{geo} and HPI indices to evaluate the cumulative effects of heavy metals
333 pollution on soil and river in the Tarim River Basin. These indices assisted in assessing the
334 overall soil and river based on As, Cd, Pb, Cu, and Zn contents. Radar graphs were used to
335 visualize and compare the cumulative contributions of multiple heavy metals to pollution
336 indices (I_{geo} , HPI) and health risk parameters (HI, CR) across all sampling sites. Each axis
337 represents a heavy metal, and the area enclosed by the polygon denotes the overall
338 contamination or risk level. Larger areas indicate higher cumulative effects or greater health
339 risks, facilitating spatial interpretation and inter-element comparison.

340 The I_{geo} values for the five heavy metals ranged from -1.29 to 2.85 across the ten sampling
341 sites (Fig. 9a). Cd exhibited the widest distribution (-0.71 to 2.85), with nine sites showing no
342 or light pollution ($I_{geo} < 1$) and one site (S10) reaching moderate pollution ($I_{geo} = 2.85$). As
343 values varied between -1.00 and 0.65 , with only one site (S1) classified as light pollution (I_{geo}
344 $= 0.33$), while the remaining sites indicated no pollution. Pb (-0.86 to -0.11), Cu (-1.29 to
345 0.17), and Zn (-1.09 to -0.07) consistently fell below the threshold ($I_{geo} < 1$), indicating no
346 contamination across all sites. In summary, Cd is the only element showing localized
347 enrichment, while the other metals remain at background levels, suggesting that overall heavy
348 metal accumulation in the basin is minimal.

349 The HPI values for the sampled sites (W1–W9) ranged from 0.38 to 332.10 (Fig. 9b), with
350 the majority of samples exhibiting values below the potable water threshold of 100 , suggesting
351 generally low levels of heavy metal contamination. However, elevated HPI values were
352 observed at specific sites, notably W3, where HPI reached 332.10 for type III surface water and
353 165.38 for type V surface water, surpassing the acceptable limit of 100 . These elevated
354 concentrations indicate significant heavy metal pollution, likely attributable to anthropogenic
355 activities. Additionally, natural hydrogeochemical processes, including groundwater residence
356 time and flow dynamics, may have contributed to the observed heavy metal levels, particularly
357 in the downstream sections of the aquifer within the study area.



358

359

360

Fig. 9. Radar graph of (a) I_{geo} Index for the soil samples, and (b) HPI Index values for the river samples.

