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Stable microstructure in a nanocrystalline copper-tantalum alloy during shock loading

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The microstructures of materials typically undergo significant changes during shock loading, causing failure when higher shock pressures are reached. However, preservation of microstructural and mechanical integrity during shock loading are essential in situations such as space travel, nuclear energy, protection systems, extreme geological events, and transportation. Here, we report ex situ shock behavior of a chemically optimized and microstructurally stable, bulk nanocrystalline copper-tantalum alloy that shows a relatively unchanged microstructure or properties when shock compressed up to 15 GPa. The absence of shock-hardening indicates that the grains and grain boundaries that make up the stabilized nanocrystalline microstructure act as stable sinks, thereby annihilating deformation-induced defects during shock loading. This study helps to advance the possibility of developing advanced structural materials for extreme applications where shock loading occurs.

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Many future applications that aim to harness, avoid, or mitigate the collision of shock waves with condensed matter (and the extreme pressures/temperatures that result) are yet to be realized, primarily due to the lack of new advanced structural materials. Central to this predicament is the discovery of materials, which must preserve their microstructural integrity under intense loading and temperature^{1–5}. Therefore, it is imperative to understand factors that alter the sub-features, which control both structural and functional properties, in particularly under conditions of shock physics that represent one of the most extreme environments where virtually every polycrystalline structural materials' microstructure breaks-down (significant changes)¹. Under shock compression loading, the intense strain rates and driving pressure generated are revealed through the post mortem changes in the residual microstructure. Inevitably, the resultant microstructure consists of high non-equilibrium concentrations of lattice defects such as vacancy clusters, dislocation cells/networks, stacking faults, deformation twins, etc. The generation of these defects is dependent on numerous experimental factors such as peak shock pressure/stress, pulse duration, strain-rate, temperature, and number of material-dependent parameters. In coarse-grained polycrystalline materials, this rearrangement of the microstructure leads to significant increase in the residual hardness (as much as 100%) and reduction in the ductility, which can result in failure of the ductile material in a brittle manner².

Within the field of shock physics, the interaction of shock waves with nanocrystalline (NC) metals is a relatively unexplored area of research. Unlike traditional coarse-grained polycrystalline metals, NC metals are exceedingly susceptible to microstructural instabilities due to their far from equilibrium state. This microstructural instability has manifested itself as rapid and extensive grain growth due to all forms of deformation including compression, indentation, tension, wear, fatigue, and creep^{6–16}. For instance, room temperature indentation experiments by Zhang et al.⁶ on pure NC–Cu resulted in rapid grain coarsening from 20 nm to about 200–300 nm (1000% increase in the initial mean grain size). See Supplementary Fig. 1 for a practical/stark example of instability in NC–Cu. This instability becomes exacerbated when testing conditions become more and more extreme¹⁷.

To date, not a single publication exists on the experimental shock testing of bulk NC metals (average grain size \leq 100 nm in all three dimensions) and sample size >5 mm in 3 dimensions. The lack of publications is due to the difficulties in consolidating NC metals because of their poor thermal microstructural stability as well as overall sample dimensions. Consequently, the vast majority of literature has been devoted to computational modeling efforts of simulated microstructures^{18–31}. With regard to the limited experimental studies, the work has utilized laser-driven compression of physically deposited thin films or electrodeposits (<500 μ m)³². Within that subset, the number of laser-based studies presenting post-mortem microstructural characterization is limited to just a few (e.g.,^{33,34}). Specifically, Lu et al., observed ~50% increase in the post-deformed strength along with rapid grain coarsening from average 70 nm to 1400 nm in pure NC Ta³⁴. However, the physics governing the wave propagation and its ability to obtain an equilibrium state in laser-based experiments leaves many questions as to the stress state within the material. An active debate on comparing and drawing definitive conclusions between laser-driven experiments to conventional shock recovery studies is still ongoing.

Recent advances in stabilizing NC alloys are allowing investigation of their true mechanical response, i.e., in the absence of grain growth. This microstructural stabilization has revealed drastic deviations in the expected mechanical response under various extreme conditions^{5,35,36}. To build off this, we are

reporting the first comprehensive ex-situ shock experimental behavior of a chemically optimized and microstructurally stable bulk NC copper (Cu)-3at.% tantalum (Ta) material, (designated NC–Cu–3Ta). This optimization is in reference to a systematic study that worked through various solute contents to understand their effect on interplay between cluster stability, precipitation hardening effects, and the overall stability of the matrix average grain size. The resilience of this material is demonstrated by relatively unchanged microstructure and the absence of hardening despite being shock compressed up to 15 GPa, i.e., this indicates the material's ability to resist/annihilate high defect accumulation. This is the first time such microstructural insensitivity has been observed at these extreme conditions and is in contrary to the findings for any other known alloys.

