

# Immunogenomic classification reveals prognostic immune signatures in pediatric solid and hematological tumors

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**Immunogenomic Classification Reveals Prognostic Immune Signatures in Pediatric Solid and Hematological Tumors**

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**Running title:** Pediatric Tumor Classification with Immune Prognosis

**Abstract**

The immune features in pediatric tumor are poorly explored. To characterize immune features of pediatric cancer, we performed an immunogenomic analysis of public database (TARGET) for pediatric solid tumor (PST) (n=423) and pediatric hematological tumor (PHT) (n=2302). We clustered PST and PHT samples into 5 subtypes (S1-S5) and 4 subtypes (H1-H4), respectively, based on immune features. In the PST cohort, cluster S1 with elevated expression of Wound\_CSR (fibroblast core serum response in wound healing) and B cell exhibited the worst overall survival. Conversely, cluster S4 (HR = 0.378, 95% CI: 0.24–0.59, *P*-value < 0.001) with down-regulated expression of these features were associated with prolonged survival. We also validated the prognostic significance of the S4 immune subtype in an independent neuroblastoma cohort from the ZJUCH (n = 127), which demonstrated favorable patient outcomes. In the PHT cohort, we observed that the relationships between immune clusters and prognosis differed between *FLT3*-ITD mutation-positive AML (AML-1) and *FLT3*-ITD mutation-negative AML (AML-2). In AML-1, cluster H2 featured upregulated infiltration of neutrophils, monocytes and antigen processing signatures, possibly leading to worst overall survival. While in AML-2, cluster H2 exhibited a favorable outcome. The study highlights the potential of immune features as biomarkers for prognosis and treatment planning in pediatric cancers and provides novel insights into their immunological landscape.

## Introduction

It is estimated that approximately 400,000 children worldwide are diagnosed with cancer each year<sup>1</sup>. Despite decreased mortality over the past 40 years, cancer remains the leading cause of childhood death<sup>2</sup>. While over 80% of children with cancer in high-income countries can be cured, 5-year survival rates for pediatric cancer in lower-middle-income regions remain less than 30%<sup>3</sup>. The most common categories of pediatric cancer include brain tumors, hematological tumors and solid tumors<sup>4</sup>. Unfortunately, many high-grade and relapsed tumors within these categories still exhibit a poor prognosis, such as high-risk neuroblastoma (NB)<sup>5</sup> and *TP53*-aneuploidy acute myeloid leukemia (AML)<sup>6</sup>.

Pediatric tumors tend to have lower mutation burdens, presenting unique challenges due to the complexity of genetic dependencies<sup>7</sup>. Risk-based and response-adapted treatments have extended survival in pediatric cancers, yet the late effects of cytotoxic chemotherapy in high-risk subsets remain life-threatening and unavoidable<sup>8</sup>. Hence, there is an urgent need to develop advanced approaches that can either replace or complement the current relatively robust strategies. Immunotherapy<sup>9</sup> and targeted small molecules<sup>10</sup> have revolutionized the treatment of adult cancers. However, there has been limited progress in their effectiveness for pediatric counterparts<sup>11</sup>. The obstacles mainly come from less supporting evidences of adult drugs to treat pediatric cancer and a shortage of agents specially designed for childhood tumors.

Immune checkpoint inhibitors have achieved effective response in multiple types of cancer, and the major mechanisms of responsiveness include the diversity of tumor

immune microenvironment (TIME)<sup>12</sup>. TIME involves tumor-infiltrating cells associated with tumor-antagonizing or tumor-promoting functions<sup>13</sup>. These cells provide opportunities for cancer treatment, such as utilizing anti-PD1 antibodies and CAR-T cell therapies. In pediatric cancers, the immunogenomic features of tumors are less well understood. However, recent studies have highlighted promising potential in immunological signatures, such as the immunologic constant of rejection, and the presence of immune cell lineages that might correlate with prognosis, especially in neuroblastoma<sup>14</sup>. Additionally, mesenchymal and adrenergic cell lineages have drawn great attention in NB, and mesenchymal lineage is thought to possess a favorable immune state<sup>15</sup>. Although the immunotherapy has not been widely applied to pediatric cancers due to their relatively lower mutational burdens<sup>11</sup>, the newly developed strategies, such as anti-GD2 antibodies<sup>16</sup> and CD19 CAR T-cell therapy<sup>17</sup>, have shown hopeful results. For instance, a study demonstrated that Kymriah (tisagenlecleucel), a CD19-targeting CAR-T therapy, achieved a 75% complete remission rate in pediatric patients with relapsed or refractory B-cell acute lymphoblastic leukemia (ALL), further supporting the exploration of CAR-T therapies in pediatric cancers<sup>18</sup>.

In this study, we aim to harness computational approaches to analyze immune infiltration patterns in pediatric solid tumors (PST) and hematological tumors (PHT). Specifically, we focus on understanding the prognostic significance of immune infiltration and identifying immune-related signaling pathways and genes that may serve as therapeutic targets. The key research questions guiding this study are: 1) What are the immune infiltration patterns in PST and PHT, and how do these patterns

correlate with patient prognosis? 2) Which immune-related signaling pathways and immune genes are associated with prognosis in pediatric cancer patients?

To address these questions, we collected RNA-seq data from pediatric solid and hematological tumors in the TARGET dataset. Using 184 immune cell signatures<sup>19</sup>, we classified PST and PHT into distinct immune subtypes and investigated the prognostic significance of these immune subtypes. We also explored underlying prognostic biomarkers and validated our findings using neuroblastoma samples, where high gene scores (S4 gene score) of 44 upregulated genes in the S4 cluster were associated with a favorable prognosis. This study provides a comprehensive understanding of the immune landscape in pediatric tumors and identifies promising immune-related biomarkers and therapeutic targets. The findings could inform the development of novel immune-based therapies, offering new avenues for improving clinical outcomes in pediatric cancer treatment.