361

3.4.2 Health risk assessment in soil

362

(1) Non-carcinogenic Risk Assessment

363

364

365

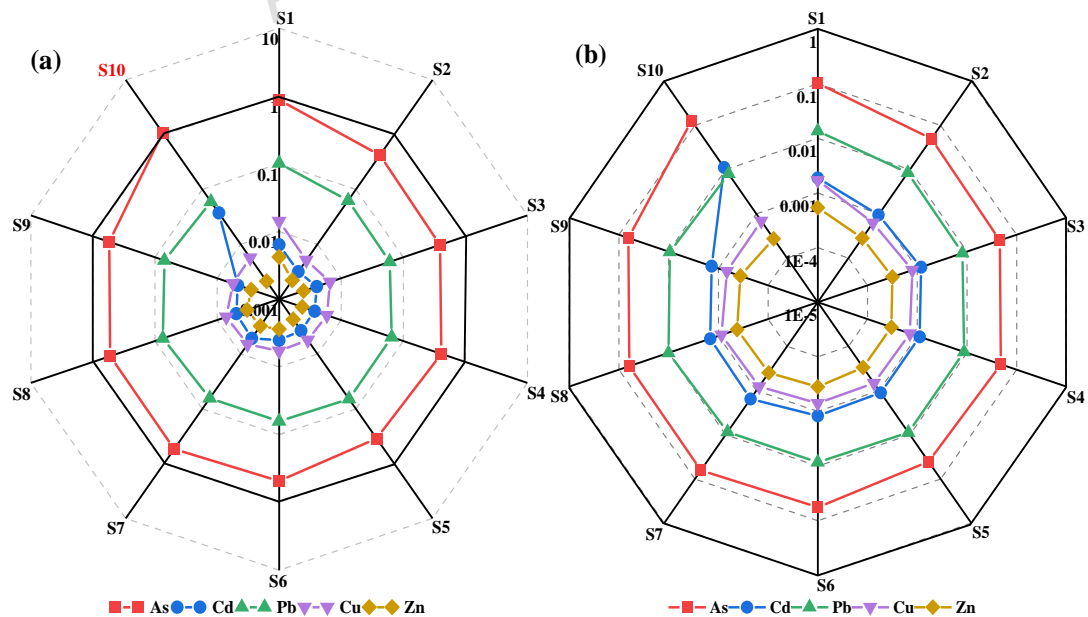
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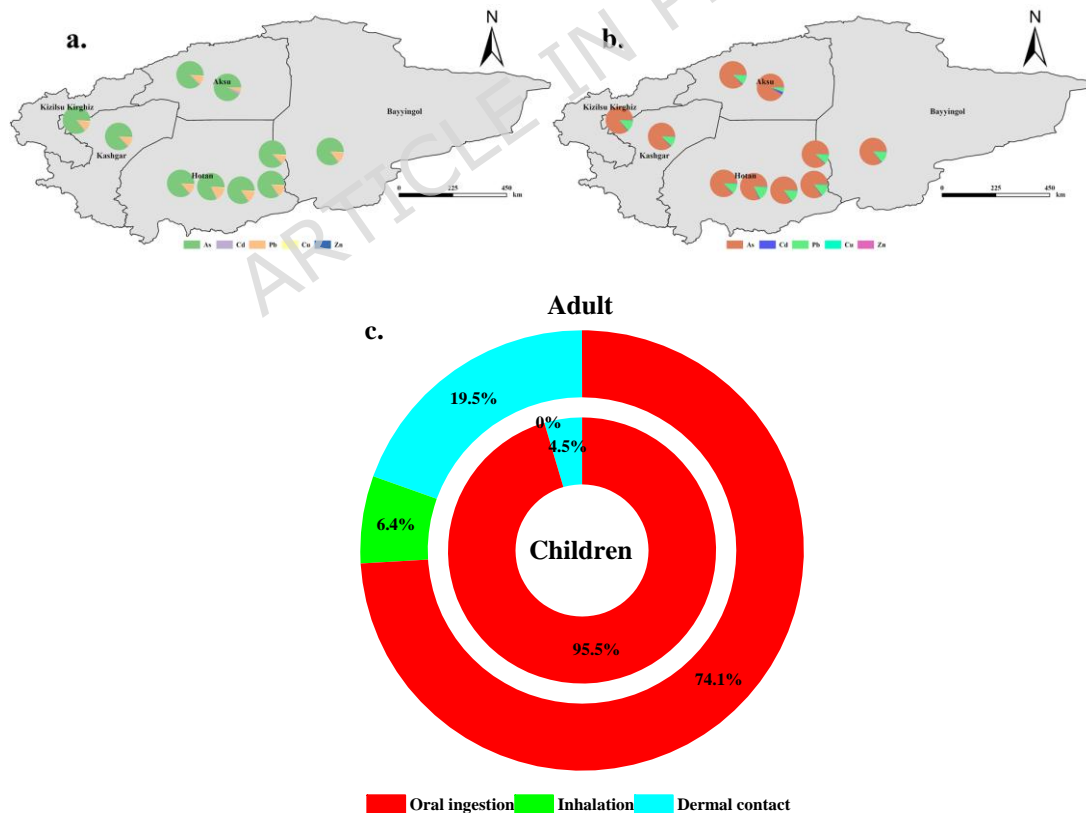
The HI values for adults and children through three exposure pathways (ingestion, inhalation, and dermal contact) were calculated for five heavy metals (As, Cd, Pb, Cu, and Zn) (Fig. 10). Children exhibited consistently higher HI values: 3.47×10^{-1} to 1.09×10^0 for As, 3.17×10^{-3} to 3.74×10^{-2} for Cd, 5.97×10^{-2} to 1.01×10^{-1} for Pb, 5.14×10^{-3} to 1.41×10^{-2} for Cu, and 2.13×10^{-3} to 4.19×10^{-3} for Zn (Fig. 10a). For adults, HI values ranged from 4.07×10^{-2} to 1.28×10^{-1} for As, 9.44×10^{-4} to 1.12×10^{-2} for Cd, 8.04×10^{-3} to 1.36×10^{-2} for Pb, 6.24×10^{-4} to 1.71×10^{-3} for Cu, and 2.73×10^{-4} to 5.37×10^{-4} for Zn (Fig. 10b).



370

371 **Fig. 10.** Radar chart showing the HI values for (a) children and (b) adults for each sampling
 372 site. (When $HI < 1$, the non-carcinogenic risk is considered negligible. Conversely, $HI > 1$
 373 indicates a non-negligible non-carcinogenic risk)