Results

Microstructure before shock loading. The material for this study was processed through mechanically alloyed powders using a multi-pass high-temperature equal channel angular extrusion (ECAE) at 700 °C. Complete details of the powder processing and consolidation efforts can be found in^{5,35} and also Supplementary Methods. Primary microstructural analysis of the as-consolidated test samples using transmission electron microscopy (TEM, see Supplementary Methods) indicates that the extruded microstructure for this alloy was found to have an average grain size of 87 ± 15 nm with Ta based nanoclusters having an average diameter of 3.2 ± 0.9 nm, see Supplementary Methods. These Ta based nanoclusters are observed to exist within the Cu matrix and along grain boundaries. To evaluate microstructural evolution and deformation mechanism under extreme conditions, the NC–Cu–3Ta disks were shock compressed, released, and recovered following the procedure outlined in Supplementary Methods as well as using the shock recovery assembly shown schematically in Supplementary Fig. 4 for conventional shock recovery. Two samples were shock compressed to \sim 8 and 15 GPa, respectively under which some plastic deformation occurred, then released back to ambient conditions using a conventional gas gun. Following soft recovery, the specimens were analyzed using TEM and Precession Electron Diffraction (PED) as well as hardness mapping.

Mechanical response after shock-compression loading. The residual structure-property relationships in shock compressed samples were probed through TEM and hardness measurements. Structure-property relationships have been extensively studied for several decades via shock recovery experiments in various coarse-grained materials^{1,2,37–39}. In general, these results show that for coarse-grained metals and metallic alloys, the residual hardness exhibits an empirical linear relationship as a function of the square root of peak shock pressure. This relationship holds true up to a critical shock stress beyond which geometric or thermal softening dominates the response^{1,38}. This relationship has been generally represented by the following empirical forms^{5,14}:

$$\sigma = \sigma_o + \alpha \mu b \sqrt{\rho} + K_1 d^{-1} + K_2 \Delta^{-1/2} \quad (1)$$

$$(\sigma - \sigma_o) = \zeta (H - H_o) = 2 \alpha \mu b \sqrt{P} \quad (2)$$

where σ and σ_o are the post and the pre-shock yield strength, respectively, ρ is the dislocation density, K_1 and K_2 are material parameters, α is a constant $\cong 0.5$, μ is the shear modulus, d is the dislocation cell size, Δ is the twin spacing, b is the magnitude of the Burgers vector, ζ is a constant, H and H_o are the post and pre-shock hardness, and P is the peak shock pressure. Both equations suggest that increasing the peak shock pressure will increase the dislocation density, and consequently, the post-shock yield stress

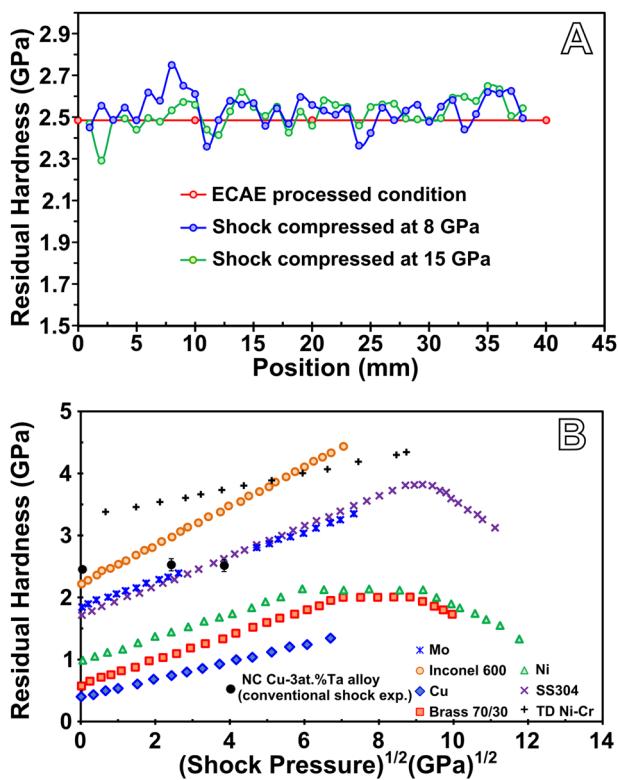


Fig. 1 Post-deformed mechanical behavior of NC-Cu-3Ta and its comparison with advanced structural alloys. a The hardness of the as-received NC-Cu-3Ta alloy sample compared to the residual hardness of the samples shock compressed to ~ 8 GPa and 15 GPa using a conventional shock recovery technique, respectively. **b** The residual hardness as a function of $(\text{shock pressure})^{1/2}$ for several important metals and metallic alloys^{1,38}.