## **Methods**

### **Samples collection**

Patients aged 0-19 years in the TARGET pediatric dataset (up until September 2023) diagnosed with NB, osteosarcoma (OS), Wilms tumor (WT), rhabdoid tumor (RT), clear cell sarcoma of kidney (CCSK), AML and ALL were included, detailed information regarding the patient demographics and clinical characteristics could be found on the TARGET website. For ALL, we included patients in TARGET ALL expansion effort (ALL Phase II, ALL-P2) and the latest TARGET ALL study (ALL Phase III, TARGET-ALL-P3).

In contrast, we excluded the participants in TARGET ALL Pilot project (ALL Phase I, ALL-P1) due to its low proportion of pediatric patients and relatively small sample size. ALL-P1 was designed primarily as a feasibility study to test data collection and sequencing methods and included a higher proportion of adult patients, which made the dataset less suitable for our focused analysis of pediatric ALL. All metastatic and recurrent tumor samples were excluded, and primary tumor samples were retained. RNA-seq data in FASTQ format files were downloaded from database of Genotypes and Phenotypes (dbGaP) under the accession number phs000218.v26.p8 with approval by National Institutes of Health (NIH)<sup>20</sup>. The RNA-seq gene expression data consisting of gene counts, TPM (transcripts per kilobase of exon per million mapped reads), FPKM (fragments per kilobase of exon per million mapped fragments) in STAR-processed format files<sup>21</sup> and the clinical data were acquired from the GDC portal website.

In addition, NB samples (n=127) for validation were obtained from Department of Surgical Oncology, Children's Hospital Zhejiang University School of Medicine (refer to as ZJUCH cohort), and subjected to RNA-seq analysis. To eliminate batch effects and normalize the data, ComBat from the sva package (version 3.42.0) in R was applied, using the TARGET-NB samples as a reference for the adjustment across datasets. The patients enrolled in the study were all under the age of 18, had received first-line chemotherapy, and had undergone surgical resection or biopsy at the Children's Hospital of Zhejiang University School of Medicine. This study was approved by the Ethics Committee of Children's Hospital, Zhejiang University School

of Medicine (2020-IRB-049). All methods were performed in accordance with the relevant guidelines and regulations. All data were acquired with informed consent from patients, their parents or guardians. All results were reported with arbitrary sample ID numbers without linked identifiers.

### **RNA sequencing (RNA-seq) and data analysis**

Total RNA of tumor tissues from ZJUCH cohort were extracted by the TRIzol reagent (Thermo Fisher Scientific, USA). mRNA was purified from total RNA using Dynabeads Oligo (dT) (Thermo Fisher, USA) with two rounds of purification, and subjected to library preparation for Illumina NovaSeq 6000 S4 sequencing with a 150 bp paired-end sequencing strategy at Zhejiang Biosan Biochemical Technologies Co., Ltd. RNA-seq data were aligned to the GRCh38 reference genome with STAR<sup>21</sup>, followed by duplicates marking with Picard (<https://broadinstitute.github.io/picard/>), and reads mapped to each gene were counted by featureCounts<sup>22</sup>.

### **Immune signatures and immune subtypes**

The gene transcriptome data were utilized to perform single-sample gene set enrichment analysis (ssGSEA) using the R package GSVA<sup>23</sup>. The 184 immune cell signatures<sup>19</sup> were served as references to calculate gene set enrichment scores (GSEs), representing the expression levels of tumor-infiltrating immune cell signatures. With the coxph function from R packages survminer (v.0.4.9) and survival (v.3.5.7), the relationship between GSEs of 184 immune cell signatures and overall survival time

was analyzed through univariate Cox regression, and signatures of PST or PHT with  $P$ -values less than 0.25 or 0.05 were selected for further analysis. The relatively higher  $P$ -value threshold of 0.25 for PST was chosen because, in our dataset, the number of immune signatures with  $P$ -values below 0.05 was limited (Supplementary Table S1), and raising the threshold allowed for the inclusion of potentially informative immune signatures that showed a trend toward association with survival, reflecting the more subtle role of immune features in these tumors. Spearman correlation between the GSEs of these signatures was performed, and signatures with relatively larger values of coefficient were clustered into the same modules by R package corrplot (v.0.92). Finally, ssGSEA were operated to calculate the GSEs of these modules, which were utilized to draw heatmap with R package pheatmap (v.1.0.12) and cluster PST and PHT into S1-S5 and H1-H4 subtypes with clustering method “ward.D2”, respectively. And univariate COX analysis was performed to search the relationship between these GSEs of immune modules and overall survival time ( $P$ -values were adjusted by Benjamini-Hochberg correction), and results were visualized by R package forestplot (v.3.1.3) and ggplot2 (v.3.4.4). The survival curves of immune subtypes were plotted by the survdiff function from R package survminer, and the  $P$ -values were calculated by log rank test. A flowchart outlining the overall bioinformatic pipeline is shown as Fig. 1.

### **Differentially expressed immune genes and pathways of immune subtypes**

To explore the differentially expressed immune genes of selected immune signatures

among immune subtypes, R package DESeq2 (v.1.42.0)<sup>24</sup> was utilized to find out the differential gene expression between specific subgroup and others. Benjamini-Hochberg correction was applied to adjust *P*-values (denoted as q-values). Up-regulated ( $\log_2$ -fold change  $> 1$ , q value  $< 0.05$ ) and down-regulated ( $\log_2$ -fold change  $< -1$ , q value  $< 0.05$ ) genes were selected. Volcano maps of differentially expressed genes between specific immune subgroups and others were drawn by R package ggVolcano (v.0.0.2). The relationship between the expression levels of these genes and the overall survival were further processed with univariate Cox regression analysis (HR  $< 0.95$  or  $> 1.05$ , Benjamini-Hochberg adjusted *P*-value  $< 0.05$ ).