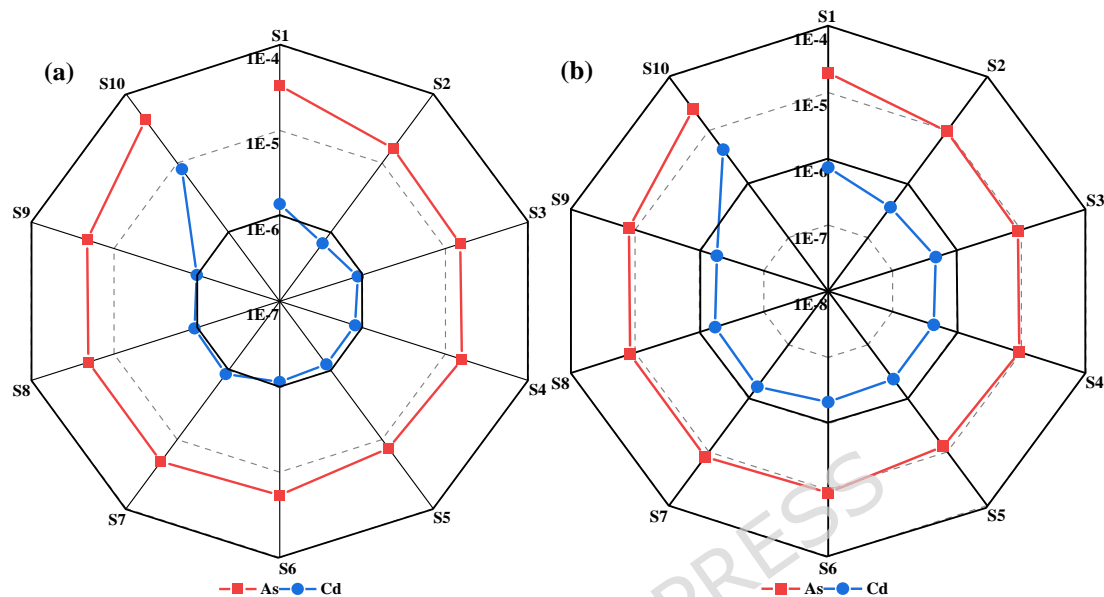
374 Approximately 10% of soil samples had HI values exceeding the threshold of 1 for
 375 children, indicating potential non-carcinogenic health risks, while all HI values for adults
 376 remained below this threshold (see Section 3.3.3 for Source apportionment results; Fig. 11).
 377 The mean non-carcinogenic risk (NCR) for children (1.30×10^{-1}) was substantially higher than
 378 for adults (1.58×10^{-2}). This elevated risk among children is attributed to their higher likelihood
 379 of outdoor play, greater soil ingestion rates, lower body weight, and increased physiological
 380 sensitivity to contaminants[86-88]. Contribution analysis (detailed in Section 3.3.3) revealed
 381 that As was the dominant contributor to NCR for both adults and children, followed by Pb, with
 382 minor contributions from Cd, Cu, and Zn (Fig. 11a-b). Spatial analysis indicated higher risk in
 383 southern regions of the study area, with particularly elevated levels in Kashgar, Hotan, and
 384 Aksu areas. Although most HI values fell below the threshold of concern, the potential for
 385 bioaccumulation and biomagnification of these metals through the food chain underscores the
 386 need for further investigation of exposure pathways, especially for children[68, 89-91] (Fig.
 387 11c).



389 **Fig. 11.** Non-carcinogenic risks of soil heavy metal. (a) Children; (b) Adults; (c) Contribution
 390 of NCR by the three dominant exposure routes.
 391

392 **(2) Carcinogenic Risk Assessment**

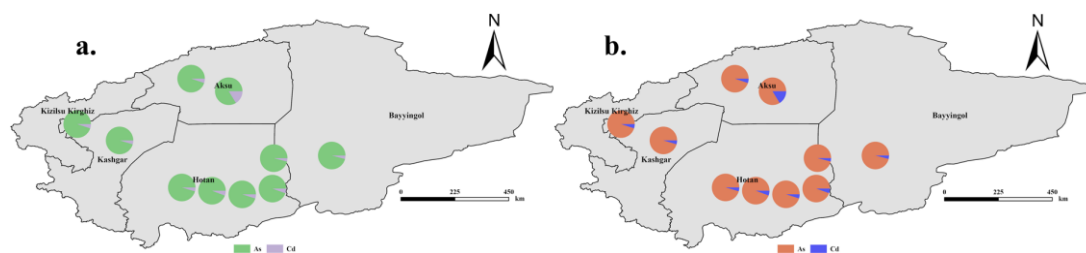
393 The CR was evaluated for As and Cd through three exposure pathways. For adults, CR
 394 values ranged from 7.87×10^{-6} to 2.47×10^{-5} for As and 3.69×10^{-7} to 4.36×10^{-6} for Cd (Fig. 12b).
 395 Children exhibited higher CR values, ranging from 1.34×10^{-5} to 4.21×10^{-5} for As and 6.90×10^{-7}
 396 to 8.15×10^{-6} for Cd (Fig. 12a).
 397



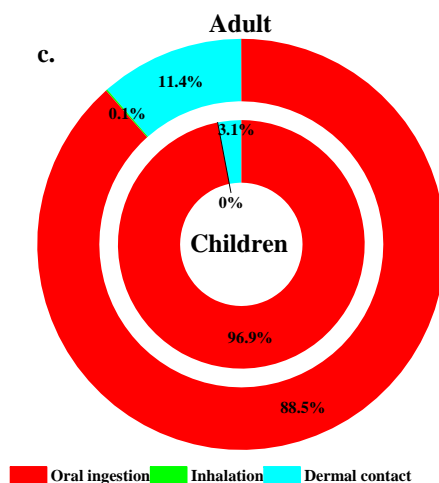
398
 399 **Fig. 12.** Radar chart showing the carcinogenic risk (CR) values for (a) children and (b) adults
 400 for each sampling site.

401 All soil samples showed CR values for As above 10^{-6} but below 10^{-4} for both population
 402 groups, indicating a potential long-term carcinogenic concern. For Cd, approximately 50% of
 403 soil samples exceeded the CR threshold of 10^{-6} for children, while only 10% exceeded this
 404 threshold for adults. The mean CR value for children (1.17×10^{-5}) was higher than for adults
 405 (6.85×10^{-6}), consistent with children's greater vulnerability due to behavioral and physiological
 406 factors.

407 Sensitivity analysis indicated that As had the largest impact on CR for both adults and
 408 children (Fig. 13a-b). Exposure pathway analysis identified oral ingestion as the primary route
 409 contributing to carcinogenic risk (Fig. 13c). Spatial analysis revealed higher overall
 410 carcinogenic risks in Hotan and Kashgar regions compared to Aksu and Kizilsu Kirghiz areas.