and the residual hardness. Figure 1a shows negligible changes in the residual hardness across the through-thickness direction between the as-received material and samples shock compressed to ~ 8 and 15 GPa, respectively through conventional shock recovery experiments in NC-Cu-3Ta. Such behavior is anomalous for metals and metallic alloys shock compressed to such high pressures. For instance, as shown in (Fig. 1b), the hardness in pure Cu and brass is found to increase by factors of two and three, respectively. However, the NC-Cu-3Ta alloy (i.e., 97% Cu) did not exhibit any significant changes. To the best of the author's knowledge, this type of behavior has never been reported before in the open literature for any structural materials including the work of Bringa et al.⁴⁰, which shows significant increase in material's strength as a function of shock pressure. Whereas, increases in strength of conventional coarse-grained (FCC) materials, i.e., aluminum, copper, and nickel, shocked under similar conditions to those performed in this study are the function of drastic alterations in their microstructure, be it dislocation cells/networks, stacking faults, deformation twins, and vacancy clusters⁴¹⁻⁴⁴. For a cursory review with visual examples of the drastic changes Cu and other FCC microstructures undergo as a result of shock loading please see reference⁴⁵.

Stable defect density and their interaction with shock compression loading. To address such anomalous strength behavior, we drive our attention to the microstructures of post-shocked samples at various pressure conditions. In general, during shock loading, evidence of plastic deformation is usually revealed in the residual microstructure. The residual microstructure may consist

of extremely high concentrations of lattice defects such as vacancy clusters, dislocation cells/networks, stacking faults, deformation twins, etc.⁴⁵. The generation of these defects is dependent on numerous factors such as peak shock pressure/stress, pulse duration, strain-rate, temperature, the crystal structure of the material, grain type and size, alloying elements, and second phase particles, which will lead to significant increases in the post-shocked hardness. However, for the bulk NC-Cu-3Ta alloy, as shown in Fig. 2, we observed no significant change in the residual microstructure between the different shock pressures despite undergoing plastic deformation. The bright-field STEM (BF-STEM) images in (Fig. 2a-c) represent the low magnification of the as-received, shock compressed to ~ 8 and 15 GPa samples, respectively. Similarly, (Fig. 2d-f) represents a higher magnification of the as-received and various shock compressed samples. The slight difference in the microstructures of (Fig. 2d and e) is the Ta particles pinning the grain boundary in (Fig. 2d) whereas (Fig. 2e) shows a more homogenous distribution of the Ta particles within the grain interior. The TEM images do not reveal significant signs of localized plastic deformation, i.e., dislocation sub-cell formation and or extensive twinning activities, except in the case of the highest shock pressure (e.g., (Fig. 2f)) where dislocations are pinned by nanoclusters. The small dislocation activity does correlate to an insignificant hardness increase in the post-deformed samples (Fig. 1). Generally, through complex modeling, it has been shown that the grain boundaries in NC metals can absorb dislocations and other defects during active deformation⁴⁶. Nevertheless, as mechanical testing becomes more extreme, as in high rate and shock loading; un-stabilized NC metals will undergo drastic coarsening and begin to function as conventional metals by storing high concentrations of lattice defects within the grain interior. In other words, the absence of grain growth and microstructural evolution in NC-Cu-3Ta signals that the stabilized grains and grain boundaries act as stable sinks and persist, thereby annihilating deformation-induced defects during shock compression loadings. Further, no cracking or voids were observed at the grain boundaries or Cu-Ta interfaces. Overall, the microstructural characterization of NC-Cu-3Ta shown in Fig. 2, supports the earlier observation of negligible post-shocked residual hardness increase despite undergoing plastic deformation (see Supplementary Fig. 5 for shock wave profiles confirming elastic-plastic wave structure).