In order to find prognostic or functional pathways of immune clusters, 50 gene sets for hallmark pathways were obtained from GSEA Human Molecular Signatures Database (MSigDB) Collections. And GSEs of these pathways were calculated by GSVA, which were then leveraged to perform univariate COX analysis (*P*-values were adjusted by Benjamini-Hochberg correction). Quantitative set analysis for gene expression (QuSAGE) (v.2.36.0)<sup>25</sup> was conducted to illustrate the differential expression of prognostic hallmark pathways among immune clusters.

### **Validation for the prognostic significance of S4 gene scores**

To identify immune genes with prognostic significance in NB, up-regulated genes in the S4 cluster with a  $\log_2$ -fold change greater than 0.5 and q values less than 0.05 were utilized to perform univariate COX analysis on NB samples from the TARGET cohort. Forty-four genes (*P*-value  $< 0.05$ ) were identified and used to construct gene

score (S4 gene score). The S4 gene score was created as follows: within each sample, we ranked all immune genes used in our study according to their expression levels. For each of the 44 genes, we calculated its percentile relative to the full list of immune genes. The average of the percentiles for the 44 genes was used as the S4 gene score. These values can be readily compared across samples. We calculated the S4 gene scores for NB samples from both the TARGET and ZJUCH cohorts and performed Kaplan-Meier analyses. Additionally, tumor purity for each sample was calculated using the ESTIMATE method (v.1.0.13), and a scatter plot was generated to visualize the relationship between the S4 gene score and tumor purity. R package ClusterProfiler (v.4.10.0)<sup>26</sup> was utilized to perform Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) analysis for the 44 genes. The formula was as follows:

$$\text{S4 score} = \frac{1}{|G|} \sum_{g \in G} \left(1 - \frac{\text{rank}_g - 1}{N}\right)$$

(g, one of the 44 genes; rank<sub>g</sub>, its descending expression rank among all N immune genes; |G| = 44). All figures were optimized for interpretation by individuals with common forms of color-vision deficiency. The underlying numerical data for all figures were provided in the Supplementary Tables to ensure accurate grayscale reproduction.

## Results

### The prognostic immune signatures of PST and PHT

Samples with transcriptome information were utilized to calculate enrichment scores of immune features. TARGET-NBL (n=150), TARGET-OS (n=73), TARGET-WT (n=124), TARGET-RT (n=63) and TARGET-CCSK (n=13) were included to depict the

immune signatures of the PST cohort, while TAEGET-AML (n=1780), TARGET-ALL-P2 (n=440) and TARGET-ALL-P3 (n=82) were included in the PHT cohort (Fig. 2a, b). As *FLT3*-ITD mutation is correlated with poor prognosis of AML and drives stem cell transformation through ERK signaling, PI3 kinase signaling and STAT5 signaling<sup>27</sup>, we separated AML into *FLT3*-ITD mutation positive (AML-1) and negative (AML-2) subtype in the following analysis. Firstly,  $\log_2(\text{TPM}+1)$  of the transcriptome data were utilized to perform ssGSEA of 184 immune signatures and GSEs were calculated. To filter out prognosis-related immune signatures, univariate Cox regression analysis was performed to find relationship between the GSEs of the 184 immune signatures and overall survival time. Seventy-three immune signatures with *P*-values less than 0.25 in the PST cohort and 115 immune signatures with *P*-values less than 0.05 in the PHT cohort were selected for further studies ( Supplementary Tables S1 and S2). The GSEs of these signatures were subjected to Spearman correlation analysis ( Supplementary Tables S3 and S4), and highly correlated signatures were clustered into immune modules (Fig. 2c, d). Those immune signatures with similar function were chosen to construct immune modules, while some known immune signatures were also utilized individually as immune modules. The selected immune signatures are representative and were chosen to cover the major immune cell types and immune responses. Eight immune modules were selected in each of the PST and PHT cohort ( Tables 1 and 2, Supplementary Tables S5 and S6). The GSEs of these immune modules were calculated through ssGSEA, which were used to classify samples into 5 and 4 immune clusters for the PST and PHT cohort, respectively (Fig. 2e, f). The univariate Cox

regression results for the immune modules, including the HR, *P*-values, and Benjamini-Hochberg adjusted *P*-values, are provided by Supplementary Tables S7 and S8.

In the PST cohort, cluster S1 (n=86, 20.3%) was featured as abundance of Wound\_CSR (fibroblast core serum response in wound healing) and B cell signatures while scoring less for neutrophils, NK cell and angiogenesis. For cluster S2 (n=44, 10.4%), Wound\_CSR, angiogenesis and TGF $\beta$  signatures were enriched. Cluster S3 (n = 86, 20.3%) had similar immune characteristics to S2 but with lower angiogenesis scores. Clusters S4 (n=116, 27.4%) and S5 (n=91, 21.5%) have different scores of B cell, T cell and angiogenesis, while the scores of other modules were similar (Fig. 2e). The clusters S1 and S4 exhibited completely different expressions of immune signatures, which was consistent with the later depicted different overall survival (Fig. 3c). Tumor cases were unevenly distributed across the 5 clusters: S1 contained 14% NB (n=21), 60.3% RT (n=38), and 21% WT (n=26); S2 and S3 were primarily composed of WT cases (S2: 26.6%, n=33; S3: 44.4%, n=55). S4 included all CCSK, 71.2% OS (n=52), and 28.7% NB (n=43), while 48% of NB cases (n=72) were in S5 (Fig. 2g).