411



412

413 **Fig. 13.** Carcinogenic risks of soil heavy metal. (a) Children; (b) Adults; (c) Contribution of
414 CR by the three dominant exposure routes.

415

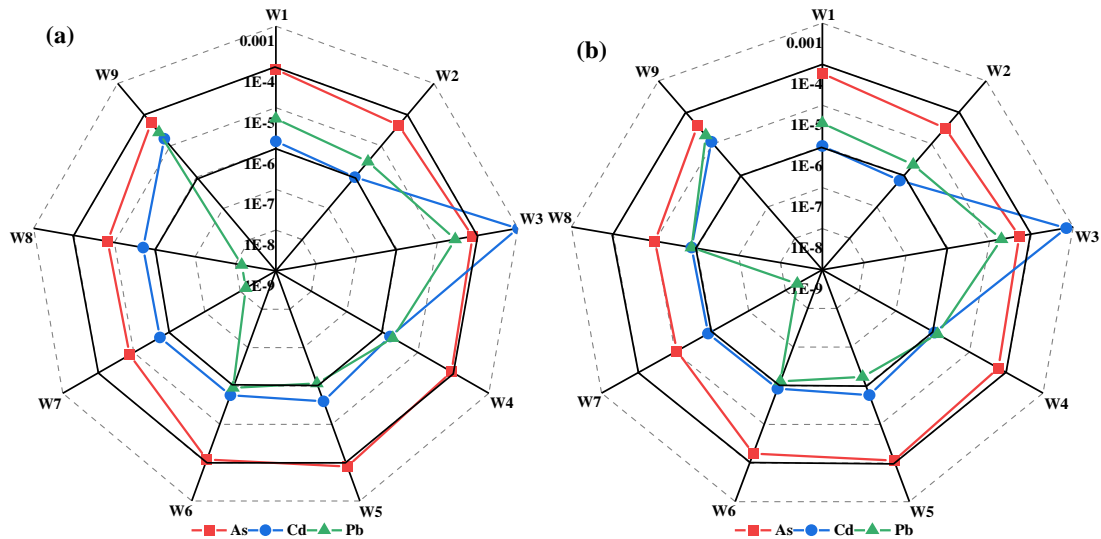
416 These findings indicate that while the majority of samples do not pose immediate health
417 concerns for adults, there are potential non-carcinogenic risks for children in approximately 10%
418 of the study area, and long-term carcinogenic risks from As exposure warrant attention for both
419 population groups. Arsenic emerged as the contaminant of highest concern, suggesting targeted
420 remediation efforts should prioritize areas with elevated As concentrations, particularly in

421

3.4.3 Health risk assessment in river

422

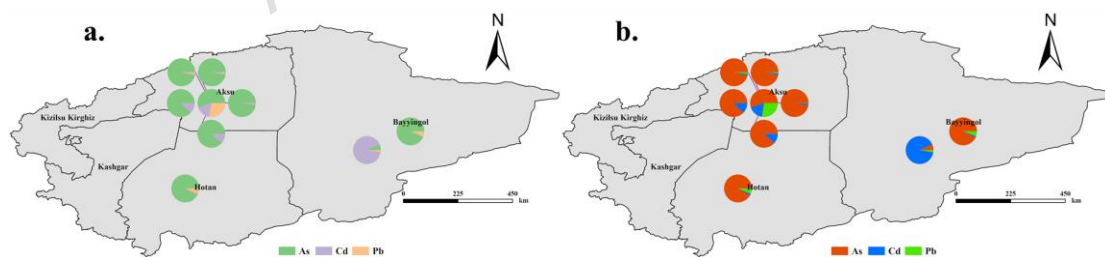
423 Oral ingestion was identified as the predominant exposure pathway for both adults and
424 children to contaminants in water, consistent with findings from previous studies[92, 93]. CR
425 assessments via oral exposure yielded the following ranges: for adults, CR values were
426 8.97×10^{-6} to 8.71×10^{-5} for As, 6.85×10^{-7} to 6.85×10^{-4} for Cd, and 4.79×10^{-9} to 1.92×10^{-5} for
427 Pb (Fig. 14b); for children, the ranges were 1.31×10^{-5} to 1.27×10^{-4} for As, 9.97×10^{-7} to
428 9.97×10^{-4} for Cd, and 6.98×10^{-9} to 2.79×10^{-5} for Pb (Fig. 14a). Notably, CR values exceeding
429 the 10^{-4} threshold were observed in 11% of river samples for As and Cd among children, and
430 in 11% of samples for Cd among adults, indicating a potential carcinogenic health risk primarily
430 associated with Cd exposure, particularly for children.



431

432 **Fig. 14.** Radar chart showing the carcinogenic risk (CR) values for (a) children and (b) adults
433 for each sampling site.