To evaluate the influence of the extreme pressure jump on the textural changes (grain boundary sliding and grain rotation processes), precession electron diffraction measurements were performed in various regions. The orientation-imaging map (OIM) in Fig. 3 shows a high degree of randomness in the orientation relationships between the Cu grains in the as-received state and the fully released states with a similar texture index (see Supplementary Methods for Supplementary Figs. 2 and 3 for grain boundary character distributions and pole figures). In addition, only a marginal change in the average grain size was observed between the as-received sample and the shock recovered samples. This is true even for the ~ 15 GPa sample where the vast majority of the microstructure remains relatively un-coarsened. An important takeaway from these results is that although there may be a small change in the average grain size; however, no significant changes in the materials hardness value were observed. In our previous study⁴⁷, it was found that the dislocation-nanocluster strength obtained from atomistic simulations at 298 K amounts to a considerable portion of the experimental flow strength as compared to average grain size. Thus, the observations made on recovered shock compressed NC-Cu-3Ta samples clearly indicate the important role of Ta nanoclusters on the structural stability as well as strength of these alloys. These results also allude to the fact that the small change to average grain size

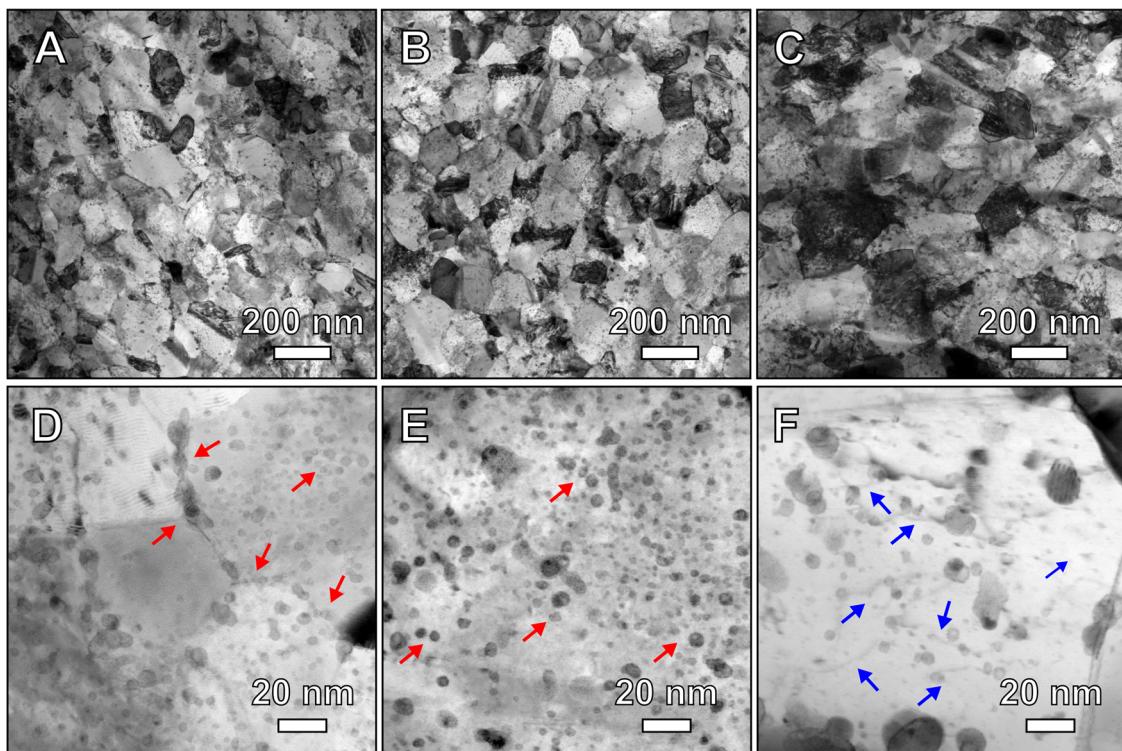


Fig. 2 The bright-field STEM (BF-STEM) characterization of microstructural evolutions acquired for as-received and shock compressed bulk NC-Cu-3Ta alloy. The BF-STEM images **a-c** represent the low magnification of the as-received, shock compressed to ~8 and 15 GPa samples, respectively. Similarly, **d-f** represents a higher magnification of the as-received and various shock compressed samples. Red arrows indicate Ta nanoclusters and the blue arrows highlights dislocation interaction with the Ta nanocluster.