For the PHT cohort, cluster H1 owned higher expressions of neutrophils, monocytes and TGF $\beta$  while harboring lower infiltration of antigen processing signature. Cluster H2 was featured as up-regulated levels of neutrophils, monocytes and antigen processing signatures. Cluster H3 scored highly for antigen processing and interferon signatures, but the infiltration of neutrophils and monocytes was down-regulated. Except for T cell and TGF $\beta$ , cluster H4 owned lower scores of all other immune modules (Fig. 2f).

Interestingly, the levels of all immune features showed remarkable difference between the H2 and H4 clusters, and these two clusters showed distinct overall survival in pan-cancer or type-specific analysis of the PHT cohort (Fig. 3d). While the cases of ALL-P2 were mainly distributed in the H3 (B-precursor cell dominant) and H4 (T-cell dominant) clusters, the tumor cases in each of other types were all distributed in the 4 clusters (Fig. 2h).

The associations between tumor subtypes and immune clusters were also studied (Fig. 2i). *MYCN*-amplified NB had a higher proportion of cluster S1 ( $P$ -value < 0.001) and lower proportion of S5 ( $P$ -value < 0.001) compared to *MYCN* non-amplified NB. For WT, DAWT (diffuse anaplastic Wilms tumor) showed a higher proportion of S1 cases ( $P$ -value = 0.011) and fewer S2 ( $P$ -value = 0.018) and S4 ( $P$ -value = 0.05) cases than FHWT (favorable histology Wilms tumor). In ALL-P2, 95.3% of B-precursor and 90.9% of T-cell ALL cases were clustered in H3 and H4, respectively, with all *ETV6-RUNX1* fusion cases in H3. For AML-1, 50% of *CEBPA* mutation cases and 80% of *DEK-NUP214* fusion cases were in H3 and H1, respectively, while 63.6% of *NUP98-NSD1* fusion and 64.5% of *WT1* mutation cases were in H2. For AML-2, 97.8% of *CBFB-MYH11* fusion cases and 62.5% of *KMT2A* fusion cases were in H1/H2 and H2, respectively.

### **Immune clusters exhibit distinct overall survival both in PST and PHT**

We next sought to investigate the clinical features of patients in each of the immune clusters. Most of the RT cases with younger age of diagnosis belonged to cluster S1

and the majority of OS cases with elder age of diagnosis were classified as cluster S4 (Figs. 2g and Supplementary Fig. S1a), which resulted in significantly difference of the age (two-tailed *t*-test, *P*-value = 0.00021) between clusters S1 and S4 in the PST cohort (Fig. 3a). In the PHT cohort, AML-1 cases exhibited elder age of diagnosis than each of the other types of hematological tumors (Kruskal-Wallis test, *P*-value < 2.2e-16) (Supplementary Fig. S1b), while significantly older cases were found in cluster H1 than others (Kruskal-Wallis test, *P*-value = 8e-6) (Fig. 3b). However, no associations were identified between immune clusters and gender or race in both PST and PHT cohorts (Fig. 3a, b). Multivariate COX analysis showed immune clusters were prognosis indicators independent of age, gender and race in both PST and PHT cohorts (Supplementary Tables S9 and S10).

Survival analysis was performed to elucidate the associations between immune clusters and patient outcomes. In the PST cohort, S1 and S5 behaved as poor prognostic features while clusters S2 and S4 exhibited relatively prolonged survival time (log-rank test, *P*-value < 0.001) (Fig. 3c). To validate if the prognostic values of immune clusters still remained within tumor entities, we analyzed the overall survival of NB, OS, WT and RT across immune clusters (Fig. 3c). The analysis of CCSK was not available because all the CCSK cases belonged to cluster S4. In NB, clusters S1 and S3 exhibited poor overall survival while significant difference was found in survival of S4 (log-rank test, *P*-value = 0.008). For WT, S1 was still in the worst situation, while the survival rates of S2 and S3 were similar as shown in the whole PST cohort (log-rank test, *p* = 0.08). The difference of survival rate was not significant in RT (*P*-value =

0.67) and OS ( $P$ -value = 0.21), possibly due to the small sample size and uneven distribution of these cases in immune clusters.

For the PHT cohort (Fig. 3d), cluster H4 possessed highest survival rate than other clusters (log-rank test,  $P$ -value = 0.002). In ALL-P2, H3 and H4 owned completely different survival rate (log-rank test,  $P$ -value < 0.001). In this study, we divided AML into FLT-ITD mutation positive and negative subtype, and found they had completely different survival curves of immune clusters. Cluster H2 had the lowest survival rate in AML-1 (log-rank test,  $P$ -value = 0.015). While for AML-2, H2 had the highest survival rate, and H4 owned the lowest survival rate (log-rank test,  $P$ -value = 0.019).

Considering that tumors with small sample sizes, including ALL-P3, CCSK, RT, and OS, could potentially skew the overall survival curves, we performed additional analyses excluding these tumors. In the PST cohort, survival curves were recalculated after excluding RT (Supplementary Fig. S2a), CCSK (Supplementary Fig. S2b), and OS (Supplementary Fig. S2c), yielding  $P$ -values of 0.002, <0.001, and <0.001, respectively. In the PHT cohort, excluding ALL-P3 resulted in a  $P$ -value of 0.001 (Supplementary Fig. S2d). Importantly, the overall trends of survival across different immune clusters remained consistent after excluding these small-sample tumors, indicating that their impact on our study conclusions was minimal.