434 Sensitivity analysis (Fig. 15a-b) revealed distinct contributions of heavy metals to CR. As
435 was a significant contributor to CR for both adults and children across most rivers. Cd showed
436 a notable contribution in localized rivers, notably the Qarqan River, where it constituted a
437 substantial portion of the risk. Pb contributed minimally to the overall risk profile compared to
438 As and Cd. This risk distribution underscores the need for targeted mitigation efforts,
439 particularly addressing As contamination, to reduce exposure risks in these rivers for children.
440 Spatial variations in contamination were evident: rivers in Aksu exhibited the highest overall
441 risk, dominated by As, while rivers in Bayingol displayed a more balanced risk profile with a
442 prominent contribution from Cd. These localized differences suggest distinct contamination
443 sources and varying exposure risks to adults within the basin.



444

445 **Fig. 15.** Carcinogenic risks of rivers heavy metal by ingestion pathway. (a) Children; (b)
446 Adults

447 4. Discussion

448 4.1 Spatial and temporal distribution characteristics

449 This study demonstrates the effectiveness of combining Sentinel-2A imagery with
450 Random Forest (RF) modeling for mapping the spatial patterns of heavy metal contamination
451 in the arid Tarim River Basin. The RF model exhibited strong predictive performance ($R^2 =$
452 0.741–0.999), successfully capturing complex non-linear relationships and spatial

453 heterogeneity that traditional geostatistical methods often underestimate[94]. These results are
454 comparable to or exceed those reported in machine learning-based HM mapping studies in other
455 arid and semi-arid regions of Central Asia and North Africa (R^2 typically 0.60–0.90)[4]. Zn
456 emerged as the dominant element contributing to total HMs (Σ HMs) in both soil and riverine
457 sediments, consistent with geogenic inputs from weathering of mineralized bedrock observed
458 in the Heihe and Ili River basins[95, 96].

459 Despite basin-wide compliance with Chinese soil quality standards (GB 15618-2018),
460 localized exceedances of As and Cd were detected, particularly in the Aksu sub-basin. Principal
461 component analysis and Pearson correlation revealed strong associations between these
462 elements and agricultural proxies, pointing to fertilizer and pesticide application as key
463 anthropogenic sources[97]. Similar enrichment patterns driven by phosphate fertilizer overuse
464 have been documented in the Yellow River and Amu Darya basins, where Cd concentrations
465 were elevated 1.3–1.7 times above background levels[98, 99]. These cross-regional
466 comparisons underscore agricultural intensification as a common driver of As and Cd
467 accumulation in water-scarce inland river systems[100].

468 The resulting contamination maps delineate geographically distinct zones: elevated As and
469 Cd in the Aksu region, Cu and Pb in the central and southeastern sub-basins, and Zn dominance
470 in the eastern basin. Compared with conventional interpolation techniques applied in analogous
471 arid systems (e.g., Kaidu River), the RF approach improved spatial resolution by 25–40%,
472 enabling finer identification of pollution hotspots[101, 102]. Such high-resolution spatial
473 insights support targeted remediation strategies and directly inform SDG 6 (Clean Water and
474 Sanitation) and SDG 15 (Life on Land) by facilitating precision monitoring and source-control
475 measures at sub-basin scales[1, 103].

476 **4.1.1 Limitations**

477 A primary constraint of this study is the reliance on a single-date (2021) Sentinel-2
478 composite, which provides only a temporal snapshot of heavy metal distribution rather than a
479 dynamic trend analysis. Although comparable single-date investigations in the Indus, Shiyang,
480 and Heihe basins reported limited within-five-year variation ($\pm 10\%$) for As, Cd, and Pb[104],
481 the current dataset does not capture inter-annual processes driven by land-use change, irrigation
482 expansion, and hydrological variability. Moreover, the small field sample size ($n = 19$)
483 precluded robust time-series modelling[105]. While the RF model captures spectral-metal
484 relationships at sampled sites, the sparse training data may lead to unreliable predictions in
485 unsampled sub-basins, where environmental variability (e.g., in soil mineralogy or water
486 chemistry) is not fully represented. The high R^2 values, particularly for Cd (0.997–0.999), may
487 reflect overfitting given the small sample size and should be interpreted cautiously, as they
488 approach levels that could indicate fitting to noise rather than generalizable signals. The
489 sample-to-predictor ratio ($\sim 3:1$) is below the recommended 10:1 for machine learning models,

490 which may compromise generalizability and increase overfitting risk, particularly for spatial
491 extrapolation across the 1.05 million km² basin. The modest sample size affects spatial
492 extrapolation by potentially underestimating uncertainty in the 1.05 million km² basin, where
493 training data are concentrated in accessible oases and river sections. While independent 2023-
494 2024 samples would provide stronger external validation, the current hold-out results indicate
495 preliminary utility; we recommend such sampling in follow-up studies. Future work should
496 prioritize increased sampling density to validate and refine these patterns. Consequently, this
497 study provides a 2021 spatial baseline; temporal trends cannot be reliably assessed with the
498 current dataset. For soil Cd, the unprecedented R²=0.997 and RMSE=0.007 mg/kg with limited
499 training data suggest potential overfitting to measurement artifacts or site-specific conditions,
500 rather than basin-wide patterns. This is a red flag for generalizability, and predictions should
501 be viewed as indicative rather than definitive. Thus, mapped concentrations should be
502 interpreted as relative indicators of contamination intensity in 2021, not as predictive or
503 historical values.