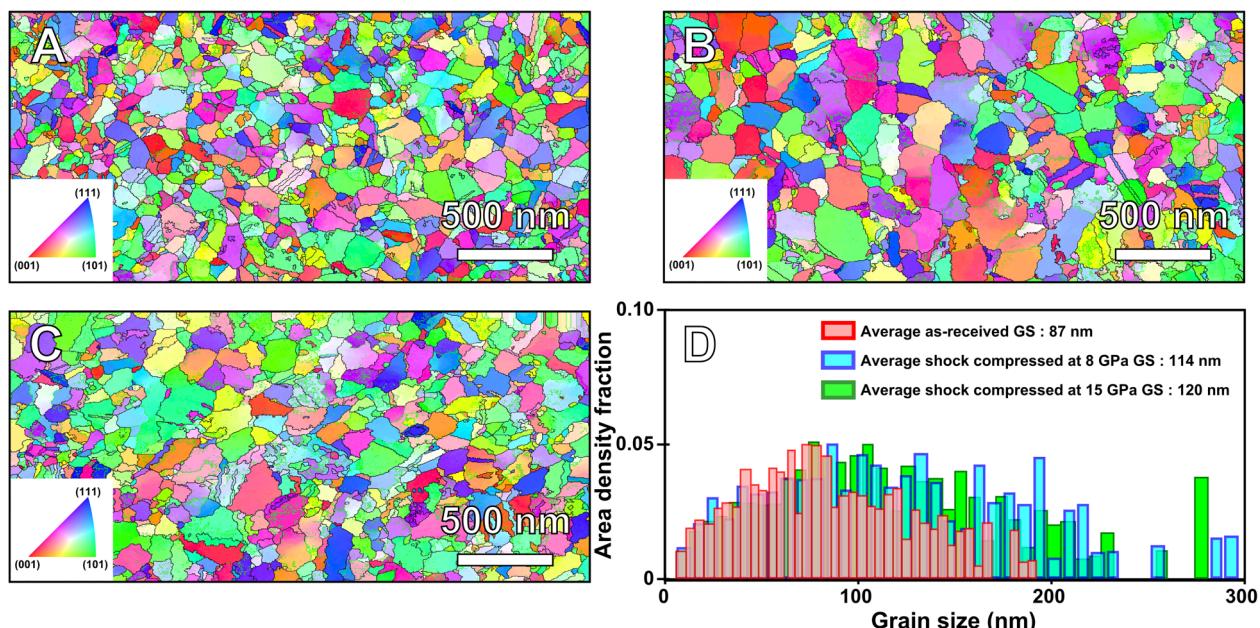


Fig. 3 Microstructural data acquired for as-received and shock compressed bulk NC-Cu-3at.% Ta alloy using Precession Electron Diffraction (PED) microscopy. Precession electron diffraction image of **(a)** as-received material, **(b)** material shock compressed to ~8 GPa, and **(c)** material shock compressed to ~15 GPa. **d** The distributions indicate nominal increase in Cu grain size with the majority of the grains remaining in the NC regime.

following shock compression and release is indeed negligible. Therefore, the overall lack of microstructure and texture evolution in this bulk NC-Cu-3Ta alloy is atypical for metals and metallic alloys shock compressed to ~15 GPa and is deemed

anomalous⁴⁵. This is especially true for conventional NC metals (i.e., un-stabilized) where microstructures are readily susceptible to perturbations in environmental conditions than their coarser-grained counterparts⁶⁻¹⁶. In fact, NC pure Cu is an extreme

example of this, whereby its microstructure is so unstable that it is known to coarsen over time even under ambient laboratory temperature/pressure, and under deformation, this instability is extremely exacerbated⁴⁸. See Supplementary Fig. 1 for an example of just how unstable nanocrystalline Cu can be as a result of deformation.

Discussion

We analyzed the plastic deformation mechanisms active in NC–Cu–Ta alloy during shock compression, i.e., the role of dislocation nucleation and propagation velocity as well as twining and the relaxation processes, which result from these mechanisms. For moderate to high shock stresses, such as those reported in this letter, plastic deformation is governed by the mobile dislocation density and the average mobile dislocation velocity (approaching the shear wave velocity) through the classical Orowan relationship ($\dot{\epsilon} = b\rho v$, where $\dot{\epsilon}$ is the applied strain rate, b is the Burgers vector, ρ is the dislocation density, and v is the dislocation velocity). However, using atomistic simulations, it was recently predicted that NC–Cu–Ta alloys exhibit a reduced dislocation gliding velocity stemming from the stability of the controlling microstructural length scale such as the grain size and Ta nanocluster size/spacing, which act as barriers that pin/slow down defect propagation³⁵. In addition, it was also shown that the introduction of Ta clusters as well as the increased grain boundary volume results in an increased phonon density of states such that phonon-drag forces would play a larger role on mobile dislocations in NC–Cu–Ta alloys through an increased drag coefficient³⁵. Based on the Orowan equation, both of these factors indicate that the mobile dislocation density in Cu–Ta alloys must be much higher than observed. In fact, extremely high non-equilibrium concentrations of defects have been routinely observed post mortem in conventional coarse-grained Cu and many other alloys after shock testing under similar conditions reported here^{1,38}. The lack of accumulated defect structures such as the formation of cell structures (indicative of high dislocation density) within the microstructure of NC–Cu–Ta alloys is then a critical observation.