### **Differentially expressed genes and pathways of immune clusters**

To figure out the underlying functional genes of immune pathways, DESeq2 was utilized to find out the differential gene expressions between specific subgroup and

others. In the PST cohort, we found top 10 immune-signature-specifically up-regulated genes (based on  $\log_2$ -fold change) including genes encoding ribosome proteins (*RPL29*, *RPS14*, *RPL39*, *RPS15* and *RPS29*) in cluster S1, TGF $\beta$  (*COL1A2*, *MMP14* and *ITGB3*) in cluster S4 and B cell (*CR2* and immunoglobulin genes) in cluster S5. On the contrary, immunoglobulin genes (*IGKJ4*, *IGHJ4*, *IGHJ6*, *IGHV7-4-1* and *IGHJ5*) were significantly down-regulated ( $\log_2$ -fold change  $< -5$ ) in clusters S2 and S3 (Fig. 4a). Additionally, genes significantly up-regulated ( $\log_2$ -fold change  $> 1$ , q value  $< 0.05$ ) in cluster S4 were enriched for angiogenesis, NK cells and neutrophils, which may contribute to the better survival of cluster S4 (Figs. 2e and 3c and Supplementary Table S11).

For the PHT cohort (Fig. 4b), we found monocytes or neutrophils genes were enriched in H1 (*CLC*, *MNDA*, *TREM2*, *TNFAIP6*, *S100A12*, *HK3*, *CLEC10A*, *S100A9* and *CHI3L1*) and H2 (*MARCO*, *C5AR1*, *AQP9*, *FPR1*, *CXCR1*, *S100A12* and *VNN3*) clusters, while genes *CLEC10A*, *MARCO*, *S100A9* and *S100A12* were down-regulated in H3 and H4 clusters. And possibly because of B-precursor dominant ALL-P2 being mostly distributed in H3 cluster (Fig. 2i), B cell genes (*TCL1A*, *VPREB3*, *EBF1*, *PAX5*, *CD19*, *BLNK*, *CD79A*, *CORO2B*, *CD22* and *POU2AF1*) were highly expressed in H3 cluster.

In order to obtain immune-related genes with potential prognostic values, we performed univariate COX analysis on differentially expressed genes ( $\log_2$ -fold change  $> 1$  or  $< -1$ , q value  $< 0.05$ ), and 11 and 9 genes (HR  $> 1.05$  or  $< 0.95$ , adjusted *P*-value  $< 0.05$ ) were acquired in the PST (Fig. 5a) and PHT (Fig. 5b) cohorts, respectively.

Additionally, genes with HR > 1 or < 1 and  $P$ -value < 0.05 are provided in Supplementary Tables S12 and S13 for further reference. In the PST cohort, the neutrophil-related gene *ALPK1* and the angiogenesis genes (*PTPRB*, *PALMD* and *HECW2*) were up-regulated in cluster S4, while the T cell gene *KLRK1* and the B cell genes (*IGLV5-48*, *IGKV3-7*, *IGHV3-35* and *PIK3CG*) showed elevated expression in S5. In the PHT cohort, the monocyte marker *CD68* was up-regulated in clusters H1 and H2, whereas the monocyte gene *CCL13*, NK-cell gene *SHC4*, TGF $\beta$  gene *ITGA3* and T-cell genes *RTKN2*, *LIME1*, *SPEG* and *JAKMIP1* were all enriched in cluster H4. The statistical significance of these differential expression results, including  $P$ -values and Benjamini-Hochberg adjusted  $P$ -values, is detailed in Supplementary Tables S14 and S15.

### **Heterogeneity of hallmark pathways in immune clusters**

The hallmark pathways are part of pathway modules in GSEA, which contain important and well-defined oncology pathways. The GSEs of these hallmark pathways were calculated and utilized to perform univariate COX regression analysis, and 18 and 31 prognostic pathways (univariate COX analysis,  $P$ -value < 0.05) were acquired in PST (Fig. 5c, Supplementary Table S16) and PHT (Fig. 5d, Supplementary Table S17), respectively. In the PST cohort, the prognostic hallmark pathways showed distinct expression among clusters, especially between clusters S1 and S4, which was consistent with the overall survival of these clusters (Figs. 3c and 5c). For the PHT cohort, cluster H2 and H4 showed distinct expressions of hallmark pathways.

**NB samples with higher S4 gene scores exhibited prolonged survival time**

As the S4 cluster possessed favorable prognosis in the PST cohort, especially for NB, up-regulated genes in the S4 cluster ( $\log_2$ -fold change > 0.5,  $q$  value < 0.05) were utilized to perform univariate COX analysis on NB samples from the TARGET cohort. Forty-four genes ( $P$ -value < 0.05) were identified and used to construct S4 gene score (Supplementary Table S18). We first calculated the S4 gene scores for the neuroblastoma samples in all of the clusters from the TARGET cohort (Fig. 6a). The S4 cluster exhibited the highest score across all clusters, demonstrating that the S4 gene scores effectively represent the S4 cluster. Before calculating the S4 scores for the ZJUCH-NB cohort, we performed batch effect correction on the ZJUCH-NB expression data, using TARGET-NB as the reference cohort to eliminate any potential technical bias (see PCA plots before and after correction in Supplementary Fig. S3a, b). Following this, we found that high S4 gene scores were correlated with prolonged survival time for NB in both the TARGET and ZJUCH cohorts ( $P$ -value < 0.0001 and  $P$ -value = 0.0014) (Fig. 6b, c). GO and KEGG analyses showed that the genes used in the S4 gene score were mostly enriched in membrane signaling and oncology pathways (Fig. 6d, e), consistent with the properties of immune genes in neuroblastoma. Specifically, the S4 gene scores were mainly enriched in the KEGG pathways of “Aldosterone-regulated sodium reabsorption,” “Thyroid hormone signaling pathway,” “Carbohydrate digestion and absorption,” “FoxO signaling pathway,” “PI3K-Akt signaling pathway,” and “Phospholipase D signaling pathway”<sup>28-30</sup>.