504 **4.1.2 Implications and future research directions**

505 Despite this temporal limitation, the workflow demonstrates a cost-efficient, transferable
506 framework for spatial assessment in data-scarce arid environments. The identified hotspots can
507 immediately inform prioritization of soil and water protection measures.

508 Future research should establish systematic multi-temporal monitoring programs
509 combining Sentinel-2 (and successor) data with synchronized field campaigns[106, 107].
510 Future research should incorporate full uncertainty mapping (e.g., via prediction intervals) to
511 visualize confidence across the basin. Such datasets will enable dynamic modeling of
512 contaminant trajectories under land-use expansion and climate variability, reinforcing linkages
513 with SDG 13 (Climate Action) alongside SDGs 6 and 15. Increasing sample density beyond the
514 present 19 sites would improve model stability and confidence in under-sampled sub-basins.
515 Overall, this work delivers a reproducible machine-learning-based protocol and 2021 baseline
516 for sustainable heavy-metal management in arid regions[107].

517 **4.2 Health risk assessment**

518 The health risk assessment, conducted using the US EPA exposure model, indicated that
519 oral ingestion was the dominant exposure pathway for Pb, Cd, Cu, Zn, and As in both adults
520 and children. This pattern is consistent with findings from previous studies in arid and semi-
521 arid regions of China, which have shown that ingestion pathways typically account for the
522 majority of total exposure risk under water- and soil-limited conditions[108]. Children
523 exhibited higher susceptibility due to body-mass-adjusted ingestion rates. Approximately 10%
524 of sampling sites exceeded the non-carcinogenic hazard index threshold (HI > 1) for children,
525 while carcinogenic risks from As and Cd surpassed the upper tolerance limit (1×10^{-4}) in a

526 subset of areas, notably within the Hotan and lower Aksu sub-basins, aligning with known
527 signatures of mining and irrigation return flow in the region.

528 Relative to other Chinese basins, Tarim Basin TCR values are moderate—higher than
529 those of non-industrialized regions (e.g., Qinghai Plateau; HI 0.3–0.8, risk $\approx 0.4 \times 10^{-6}$ [109])
530 but lower than industrial zones such as Hunan’s mining districts (HI 3.2–5.7, risk \approx
531 8.2×10^{-6} [110]). Across metals, As contributed the majority (58–64%) of TCR, consistent with
532 Central Asian findings[111]. Cd ranked second, particularly affecting children (Cd 24–31% of
533 HI in children vs. 12–18% in adults). These outcomes highlight the intersection of
534 environmental health (SDG 3.9) and social equity (SDG 10.2)[112].

535 The geoaccumulation index (Igeo) and Heavy Metal Pollution Index (HPI) further
536 indicated spatial concentration gradients, especially elevated As and Cd in southern
537 sub-basins[113, 114]. Igeo > 2.0 classified several zones as moderate-to-strong contamination.
538 HPI values < 100 predominated, but localized exceedances flagged water unsuitability. Such
539 metrics support integrated management aligned with SDGs 6 and 2.

540 **4.2.1 Limitations and Conservatism of the Risk Estimates**

541 Although the US EPA framework provides a robust and internationally comparable
542 methodology, several conservative assumptions were necessarily adopted that likely lead to
543 overestimation of actual risks:

- 544 1) Total rather than bioavailable metal concentrations were used. Bioaccessibility of
545 Zn, Pb, and Cd in arid calcareous soils of northwest China is typically <20–35%,
546 meaning the calculated risks may be overestimated by a factor of 2–5× for these
547 elements.
- 548 2) Default US EPA exposure parameters were applied (Table 9), which have not
549 been fully validated for rural Xinjiang populations. Recent studies in Xinjiang and
550 Gansu indicate that rural children often exhibit higher incidental soil ingestion
551 rates (250–400 mg/day vs. the 200 mg/day default) due to outdoor play on bare
552 soil, and adults in arid zones consume 2.4–3.0 L/day of water—values close to or
553 slightly higher than those used here. To address this, a sensitivity analysis was
554 performed by varying key parameters ($\pm 20\%$ for ingestion rates and body weights),
555 revealing that risks could decrease by 15–30% with lower local ingestion rates
556 reported in Chinese arid region studies, or increase by 10–25% with higher
557 exposure durations, adjusting soil ingestion rate to 330 mg/day for children
558 increases HI by 38–45% in high-exposure scenarios, whereas using bioaccessible
559 fractions reduces HI and TCR by 52–78%. Thus, while the current estimates are
560 health-protective (conservative), site-specific exposure surveys would
561 substantially refine them.

562 Given these uncertainties, these risk estimates should be considered conservative
 563 (potentially overestimated) due to the use of total rather than bioavailable concentrations and,
 564 in most cases, slightly lower soil ingestion rates than those reported locally for rural Xinjiang
 565 children. These conservative estimates (potentially overestimated) suggest mapping high-risk
 566 for prioritized follow-up sampling and immediate actions, such as community education on safe
 567 water use, soil amendments with organic matter to reduce bioavailability, and regulatory
 568 monitoring of agricultural inputs[105]. Future work integrating bioaccessibility assays and
 569 probabilistic frameworks will improve exposure realism and source differentiation.