In regards to twinning, under shock conditions in FCC metals with high stacking fault free energy (SFE) such as pure Cu, twinning threshold stresses decrease with increasing strain rates, i.e., in most, highly shocked Cu samples twinning has been reported as one of the most common deformation modes³⁷. The minimum twinning pressure for coarse-grained Cu and Cu alloys is in the range of 14–20 GPa³⁷. In the case of NC–Cu–3Ta alloy, an active competition between dislocation motion and twinning is expected to decide which will be the more operative deformation mechanism at high-rates⁴⁹. Nevertheless, the twinning deformation was relatively inactive in NC–Cu–3Ta, indicative of grain size effects on the twin pressure. Further, based on the analyses of the residual microstructure of NC–Cu–3Ta, it is apparent that no increase in dislocation density and mechanical twinning are observed, i.e., stabilized NC alloys likely exhibit an ability to resist high defect (such as dislocation) accumulation and damage. In contrast, most polycrystalline Cu shocked at as low as 5 GPa show significant increase in the dislocation density as well as post-deformed residual hardness⁴⁵. Therefore, such resistance in NC–Cu–3Ta has not been observed before and is analogous to the ability of NC metals to absorb radiation damage, where stable interfaces act as potent sinks for point defects. Here in the same way, the thermo-mechanically stabilized grain boundaries and cluster interfaces persist and continue to operate as sinks to absorb the deformation defects such as dislocations. Such inherent behavior or properties are unlikely to be observed in unstabilized NC metals, where their microstructures would become

unstable under the drastically intensified driving force, i.e., as the microstructure evolves the sink density reduces⁵⁰. Therefore, the resilient phenomena of absorbing defects such as dislocations and resisting microstructural evolution under extreme dynamic conditions, as reported here, is only possible in thermo-mechanically stabilized nanocrystalline metals such as NC–Cu–3Ta. In general, the accumulation of defects during shock compression can lead to potential void nucleation sites such as vacancies and vacancy clusters⁵⁰, which can be detrimental to spall failure. Therefore, the ability to maintain a lower defect concentration under shock compression provides an archetype from which materials can be developed that go against conventional theory. That is, smaller grain sizes may then prove to yield higher spall strengths as compared to coarser-grained alloys; this work is ongoing.

In summary, optimized NC–Cu–3Ta shows extraordinary microstructural stability under extreme loading conditions. Mechanical hardness and TEM results provide evidence of an ability to resist high defect accumulation and damage as compared to other known materials reported in the open literature. This is attributed to the stabilized grains and grain boundaries that act as stable sinks, analogous to NC metals known ability to absorb radiation damage, thereby providing a mechanism of resistance, neutralizing further deformation-induced defects. In other words, this letter highlights an important material science discovery that if a material's microstructure is preserved to the length scale of nanometers through certain stabilization mechanisms, then the material's behavior will be anomalous in nature. These results indicate that stabilized NC materials provide a new frontier for fundamental discovery where opportunities exist that go beyond the perceived mechanical and functional limits of NC metals.

Data availability

The authors declare that the data supporting the findings of this study are available within the paper [and its Supplementary Information Files].

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Author contributions

K.A.D., C.L.W., A.K.G., and K.N.S. equally contributed to developing the idea. K.A.D., B.C.H., and A.K.G. processed the nanocrystalline materials. C.L.W. and S.A.T. performed the shock recovery experiments. B.C.H., X.Z., and G.B.T. performed the microstructural characterization. K.A.D., K.N.S., B.C.H., C.L.W., S.D., and C.K., analyzed the data. K.A.D., K.N.S., C.L.W., B.C.H., J.D.C., and S.D., wrote the paper. K.A.D., B.C.H., X.Z., and C.K. edited the figures. K.N.S. supervised C.K., and G.B.T. supervised X.Z.

Competing interests

The authors declare no competing interests.

Additional information

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