Tumor purity is known to potentially influence tumor immune infiltration. To investigate this, we calculated tumor purity for samples from both the TARGET database and ZJUCH cohort (Supplementary Tables S19). The cohorts with the highest tumor purity (>0.7) include TARGET CCSK (100%), TARGET ALL-P2 (83.6%), TARGET RT (82.5%), and TARGET NB (78.7%). Other cohorts, including TARGET AML, TARGET OS, TARGET ALL-P3 and ZJUCH NB, exhibited moderate tumor purity. Although tumor purity of ZJUCH NB was generally lower compared to the TARGET cohort, we found that the relationship between the calculated S4 gene scores and tumor purity was minimal (Supplementary Fig. S4), indicating that tumor purity had little impact on our analyses.

## Discussion

By utilizing immune signatures collected in a previous study<sup>19</sup>, we described immune signatures in pediatric solid and hematological tumors from the TARGET cohort, and divided them into immune clusters with distinctly differential expression of immune cell infiltrating features. These immune clusters showed prognostic significance in pan-cancer and within some specific cancer types. In the PST cohort, Neutrophils and B cell behaved as the most favorable and poor prognosis indicators both in NB and WT samples. For NB, clusters S4 and S5 with highly expressed neutrophils signature owned prolonged survival time, aligning with previous findings indicating favorable outcomes in myeloid-predominant pediatric nervous system tumors<sup>31</sup>. In our work, WT samples in cluster S1 with abundance of B cell were in a worse situation compared to

clusters S2 and S3, based on the transcriptome data. However, a study based on DNA methylation data stated that B cell enrichment was higher in the low-risk group than in the high-risk group of WT<sup>32</sup>, possibly due to the tumor heterogeneity and other immune factors involved. In the PHT cohort, ALL-P2 samples were mainly divided into clusters H3 and H4. H3 was mainly consisted of B cell precursor ALL cases, while H4 was mainly consisted of T cell ALL cases. In contrast, AML samples were distributed in all the four groups. For AML, cases in H2 and H4 clusters, with (AML-1) or without (AML-2) *FLT3*-ITD mutation, exhibited completely different survival curves. This disparity may be attributed to the roles of *FLT3*-ITD mutation in the JAK/STAT signaling pathway, which acts as an important factor in inflammation response<sup>27</sup>. However, the detailed associations between *FLT3*-ITD mutation and immune cell infiltrating still need further investigation. Future work should experimentally validate the impact of *FLT3*-ITD mutations on the immune microenvironment in hematological cancers.

To dig out the underlying mechanism contributing to the prognostic significance of immune clusters, we analyzed differentially expressed genes of immune modules and hallmark pathways across immune clusters. A series of immune genes were identified, and the expression levels and prognostic significance of these genes were consistent with relationship between immune infiltrating cell signatures and survival rate across immune clusters. Upregulated expression of *PIK3CG* is associated with a positive outcome of lung adenocarcinoma<sup>33</sup>, but a poor outcome of immune-inflamed colorectal cancer<sup>34</sup>. Our data showed the prolonged survival was related to high expression of *PIK3CG* in the PST cohort. In the PST cohort, we also observed that immunoglobulin

genes were highly expressed in the B cell dominant cluster S5, providing valuable information for the development of new therapies focused on these tumors. In addition, the gene scores of 44 up-regulated genes in the S4 cluster (S4 gene score) were correlated with favorable prognosis of NB in the ZJUCH cohort, and this result validated that our results of prognosis grouping were reasonable and highly reproducible.

For translational applications, our findings suggest that immune clusters could serve as potential biomarkers for prognosis in pediatric tumors. In particular, clusters enriched in immune signatures like neutrophils or B cells could guide treatment decisions or stratification in clinical trials. One potential next step is to validate the utility of immune cluster classification in prospective clinical trials, evaluating whether these clusters can predict treatment response or identify high-risk patients in need of more aggressive therapies.

This study contributes to advancing several Sustainable Development Goals (SDGs), particularly those related to health and well-being, by improving cancer treatment outcomes and fostering the development of precision medicine. By identifying immune-related prognostic factors, we aim to enhance survival rates and quality of life for children with these challenging diseases, aligning with the global vision for equitable healthcare and better access to effective treatments. Future work will focus on refining these immune profiles through more detailed molecular approaches, including single-cell RNA sequencing, spatial transcriptomics, and proteomics. Additionally, the clinical applicability of these profiles should be tested across diverse patient cohorts to assess

their relevance and effectiveness.

There are still some limitations in our study. For example, the sample sizes for CCSK, OS, RT, and ALL-P3 were relatively small and unevenly distributed across the immune clusters, which hindered the construction of a robust immune profile. Specifically, 60.3% of RT cases were concentrated in S1, 71.2% of OS cases in S4, and all CCSK samples were assigned to S4, resulting in insufficient representation in the other clusters for reliable comparisons. Another concern is that the analysis is based on bulk RNA-seq, which may introduce potential bias and lead to overestimation of gene expression levels in different immune clusters. Moreover, our study was conducted within the tumor patient populations and did not include comparisons with normal tissues. This limits our ability to delineate immune features that are uniquely altered in the tumor microenvironment versus those that may also be present in normal tissue. Further studies should include more patients with tumors and diverse racial backgrounds, especially those with small sample sizes, to depict immune features in more detail and breadth.

## **Conclusion**

In summary, this study addressed key research questions regarding the immune infiltration patterns in pediatric solid and hematological tumors and their prognostic significance. We classified PST and PHT into distinct immune subtypes, revealing immune clusters that correlate with different overall survival rates. Specifically, clusters S2 and S4 of the PST cohort, as well as clusters H2 and H4 of the PHT cohort,

exhibited unique immune features and survival outcomes, answering our first research question by identifying immune subtypes associated with patient prognosis.

Furthermore, we identified immune-related signaling pathways and genes that are prognostically relevant to pediatric cancers. In particular, 44 upregulated genes in the S4 cluster of the PST cohort were associated with a favorable prognosis in neuroblastoma samples, which addresses our second research question. These findings highlight potential immune biomarkers that could serve as prognostic indicators for pediatric cancer patients.