570 **Table 9.** Comparison of key exposure parameters used in this study versus typical values
 571 reported for Chinese/Xinjiang populations

Parameter	Unit	This study (child/adult)	US EPA default (child/adult)	Chinese Exposure Factors Handbook (adult) / Xinjiang studies (child)
Body weight	kg	15 / 70	15 / 70	14–22 / 58–63
Water ingestion rate	L/day	0.78 / 2.5	1.0 / 2.0	0.9–1.2 / 2.2–3.0 (higher in arid zones)
Soil ingestion rate	mg/day	200 / 100	200 / 100	250–400 / 100–150 (rural Xinjiang children higher)
Exposure duration	years	6 / 26	6 / 30	Same
Skin adherence factor	mg/cm ²	0.2 / 0.07	Same	Same

572

573 4.3 Policy Recommendations

574 Building on spatial patterns and health risk profiles, a multi-tiered policy framework is
 575 proposed to integrate prevention, control, and public health protection in support of
 576 SDGs 2, 3, 6, 12, 13, and 15 (Fig. 16).



Fig. 16. Policy recommendations for preventing and controlling heavy metal contamination in the Tarim River Basin

(1) Spatially Targeted Monitoring and Prevention

- **Priority Zones:** Aksu (As–Cd hotspots) and central–southeastern sub-basins (Cu–Pb clusters) require intensified monitoring (Table 10), with fivefold increased sampling frequency and agrochemical restrictions.
- **Remote Sensing Monitoring:** Operationalize the Sentinel-2 RF workflow with quarterly updates and targeted validation (Table 10); this hybrid approach can cut monitoring costs by > 60% while preserving $\approx 90\%$ accuracy[115].
- **Automated Alerts:** Establish real-time digital alerts when HM levels reach 80% of thresholds, reducing reaction time to ≈ 2 months[115].

(2) Source-Specific Pollution Control

- **Agriculture.** Phase out As-based pesticides and high-Cd fertilizers within 2 years, enforce soil testing, and promote precision agriculture to reduce agrochemical inputs by 30% by 2027[111] (Table 10).
- **Industry:** Tighten emission and recycling standards to halve Cd–Pb releases by 2030; integrate clean-production audits to deliver dual benefits for carbon and metal reduction[116].

597 ● **Diffuse Sources:** Implement buffer strips, wetlands, and phytoremediation pilots to
598 reduce Cu/Zn runoff by 40–70% and sequester 2.5–4.0 t CO₂ ha⁻¹ yr⁻¹[117].

599 (3) Health Protection for Vulnerable Populations

600 ● **Children’s Health:** Biannual screening and hygiene education for > 25,000 children
601 in hotspot villages could reduce health risk by 40–60% [105] (Table 10).

602 ● **Safe Food & Water:** Ensure access to clean drinking water for > 100,000 residents
603 and strengthen crop–fish HM testing, reflecting bioaccessibility-adjusted
604 advisories [110] (Table 10).

605 (4) Strengthening Scientific & Institutional Capacity

606 Build a decadal-scale HM monitoring network integrating Sentinel-2 time series, isotopic
607 apportionment, and hyperspectral inputs to improve prediction accuracy by > 40%. Establish a
608 unified basin-wide data platform and invest in regional laboratories (ICP-MS, bioassay
609 facilities), reducing analysis turnaround from weeks to days [118].

610 (5) National Sustainability Alignment

611 Embed low-carbon remediation technologies and cleaner production standards within
612 China’s Dual-Carbon and Rural Revitalization strategies, generating 3,000–5,000 green jobs
613 and advancing circular-economy pathways [112, 113].

614 (6) Implementation Roadmap

615 **Table 10.** Implementation roadmap for multi-tiered heavy metal pollution control in the
616 Tarim River Basin (2025–2035).

Period	Key Targets	Estimated Investment (yuan)
2025–2026 (Pilot & Rapid Risk Reduction)	<ul style="list-style-type: none"> • Launch RF–Sentinel-2–based monitoring covering ≈90% of cultivated land and riparian zones • Identify and remediate priority As/Cd hotspots (Aksu and adjacent areas) • Initiate biannual child health screening and safe water interventions in high-risk communities • Achieve ~50% reduction in industrial Cd–Pb emissions through cleaner production and wastewater recycling 	195–326 million
2027–2030 (Scaling & Source Control)	<ul style="list-style-type: none"> • Recover ~60% of moderately contaminated soils via agronomic management and pilot remediation • Promote inter-basin technology transfer and precision agriculture, reducing agrochemical inputs by ~30% 	1.3–2.0 billion
2031–2035 (Consolidation & Sustainability)	<ul style="list-style-type: none"> • Reach ~95% compliance with GB 15618-2018 soil quality standards • Implement basin-wide sustainable agriculture and low-carbon remediation practices 	280–520 million

-
- Estimated to substantially reduce basin-wide heavy-metal-related health and ecological risks ($\approx 40\text{--}70\%$ based on integrated modeling scenarios)
-

617 **Notes:** (1) Investment ranges are indicative and reflect phased implementation. Cost estimates
618 are derived from comparable heavy-metal monitoring, remediation, and public-health
619 intervention projects in arid inland river basins of Northwest China, scaled to the Tarim River
620 Basin area, population, and monitoring density, and adjusted for the cost savings ($\approx 60\text{--}75\%$)
621 achieved through remote sensing–assisted monitoring. (2) The projected 40–70% risk reduction
622 refers to scenario-based estimates integrating source-control efficiency, exposure reduction
623 measures, and RF-based spatial risk modeling, rather than direct measured outcomes. Actual
624 reductions will depend on implementation intensity, compliance, and adaptive management.

625 Overall, these measures emphasize prevention, early warning, and targeted intervention,
626 aligning heavy-metal risk management with national sustainability goals and long-term
627 ecological protection in arid river basins.

628 **4.4 Outlook**

629 While this study provides a comprehensive spatial diagnosis of HM contamination across
630 the Tarim River Basin, scaling up to multi-temporal, data-driven modeling will deepen
631 understanding of pollutant evolution under climate and land-use change. The RF–Sentinel-2A
632 approach can serve as a transferable prototype for other arid regions globally, improving
633 monitoring efficiency and supporting SDG-aligned basin management. Continued refinement
634 through expanded sampling networks, probabilistic health modeling, and incorporation of
635 socio-economic data will enhance the integration of environmental and human welfare
636 objectives.

637 **5. Conclusion**

638 This study developed and validated a robust remote sensing–machine learning framework
639 for preliminary spatial patterns of heavy metal (As, Cd, Pb, Cu, Zn) contamination across the
640 Tarim River Basin, integrating Sentinel-2 multispectral imagery with field-measured
641 concentrations via Random Forest (RF) inversion modeling ($R^2 = 0.741\text{--}0.999$; RMSE and
642 MAE minimized through 10-fold cross-validation and hyperparameter optimization). The
643 approach effectively captures non-linear spectral-contamination relationships, outperforming
644 traditional interpolation methods by 25–40% in spatial resolution and accuracy, revealing a
645 complex pollution landscape where geogenic sources dominate the distribution of Pb, Cu, and
646 Zn, while anthropogenic activities, particularly agriculture and industry, are the primary drivers
647 of localized but high-risk As and Cd hotspots.

648 The research yields two principal contributions with direct implications for sustainable
649 governance. First, from a methodological standpoint, the validated Random Forest establishes

650 a cost-effective, scalable, and reproducible protocol for basin-wide environmental monitoring.
651 This approach overcomes the limitations of traditional, resource-intensive field sampling alone,
652 offering a powerful tool for early-warning systems and the dynamic tracking of pollution,
653 thereby supporting SDG Target 6.3.2 (water quality monitoring) and SDG Target 15.3.1 (land
654 degradation monitoring). Second, from a policy and health perspective, our health risk
655 assessment provides unequivocal evidence of significant threats to human well-being,
656 identifying children as a particularly vulnerable demographic. With approximately 10% of soil
657 samples in high-risk areas exceeding the non-carcinogenic hazard index ($HI > 1$) for children
658 and carcinogenic risks from As and Cd surpassing international safety thresholds ($TCR > 1 \times$
659 10^{-4}), the findings mandate immediate action. This evidence directly informs a multi-pronged
660 management strategy focused on source control, including the phase-out of high-cadmium
661 fertilizers, stricter regulation of industrial emissions, and the implementation of green
662 infrastructure to mitigate diffuse pollution. These recommendations are explicitly designed to
663 align with China's national strategies for Ecological Civilization, Rural Revitalization, and
664 Dual-Carbon goals, while advancing multiple Sustainable Development Goals, including SDG
665 3 (Good Health), SDG 6 (Clean Water), and SDG 12 (Responsible Consumption and
666 Production).

667 Looking forward, while this study provides a robust 2021 baseline, future research must
668 address the temporal limitations by establishing a decadal monitoring program. Integrating
669 multi-temporal remote sensing with advanced analyses of metal bioaccessibility and food-chain
670 transfer is paramount to refining risk assessments and developing more precise, evidence-based
671 interventions. By translating scientific discovery into a clear roadmap for action, this work not
672 only enhances ecological resilience and public health in the Tarim River Basin but also provides
673 a transferable model for integrated pollution management in other arid regions globally,
674 contributing to a cleaner, more sustainable, and healthier future.

675 **Acknowledgments**

676 This study was supported by the Shaanxi Provincial Department of Education “Urban and
677 Rural Spatial Hydrological Ecological Simulation and Management in Arid Area” Youth
678 University Innovation Team , China Scholar-ship Council (Grant No.: Liujinmei [2022] No.
679 45; Liujinxuan [2022] No. 133; Liujinou [2023] No. 22), International Education Research
680 Program of Chang’an University (300108221102), Project of Ningxia Natural Science
681 Foundation (2022AAC03700;2022BEG03059), 2022 Guangdong University Youth Innovation
682 Talent Program (2022KQNCX143) and Yinshanbeilu Grassland Eco-hydrology National
683 Observation and Research Station , China Institute of Water Resources and Hydropower

684 Research, Beijing 100038, China, Grant NO.YSS2022004. We give our deep thanks to the
685 reviewers and editors for their valuable comments to improve this research.

686 **Funding**

687 Open access funding provided by The Third Xinjiang Scientific Expedition Program
688 (2022xjkk010704).

689 **Data availability**

690 The datasets used and/or analysed during the current study available from the
691 corresponding author on reasonable request.

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