In conclusion, our study provides a comprehensive understanding of the immune landscape in pediatric tumors, identifies immune features that are significant for prognosis, and proposes potential biomarkers for future therapeutic development. These findings could inform the design of more precise immunotherapy strategies to improve clinical outcomes for pediatric cancer patients.

#### **Data availability**

High-throughput sequencing and clinical data are acquired from TARGET database through Genomic Data Commons (GDC) data portal (<https://gdc.cancer.gov/>). The neuroblastoma validation cohort (refer to as ZJUCH cohort) were obtained from Children's Hospital Zhejiang University School of Medicine. The RNA-seq data of the ZJUCH cohort were available from the Genome Sequence Archive in National Genomics Data Center, China National Center for Bioinformation / Beijing Institute of Genomics, Chinese Academy of Sciences under accession numbers HRA006359 and

HRA007828.

### Abbreviations

PST	Pediatric solid tumor
PHT	Pediatric hematological tumor
NB	Neuroblastoma
AML	Acute myeloid leukemia
TIME	Tumor immune microenvironment
ALL	Acute lymphoblastic leukemia
OS	Osteosarcoma
WT	Wilms tumor
RT	Rhabdoid tumor
CCSK	Clear cell sarcoma of kidney
dbGaP	Database of Genotypes and Phenotypes
NIH	National Institutes of Health
TPM	Transcripts per kilobase of exon per million mapped reads
FPKM	Fragments per kilobase of exon per million mapped fragments
ssGSEA	Single-sample gene set enrichment analysis
GSEs	Gene set enrichment scores
QuSAGE	Quantitative set analysis for gene expression
GO	Gene Ontology
KEGG	Kyoto Encyclopedia of Genes and Genomes
DAWT	Diffuse anaplastic Wilms tumor
FHWT	Favorable histology Wilms tumor

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### Author Contributions

T.T., S.N. and J.W. provided resources and obtained funding. T.T., S.N. and Q.H. conceived and supervised the study. T.T., Z.X. and J.Q. generated the data. T.T., Z.X., Q.H. and J.L. performed analysis. T.T. and Z.X. interpreted the data and wrote the main manuscript text. Z.X. prepared figures and tables. All authors reviewed and approved the final manuscript.

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### Declarations

### Competing interests

The authors declare no competing interests.

### Figure legends

**Fig. 1.** Flowchart for the bioinformatic pipeline: A total of 127 neuroblastoma samples from the ZJUCH cohort were subjected to RNA-seq analysis, including RNA extraction, library preparation and sequencing, RNA-seq data alignment, duplicate marking, and gene counting. Additionally, 2,725 childhood cancer samples were collected: TARGET-NBL (n = 150), TARGET-OS (n = 73), TARGET-WT (n = 124), TARGET-RT (n = 63), and TARGET-CCSK (n = 13) were included to characterize the immune signatures of the PST cohort, while TARGET-AML (n = 1,780), TARGET-ALL-P2 (n = 440), and TARGET-ALL-P3 (n = 82) were included in the PHT cohort. Immune subtypes were constructed based on the distribution of immune signatures. Differentially expressed genes and pathways were then identified across these immune subtypes. Furthermore, up-regulated genes in the S4 cluster were used to construct a prognostic S4 gene score, which was subsequently validated in the ZJUCH cohort.

**Fig. 2.** Immune clusters of 423 pediatric solid tumors (PST) and 2302 pediatric hematological tumors (PHT): **a, b** Barplots showing sample composition in the PST and PHT cohorts. CCSK: clear cell sarcoma of kidney; NB: neuroblastoma; OS: osteosarcoma; RT: rhabdoid tumor; WT: Wilms tumor; ALL: acute lymphoblastic leukemia; AML: acute myelogenous leukemia. **c, d** Heatmap acquired from Corrplot revealing spearman's correlation of 73 and 115 prognostic immune signatures in the

PST (c) or PHT cohort (d). Black rectangles containing highly correlated signatures were utilized to construct immune modules. Wound\_CSR: fibroblast core serum response in wound healing. e, f Enrichment heatmaps, forest plots and bubble plots of selected immune modules in the PST (e) and PHT cohorts (f). Enrichment heatmaps cluster patients into 5 (S1-S5) and 4 (H1-H4) immune clusters in the PST and PHT cohort, respectively. Forest plots show hazard ratios (HRs) of immune modules in the whole PST or PHT cohort. Bubble plots reveal HRs and Benjamini-Hochberg adjusted *P*-values in two cohorts and within specific tumors, the color of the circles depicts the HR and the size of the circles represents the  $-\log_{10}(P\text{-value})$ . g, h Stacked barplots showing the distribution of the tumor samples in immune clusters in the PST (g) and PHT cohort (h). I Stacked barplots revealing associations of tumor subtypes with immune clusters. *MYCN* amp: *MYCN*-amplified neuroblastoma; *MYCN* non-amp: *MYCN* non-amplified neuroblastoma; DAWT: diffuse anaplastic Wilms tumor; FHWT: favorable histology Wilms tumor. The most commonly *KMT2A* fusion includes *KMT2A-ELL*, *KMT2A-MLLT10*, *KMT2A-MLLT3* and *KMT2A-MLLT4*.

**Fig. 3.** Clinical parameters and overall survival curves of immune clusters in PST and PHT: a, b Associations of clinical parameters with immune clusters in the PST (a) and PHT (b) cohorts. Boxplots reveal the distribution of diagnostic age among immune clusters. The boxes show the median (represented by a horizontal line) and interquartile range (IQR), while the whiskers indicate the largest and smallest values within 1.5 times the IQR. The pairwise comparisons between immune clusters were

done by the two-tailed unpaired *t*-test, and Kruskal-Wallis test was used for all the groups. Stacked barplots showing fractions of gender and race in immune clusters. **c**, **d** Survival curves of immune clusters in the PST (**c**) and PHT (**d**) cohorts. AML-1: AML with *FLT3*-ITD mutation; AML-2: AML without *FLT3*-ITD mutation. Log-rank test *P*-values are denoted.

**Fig. 4.** Enrichment analysis of immune genes by DESeq2: **a**, **b** Volcano plots showing differential expression of immune genes in each immune cluster compared to others in the PST (**a**) or PHT cohort (**b**). Dashed lines represent the thresholds of log<sub>2</sub>-fold change (log<sub>2</sub>FC >1 or < -1) and *q* value (*q* < 0.05). Top 10 down-regulated and up-regulated immune genes are annotated. Black rectangle represents differentially expressed genes with *P*-values less than 1e-250.

**Fig. 5.** Differentially expressed immune genes and hallmark pathways across immune clusters: **a**, **b** Differentially expressed immune genes acquired from DESeq2 with hazard ratios (HRs) less than 0.95 or more than 1.05 and Benjamini-Hochberg adjusted *P*-values less than 0.05 in the PST (**a**) and PHT cohorts (**b**). Heatmap depicting log<sub>2</sub>-fold change (Log<sub>2</sub>FC) of genes in each immune cluster compared to others. Forest plots revealing the HRs and *P*-values in the whole PST or PHT cohort. **c**, **d** Heatmaps, forest plots and bubble plots of differentially expressed and prognostic hallmark pathways. Heatmaps revealing log<sub>2</sub>-fold change (Log<sub>2</sub>FC) of hallmark pathways across immune clusters. Forest plots showing HRs in the whole

PST (**c**) or PHT (**d**) cohort. Bubble plots showing HRs and Benjamini-Hochberg adjusted *P*-values in two cohorts and within specific cancer types.

**Fig. 6.** Construction of immune gene scores to evaluate prognosis of neuroblastoma:

**a** The S4 gene scores of neuroblastoma samples in all of the clusters from the TARGET cohort. **b, c** The relationship between S4 gene scores and prognosis of neuroblastoma samples in the TARGET cohort (**a**) and ZJUCH cohort(**b**). **D, E** Gene Ontology (GO) analysis (**d**) and Kyoto Encyclopedia of Genes and Genomes (KEGG) analysis (**e**) of 44 genes utilized to construct S4 gene score.

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**Table 1 Selected immune modules for the PST cohort.**

Immune modules	Immune signatures	HR	CI (95%)	<i>P-value</i>				
				PST	NB	OS	WT	RT
B cell	IGG_Cluster_21214954, IGG_Cluster, Bcell_mg_IGJ, Plasma_cells_ImSig, BCRSignalingPathway, TNBC_B_Cell, B_Cell_60gene, Bcell_21978456, IgG_19272155	2.06	1.39- 3.06	<0.01	0.02	0.24	0.01	0.72
Neutrophils	Neutrophils_ImSig	0.38	0.21- 0.71	<0.01	<0.01	0.05	0.01	0.18
Wound_CSR	CHANG_CORE_SERUM_ RESPONSE_UP, LYMPHS_PCA_16704732, Translation_ImSig	1.52	1.03- 2.25	0.03	0.09	0.76	0.65	0.93
Dendritic cell	Activated_dendritic_cell	1.75	0.78- 3.92	0.17	0.32	0.51	0.46	0.08
Angiogenesis	Angiogenesis, Endothelial_cells_ MCPcounter	0.63	0.43- 0.92	0.02	0.33	0.53	0.73	0.84
NK cell	Natural_killer_cell	0.26	0.11- 0.60	<0.01	0.21	0.05	0.29	0.40
TGFb	TGFB_score_21050467, TGFb_Family_Member	0.54	0.33- 0.89	0.02	0.35	0.95	0.21	0.26
T cell	T_cell_PCA_16704732, T_Cell	0.22	0.08- 0.64	<0.01	0.03	0.70	0.66	0.19

**Table 2 Selected immune modules for the PHT cohort.**

Immune modules	Immune signatures	HR	CI (95%)	P-value				
				PHT	ALL-P2	ALL-P3	AML-1	AML-2
T cell	T_cell_PCA_16704732, T_Cell, Tcell_receptors_score, T_cells_MCPcounter, T_cells_CD4_memory_activated, CD8_cluster, T_Cell_cluster, T_cells_CD4_naive, T_cells_follicular_helper, T_cells_regulatory_Tregs, T_cells_CD8, T_cells_CD4_memory_resting	1.09	0.74-1.60	0.66	<0.01	0.51	0.81	0.66
Interferon	Minterferon_Cluster_21214954, Interferon_ImSig	1.32	1.03-1.69	0.03	0.73	0.13	0.37	0.03
NK cell	Natural_killer_T_cell, NaturalKiller_Cell_Cytotoxicity	1.42	0.79-2.54	0.24	0.08	0.07	0.05	0.24
TGFb	TGFB_score_21050467	0.7	0.46-1.06	0.10	<0.01	0.69	0.24	0.10
B cell	B_cell_PCA_16704732, B_Cell	0.35	0.21-0.60	<0.01	<0.01	0.56	<0.01	<0.01
Antigen processing	APM1, MHC_I_19272155, MHC1_21978456	1.01	0.83-1.22	0.95	<0.01	0.20	<0.01	0.95
Neutrophils	Neutrophils_MCPcounter, Neutrophils_ImSig, Neutrophil, Neutrophils	0.78	0.61-1.00	0.05	0.02	0.93	0.16	0.05
Monocytes	Monocytes, Macrophages_M2, Macrophages_M0	0.74	0.57-0.97	0.03	<0.01	0.63	<0.01	0.03











